

UT Tyler Compact for FY 08 – FY 09

Mission: The University of Texas at Tyler is a comprehensive university that delivers high quality education in the professions, humanities, arts and sciences. Our graduates will understand and appreciate human diversity and the global nature of society, think critically, act with honesty and integrity, and demonstrate proficiency in leadership, communication, and the use of technology.

Top 5 Priorities for FY 08 – FY 09:

1. Increase enrollment to 6,200; implement initiatives to increase retention and graduation rates and decrease time to degree.
2. Enhance faculty and student research and creative activity across all disciplines.
3. Create UT Tyler as a SELECTIVE "destination campus" that's an alternative to an urban campus.
4. Complete Phase II of capital improvements.
5. Raise overall student satisfaction by increasing the amount and quality of student life.

I. Performance Summary Table and Analysis

Indicator	Past	Current	Goal	Explanation
Persistence Rates – First-year persistence rates for first-time, full-time degree-seeking undergraduates (cohort)	60.0% (2000) 56.0% (2003)	60.4% (2004)	65% (2010)	UT Tyler spent 2006-07 studying retention issues and receiving advice from experts. Greater emphasis will be placed on advising, financial support, course availability, department and college accountability, broader student success initiatives.
4-Year Graduation Rates – undergraduates graduating in four years or less from same institution (cohort)	NA (1997) 21.1% (2000)	16.9% (2001)*	26% (2010)* 28% (2015)	In addition to retention efforts at academic level, increasing student life activities, residential living and other on-campus extra-curricular activities will support increased graduate rates. (*see note below)
6-Year Graduation Rates – undergraduates graduating in six years or less from same institution (cohort)	NA (1995) 41.4% (1998)	54.7% (1999)*	53% (2010)* 55% (2015)	*The 1998 and 1999 cohorts were composed of carefully selected high-achieving freshmen. Consequently, their retention and graduation rates were higher than subsequent cohorts. For example, the 1999 cohort had a 38% 4-year graduation rate and 61.8% 6-year graduation rate. The 2001 cohort had only a 17% 4-year graduation rate. Meeting or exceeding those early 4- and 6-year graduation rates in the next two years is highly unlikely.
Percentage of students satisfied with educational experience at UT Tyler on NSSE survey	77% (2003)	88.5% (2006)	92% (2008)	As more students live and learn on campus, university is committed to enhancing students' experiences across both academics and student life.
Graduation rate of first generation freshmen	32% (2002-03)	47% (2005-06)	50% (2008-09)	The university has several programs from Gear UP and P-16 initiatives, to support services and tuition support to help us meet these goals. Our recruiters are also putting more emphasis on targeting this population.
Enrollment of freshmen, transfer and graduates, from outside of service area	28% (fall 2004)	32% (fall 2006)	38% (fall 2010)	Recruit and program to attract high ability students from outside of service area
Federal research expenditures	174,362 (FY 2003)	438,123 (FY 2006)	\$4 million (FY 2009)	Beginning 2005-06 we have hired 11 faculty with proven records of grants. These faculty are not only productive with their own grants, but also serve as mentors to faculty not familiar with grantsmanship.
Number of federal grants submitted	19 (FY 2004)	61 (FY 2006)	100 (FY 2009)	Applications and awards have grown significantly with addition of sponsored research office. Additional effort will be put into workshops, and other support services for faculty.

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II. Update Strategic Initiatives from 2006 Compact

a. Completed Initiatives

	Impact (Metrics)	Analysis	Goal	Evaluation of initiative success
1. Completion of Ratliff South, renovations, new apartments, new dorm, sports venues, dining hall, acquisition of land	facilities 100% occupied	Added classrooms and labs. Increasing number of residential students improves retention.	Improve learning and living on campus	Approximately 7% increase in seats available in core courses (about 550 additional seats). 300 more students living on campus and an active athletic program all increase retention.
2. Addition of new Civil Engineering degree programs at baccalaureate and master's levels	First cohort: 50 baccalaureate and 7 master's students.	First students admitted in 2005-06. Both undergraduate and graduate programs are fully implemented; new chair and 2 faculty hired. Expands in area of high need for region—civil engineers.	Continue building enrollment in engineering	Civil Eng. programs have increased enrollment for college. We know that 42 of the 50 baccalaureate students in the first cohort would have transferred elsewhere to pursue civil engineering. More than doubled the number of women in engineering.
3. Strengthen tenure and promotion process	Rejection rate is up approx. 5% in 2007 over 2005.	Significant revisions to tenure and promotion policies that will strengthen criteria, formalize process, and require outside evaluations.	Ensure high quality professoriate	Quality of research is up; expectations are known; hiring highly qualified faculty in all areas
4. Creation and implementation of student success initiatives: supplemental instruction, advising center, learning communities, etc.	We will not see results of these efforts until fall 2007; retention numbers are in for the fall 2006 cohort.	Centralized support services with single coordinator was part of first phase of retention plan.	Increase freshman retention	More than 60% of freshmen exposed to one or more success programs
5. Acquisition of Tyler Area Senior Citizens Assoc. (TASCA) building for nursing graduate program	Added 10 offices, a lecture hall and seminar rooms. Specifics will be known after renovations.	Building has classrooms, offices and multi-use area for expansion of university programs.	Provide for growing nursing graduate programs	Nursing building was not built to accommodate growing master's (20% in past two years) and new Ph.D. program. Moving to TASCA will free up offices for undergraduate faculty who are currently officed all over campus.

