

Delegations of Authority for The University of Texas M. D. Anderson Cancer Center*

Board of Regents' Rules and Regulations		From	Delegate	Date of Delegation
GIFTS: Delegation of authority to accept certain gifts (other than gifts of real property or outdoor works of art) in the amount of \$500,000 or less: <u>\$5,000 or less:</u> <u>\$5,000 or less:</u> <u>\$5,000 or less:</u>	<i>Rule 60101, Section 3</i>	John Mendelsohn, M.D., President	Leon J. Leach, Executive Vice President	June 17, 2005
			R. Dan Fontaine, Senior Vice President for Business Affairs	January 2, 2008
			Dwain Morris, Vice President and Chief Financial Officer	October 15, 2008
			<u>Cash Gifts under \$5,000:</u> Michael Frick, Associate Vice President, Development Office	June 17, 2005
			Patrick B. Mulvey, Vice President, Development Office	June 17, 2005
			Linda A. Korb, Assistant Vice President Development	April 1, 2008
GIFTS OF SECURITIES: Delegation of authority to accept certain gifts securities in the amount of \$1,000,000 or less.	<i>Rule 60101, Section 3</i>	John Mendelsohn, M.D., President	<u>UT System Office of External Relations:</u> Julia K. Lynch, Director of Gift Planning Services Jan Hopson, Associate Director of Gift Planning Services Charlotte Hambrick, Associate Director of Gift Planning Services Karyn Kondoff, Assistant Director of Gift Planning Services	June 17, 2005

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Board of Regents' Rules and Regulations		From	Delegate	Date of Delegation
IRS FORMS: Delegation of authority to execute certain IRS forms including without limitation IRS Forms 8282 and 8283.	<i>Rule 60101, Section 2 & 6</i>	John Mendelsohn, M.D., President	Leon J. Leach, Executive Vice President	June 17, 2005
			Dwain Morris, Vice President, Finance and Accounting	June 19, 2007
			R. Dan Fontaine, Senior Vice President for Business Affairs	January 2, 2008
BANKING: Delegation of authority to execute and deliver contracts for banking services.	<i>Rule 22201, Section 5.1 and Rule 10501, Section 5</i>	John Mendelsohn, M.D., President	Leon J. Leach, Executive Vice President	June 17, 2005
			John A. Tietjen, Vice President, Patient Financial Services	July 14, 2009
			Dwain Morris, Vice President, Finance and Accounting	October 31, 2005
			R. Dan Fontaine, Senior Vice President for Business Affairs	January 2, 2008
CONSTRUCTION: Delegation of authority relating to new construction projects of \$1,000,000 or less and for repair and rehabilitation projects of \$2,000,000 or less.	<i>Rule 80301, Section 5 and Rule 80403</i>	John Mendelsohn, M.D., President	Leon J. Leach, Executive Vice President	June 1, 2005
			William A. Daigneau, Vice President, Operation and Facilities Management	June 1, 2005
			John A. Tietjen, Vice President, Patient Financial Services	July 14, 2009
			Dwain Morris, Vice President, Finance and Accounting	October 31, 2005
			R. Dan Fontaine, Senior Vice President for Business Affairs	January 2, 2008

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Board of Regents' Rules and Regulations		From	Delegate	Date of Delegation
<p>CONSTRUCTION (INCLUDING PROCUREMENT CONTRACTS AND PURCHASE ORDERS): Delegation of authority to execute and deliver contracts, agreements, purchase orders, change orders, etc., with architects, engineers, and other professional service providers, relating to new construction projects of \$1,000,000 or less and for repair and rehabilitation projects of \$2,000,000 or less.</p>	<p><i>Rule 80301, Section 5; Rule 80403; Rule 10501, Section 2</i></p>	<p>John Mendelsohn, M.D., President</p>	<p>Paul U. St. Amant, Associate Vice President, Supply Chain Management</p> <p><u>Procurement Contracts:</u></p> <p><u>Up to \$750,000</u> Director, Sourcing & Contract Management</p> <p><u>Up to \$500,000</u> Associate Directors, Sourcing & Contract Management</p> <p><u>Up to \$250,000</u> Contract Managers, Sourcing & Contract Management</p> <p><u>Purchase Orders:</u></p> <p><u>Up to \$750,000</u> Associate Director, Purchasing Services</p> <p><u>Up to 250,000</u> Supervisors, Purchasing Services</p> <p><u>Up to \$100,000</u> Senior Buyers, Purchasing Services</p> <p><u>Up to \$14,999</u> Buyers, Purchasing Services Senior Procurement Specialists Procurement Specialists Contract Administrators (Smithville/Bastrop personnel)</p>	<p>September 28, 2009</p>

