




## COMPLIANCE & AUDIT EDUCATION SERIES

### Cash - Tales from the Till

You have been invited to a ReadyTalk Meeting hosted by Tim Mulshine. All the information you need to join is below.

#### Step 1: Dial-In

 U.S. & Canada: 866.740.1260

 Access Code: 9870966

#### Step 2: Web Login

 <https://cc.readytalk.com/r/lauv3el06gq>

#### Meeting Description:

This session will review the auditing of cash and cash controls as well as related risks in the university environment. Since cash is prevalent within the university, and an easily misappropriated asset, it is important that controls surrounding cash are adequate and that the auditor knows what to look for when auditing cash. Common areas of cash control weaknesses will be reviewed, including specific examples for each area (receipting and recording, accountability, physical security, reconciliation, monitoring, deposit preparation, petty cash and change funds, separation of duties, etc.) and the risks associated with each area, as well as corresponding recommendations and examples.

#### Date & Time

**Date:** Wed, Jul 27, 2011

**Time:** 10:00 AM PDT

**Duration:** 1 hour

**Host(s):** Tim Mulshine

#### ReadyTalk Support Information

**[Test your computer](#) for compatibility prior to the meeting.**

#### For technical support:

U.S. and Canada: 800.843.9166

International: 303.209.1600

Email: [help@readytalk.com](mailto:help@readytalk.com)

Web: [Conferencing Support](#)

#### Presenter Information

**Jean Lee**, Senior Auditor, Audit & Advisory Services, UCLA

Jean Lee has over eleven years of audit experience, including over eight years of internal auditing experience at UCLA performing operational, compliance, and financial audits throughout the campus and healthcare systems. Prior to joining UCLA, she practiced public accountancy at Deloitte & Touche. She has extensive experience auditing cash controls within the University environment and has led audits in areas involving cash in the University main cashiers' offices, medical clinics, academic units, recreation, and catering. She also developed an innovative matrix report format which is now used by UCLA Audit & Advisory Services. She holds a B.A. in Business/Economics from UCLA. She is a Certified Public Accountant and a Certified Fraud Examiner.

**Daniel Samec**, Staff Auditor, Audit & Advisory Services, UC Santa Barbara

Daniel Samec has over two years internal audit experience at UCSB. Prior to joining Audit and Advisory Services, he worked in the Department of Physics as Financial Assistant. He is a member of the Institute of Internal Auditors, National Council of University Research Administrators, and the Association of College and University Administrators. He received a B.S. in Applied and Computational Mathematics with a minor in Accounting from UCI.