b. Ongoing Initiatives

	Impact (Metrics)	Analysis	Goal	Next Steps
1. Continue to build enrollment with emphasis on centralized retention projects	6,200 students by 2009; Freshman retention up to 65%	Increased college-going rates will positively affect area economics.	enable University to meet Closing the Gaps targets	New Success Initiatives: Bridge, tuition support for low income; 4-yr graduation contract; aggressive intervention for those on probation (MAPPS); trim programs to 120 hrs.
2. Second phase of capital improvements: Ratliff North, student center, art studios, Palestine expansion, renovations	Ratliff North will add 55,225 assigned sq. ft. (10 % of total campus ASF). Increases overall enrollment capacity by 20%	More space to grow strong programs in art, nursing, and biology, as well as enhance student life.	Enhance academics and student life	architectural or construction phases underway; expand student life planning;

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3. Increase total number of grant applications	Increase number of grant applications to 215 by 2009. (41 in 2004)	Focus on enhancing STEM and health areas. We are already more than half-way to the 2009 goal.	Increase sponsored research grants and contracts by 425% from 2004	Expand sponsored research staff; assign research mentors to new faculty; increase number and frequency of workshops on grantsmanship.
4. Create Honors Program	By 2010, increase by 5% the number of high-ability students (Generally: SAT >=1300; ACT >=29) by 2010	Recruitment of top students looking for challenging curriculum at a selective university.	Attract and retain high ability students and build reputation of UT Tyler as a "selective" destination campus	Identify resources, appoint director, finalize proposal, and develop recruitment plan to target this population.
5. Implementation of Ph.D.s in nursing and in human resource development	Recruit for targeted enrollment of first cohort; hire required faculty; identify resources for student support	Strong community support for both programs. Attracting outstanding faculty and applicants.	Increase # of doctoral degrees granted	Strong student recruitment effort, recruit and retain outstanding faculty, continue building community support

III. New Strategic Initiatives

Initiative, Rationale, & Strategy	Expected Impact (Metrics)	Goal	Specific Steps in 07-08, 08-09
1. Expand Student Success Initiatives to colleges and departments	Establish College retention goals and develop college and dept-level retention plans by spring 2007 for implementation in fall 2008.	All units become accountable for student success	Initiate Summer Bridge; aggressive retention programs at college and dept. levels; advisor training; PeopleSoft implementation
2. Expand and build on current study and travel abroad programs	Increase number of students participating in travel and study abroad programs by 15%	Expand international opportunities	Create International Studies office; expand inventory of possible overseas activities; involve more faculty in int'l. study and globalization of curriculum.
3. Identify and add unique new degree programs to attract high ability students from outside of service area	approval and implementation of new programs	Put UT Tyler "on the map" with unique programs	identify best programs; identify resources required; draft preliminary authority requests and proposals.

IV. UT System Strategic Plan Initiatives

a. Enrollment Management Plan Development and Targets

UT Tyler's enrollment goals remain at the projection of 7000 total enrollment by 2015. There has been no change in the requirements for admission to UT Tyler. Since the last submission of the Uniform Recruitment and Retention Plan, we have increased our recruitment activities targeted toward minority and under-represented students. We have added the Pathway to Success program, which guarantees tuition to students whose annual family income is less than \$25,000.

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b. Financial Management Plan

With an 82% increase in FTSE over the last five years combined with relatively little increase in non-TRB general revenue, UT Tyler has declined in net state support by 43% per FTSE since FY2002. We are being challenged to find operating funds for student growth, for operating new buildings, for starting new student services, and for continuing to build new academic and research programs. Although funding issues threaten our ability to meet these goals, we will continue to support our priorities with a sound financial management plan that maintains stability and satisfactory financial ratios at all times.

