

UT Tyler Compact for FY 10 – FY 11

Mission: The University of Texas at Tyler is a comprehensive university that delivers high quality education in the professions, humanities, arts and sciences. Our graduates will understand and appreciate human diversity and the global nature of society, think critically, act with honesty and integrity, and demonstrate proficiency in leadership, communication, and the use of technology.

Top 5 Priorities for FY 10 – FY 11:

1. Increase enrollment to 6,500; increase retention and graduation rates and decrease time to degree.
2. Enhance faculty research and creative activity across all disciplines.
3. Create UT Tyler as a SELECTIVE "destination campus" that is an alternative to an urban campus.
4. Complete Phase IV of capital improvements.
5. Raise overall student satisfaction by increasing the amount and quality of student life.

I. Performance Summary Table and Analysis

Indicator	Past	Current	Goal	Explanation
Persistence Rates – first-year persistence rates for first-time, full-time degree-seeking undergraduates (cohort)	60.5% (2001) 67.5% (2006)	65.4% (2007)	70% (2011)	We exceeded our original 2010 goal of 65%.
4-Year Graduation Rates – undergraduates graduating in four years or less from same institution (cohort)	26.3% (1998) 15.7% (2002)	15.1% (2003)*	21% (F2007 Cohort)*	It is unlikely that we will meet or exceed these 2010 and 2015 goals since early cohorts were highly selective (*see note below in 6-year graduation rates).
6-Year Graduation Rates – undergraduates graduating in six years or less from same institution (cohort)	41.4% (1998)* 40.0% (2000)*	37.4% (2001)	42% (F2005 cohort)*	*The 1998 and 1999 cohorts were composed of carefully selected high-achieving freshmen. Consequently, their retention and graduation rates were higher than subsequent cohorts. For example, the 1999 cohort had a 38% 4-year graduation rate and 61.8% 6-year graduation rate. The 2001 cohort had only a 17% 4-year graduation rate. Meeting or exceeding those early 4- and 6-year graduation rates in the next two years is highly unlikely.
Percentage of students satisfied with educational experience at UT Tyler on NSSE survey	77% (2003)	87.5% (2008)	90% (2011)	Satisfaction rate increasing due to continuous improvement of student services, advising, and facilities for a full 4-year experience.
6-year Graduation Rates-- first generation freshmen	47% (1998 cohort)	67% (2001 cohort)	70% (F2005 cohort)	The university has several programs from Gear UP and P-16 initiatives to support services, and we have packaged tuition to make education more affordable. Our recruiters are also putting more emphasis on targeting this population.
Percent of baccalaureate graduates employed or in graduate/professional schools in Texas	83.7% (fall 2004)	85.4% (fall 2006)	87% (fall 2010)	Uncertainty about economic climate makes predictions difficult.
Federal research expenditures	143,000 (FY2005)	438,123 (FY 2006)	\$4 million (FY 2009)	Beginning 2005-06 we have hired 11 faculty with proven records of grants. These faculty are very productive with their own grants. Expect stimulus money to add to total.
Enrollment of freshmen, transfer and graduates from outside of service area	32% (F2006)	38.3% (F2008)	40% (F2010)	Recruiting and programming to attract high ability students from outside of service area.

**UT Tyler
Compact for FY 10 – FY 11**

II. Update Strategic Initiatives from 2008 Compact

a. Completed Initiatives

	Impact (Metrics)	Analysis	Goal	Evaluation of initiative success
1. Third phase of capital improvements: student center, renovation of older buildings	Student Center added 5 % to campus ASF	More space to grow strong student services programs and increase capacity in College of Education.	Enhance research and teaching	Students report high satisfaction with new Student Center addition with more and better eating and meeting spaces.
2. Created Honors Program	Increase by 5% the number of high-SAT students	Honors program will attract high quality students from region and increase retention.	Increase overall quality of student population	First class had over 40 applicants for 25 seats.
3. Created International Studies office; expanded overseas activities; involved more faculty and students in international study	Summer 2009-30% increase in # of students in travel programs	New Director of International Studies bringing visibility to program.	2011- triple number of students in travel study	Working with other universities and UT System has opened up more opportunities and more interest by students.
4. Transfer initiative completed with TJC	Increase TJC transfers by 10% in F2010	Support college-going rate in East Texas by making transferring seamless.	Increase 4- and 6-year degree completion	Strong cooperation from Tyler JC; 2+2 agreements completed for top 5 transfer degrees.
5. Nursing Ph.D. started	First class installed F2008	15 of original 16 will continue to second year.	80% completion rate	Smooth transition to first doctoral; accepted 1/3 of applicants. High quality applicants.

