

Academic Component Institutions:
The University of Texas at Arlington
The University of Texas at Austin
The University of Texas at Brownsville
The University of Texas at Dallas
The University of Texas at El Paso
The University of Texas-Pan American
The University of Texas of the Permian Basin
The University of Texas at San Antonio
Institute of Texan Cultures
The University of Texas at Tyler



II. Business & Management
V. Gov's office.
Health Component Institutions:
The University of Texas Southwestern Medical Center at Dallas
The University of Texas Medical Branch at Galveston
The University of Texas Health Science Center at Houston
The University of Texas M. D. Anderson Cancer Center
The University of Texas Health Center at Tyler

I. LBB

BOARD OF REGENTS OF THE UNIVERSITY OF TEXAS SYSTEM

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mailed 9/10/01
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August 31, 2001

Mr. John Opperman
Director, Office of Budget and Planning
Office of the Governor
State Insurance Building, Room 4.300
Austin, Texas 78701
INTERAGENCY MAIL

Mr. John Keel
Director, Legislative Budget Board
Robert E. Johnson Building, Fifth Floor
Austin, Texas 78701
INTERAGENCY MAIL

Dear Mr. Opperman and Mr. Keel:

On behalf of the Board of Regents and as required by Article IX of the current General Appropriations Act, it is requested that you approve an increase in the authorized number of full-time equivalent (FTE) employees for selected components of The University of Texas System as set forth on Attachments A and B.

Attachment A is the recommendation by the U. T. Board of Regents' Finance and Planning Committee which was approved without objection by the Board of Regents in regular session on August 9, 2001.

Attachment B sets forth the justification for the increased number of FTEs and the source of funds for their compensation at each component institution.

The authorized FTE numbers listed in the Appropriations Act for FY 2002 equal the number budgeted by the institutions in FY 2001. In general, therefore, the current estimated numbers of FTEs for FY 2002 in excess of the numbers listed in the General Appropriations Act represent the faculty and other personnel necessary to implement the new or expanded programs and items of appropriation provide by the 77th Legislature.

The general academic universities received additional funding for FY 2002 through the formulas, through mission enhancement or excellence funding increases, and through other items of appropriation. Several of these funding decisions occurred late in the session; for example, the

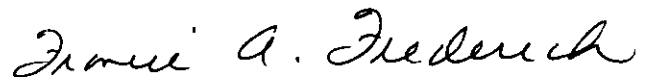
Mr. John Opperman
Mr. John Keel
August 31, 2001
Page 2 of 2

bill authorizing the new research and excellence funds (HB 1839) passed after the General Appropriations bill. Utilization of the additional funding will require faculty and staff in addition to the 2001 staffing levels, specified in the bill.

The two health institutions requesting approval of FTE levels in excess of FY 2001's budgeted level are The University of Texas Southwestern Medical Center at Dallas and the The University of Texas M. D. Anderson Cancer Center. U. T. Southwestern Medical Center - Dallas received a significant new item of appropriation which will require additional faculty and staff. The additional FTEs at U. T. M. D. Anderson Cancer Center are a direct result of its success in patient care and research programs and are funded from these sources.

Your approval of these employee increases will be greatly appreciated.

Sincerely yours,



Francie A. Frederick
Counsel and Secretary
to the Board of Regents

FAF/cjp

Attachments

c: Chancellor R. D. Burck
Executive Vice Chancellor Kerry Kennedy
Vice Chancellor Tom Scott
Assistant Vice Chancellor and Controller Randy Wallace

*mmj
9/11/01*

10. U. T. System: Request for Approval to Exceed the Full-Time Equivalent Limitation on Employees Paid from Appropriated Funds as Required by the General Appropriations Act of the 77th Texas Legislature, Article IX, Section 6.14

RECOMMENDATION

The Chancellor concurs in the recommendation of the U. T. System Executive Officers and the presidents of certain U. T. System component institutions that the U. T. Board of Regents approve those institutions, as set forth in the table on Page 67, to exceed the number of full-time equivalent (FTE) employees that are authorized in the General Appropriations Act. Also, as required by Article IX, Section 6.14 of the General Appropriations Act, it is recommended that the U. T. Board of Regents submit a request to the Governor's Office and the Legislative Budget Board to grant approval for these institutions to exceed the authorized number of FTE employees paid from Appropriated Funds.