Specifically, in the upcoming fiscal year we will: 1) allocate a portion of excess fund balance reserves for one-time use to support new programs and initiatives; 2) develop a comprehensive tuition and fee study to identify possible funding increases and redirection of existing incidental fees for centralized operating needs; 3) increase research funding and contracted grants; 4) increase private funding specifically in the area of endowed chairs and professorships; and 5) centralize IT services and leverage bulk-rate purchases. We will also work with the System's offices of Business Affairs and Strategic Management during 2007-08 to develop a robust plan of action.

c. Information Security Plan

UT Tyler has made significant progress in accomplishing goals in the Information Security plan as we expand and enhance our IT security initiatives. An institutional Information Security Officer (ISO) has been assigned for UT Tyler as required by the UT System Information Security Action Plan. Information Security has been designated as a separate line item in the upcoming budget cycle and additional funding has been requested to address specific security needs. A full-time security position was also added during the current year. Institutional Security Administrators (ISA) have been identified and an institutional information security working group has been established. Working groups have also been formed and are developing a standardized risk assessment methodology, preparing an institutional compliance monitoring plan, and developing a standardized Information Security Program.

V. System Contributions and Investments

	\$ Amount, FY 05	\$ Amount, FY 06	Description / Metrics of Impact (FY 05)
STARs Program – noncompetitive (6 combined awards)	\$1,346,776	NA	Noncompetitive awards recruit junior faculty. The outcomes measures for these investments will take some time to develop.

VI. New Faculty Positions Projected to 2010

Field	FY 06	FY 07	FY 08	FY 09	FY 10	Total FY 06 – FY 10
STEM	6	5	3	3	4	21
Medical/Health	10	10	8	5	3	36
Human Resource Dev.	0	1	2	1	0	4
Fine Arts	1	0	0	2	0	3
Psychology	0	1	0	1	1	3
Total	17	17	13	12	8	67

VII. Status of Campus Strategic/Long-Range Plan: President Rod Mabry charged a strategic plan review committee in March to update the 2002 UT Tyler New Millennium Vision. Various groups on campus are reviewing the document and making suggestions for revisions or modifications of goals, objectives and strategies. Revisions should be completed by mid-July and will be submitted to Faculty Senate in Fall.

VIII. Campus Consultation to Develop Compact: The initial planning matrix for the Compact was discussed with and reviewed by the Provost, deans and colleges. The draft was reviewed by the Deans, VPs, and President. The final document will be submitted to the incoming Faculty Senate in the Fall and posted on the UT Tyler website for comment.

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IX. Budget

The University of Texas at Tyler
Operating Budget
Fiscal Year Ending August 31, 2007