b. Ongoing Initiatives

	Impact (Metrics)	Analysis	Goal	Next Steps
1. Continue to build enrollment with emphasis on centralized retention projects	6,500 students by FY2011; retention up to 70%	Increased college-going rates will positively affect area economics.	Enable University to meet Closing the Gaps targets	New Success Initiatives: FY 09 mandatory intervention for those on probation (MAPPs); early detection tools used at orientation; develop program for "admits by exception".
2. Expansion of art studios, Palestine expansion, and modernizing old Student Center	Add significantly to student life and art and nursing enrollment and quality	More space to grow strong programs in art and nursing, and enhance student life.	Enhance academics and student life	Completion by late 2009; expand student life planning.
3. Continue to increase total number of grant applications	Increase number of grant applications to 150 by 2011. (41 in 2004)	Focus on enhancing STEM and health areas.	Quadruple number of grant applications from 2004 level	Stimulus money should result in a significant increase in grant support.
4. Transition Edu Leadership program to 100% online	increase enrollment to earlier levels	Competition from commercial entities has resulted in loss of enrollment. Need to compete.	FY2010-- program completely online	Waiting SACS approval; recruitment and marketing in 08/09.

**UT Tyler
Compact for FY 10 – FY 11**

	Impact (Metrics)	Analysis	Goal	Next Steps
5. Implementation of Ph.D. in HRD	Recruit first cohort	Strong community support	Increase # of doctoral degrees	Implementation delayed until Fall 2010 due to delay in SACS approval.
6. Develop transfer articulation agreements with major feeder junior colleges	Increase transfer enrollment by 15% by 2010	Strong interest by JCs;	Complete 2+2 agreements with 4 feeder schools	Individualize articulation agreements for each JC; increase contact with each JC.

III. New Strategic Initiatives

Initiative, Rationale, & Strategy	Expected Impact (Metrics)	Goal	Specific Steps in 09-10, 10-11
1. QEP	Increase global awareness	2011-first set of global learning communities	09-10--SACS approval; faculty training 10-11--first students enrolled in global learning communities.
2. Build additional degree-completion programs through UT Telecampus	Increase enrollment by 3%	2011-first degree completion program ready	09-10--identify one degree completion program and work with UTTC 10-11--training and development 11-12--first offering.
3. Build on current strengths and QEP to identify and add unique degree programs and certificates to attract students from outside of service area	Degrees in new media, religion studies. Develop Graduate Certificates	Put UT Tyler "on the map" with unique programs	Identify best programs; identify resources required; re-submit preliminary authority requests.

IV. UT System Strategic Plan Initiatives

a. Enrollment Management Plan Development and Targets

UT Tyler's enrollment goals remain at the projection of 7000 total enrollment by 2015. There has been no change in the requirements for admission to UT Tyler. Since the last submission of the Uniform Recruitment and Retention Plan, we have increased our recruitment activities targeted toward minority and under-represented students and transfer students. We are updating 2+2 transfer articulation agreements with all feeder community colleges and increasing presence at Tyler Jr. College. We have added the Pathway to Success program, which guarantees tuition to students whose annual family income is less than \$25,000.

b. Financial Management Plan

With a 64% increase in FTSE from FY 2003 to FY 2007 combined with relatively little increase in non-TRB general revenue, UT Tyler has declined in net state support by 25% per FTSE since FY2003. We continue to be challenged to find operating funds for student growth, for operating new buildings, for starting new student services, and for continuing to build new academic and research programs. Despite this challenge, we strive to support the University's mission with a sound financial management plan that maintains stability and satisfactory financial ratios as indicated in the Analysis of Financial Condition.

Specifically in FY 2011 we will: 1) hold maintenance, operations, and travel funding constant with the only exception being utilities; 2) implement the tuition and fees as directed by The University of Texas System Board of Regents although a comprehensive tuition and fee study showing the need for additional funding was completed; 3) continue to increase research funding and contracted grants; 4) continue to increase private funding specifically in the area of endowed chairs and professorships; and 5) look for other means of holding operational costs down.

c. Information Security Plan

UT Tyler's Information Security plan continues to mature with ongoing monitoring and enhancements. Two full-time Information Security staff are currently employed. Plans to hire a part-time position to assist with Information Security have been delayed due to a temporary campus hiring freeze. Significant progress has been made on the security implementation for our student information system conversion to the Oracle/PeopleSoft Campus Solutions. Additional IT security projects and priorities that have been completed

**UT Tyler
Compact for FY 10 – FY 11**

include phase I of campus laptop encryption, network security appliance upgrades, added server and firewall logging software, and deployment of the ISA training plan. Ongoing work includes server risk assessments utilizing the UT System ISAAC risk assessment tool, maintaining the institutional security compliance monitoring plans for the protection of social security numbers and local academic network.

