

## UT Tyler Compact for FY 09 – FY 10

**Mission:** The University of Texas at Tyler is a comprehensive university that delivers high quality education in the professions, humanities, arts and sciences. Our graduates will understand and appreciate human diversity and the global nature of society, think critically, act with honesty and integrity, and demonstrate proficiency in leadership, communication, and the use of technology.

### Top 5 Priorities for FY 09 – FY 10:

1. Increase enrollment to 6,500; increase retention and graduation rates and decrease time to degree.
2. Enhance faculty research and creative activity across all disciplines.
3. Create UT Tyler as a SELECTIVE "destination campus" that's an alternative to an urban campus.
4. Complete Phase III of capital improvements.
5. Raise overall student satisfaction by increasing the amount and quality of student life.

### I. Performance Summary Table and Analysis

Indicator	Past	Current	Goal	Explanation
Persistence Rates – First-year persistence rates for first-time, full-time degree-seeking undergraduates (cohort)	60.5% (2001) 60.4% (2004)	58.7% (2005)	70% (2010)	We exceeded our original 2010 goal of 65%.
4-Year Graduation Rates – undergraduates graduating in four years or less from same institution (cohort)	26.3% (1998) 16.9% (2001)	15.7% (2002)*	26% (2010)* 28% (2015)	It is unlikely that we will meet or exceed these 2010 and 2015 goals since early cohorts were highly selective (*see note below)
6-Year Graduation Rates – undergraduates graduating in six years or less from same institution (cohort)	41.4% (1998)* 54.7% (1999)*	40.0% (2000)	53% (2010)* 55% (2015)	*The 1998 and 1999 cohorts were composed of carefully selected high-achieving freshmen. Consequently, their retention and graduation rates were higher than subsequent cohorts. For example, the 1999 cohort had a 38% 4-year graduation rate and 61.8% 6-year graduation rate. The 2001 cohort had only a 17% 4-year graduation rate. Meeting or exceeding those early 4- and 6-year graduation rates in the next two years is highly unlikely.
Percentage of students satisfied with educational experience at UT Tyler on NSSE survey	77% (2003)	92.5% (2007)	94% (2009)	In 2007, we exceeded our original 2008 goal of 92%
6-year Graduation Rates-- first generation freshmen	47% (1998 cohort)	67% (2001 cohort)	70% (2003 cohort)	The university has several programs from Gear UP and P-16 initiatives to support services and tuition support to help us meet these goals. Our recruiters are also putting more emphasis on targeting this population.
Percent of baccalaureate graduates employed or in graduate/professional schools in Texas	89.5% (fall 2004)	90.2% (fall 2006)	94% (fall 2010)	Increased emphasis on graduate education and good economic condition of region put us ahead of state average
Federal research expenditures	143,000 (FY2005)	438,123 (FY 2006)	\$4 million (FY 2009)	Beginning 2005-06 we have hired 11 faculty with proven records of grants. These faculty are not only productive with their own grants, but also serve as mentors to faculty not familiar with grantsmanship.
Enrollment of freshmen, transfer and graduates from outside of service area	32% (F2006)	37% (F2007)	40% (F2009)	Recruiting and programming to attract high ability students from outside of service area