BACKGROUND INFORMATION

The proposed request is in accordance with Article IX, Section 6.14 of Senate Bill 1 (General Appropriations Act) passed by the 77th Texas Legislature. This rider places a limit on the number of full-time equivalent (FTE) employees paid from Appropriated Funds that an institution may employ without written approval of the Governor and the Legislative Budget Board. In order to exceed the FTE limitation, a request must be submitted by the governing board and must include the date on which the board approved the request, a statement justifying the need to exceed the limitation, the source of funds to be used to pay the salaries, and an explanation as to why the functions of the proposed additional FTEs cannot be performed within current staffing levels.

The University of Texas System
REQUEST TO EXCEED FULL-TIME EQUIVALENT LIMITATION
ON EMPLOYEES PAID FROM APPROPRIATED FUNDS
(Senate Bill No. 1, Article IX, Section 6.14)
Fiscal Year 2002

Component	S. B. 1 FTE Limitation	Estimated Average FTE FY2002	Requested Increase in Number of FTEs
U. T. System Administration	223.80	247.80	24.00
U. T. Arlington	1,921.50	1,982.58	61.08
U. T. Dallas	1,163.50	1,231.30	67.80
U. T. El Paso	1,510.60	1,664.89	154.29
U. T. Permian Basin	248.50	255.75	7.25
U. T. San Antonio	1,638.30	1,696.30	58.00
U. T. Tyler	353.30	393.30	40.00
U. T. Southwestern Medical Center - Dallas	1,669.50	1,731.30	61.80
U. T. M. D. Anderson Cancer Center	7,861.40	8,211.40	350.00

**THE UNIVERSITY OF TEXAS SYSTEM
LIMITATION ON STATE EMPLOYMENT LEVELS
Fiscal Year 2002**

PRESENTATION TO THE UNIVERSITY OF TEXAS SYSTEM BOARD OF REGENTS

August 9, 2001

**The University of Texas System
 REQUEST TO EXCEED FULL-TIME EQUIVALENT LIMITATION
 ON EMPLOYEES PAID FROM APPROPRIATED FUNDS
 (Senate Bill No. 1, Article IX, Section 6.14)
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**Request to Exceed FTE Limitation on Appropriated Funds
The University of Texas System Administration
FY 2002**

Function/Activity	Appropriated Funds FTE Increase	Salary Increase	Source of Funds	Justification
Institutional Support/ System Administration	24.0	1,006,004	Available University Fund	To increase the level of service provided to U. T. System component institutions especially relating to distance education and to compliance with applicable laws and regulations. The U. T. TeleCampus provides centralized administration of distance education programs and provides cost savings through reduced need for buildings and infrastructure and through avoiding duplication of efforts by U. T. institutions.
Total	24.0	\$ 1,006,004		