V. System Contributions and Investments

a. Summary of investments:

	FY 05 / FY 06 cumulative awards	Impact (FY 05 and FY 06 cumulative)	FY 07 awards	FY 08 awards
Noncompetitive STARS Awards:	\$1,346,776	Noncompetitive awards recruit junior faculty. The outcomes measures for these investments will take some time to develop.	\$685,152	--
Institutional Match:	--		\$182,000	--
Competitive STARS Awards:	--	--	--	\$500,000
Institutional Match:	--		--	--

VI. New Faculty Positions Projected to 2012

Field	FY 06	FY 07	FY 08	FY 09	FY 10	FY 11	FY 12	Total FY 06 – FY 12
STEM	6	5	3	0	0	0	1	15
Medical/Health	10	10	1	1	0	0	2	24
Human Resource Dev.	0	2	0	0	1	1	0	4
Fine Arts	1	1	1	0	0	0	0	3
Psychology	0	1	1	0	0	0	1	3
Total	17	19	6	1	1	1	4	49

VII. Status of Campus Strategic/Long-Range Plan: Because so much in our 2002 New Millennium Vision has been accomplished, the campus drafted a new strategic Plan--Inspiring Excellence: 2009-2015. The process began in Fall 2008 with campus-wide discussion and a final draft is currently being circulated.

VIII. Campus Consultation to Develop Compact: The initial planning matrix for the Compact was discussed with and reviewed by the Provost, deans and colleges. The draft was reviewed by the Deans, VPs, and President. The final document will be submitted to the incoming Faculty Senate in the Fall and posted on the UT Tyler website for comment.

**UT Tyler
Compact for FY 10 – FY 11**

IX. Budget

The University of Texas at Tyler					
Operating Budget					
Fiscal Year Ending August 31, 2009					
	FY 2007 Actual	FY 2008 Adjusted Budget	FY 2009 Operating Budget	Budget Increases (Decreases) From 2008 to 2009	
				Amount	Percent
Operating Revenues:					
Tuition and Fees	\$ 22,145,631	21,558,230	25,149,322	3,591,092	16.7%
Federal Sponsored Programs	7,354,629	6,713,043	7,671,324	958,281	14.3%
State Sponsored Programs	1,535,967	977,748	1,169,631	191,883	19.6%
Local and Private Sponsored Programs	638,296	135,110	147,428	12,318	9.1%
Net Sales and Services of Educational Activities	1,002,024	872,235	901,777	29,542	3.4%
Net Sales and Services of Hospital and Clinics	-	-	-	-	-
Net Professional Fees	-	-	-	-	-
Net Auxiliary Enterprises	3,293,341	3,925,648	4,059,020	133,372	3.4%
Other Operating Revenues	166,994	65,290	44,190	(21,100)	-32.3%
Total Operating Revenues	36,136,882	34,247,304	39,142,692	4,895,388	14.3%
Operating Expenses:					
Instruction	24,847,682	26,165,845	28,336,203	2,170,358	8.3%
Academic Support	6,227,523	6,246,172	6,639,822	393,650	6.3%
Research	1,298,798	320,826	1,079,244	758,418	236.4%
Public Service	1,072,668	1,133,902	870,621	(263,281)	-23.2%
Hospitals and Clinics	-	-	-	-	-
Institutional Support	8,568,787	9,517,416	9,470,497	(46,919)	-0.5%
Student Services	4,789,653	5,972,188	6,683,950	711,762	11.9%
Operations and Maintenance of Plant	5,514,013	5,390,277	5,548,673	158,396	2.9%
Scholarships and Fellowships	5,589,791	4,773,495	5,856,747	1,083,252	22.7%
Auxiliary Enterprises	4,739,536	5,372,995	5,691,446	318,451	5.9%
Depreciation and Amortization	6,807,151	7,450,000	8,700,000	1,250,000	16.8%
Total Operating Expenses	69,455,602	72,343,116	78,877,203	6,534,087	9.0%
Operating Surplus/Deficit	(33,318,720)	(38,095,812)	(39,734,511)	(1,638,699)	4.3%
Budgeted Nonoperating Revenues (Expenses):					
State Appropriations & HEAF (Non-capitalized)	30,392,834	35,949,923	36,154,685	204,762	0.6%
Gifts in Support of Operations	844,627	347,460	346,765	(695)	-0.2%
Net Investment Income	3,828,868	3,669,544	3,761,447	91,903	2.5%
Other Non-Operating Revenue	-	-	-	-	-
Other Non-Operating (Expenses)	-	-	-	-	-
Net Non-Operating Revenue/(Expenses)	35,066,329	39,966,927	40,262,897	295,970	0.7%
Transfers and Other:					
AUF Transfers Received for Operations	-	-	-	-	-
AUF Transfers (Made) for Operations	-	-	-	-	-
Transfers for Debt Service - Interest	(2,166,428)	(2,602,526)	(2,447,952)	154,574	-5.9%
Total Transfers and Other	(2,166,428)	(2,602,526)	(2,447,952)	154,574	-5.9%
Budget Margin (Deficit)	(418,819)	(731,411)	(1,919,566)	(1,188,155)	162.4%
Reconciliation to Change in Net Assets:					
Net Non-Profit Health Corp Activity	-	-	-	-	-
Net Inc./(Dec.) in Fair Value of Investments	8,089,119	-	-	-	-
Interest Expense on Capital Asset Financings	-	-	-	-	-
Capital Approp., Gifts and Sponsored Programs	75,094	500,000	550,000	50,000	10.0%
HEAF (Capitalized)	-	-	-	-	-
Additions to Permanent Endowments	652,460	550,000	550,000	-	0.0%
Transfers for Debt Service - Principal	(1,790,713)	(6,271,512)	(6,375,387)	(103,875)	1.7%
Reverse Transfers for Debt Service (System Only)	-	-	-	-	-
Transfers and Other	12,987,410	9,982,754	26,001,567	16,018,813	160.5%
SRECNA Change in Net Assets	\$ 19,594,551	4,029,831	18,806,614	14,776,783	366.7%
Total Revenues and AUF Transfers	\$ 71,203,211	74,214,231	79,405,589	5,191,358	7.0%
Total Expenses (Including Transfers for Interest)	(71,622,030)	(74,945,642)	(81,325,155)	(6,379,513)	8.5%
Budget Margin (Deficit)	\$ (418,819)	(731,411)	(1,919,566)	(1,188,155)	
Reconciliation to Use of Prior Year Balances					
Depreciation		7,450,000	8,700,000		
Capital Outlay		(713,789)	(775,204)		
HEAF (Capitalized)		-	-		
Transfers for Debt Service - Principal		(6,271,512)	(6,375,387)		
Budgeted Transfers		-	400,000		
Use of Prior Year Balances		(266,712)	29,843		