**UT Tyler  
Compact for FY 09 – FY 10**

**II. Update Strategic Initiatives from 2006 Compact**

**a. Completed Initiatives**

	Impact (Metrics)	Analysis	Goal	Evaluation of initiative success
1. Second phase of capital improvements: Ratliff North	Ratliff North added 10 % to campus ASF	More space to grow strong programs in engineering, computer science and chemistry.	Enhance research and teaching	New space allowed for TxAire and other high-end research projects
2. Implement B.A. Construction Mgt. degree	Increase in enrollment from community colleges	High demand field in the East Texas area.	Increase educational level of construction field	Done with relatively few resources as program was a reconfiguration of existing courses.
3. Build out of Graduate Nursing Center	Increases ASF for graduate nursing program	More space for faculty and research offices for Ph.D. in Nursing	Ability to expand online nursing Ph.D.	Flexible spaces created for expansion and multi-use
4. Creation and implementation of student success initiatives: supplemental instruction, advising center, learning communities, etc.	Retent. rate up 10% since 2005	Centralized support services with single coordinator was part of retention plan.	Increased freshman retention	More than 67% of freshmen exposed to one or more success programs
5. Implementation of Ph.D. in Nursing	First cohort selected; hired required faculty; identified resources for student support	Strong community support; attracting outstanding faculty and applicants.	Increase # of Ph.D. - qualified nurses	Smooth transition to first doctoral; accepted 1/3 of applicants. High quality applicants.

**b. Ongoing Initiatives**

	Impact (Metrics)	Analysis	Goal	Next Steps
1. Continue to build enrollment with emphasis on centralized retention projects	6,500 students by 2009; retention up to 65%	Increased college-going rates will positively affect area economics.	Enable University to meet Closing the Gaps targets	New Success Initiatives: 4-yr graduation contract; aggressive intervention for those on probation (MAPPS); early detection tools used at orientation
2. Third phase of capital improvements: student center, art studios, Palestine expansion, renovations	Add significantly to student life and art and nursing enrollment and quality	More space to grow strong programs in art and nursing, and enhance student life.	Enhance academics and student life	architectural or construction phases underway; expand student life planning

**UT Tyler  
Compact for FY 09 – FY 10**

	Impact (Metrics)	Analysis	Goal	Next Steps
3. Continue to increase total number of grant applications	Increase number of grant applications to 215 by 2009. (41 in 2004)	Focus on enhancing STEM and health areas. We are already more than half-way to the 2009 goal.	Increase sponsored research grants and contracts by 425% from 2004	Expand sponsored research staff; assign research mentors to new faculty; increase number and frequency of workshops on grantsmanship.
4. Expand Student Success Initiatives to colleges and departments	All colleges have retention plans in place.	Establish College retention goals and develop college- and department-level retention plans	All units become accountable for student success	Aggressive retention programs at college and dept. levels such as drop counseling and tutoring. Advanced advisor training; PeopleSoft implementation.
5. Implementation of Ph.D. in HRD	Recruit first cohort; hire required faculty; identify resources for student support	Strong community support	Increase # of doctoral degrees granted	Strong student recruitment effort, recruit and retain outstanding faculty, continue building community support
6. Create Honors Program	By 2010, increase by 5% the number of high-ability students (Generally: SAT >= 1300; ACT >= 29)	Recruitment of top students looking for challenging curriculum at a selective university.	Attract and retain high ability students and build reputation of UTT as a "selective" destination campus	The Honors Program has been on the "to do" list for some time, but the resources to support it have not been available. This year, however, UTT will be implementing a pilot program.

**III. New Strategic Initiatives**

Initiative, Rationale, & Strategy	Expected Impact (Metrics)	Goal	Specific Steps in 08-09, 09-10
1. QEP			Our newest initiative will be our QEP, which will be determined mid-June.
2. Build on current study and travel abroad programs to create an internationalized campus	By 2010, 5% increase in students studying overseas; build global awareness into 25% of curriculum.	Make UTT destination campus for international studies	Create International Studies office; expand inventory of possible overseas activities; involve more faculty in international study and globalization of curriculum.
3. Build on current strengths and QEP to identify and add unique degree programs and certificates to attract students from outside of service area	Degrees in new media, religion studies, cybersecurity; Graduate Certification in health communication, forensic nurse	Put UT Tyler "on the map" with unique programs	Identify best programs; identify resources required; re-submit preliminary authority requests.

**IV. UT System Strategic Plan Initiatives**

**a. Enrollment Management Plan Development and Targets**

UT Tyler's enrollment goals remain at the projection of 7000 total enrollment by 2015. There has been no change in the requirements for admission to UT Tyler. Since the last submission of the Uniform Recruitment and Retention Plan, we have increased our recruitment activities targeted toward minority and under-represented students. We have added the Pathway to Success program, which guarantees tuition to students whose annual family income is less than \$25,000.