**Request to Exceed FTE Limitation on Appropriated Funds
The University of Texas at Arlington
FY 2001-2002**

<u>Function/Activity</u>	<u>Appropriated Funds FTE Increase</u>	<u>Salary Increase</u>	<u>Source of Funds</u>	<u>Justification</u>
Instruction - New Faculty	11.50	738,613	Educational and General	To achieve goals outlined in the THECB Closing the Gaps relative to participation, success, excellence and research by teaching more undergraduate and graduates with experienced and professional faculty. Additional research oriented and successful faculty have been hired in the Colleges of Science and Engineering from the funds provided by H.B. 1839 to work on Research Activities at UTA.
Instruction - New Graduate Teaching and Research Assts.	25.50	532,760	Educational and General	To achieve goals outlined in the THECB Closing the Gaps by placing more GTAs and GRAs in Masters and PhD. Programs. Additional GRAs will be hired in the College of Engineering and College of Science to work on the Research Activities funded from the H.B. 1839 Excellence Funding Allocations.
Student Services	16.25	1,022,413	Educational and General	To achieve Closing the Gaps goals relative to UTA's student recruitment and retention programs.
Institutional Support	7.83	478,661	Educational and General	To increase accountability and service for the University's customers. To provide increased safety and service to our student body.
Total	61.08	\$ 2,772,447		

Request to Exceed FTE Limitation on Appropriated Funds
The University of Texas at Dallas
FY 2002 - Revised 8/3/01

Function/Activity	Appropriated Funds FTE Increase	Salary Increase	Source of Funds	Justification
Instruction - New Faculty	25.80	1,843,823	Education and General	The increasing enrollment growth in Engineering, Computer Science and Management and the increased size of the freshman class necessitates additional tenure/tenure-track faculty and senior lecturers. These additional faculty are needed to retain UTD's quality of instruction and to maintain a reasonable faculty/student ratio. These faculty will participate in research funded from the Research in Excellence Funding provided in in HB 1839.
Instruction - Part-time Lecturers	4.30	120,400	Education and General	The request to increase part-time lecturers is to alleviate gaps at the introductory masters level, especially in Computer Science, caused by full-time teaching at the undergraduate level.
Instruction - Teaching Assistants	19.70	394,000	Education and General	With the increased enrollment at the undergraduate level, an increase in Teaching Assistants is needed to continue to provide support of instruction.
Student Services	10.00	273,121	Education and General	This would provide additional support staff due to the increased enrollment in the area of applications processed, in foreign credential evaluations, and in new legislative admissions mandates.
Institutional Support	8.00	197,960	Education and General	This would provide additional support and improve services in Police, Custodial Services, and Business Affairs.
Total	67.80	\$ 2,829,304		

**Request to Exceed FTE Limitation on Appropriated Funds
The University of Texas at El Paso
FY 2001/2002**

Function/Activity	Appropriated Funds FTE Increase	Salary Increase	Source of Funds	Justification
Border Health Research	19.6920	\$ 337,666	E&G	New program approved by 77th Legislature. Majority of FTEs are Research Assistants.
U.S. Mexico Immigration Center	0.5000	50,000	E&G	New program approved by 77th Legislature.
Entering Student Program	4.9000	264,105	E&G	To improve recruitment and retention of first-time, transfer and returning students. The majority of the FTEs are for student leaders (wage support).
Excellence Funds(H.B. 1839)	29.9600	1,200,000	E&G	New program approved by 77th Legislature. The majority of the FTEs are for additional faculty needed for graduate program support, graduate student support, and research infrastructure support.
Academic Advising	2.0000	35,505	E&G	To improve recruitment and retention of first-time, transfer and returning students. Majority of FTEs are for students (wage support).
Academic Program Enhancement	35.1100	489,311	E&G	The majority (26.0) of the FTEs are for additional faculty needed in the College of Business Administration, Engineering, Liberal Arts, Health Sciences and College of Science particularly in Graduate Programs.
Institutional Enhancement	14.8000	629,591	E&G	Positions required to support initiatives in Research Infrastructure, Distance Learning and Recruitment.
Institutional Support	47.3298	1,293,430	E&G	Positions are needed for increasing demands in support of Accounting, Contracts and Grants, Human Resources, Financial Services, Audit/Consulting Services, Institutional Advancement. 50% of the FTEs are for facility maintenance and custodial support.
Total	154.2918	\$ 4,299,608		

NOTE: The Appropriation Bill FTE cap is set at 1,510.6, which is even 106 FTE's less than the current level. The FTE cap has never been adjusted for the exceptions requested in prior years and so much of this request includes "catch-up" adjustments that have still not been made. There are, however, several special item appropriations that have resulted in additional FTE's as well, including Excellence Funds, Border Health Research, and the U.S. Mexico Immigration Center.