**UT Tyler
Compact for FY 10 – FY 11**

X. Data Summary

<i>fall</i>	2000	2001	2002	2003	2004	2005	2006	2007	2008
Undergraduate	2,892	3,004	3,409	3,922	4,466	4,930	5,143	5,346	5,334
Graduate/professional	700	728	845	847	860	816	783	791	783
Total enrollment	3,592	3,732	4,254	4,769	5,326	5,746	5,926	6,137	6,117
<i>yr of matriculation</i>	1999	2000	2001	2002	2003	2004	2005	2006	2007
1st year persistence	68.1	60.0	60.5	54.3	56.0	60.4	58.7	67.5	65.4
<i>yr of matriculation</i>	1995	1996	1997	1998	1999	2000	2001	2002	2003
4-year graduation rate				26.3%	37.9%	21.1%	16.9%	15.7%	15.1%
5-year graduation rate				36.4%	50.5%	36.0%	33.3%	27.6%	
6-year graduation rate				41.4%	54.7%	40.0%	37.4%		
<i>academic year</i>	99-00	00-01	01-02	02-03	03-04	04-05	05-06	06-07	07-08
Baccalaureate degrees	731	702	684	619	720	792	897	954	999
Master's degrees	140	163	121	184	196	223	224	221	212
<i>academic year</i>	2000	2001	2002	2003	2004	2005	2006	2007	2008
Faculty	257	285	300	291	347	363	377	378	396
Administrative		36	40	37	40	43	46	44	43
Other, Non-Faculty		231	246	261	298	300	341	376	361
Student employees		173	227	240	320	359	329	380	422
<i>fall</i>	2000	2001	2002	2003	2004	2005	2006	2007	2008
FTE student / FTE faculty	12 to 1	12 to 1	13 to 1	16 to 1	16 to 1	17 to 1	17 to 1	16 to 1	16 to 1
<i>fiscal year</i>	2000	2001	2002	2003	2004	2005	2006	2007	2008
Federal research exp	\$63,307	\$66,827	\$67,617	\$174,362	\$585,874	\$143,425	\$438,123	\$936,661	\$1,791,887
<i>fiscal year</i>			01-02	02-03	03-04	04-05	05-06	06-07	07-08
Tuition & Fees / FTE student			\$1,960	\$2,400	\$2,780	\$3,090	\$3,410	\$4,050	\$4,200
State Appropriations / FTE student			\$8,950	\$7,650	\$6,930	\$6,000	\$6,530	\$6,400	\$7,370
<i>as of</i>	8/31/2000	8/31/2001	8/31/2002	8/31/2003	8/31/2004	8/31/2005	8/31/2006	8/31/2007	8/31/2008
Endowment total value	\$47,822	\$42,104	\$37,432	\$40,349	\$45,152	\$53,508	\$58,149	\$65,582	\$66,887