

UT Arlington Compact for FY 08 – FY 09

Mission: The University of Texas at Arlington is a comprehensive research, teaching, and public service institution whose mission is the advancement of knowledge and the pursuit of excellence. The University is committed to the promotion of lifelong learning through its academic and continuing education programs and to the formation of good citizenship through its community service learning programs. The diverse student body shares a wide range of cultural values and the University community fosters unity of purpose and cultivates mutual respect.

Top 5 Priorities for FY 08 – FY 09:

1. Enhance instruction and the learning environment.
2. Enhance research activity and the reputation of the university.
3. Enhance student life programming and student engagement.
4. Achieve increased diversity among both faculty and students.
5. Increase levels of non-state support for the university.

I. Performance Summary Table and Analysis

Indicator	Past	Current	Goal	Explanation
Persistence Rates – First-year persistence rates for first-time, full-time degree-seeking undergraduates (cohort)	68.0% (2000) 60.4% (2003)	68.9% (2004)	65.0% (2007 cohort)	The 2000 and 2004 cohorts excluded CAP students; the 2003 cohort included them. Since CAP students must be included in all future cohorts, one year persistence rates will be adversely affected.
4-Year Graduation Rates – undergraduates graduating in four years or less from same institution (cohort)	12.7% (1997) 15.1% (2000)	14.5% (2001)	26.0% (2006 cohort)	Graduation rates expected to improve as Graduation Rates Task Force recommendations are implemented. Improved early advising and academic support services messages and higher admission standards are the key.
6-Year Graduation Rates – undergraduates graduating in six years or less from same institution (cohort)	30.6% (1995) 37.6% (1998)	39.5% (1999)	46.0% (2004 cohort)	Graduation rates expected to improve as Graduation Rates Task Force recommendations are implemented. Improved early advising and academic support services messages and higher admission standards are the key.
6-Yr Composite Graduation/Persistence Rates - % graduating or still enrolled in the same or other Texas institution	56.7% (1995 cohort)	64.0% (1999 cohort)	71.0% (2004 cohort)	This measure shows that UT Arlington has a role in successfully preparing a significant number of students who transfer to and graduate from other Texas institutions.
# of Bachelor's degrees awarded (AY)	2,798 (00-01)	3,531 (05-06)	3,708 (07-08)	Improved retention and graduation rates will result in an increase in degrees awarded.
# of Graduate degrees awarded (AY)	1,141 (00-01)	2,047 (05-06)	2,150 (07-08)	Improved retention and graduation rates will result in an increase in degrees awarded.
6-Yr Graduation rates for Hispanics - first-time freshmen graduating in 6 years or less from same institution	21.4% (1995)	40.6% (1999)	46.0% (2004 cohort)	AASCU said increases related to the UTA culture that affirms diversity, promotes success, and enables students to maintain both ethnic identity and a sense of belonging to the larger campus community.
Annual research expenditures	\$19.5 M (00-01)	\$34.9 M (05-06)	\$40 M (07-08)	Research expenditures track with awards which are steadily increasing owing to new research faculty hires and growth in targeted research areas.

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II. Update Strategic Initiatives from 2006 Compact

a. Completed Initiatives

	Impact (Metrics)	Analysis	Goal	Evaluation of initiative success
1. Phi Kappa Phi Chapter installed Spring 07 (associated with excellence initiative)	38 inducted; future event includes a student research symposium	level of faculty interest expressed by initiates suggests high level of participation	install chapter by fall 2007	successful
2. Hiring of Associate Vice President for Research (associated with excellence initiative)	hired fall 2006	increased level of support for research compliance issues, collaborative grant programs, and industry outreach	hire by fall 2006	successful
3. Peoplesoft SIS implementation (associated with excellence initiative)	completed all phases	modifications to tailor system to better serve ongoing needs	complete implementation by summer 2006	successful
4. Engineering Research Building (associated with excellence initiative)	TRB, PUF & revenue system bonds funding obtained	completion date 2011	obtain \$128,430,000 in funding by spring 2007	successful
5. UTA-Fort Worth Education Center programming and enrollment (associated with excellence initiative)	relocated to Fort Worth	programming being expanded in new location	new location to open Spring 2007	successful - added Executive MBA program

b. Ongoing Initiatives

	Impact (Metrics)	Analysis	Goal	Next Steps
1. Faculty Hiring	increased research dollars; decreased faculty / student ratio	16 hires completed in 06-07; budget constraints will limit hires for 07-08	12 new lines for 07-08	identify funds to support additional lines
2. Increase graduate student support	increased graduate enrollment; improved student profile	current levels of graduate support are such that we are not competitive with peer institutions	tuition discount for all STEM graduate assistants	First, expand tuition discount to doctoral degree-seeking graduate assistants in STEM fields with 30 hours or less; next, expand to non-STEM fields
3. Improve faculty salaries	improved faculty retention	provide a 4.5% raise pool for raises for AY 07-08	comparable salaries with peer institutions	continue to identify funds to support faculty salary increases via programs like professorship campaign