**UT Tyler  
Compact for FY 09 – FY 10**

**b. Financial Management Plan**

With a 64% increase in FTSE from FY 2003 to FY 2007 combined with relatively little increase in non-TRB general revenue, UT Tyler has declined in net state support by 21% per FTSE since FY2003. We continue to be challenged to find operating funds for student growth, for operating new buildings, for starting new student services, and for continuing to build new academic and research programs. Despite this challenge, we strive to support the University's mission with a sound financial management plan that maintains stability and satisfactory financial ratios as indicated in the Analysis of Financial Condition

Specifically in FY 2009 we will: 1) hold maintenance, operations, and travel funding constant with the only exception being utilities; 2) implement the tuition and fees as directed by The University of Texas System Board of Regents although a comprehensive tuition and fee study showing the need for additional funding was completed; 3) continue to increase research funding and contracted grants; 4) continue to increase private funding specifically in the area of endowed chairs and professorships; and 5) look for other means of holding operational costs down. (Gregg Lassen, Vice President for Business Affairs)

**c. Information Security Plan**

UT Tyler continues to make significant progress establishing and accomplishing goals in our Information Security plan. Two full-time Information Security staff are now employed with plans to hire a part-time position to assist with Information Security as we convert our existing student information system to the Oracle/PeopleSoft Campus Solutions. Our central IT division was recently restructured with the Information Security Officer position reporting directly to the CIO and working closely with the Associate Directors for Information Systems and Network & Operations. Institutional Security Administrators (ISA's) work with our ISO as part of our institutional information security working group. Work is continuing to review and develop standardized security risk assessments, prepare and review institutional security compliance monitoring plans, and develop our Information Security Program. (Dr. Sherri Whatley, Associate Vice President for Business Affairs and CIO)

**V. System Contributions and Investments**

**a. Summary of investments:**

	\$ Amount, FY 05	\$ Amount, FY 07	Description / Metrics of Impact
Noncompetitive STARS Awards	\$1,346,776	\$685,152	Noncompetitive awards recruit junior faculty. The outcomes measures for these investments will take some time to develop.
Institutional Match	--	\$182,000	

**VI. New Faculty Positions Projected to 2011**

Field	FY 06	FY 07	FY 08	FY 09	FY 10	FY 11	Total FY 06 – FY 11
STEM	6	5	3	3	3	2	22
Medical/Health	10	10	1	1	3	2	27
Human Resource Dev.	0	2	0	0	1	1	4
Fine Arts	1	1	1	0	0	0	3
Psychology	0	1	1	0	0	0	2
Total	17	19	6	4	7	5	58

**VII. Status of Campus Strategic/Long-Range Plan:** Although a strategic plan review committee began work in March to update the 2002 UT Tyler New Millennium Vision, the decision was to wait until after the SACS visit in 2010 to do anything significant with the current plan. We did make some minor word changes in the mission statement that were approved by THECB in April 2008

**VIII. Campus Consultation to Develop Compact:** The initial planning matrix for the Compact was discussed with and reviewed by the Provost, deans and colleges. The draft was reviewed by the Deans, VPs, and President. The final document will be submitted to the incoming Faculty Senate in the Fall and posted on the UT Tyler website for comment.

UT Tyler  
Compact for FY 09 – FY 10

IX. Budget

The University of Texas at Tyler  
Operating Budget, Fiscal Year Ending August 31, 2008