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Board of Regents' Rules and Regulations		From	Delegate	Date of Delegation
SPACE LEASE: Delegation of authority relating to execute and deliver space lease agreements with a value of \$1,000,000 or less.	<i>Rule 10501, Section 5</i>	John Mendelsohn, M.D., President	Leon J. Leach, Executive Vice President	June 17, 2005
			John A. Tietjen, Vice President, Patient Financial Services	July 14, 2009
			Dwain Morris, Vice President, Finance and Accounting	October 31, 2005
			R. Dan Fontaine, Senior Vice President for Business Affairs	January 2, 2008
CONTRACTS - GENERAL: Delegation of authority to execute and deliver contracts of any kind or nature, including but not limited to contracts for the purchase of equipment, supplies, goods, and services.	<i>Rule 10501, Section 5</i>	John Mendelsohn, M.D., President	Leon J. Leach, Executive Vice President	June 17, 2005
			John A. Tietjen, Vice President Patient Financial Services	July 14, 2009
			Dwain Morris, Vice President, Finance and Accounting	October 31, 2005
			R. Dan Fontaine, Senior Vice President for Business Affairs	January 2, 2008
PURCHASE ORDERS: Delegation of authority to execute and deliver purchase orders for routine purchases of equipment, supplies, goods, and services.	<i>Rule 10501, Section 5</i>	John Mendelsohn, M.D., President	Leon J. Leach, Executive Vice President	June 17, 2005
			Wayne T. Wilson, Executive Director, Physicians Referral Service (Goods and Services used exclusively for Physicians Referral Services)	June 17, 2005
			R. Dan Fontaine, Senior Vice President for Business Affairs	January 2, 2008
			Dwain Morris, Vice President and Chief Financial Officer	October 15, 2008

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<p>CONTRACTS AND PURCHASE ORDERS: Delegation of authority to initiate and issue Purchasing Procedures; to administer a low value order program with delegated buying limits not to exceed \$5,000. Delegation of authority to execute and deliver contracts and/or purchase orders for the purchase of equipment, supplies, goods, and services up to value noted.</p>	<p><i>Rule 10501, Section 5 and Rule 10501, Sections 1, 3 and 4</i></p>	<p>John Mendelsohn, M.D., President</p>	<p><u>Contracts and Purchase Orders up to \$2,500,000</u> Paul U. St. Amant, Associate Vice President, Supply Chain Management</p> <p><u>Procurement Contracts up to \$1,000,000</u> Director, Sourcing & Contract Management</p> <p><u>Procurement Contracts up to \$750,000</u> Associate Directors, Sourcing & Contract Management</p> <p><u>Procurement Contracts up to \$250,000</u> Contract Managers, Sourcing & Contract Management</p> <p><u>Purchase Orders up to \$1,000,000</u> Associate Director, Purchasing Services</p> <p><u>Purchase Orders up to 250,000</u> Supervisors, Purchasing Services</p> <p><u>Purchase Orders up to \$100,000</u> Senior Buyers, Purchasing Services</p> <p><u>Purchase Orders up to \$14,999</u> Buyers, Purchasing Services Senior Procurement Specialists Procurement Specialists Contract Administrators (Smithville/Bastrop personnel)</p>	<p>September 28, 2009</p>

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Board of Regents' Rules and Regulations		From	Delegate	Date of Delegation
APPROVAL OF PURCHASES FROM M.D. ANDERSON EMPLOYEES: Delegation of authority to approve the purchase of materials, services, equipment, or property from any employee of The University of Texas M.D. Anderson Cancer Center.	<i>UTS 159</i>	John Mendelsohn, M.D., President	Paul U. St. Amant, Associate Vice President, Supply Chain Management	October 8, 2008
GRANTS AND PROPOSALS FOR SPONSORED RESEARCH: Delegation of authority to execute and deliver state and federal grants, contracts or proposals for sponsored research.	<i>Rule 10501, Section 5</i>	John Mendelsohn, M.D. President	Leon J. Leach, Executive Vice President Wesley R. Harrott, Project Manager, Research Administration Jolie A. Schmoker, Senior Grant Administrator, Sponsored Programs	June 17, 2005 April 30, 2007 August 11, 2008
SPONSORED RESEARCH: Delegation of authority to execute and deliver state and federal contracts or proposals for sponsored research.	<i>Rule 10501, Section 5</i>	John Mendelsohn, M.D. President	R. Dan Fontaine, Senior Vice President for Business Affairs	January 2, 2008
SPONSORED RESEARCH: Delegation of authority to execute and deliver state and federal contracts or proposals for sponsored research.	<i>Rule 10501, Section 5</i>	John Mendelsohn, M.D. President	Melinda T. Cotton, Executive Director, Sponsored Programs	March 17, 2008
SPONSORED RESEARCH: Delegation of authority to execute and deliver state and federal contracts or proposals for sponsored research.	<i>Rule 10501, Section 5</i>	John Mendelsohn, M.D. President	Dwain Morris, Vice President and Chief Financial Officer	October 15, 2008
SPONSORED RESEARCH: Delegation of authority to execute and deliver state and federal contracts or proposals for sponsored research.	<i>Rule 10501, Section 5</i>	John Mendelsohn, M.D. President	Chiquita N. Montgomery, Sr. Grant Administrator, Sponsored Programs	January 7, 2009