**Request to Exceed FTE Limitation on Appropriated Funds
The University of Texas of the Permian Basin
FY 2002**

Function/Activity	Appropriated Funds FTE Increase	Salary Increase	Source of Funds	Justification
A & P				
Academic Counseling	0.20	\$ 7,251	Education and General	To fill increasing academic counseling requirements
Lab Division	(0.25)	(4,406)	Education and General	Reallocation of staff
School of Business	0.25	22,500	Education and General	Addition of Assistant Dean
School of Education	0.25	17,946	Education and General	Addition of Assistant Dean
University Outreach	0.50	19,138	Education and General	To make position fulltime to handle increasing HUB, AA/EEO needs
Classified				
Accounting	0.10	1,565	Education and General	Resulting of outsource of University Bookstore
Central Stores	0.25	6,180	Education and General	Resulting of outsource of University Bookstore
Information Resources	0.50	11,722	Education and General	To fill increasing need of computing services for students
Academic Counseling	0.75	23,398	Education and General	To fill increasing academic counseling requirements
Admissions	1.00	30,691	Education and General	To enhance minority recruiting efforts
Purchasing	1.00	21,950	Education and General	Resulting of outsource of University Bookstore/increasing purchasing requirements
Reach	1.00	36,778	Education and General	To fill increasing need of computing services for students
Student Counseling	0.20	7,251	Education and General	To fill increasing personal counseling requirements
Faculty				
JBS Public Leadership	(1.00)	(53,940)	Education and General	
School of Education	(0.45)	(18,158)	Education and General	
Dept. of Science and Math	0.25	10,875	Education and General	Additional tenure track faculty
Math Center	1.00	43,000	Education and General	Addition of University Math Center
Dept. of Humanities and Fine	0.50	23,739	Education and General	Additional tenure track faculty
Dept. of Behavioral Science	0.55	23,755	Education and General	Additional tenure track faculty
School of Business	0.65	31,870	Education and General	Additional tenure track faculty
Total E & G	7.25	\$ 263,105		

**Request to Exceed FTE Limitation on Appropriated Funds
The University of Texas at Tyler
FY 2002**

Function/Activity	Appropriated Funds FTE Increase	Salary Increase	Source of Funds	Justification
Instruction - New Faculty	24.0	\$ 960,000	Education and General	To facilitate downward expansion
Instructional Support	4.0	140,000	Education and General	To facilitate downward expansion
Student Services	10.0	350,000	Education and General	To facilitate downward expansion
Physical Plant Support	2.0	60,000	Education and General	To facilitate downward expansion
Total	40.0	\$ 1,510,000		

**Request to Exceed FTE Limitation on Appropriated Funds
The University of Texas M. D. Anderson Cancer Center
FY 2002**

Function/Activity	Appropriated Funds FTE Increase	Salary Increase	Source of Funds	Justification
Medical Staff/Education	73.63	\$ 14,444,585	Estimated Other Educational & General and Patient Income	To continue to provide our standard of care and service to our increasing number of patients and to improve our capacity to deliver cancer care
Research	12.10	468,770	Estimated Other Educational & General and Patient Income	To provide our research programs with financial support and resources to grow and prosper
Hospital & Clinics Activities	216.00	10,174,466	Estimated Other Educational & General and Patient Income	To continue to provide our standard of care and service to our increasing number of patients and to improve our capacity to deliver cancer care
Institutional Support	18.64	1,346,460	Estimated Other Educational & General and Patient Income	Provide the infrastructure to effectively manage the resources and information systems needed to support our mission areas
Physical Plant	29.63	1,151,246	Estimated Other Educational & General and Patient Income	To provide support for the additional facilities and infrastructure
Total	350.00	\$ 27,585,527		