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4. Increase merit-based aid for undergraduates	improved student profile: average high school rank, SAT/ACT scores	budget prohibits improvement for current year	comparable to peer institutions	identify funds
5. Increase the number of research proposal submissions	higher level of research awards	559 in FY 01, 746 in FY 06	5% increase each year	continue with targeted research faculty hiring in strategic areas

III. New Strategic Initiatives

Initiative, Rationale, & Strategy	Expected Impact (Metrics)	Goal	Specific Steps in 07-08, 08-09
1. Active Learning: Pathways to Higher Order Thinking (HOT) Skills Quality Enhancement Plan. Active learning will result in improved graduation rates and the acquisition of higher order thinking skills. 12 pilot projects at the class level will be assessed to determine impact of active learning and contexts within which it is most effective.	graduation rates, NSSE scores, and increase in scores on a critical thinking test	improvement in HOT skills	07-08: the 12 pilot projects will be implemented in classes during the fall and spring semester, and data will be gathered 08-09: data will be analyzed to determine most effective strategies and contexts.
2. Nanoelectronics Initiative. Participation in State Lab in nanoelectronics for development of the next generation of computer chips and transistor technology beyond will increase research and enhance reputation. Recruit one endowed chair and work to identify funding for a second endowed chair to anchor these activities.	External funding generated to support nanoelectronics research, patents filed	5% increase in 07-08 and 08-09 (06-07 base=\$3 million)	07-08: complete funding for and fill first chair position 08-09: complete funding for and fill second chair position
3. Excellence Initiative to raise funds to support professorships. A "mini" campaign focused on professorships in all academic units will improve faculty retention and recruiting. Raise funds to provide research support and additional salary increments for outstanding research faculty.	lower turnover among research faculty and improved recruiting	being established	07-08: prepare case materials for academic units and launch campaign 08-09: continue fundraising efforts

IV. UT System Strategic Plan Initiatives

a. Enrollment Management Plan Development and Targets

Admissions standards were increased 3 years ago for first time freshmen and 2 years ago for transfer students. The impact of these increases will be monitored in terms of average SAT/ACT score and future graduation rates. The Art and Science consulting group was commissioned last year to conduct a "price and positioning study" based on original data gathered from student prospects. The report is to be delivered in October and will inform the setting of future enrollment goals. Current goals are incremental increases in enrollment at both the graduate and undergraduate level, with disproportionate growth among first time freshmen and doctoral students. Flat to slightly increased enrollment is anticipated for AY 07-08, with incremental growth over the next several years.

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b. Financial Management Plan

Financial ratios have remained strong even with a slight enrollment decline. We expect them to remain steady and perhaps improve slightly. UT Arlington was one of only four academic institutions in the UT System to have a positive annual operating margin in 2006, and we expect that ratio to remain positive in the future. Our total fund balances have steadily increased as evidenced by our operating expense coverage ratio of 3.9, second highest among UT System academic institutions. Our debt burden is above the benchmark, as are most of the academic institutions. However, with the cost of debt at record lows and the need to expand the campus, the level of our debt payments will still remain around the 5.0 to 5.5 range. Our composite index should remain mostly stable even when the addition of several highly leveraged construction projects now under way on campus.

c. Information Security Plan

The Office of Information Technology, Security Services continues to develop, monitor and enforce practices to ensure that UT Arlington's administrative and academic information is secure from unauthorized access, protected from inappropriate alteration, physically secure, and available to users in a timely fashion. Recent initiatives include implementation of firewalls, intrusion detection, intrusion prevention, network monitoring, tightened network access in public spaces, spam filtering, and more. Security Services identifies and addresses destructive exposures, disclosure, modification, or interruptions that may cause financial and/or information loss to UT Arlington and coordinates the handling of security incidents, recoveries, breaches, intrusions, and/or abuses with the appropriate department or external agency. Short term focus will address implementation of the UT System 2006 Information Security Action Plan and campus outreach for security awareness and training.