	FY 2006 Actual	FY 2007 Adjusted Budget	FY 2008 Operating Budget	Budget Increases (Decreases) From 2007 to 2008	
				Amount	Percent
<b>Operating Revenues:</b>					
Tuition and Fees	\$ 17,699,668	18,356,186	21,558,230	3,202,044	17.4%
Federal Sponsored Programs	6,082,486	5,936,079	6,713,043	776,964	13.1%
State Sponsored Programs	1,059,380	875,725	977,748	102,023	11.7%
Local and Private Sponsored Programs	585,011	37,982	135,110	97,128	255.7%
Net Sales and Services of Educational Activities	1,243,116	704,439	872,235	167,796	23.8%
Net Sales and Services of Hospital and Clinics	-	-	-	-	-
Net Professional Fees	-	-	-	-	-
Net Auxiliary Enterprises	2,686,905	3,680,945	3,925,648	244,703	6.6%
Other Operating Revenues	171,311	51,804	65,290	13,486	26.0%
<b>Total Operating Revenues</b>	<b>29,527,877</b>	<b>29,643,160</b>	<b>34,247,304</b>	<b>4,604,144</b>	<b>15.5%</b>
<b>Operating Expenses:</b>					
Instruction	22,326,791	23,975,466	26,165,845	2,190,379	9.1%
Academic Support	5,920,118	6,003,545	6,246,172	242,627	4.0%
Research	1,137,523	393,996	320,826	(73,170)	-18.6%
Public Service	909,228	948,541	1,133,902	185,361	19.5%
Hospitals and Clinics	-	-	-	-	-
Institutional Support	9,196,798	7,600,034	9,517,416	1,917,382	25.2%
Student Services	4,027,031	5,004,372	5,972,188	967,816	19.3%
Operations and Maintenance of Plant	4,660,620	5,330,870	5,390,277	59,407	1.1%
Scholarships and Fellowships	5,114,554	3,998,693	4,773,495	774,802	19.4%
Auxiliary Enterprises	3,983,197	4,429,473	5,372,995	943,522	21.3%
Depreciation and Amortization	6,100,993	5,800,000	7,450,000	1,650,000	28.4%
<b>Total Operating Expenses</b>	<b>63,376,853</b>	<b>63,484,990</b>	<b>72,343,116</b>	<b>8,858,126</b>	<b>14.0%</b>
<b>Operating Surplus/Deficit</b>	<b>(33,848,976)</b>	<b>(33,841,830)</b>	<b>(38,095,812)</b>	<b>(4,253,982)</b>	<b>12.6%</b>
<b>Budgeted Nonoperating Revenues (Expenses):</b>					
State Appropriations & HEAF	29,911,035	30,053,780	35,949,923	5,896,143	19.6%
Gifts in Support of Operations	918,119	335,042	347,460	12,418	3.7%
Net Investment Income	3,522,720	3,198,842	3,669,544	470,702	14.7%
Other Non-Operating Revenue	-	-	-	-	-
Other Non-Operating (Expenses)	-	-	-	-	-
<b>Net Non-Operating Revenue/(Expenses)</b>	<b>34,351,874</b>	<b>33,587,664</b>	<b>39,966,927</b>	<b>6,379,263</b>	<b>19.0%</b>
<b>Transfers and Other:</b>					
AUF Transfers Received	-	-	-	-	-
AUF Transfers (Made)	-	-	-	-	-
Transfers for Debt Service - Interest	(2,287,757)	(2,581,892)	(2,602,526)	(20,634)	0.8%
<b>Total Transfers and Other</b>	<b>(2,287,757)</b>	<b>(2,581,892)</b>	<b>(2,602,526)</b>	<b>(20,634)</b>	<b>0.8%</b>
<b>Budget Margin</b>	<b>(1,784,859)</b>	<b>(2,836,058)</b>	<b>(731,411)</b>	<b>2,104,647</b>	<b>-74.2%</b>
<b>Reconciliation to Change in Net Asset:</b>					
Net Non-Profit Health Corp Activity	-	-	-	-	-
Net Inc./(Dec.) in Fair Value of Investments	3,749,673	-	-	-	-
Interest Expense on Capital Asset Financings	-	-	-	-	-
Capital Approp., Gifts and Sponsored Programs	445,564	592,000	500,000	(92,000)	-15.5%
Additions to Permanent Endowments	338,937	450,000	550,000	100,000	22.2%
Transfers for Debt Service - Principal	(1,309,006)	(1,830,802)	(6,271,512)	(4,440,710)	242.6%
Reverse Transfers for Debt Service (System Only)	-	-	-	-	-
Transfers and Other	16,777,700	8,000,000	9,982,754	1,982,754	24.8%
<b>SRECNA Change in Net Assets</b>	<b>\$ 18,218,009</b>	<b>4,375,140</b>	<b>4,029,831</b>	<b>(345,309)</b>	<b>-7.9%</b>
<b>Total Revenues and AUF Transfers</b>	<b>\$ 63,879,751</b>	<b>63,230,824</b>	<b>74,214,231</b>	<b>10,983,407</b>	<b>17.4%</b>
<b>Total Expenses (Including Transfers for Interest)</b>	<b>(65,664,610)</b>	<b>(66,066,882)</b>	<b>(74,945,642)</b>	<b>(8,878,760)</b>	<b>13.4%</b>
<b>Budget Margin</b>	<b>\$ (1,784,859)</b>	<b>(2,836,058)</b>	<b>(731,411)</b>	<b>2,104,647</b>	
<b>Reconciliation to Use of Prior Year Balances</b>					
Depreciation		5,800,000	7,450,000		
Capital Outlay		(660,037)	(713,789)		
Transfers for Debt Service - Principal		(1,830,802)	(6,271,512)		
Budgeted Transfers		(900,000)	-		
Use of Prior Year Balances		(426,897)	(266,712)		