	FY 2005 Actual	FY 2006 Adjusted Budget	FY 2007 Operating Budget	Budget Increases (Decreases) From 2006 to 2007	
				Amount	Percent
Operating Revenues:					
Tuition and Fees	\$ 14,322,931	15,311,786	18,356,186	3,044,400	19.9%
Federal Sponsored Programs	5,315,742	4,692,783	5,936,079	1,243,296	26.5%
State Sponsored Programs	1,591,584	426,604	875,725	449,121	105.3%
Local and Private Sponsored Programs	506,238	162,019	37,982	(124,037)	-76.6%
Net Sales and Services of Educational Activities	577,655	361,804	704,439	342,635	94.7%
Net Sales and Services of Hospital and Clinics	-	-	-	-	-
Net Professional Fees	-	-	-	-	-
Net Auxiliary Enterprises	2,103,777	3,466,101	3,680,945	214,844	6.2%
Other Operating Revenues	284,388	-	51,804	51,804	-
Total Operating Revenues	24,702,315	24,421,097	29,643,160	5,222,063	21.4%
Operating Expenses:					
Instruction	20,397,681	22,288,115	23,975,466	1,687,351	7.6%
Academic Support	4,823,718	5,053,695	6,003,545	949,850	18.8%
Research	956,622	846,704	609,861	(236,843)	-28.0%
Public Service	349,353	166,887	948,541	781,654	468.4%
Hospitals and Clinics	-	-	-	-	-
Institutional Support	7,544,070	7,921,828	8,652,043	730,215	9.2%
Student Services	3,984,696	4,049,939	4,683,336	633,397	15.6%
Operations and Maintenance of Plant	3,841,794	4,046,651	4,384,032	337,381	8.3%
Scholarships and Fellowships	5,279,321	3,471,004	3,998,693	527,689	15.2%
Auxiliary Enterprises	3,622,336	4,367,095	4,429,473	62,378	1.4%
Depreciation and Amortization	4,868,148	4,900,000	5,800,000	900,000	18.4%
Total Operating Expenses	55,667,739	57,111,918	63,484,990	6,373,072	11.2%
Operating Surplus/Deficit	(30,965,424)	(32,690,821)	(33,841,830)	(1,151,009)	3.5%
Budgeted Nonoperating Revenues (Expenses):					
State Appropriations & HEAF	25,599,445	29,483,586	30,053,780	570,194	1.9%
Gifts in Support of Operations	853,580	204,977	335,042	130,065	63.5%
Net Investment Income	3,304,303	3,040,604	3,198,842	158,238	5.2%
Other Non-Operating Revenue	-	-	-	-	-
Other Non-Operating (Expenses)	-	-	-	-	-
Net Non-Operating Revenue/(Expenses)	29,757,328	32,729,167	33,587,664	858,497	2.6%
Transfers and Other:					
AUF Transfers Received	-	-	-	-	-
AUF Transfers (Made)	-	-	-	-	-
Transfers for Debt Service - Interest	(1,548,564)	(2,815,095)	(2,581,892)	233,203	-8.3%
Total Transfers and Other	(1,548,564)	(2,815,095)	(2,581,892)	233,203	-8.3%
Budget Margin (Deficit)	(2,756,660)	(2,776,749)	(2,836,058)	(59,309)	2.1%
Reconciliation to Change in Net Assets:					
Net Non-Profit Health Corp Activity	-	-	-	-	-
Net Inc./(Dec.) in Fair Value of Investments	6,104,921	-	-	-	-
Interest Expense on Capital Asset Financings	-	-	-	-	-
Capital Approp., Gifts and Sponsored Programs	2,340,616	438,664	592,000	153,336	35.0%
Additions to Permanent Endowments	477,617	400,000	450,000	50,000	12.5%
Transfers for Debt Service - Principal	(747,103)	(1,355,000)	(1,830,802)	(475,802)	35.1%
Reverse Transfers for Debt Service (System Only)	-	-	-	-	-
Transfers and Other	13,618,426	11,719,819	8,000,000	(3,719,819)	-31.7%
SRECNA Change in Net Assets	\$ 19,037,817	8,426,734	4,375,140	(4,051,594)	-48.1%
Total Revenues and AUF Transfers	\$ 54,459,643	57,150,264	63,230,824	6,080,560	10.6%
Total Expenses (Including Transfers for Interest)	(57,216,303)	(59,927,013)	(66,066,882)	(6,139,869)	10.2%
Budget Margin (Deficit)	\$ (2,756,660)	(2,776,749)	(2,836,058)	(59,309)	
Reconciliation to Use of Prior Year Balances					
Depreciation		4,900,000	5,800,000		
Capital Outlay		(588,044)	(660,037)		
Transfers for Debt Service - Principal		(1,355,000)	(1,830,802)		
Budgeted Transfers		-	(900,000)		
Use of Prior Year Balances		180,207	(426,897)		

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X. Data Summary

<i>fall</i>	2000	2001	2002	2003	2004	2005	2006
Undergraduate headcount	2,892	3,004	3,409	3,922	4,466	4,930	5,143
Graduate/professional headcount	700	728	845	847	860	816	783
Total enrollment	3,592	3,732	4,254	4,769	5,326	5,746	5,926
<i>yr of matriculation</i>	1999	2000	2001	2002	2003	2004	2005
1st year persistence	68.1%	60.0%	60.5%	54.3%	56.0%	60.4%	58.7%
<i>yr of matriculation</i>	1995	1996	1997	1998	1999	2000	2001
4-year graduation rate				26.3%	37.9%	21.1%	16.9%
<i>academic year</i>	99-00	00-01	01-02	02-03	03-04	04-05	05-06
Baccalaureate degrees granted	731	702	684	619	720	792	897
Master's degrees	140	163	121	184	196	223	224
<i>academic year</i>	00-01	01-02	02-03	03-04	04-05	05-06	06-07
Faculty	257	285	300	291	347	363	377
Administrative		36	40	37	40	43	46
Other, Non-Faculty		231	246	261	293	296	336
Student employees		173	227	240	320	359	329
<i>fall</i>	2000	2001	2002	2003	2004	2005	2006
FTE student / FTE faculty ratio	12 to 1	12 to 1	13 to 1	16 to 1	16 to 1	17 to 1	17 to 1
<i>fiscal year</i>	2000	2001	2002	2003	2004	2005	2006
Federal research expenditures	\$63,307	\$66,827	\$67,617	\$174,362	\$585,874	\$143,425	\$438,123
<i>fiscal year</i>	2000	2001	2002	2003	2004	2005	2006
Revenue / FTE student	\$14,000	\$13,000	\$13,000	\$12,000	\$11,000	\$10,000	\$11,000
(nearest thousand)							
<i>as of</i>	8/31/2000	8/31/2001	8/31/2002	8/31/2003	8/31/2004	8/31/2005	8/31/2006
Endowment total value	\$47,822,000	\$42,104,000	\$37,432,000	\$40,349,000	\$45,152,000	\$53,208,000	\$58,149,000