V. System Contributions and Investments

a. Summary of investments:

	\$ Amount, FY 05	\$ Amount, FY 06	Description / Metrics of Impact (for FY 05)
STARs Program – noncompetitive (47 combined awards)	\$2,613,560	NA	Noncompetitive awards recruit junior faculty. The outcomes measures for these investments will take some time to develop.
Institutional match:	\$2,005,844		
STARs Program – competitive (5 retention awards)		3 @ \$500,000	Sponsored External Funding:\$2,016,065
	\$204,000	\$499,792	Net \$ Return on Investment\$156,065
	\$310,000		Patents Issued: 1
	\$348,000		Patents Pending: 2
	\$498,000		Scientific Publications: 45
	\$500,000		Collaborations: 27
			Sponsored Graduate Students: 35
			Sponsored Post-Docs: 4

b. Other System contributions: PUF funds for Engineering/Science Research Building support

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VI. Number of New Faculty Positions Projected to 2010

Field	FY 06	FY 07	FY 08	FY 09	FY 10	Total FY 06 – FY 10
STEM	11	11	9	10	10	51
Education	0	1	0	2	1	4
Nursing	0	1	1	1	1	4
Art	1	0	0	1	0	2
Liberal Arts	2	1	0	0	1	4
Business	1	2	3	0	1	7
Total	15	16	13	14	14	72

VII. Status of Campus Strategic/Long-Range Plan: Completed. <http://www.uta.edu/mavericksonthemove/index.html>

VIII. Campus Consultation to Develop Compact:

Input received from Faculty Senate, academic deans, vice presidents, and other key administrators. Draft to be shared with campus upon approval.

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IX. Budget

Operating Budget - Fiscal Year Ending August 31, 2007					
	FY 2005	FY 2006	FY 2007	Increases (Decreases)	
	Actual	Adjusted Budget	Operating Budget	From 2006 to 2007	
				Amount	Percent
Operating Revenues:					
Tuition and Fees	\$ 110,406,274	112,110,999	136,390,851	24,279,852	21.7%
Federal Sponsored Programs	39,911,497	36,346,834	36,299,683	(47,151)	-0.1%
State Sponsored Programs	7,362,405	5,428,436	6,579,235	1,150,799	21.2%
Local and Private Sponsored Programs	5,521,371	8,753,943	9,633,486	879,543	10.0%
Net Sales and Services of Educational Activities	7,061,321	7,003,194	8,808,052	1,804,858	25.8%
Net Sales and Services of Hospital and Clinics	-	-	-	-	-
Net Professional Fees	-	-	-	-	-
Net Auxiliary Enterprises	2,182,478	19,997,865	21,376,676	1,378,811	6.9%
Other Operating Revenues	6,841,997	3,911,500	4,385,548	474,048	12.1%
Total Operating Revenues	198,928,343	193,552,771	223,473,531	29,920,760	15.5%
Operating Expenses:					
Instruction	96,474,037	114,525,919	116,901,621	2,375,702	2.1%
Academic Support	23,800,610	23,622,775	24,738,242	1,115,467	4.7%
Research	23,368,940	25,780,741	29,778,567	3,997,826	15.5%
Public Service	10,601,510	5,917,124	8,923,374	3,006,250	50.8%
Hospitals and Clinics	-	-	-	-	-
Institutional Support	26,385,538	21,933,119	26,492,213	4,559,094	20.8%
Student Services	19,661,752	18,853,325	20,230,985	1,377,660	7.3%
Operations and Maintenance of Plant	21,808,358	26,907,518	30,979,649	4,072,131	15.1%
Scholarships and Fellowships	9,567,011	10,173,609	13,548,580	3,374,971	33.2%
Auxiliary Enterprises	3,126,358	31,034,993	31,919,630	884,637	2.9%
Depreciation and Amortization	17,683,325	17,742,870	17,957,919	215,049	1.2%
Total Operating Expenses	280,614,668	296,491,993	321,470,780	24,978,787	8.4%
Operating Surplus/Deficit	(81,686,325)	(102,939,222)	(97,997,249)	4,941,973	-4.8%
Budgeted Nonoperating Revenues (Expenses):					
State Appropriations & HEAF	94,977,377	103,160,172	103,680,936	520,764	0.5%
Gifts in Support of Operations	3,155,133	403,670	453,204	49,534	12.3%
Net Investment Income	5,038,376	5,547,210	5,512,494	(34,716)	-0.6%
Other Non-Operating Revenue	-	-	-	-	-
Other Non-Operating (Expenses)	-	-	-	-	-
Net Non-Operating Revenue/(Expenses)	103,170,886	109,111,052	109,646,634	535,582	0.5%
Transfers and Other:					
AUF Transfers Received	-	-	-	-	-
AUF Transfers (Made)	-	-	-	-	-
Transfers for Debt Service - Interest	(6,806,756)	(8,034,637)	(8,562,619)	(527,982)	6.6%
Total Transfers and Other	(6,806,756)	(8,034,637)	(8,562,619)	(527,982)	6.6%
Budget Margin (Deficit)	14,677,805	(1,862,807)	3,086,766	4,949,573	-265.7%
Reconciliation to Change in Net Assets:					
Net Non-Profit Health Corp Activity	-	-	-	-	-
Net Inc./(Dec.) in Fair Value of Investments	5,276,515	-	-	-	-
Interest Expense on Capital Asset Financings	-	-	-	-	-
Capital Approp., Gifts and Sponsored Programs	92,644	100,000	103,000	3,000	3.0%
Additions to Permanent Endowments	998,937	578,072	615,872	37,800	6.5%
Transfers for Debt Service - Principal	(6,942,604)	(7,959,687)	(7,896,462)	63,225	-0.8%
Reverse Transfers for Debt Service (System Only)	-	-	-	-	-
Transfers and Other	2,192,433	57,789,000	40,600,000	(17,189,000)	-29.7%
SRECNA Change in Net Assets	\$ 36,027,730	48,644,578	36,509,176	(12,135,402)	-24.9%
Total Revenues and AUF Transfers	\$ 302,099,229	302,663,823	333,120,165	30,456,342	10.1%
Total Expenses (Including Transfers for Interest)	(287,421,424)	(304,526,630)	(330,033,399)	(25,506,769)	8.4%
Budget Margin (Deficit)	\$ 14,677,805	(1,862,807)	3,086,766	4,949,573	
Reconciliation to Use of P Prior Year Balances					
Depreciation		17,742,870	17,957,919		
Capital Outlay		(14,214,463)	(15,628,801)		
Transfers for Debt Service - Principal		(7,959,687)	(7,896,462)		
Budgeted Transfers		301,614	(79,241)		
Use of P Prior Year Balances		(5,992,473)	(2,559,819)		