**UT Tyler  
Compact for FY 09 – FY 10**

**X. Data Summary**

<i>fall</i>	2000	2001	2002	2003	2004	2005	2006	2007
Undergraduate	2,892	3,004	3,409	3,922	4,466	4,930	5,143	5,346
Graduate/professional	700	728	845	847	860	816	783	791
Total enrollment	3,592	3,732	4,254	4,769	5,326	5,746	5,926	6,137
<i>yr of matriculation</i>		1999	2000	2001	2002	2003	2004	2005
1st year persistence		68.1%	60.0%	60.5%	54.3%	56.0%	60.4%	58.7%
<i>yr of matriculation</i>	1995	1996	1997	1998	1999	2000	2001	2002
4-year graduation rate				26.3%	37.9%	21.1%	16.9%	15.7%
5-year graduation rate				36.4%	50.5%	36.0%	33.3%	
6-year graduation rate				41.4%	54.7%	40.0%		
<i>academic year</i>	99-00	00-01	01-02	02-03	03-04	04-05	05-06	06-07
Baccalaureate degrees	731	702	684	619	720	792	897	954
Master's degrees	140	163	121	184	196	223	224	221
<i>academic year</i>	2000	2001	2002	2003	2004	2005	2006	2007
Faculty	257	285	300	291	347	363	377	378
Administrative		36	40	37	40	43	46	44
Other, Non-Faculty		231	246	261	293	296	336	370
Student employees		173	227	240	320	359	329	380
<i>fall</i>	2000	2001	2002	2003	2004	2005	2006	2007
FTE student / FTE faculty	12 to 1	12 to 1	13 to 1	16 to 1	16 to 1	17 to 1	17 to 1	16 to 1
<i>fiscal year</i>	2000	2001	2002	2003	2004	2005	2006	2007
Federal research exp	\$63,307	\$66,827	\$67,617	\$174,362	\$585,874	\$143,425	\$438,123	\$936,661
<i>fiscal year</i>	2000	2001	2002	2003	2004	2005	2006	2007
Revenue / FTE student	\$14,000	\$13,000	\$13,000	\$12,000	\$11,000	\$10,000	\$11,000	\$10,000
(nearest thousand)								
<i>as of</i>	8/31/2000	8/31/2001	8/31/2002	8/31/2003	8/31/2004	8/31/2005	8/31/2006	8/31/2007
Endowment total value	\$47,822,000	\$42,104,000	\$37,432,000	\$40,349,000	\$45,152,000	\$53,208,000	\$58,149,000	\$65,582,000