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REIMBURSEMENT AGREEMENTS: Delegation of authority to execute and deliver reimbursement agreements on behalf of Physicians Referral Service valued at \$1,000,000 or less.	<i>Rule 10501, Section 5</i>	John Mendelsohn, M.D. President	Wayne T. Wilson, Executive Director, Physicians Referral Service	June 17, 2005
			Thomas W. Burke, Executive Vice President and Physician-in-Chief	June 17, 2005
EDUCATIONAL CONFERENCES: Delegation of authority to execute and deliver contracts for educational conferences valued at \$25,000 or less.	<i>Rule 10501, Section 5</i>	John Mendelsohn, M.D., President	Shirley A. Roy, Director, Continuing Medical Education and Conference Services	September 28, 2009
EDUCATIONAL GRANTS: Delegation of authority to execute and deliver contracts accepting educational grants valued at \$75,000 or less.	<i>Rule 10501, Section 5</i>	John Mendelsohn, M.D., President	Shirley A. Roy, Director, Continuing Medical Education and Conference Services	September 28, 2009
EDUCATIONAL AGREEMENTS: Delegation of authority to execute and deliver contracts for educational agreements, including contracts for educational affiliations and educational programs.	<i>Rule 10501, Section 5</i>	John Mendelsohn, M.D., President	Stephen P. Tomasovic, Ph.D., Vice President, Academic Affairs	June 17, 2005
INTERAGENCY CONTRACTS WITH U.T. INSTITUTIONS: Delegation of authority to deliver contracts or agreement with U.T. System Administration or between or among U.T. institutions for resources or services.	<i>Rule 10501, Section 5.3</i>	John Mendelsohn, M.D.	Leon J. Leach, Executive Vice President	June 17, 2005
			John A. Tietjen, Vice President, Patient Financial Services	July 14, 2009
			Dwain Morris, Vice President, Finance and Accounting	October 31, 2005
			R. Dan Fontaine, Senior Vice President for Business Affairs	January 2, 2008

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Board of Regents' Rules and Regulations		From	Delegate	Date of Delegation
DEVELOPMENT OFFICE: Delegation of authority to execute and deliver contracts up to a value of \$15,000 for Development Office activities and events.	<i>Rule 10501, Section 5</i>	John Mendelsohn, M.D., President	Patrick B. Mulvey, Vice President, Development	June 17, 2005
			Michael Frick, Associate Vice President, Development	June 17, 2005
			Linda Korb, Executive Director, Development	June 17, 2005
INTELLECTUAL PROPERTY: Delegation of authority to execute and deliver option agreements in intellectual property and confidentiality agreements for Office of Technology Development.	<i>Rule 10501 and Rule 90105, Sections 1 & 3</i>	John Mendelsohn, M.D., President	Christopher C. Capelli, M.D., Vice President, Technology Transfer	October 31, 2005
			R. Dan Fontaine, Senior Vice President, Business Development & Regulatory Affairs	October 31, 2005
INTELLECTUAL PROPERTY: Delegation of authority to execute and deliver option agreements in intellectual property and confidentiality agreements.	<i>Rule 10501 and Rule 90105, Sections 1 & 3</i>	John Mendelsohn, M.D., President	Natalie J. Wright, Managing Director, Technology Development	January 15, 2009
ELECTRICITY SERVICES AGREEMENTS: Delegation of authority to enter into verbal agreements and to execute and deliver confirmation documents related to September 1, 2005 agreement. Countersignature required.	<i>Rule 10501, Section 5</i>	John Mendelsohn, M.D., President	William A. Daigneau, Vice President, Operations & Facilities Management	October 31, 2005
			R. Dan Fontaine, Senior Vice President, Business Development & Regulatory Affairs	October 31, 2005
EMPLOYMENT-RELATED CONTRACTS: Delegation of authority to execute and deliver employment-related contracts up to \$200,000.	<i>Rule 10501, Section 5</i>	John Mendelsohn, M.D., President	Shibu Varghese, Vice President & Chief Human Resources Officer	March 24, 2008