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X. Data Summary

<i>fall</i>	2000	2001	2002	2003	2004	2005	2006
Undergraduate headcount	15,449	16,330	17,649	18,867	19,114	19,448	19,205
Graduate/professional headcount	4,975	4,850	6,172	6,112	6,183	5,768	5,620
Total enrollment	20,424	21,180	23,821	24,979	25,297	25,216	24,825
<i>yr of matriculation</i>	1999	2000	2001	2002	2003	2004	2005
1st year persistence	65.9%	68.0%	65.6%	66.4%	60.4%	68.9%	64.1%
<i>yr of matriculation</i>	1995	1996	1997	1998	1999	2000	2001
4-year graduation rate	9.6%	13.2%	12.7%	12.3%	14.5%	15.1%	14.5%
5-year graduation rate	22.4%	29.3%	30.6%	29.5%	31.8%	32.8%	
6-year graduation rate	30.6%	36.4%	36.7%	37.6%	39.5%		
<i>academic year</i>	99-00	00-01	01-02	02-03	03-04	04-05	05-06
Baccalaureate degrees granted	2,813	2,798	2,892	3,150	3,280	3,316	3,531
Master's degrees	975	1,087	1,069	1,366	1,796	1,883	1,943
Graduate level certificates	--	--	--	--	--	55	47
Doctorate degrees	78	87	72	62	75	83	104
<i>academic year</i>	00-01	01-02	02-03	03-04	04-05	05-06	06-07
Faculty	1,034	1,055	1,089	1,135	1,188	1,224	1,240
Administrative		206	346	302	307	327	356
Other, Non-Faculty		2,014	1,373	1,376	1,440	1,513	1,563
Student employees		1,026	1,737	1,724	2,145	2,112	2,139
<i>fall</i>	2000	2001	2002	2003	2004	2005	2006
FTE student / FTE faculty ratio	20 to 1	20 to 1	22 to 1	22 to 1	21 to 1	21 to 1	20 to 1
<i>fiscal year</i>	2000	2001	2002	2003	2004	2005	2006
Federal research expenditures	\$5,242,897	\$9,224,210	\$7,923,657	\$7,993,576	\$11,093,256	\$17,833,042	\$19,095,309
<i>fiscal year</i>	2000	2001	2002	2003	2004	2005	2006
Revenue / FTE student	\$11,000	\$12,000	\$12,000	\$10,000	\$11,000	\$11,000	\$12,000
(nearest thousand)							
<i>as of</i>	8/31/2000	8/31/2001	8/31/2002	8/31/2003	8/31/2004	8/31/2005	8/31/2006
Endowment total value	\$35,214,000	\$31,366,000	\$28,859,000	\$34,735,000	\$38,512,000	\$45,635,000	\$50,750,000