

MEMORANDUM

DATE: November 08, 2021

TO: Governor's Office of Budget, Planning and Policy
Legislative Budget Board

FROM: Valla F. Wilson, Vice President and Chief Audit Executive, Office of Internal Audit Services *VFW*

SUBJECT: 21:17 Research Data Cybersecurity Audit

In accordance with Government Code §552.139 *Exception: Confidentiality of Government Information Related to Security or Infrastructure Issues for Computers*, we are providing this communication to inform you of our completion of the Research Data Cybersecurity Audit, which was part of the Fiscal Year 2021 Audit Plan. The report contains confidential information that relates to technology and is not subject to the disclosure requirements of the Texas Public Information Act. We provided the full report to the State Auditor's Office on November 08, 2021.

The primary objectives were to review the adequacy and effectiveness of processes, oversight, and monitoring controls for research data protection. Specifically, to determine:

- Compliance with key regulations and institutional policies and procedures.
- Safeguarding of research data including personal health information (PHI) and proprietary and research intellectual property.
- Protection of the integrity of UT Southwestern research data for data sharing and publication.
- Controls in place to ensure systems and data security, adequate data backups and recovery, and security access controls.

Specific results were communicated to the appropriate management and Audit Committee members.