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OPTION AND LICENSE AGREEMENTS AND FORMS: Delegation of authority to execute and deliver all forms required by UT System for administrative review, approval and/or processing of option and license agreements, including UT System Forms A-E, G and Q.	<i>Rule 10501, Section 6</i>	John Mendelsohn, M.D., President	Christopher C. Capelli, M.D., Vice President, Technology Transfer	February 1, 2007
OPTION AND LICENSE AGREEMENTS AND FORMS: Delegation of authority to execute and deliver all forms required by UT System for administrative review, approval and/or processing of option and license agreements, including UT System Forms A-E, G and Q.	<i>Rule 10501, Section 6</i>	John Mendelsohn, M.D., President	Natalie J. Wright, Managing Director, Technology Development	January 15, 2009
RESEARCH CONTRACTS AND FORMS: Delegation of authority to execute and deliver all forms required by UT System for administrative review, approval and/or processing of research contracts, including UT System Forms A-E, G and Q.	<i>Rule 10501, Section 6 and BPM 45-09-06</i>	John Mendelsohn, M.D., President	Maurie Markman, M.D., Vice President, Clinical Research	February 1, 2007
CONFIDENTIALITY AGREEMENTS: Delegation of authority to execute and deliver confidentiality agreements.	<i>Rule 10501, Section 5</i>	John Mendelsohn, M.D., President	Matthew A. Masek, J.D., LL. M., Vice President & Deputy Chief Legal Officer Steven R. Haydon, Associate Vice President, Intellectual Property Barbara C. Brown, Associate Vice President and Managing Legal Officer	February 14, 2007 September 24, 2008 September 24, 2008
CONFIDENTIALITY AGREEMENTS RE CONSULTATION DEVELOPMENT PROJECTS: Delegation of authority to execute and deliver confidentiality agreements and clinical development agreements.	<i>Rule 10501, Section 5</i>	John Mendelsohn M.D. President	Mitchell Latinkic, Vice President, Global Business Development	March 24, 2009

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Board of Regents’ Rules and Regulations		From	Delegate	Date of Delegation
CONFERENCE, HOTEL, SPECIAL EVENT CONTRACTS: Delegation of authority to execute and deliver conference, hotel and special event contracts that are valued at \$50,000 or less.	<i>Rule 10501, Section 5</i>	John Mendelsohn, M.D., President	Matthew A. Masek, J. D., LL. M., Vice President & Deputy Chief Legal Officer	February 14, 2007
AMENDMENTS RELATED TO SUPPLY OF NATURAL GAS: Delegation of authority related to amendments to scheduled gas volumes in connection with two Interagency Cooperation Contracts with the Texas General Land Office, both effective as of September 1, 2009.	<i>Rule 10501, Section 5</i>	John Mendelsohn M.D., President	William A. Daigneau, Vice President, Operations & Facilities Management	August 24, 2009
			Jonathan M. Katz, Director, Energy Management	August 24, 2009
			Kiya T. Beyene, Senior Engineer, Facilities Management-Energy	August 24, 2009
			Joseph W. Savala, Associate Vice President, Facilities Management	August 24, 2009
LIMITED LICENSES – EMPLOYEE AUTHORS, ETC.: Delegation of authority to execute and deliver limited licenses to employee authors and/or their publishers of illustrations, figures and photos (“Visual Art”) created by M.D. Anderson graphic artists, illustrators and photographers.	<i>Rule 10501, Section 5</i>	John Mendelsohn M.D., President	John DiGiovanni, Chair & Professor, Department of Carcinogenesis	February 21, 2008
			Joi K. Holcomb, Medical Illustrator, Department of Carcinogenesis	February 21, 2008
			Mary A. Dorta, Director of Medical Graphics & Photography	February 21, 2008
			Jeffry A. Flasiak, Manager of Medical Graphics & Photography	February 21, 2008
			Kathleen D. Wagner, Assistant Director of Medical Graphics & Photography	February 21, 2008

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