



UT System Administration Policy Library – Policy UTS156 **Purchaser Training and Certification**

Responsible Officer: Executive Vice Chancellor for Business Affairs
Sponsoring Office: Office of the Executive Vice Chancellor for Business Affairs
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CONTENTS

Policy Statement

Rationale

Scope

Website Address For This Policy

Related Statutes, Policies, Requirements Or Standards

Contacts

Definitions

Responsibilities

Procedures

Forms Tools/Online Processes

Appendix

POLICY STATEMENT

This policy provides guidelines for the development and implementation of a purchaser training and certification plan to ensure that each institution and System Administration are staffed with qualified purchasing professionals.

RATIONALE

Each institution and System Administration must establish a purchaser training and certification plan that:

- Requires all personnel who process procurements of goods or services on behalf of the institution or System Administration to obtain appropriate training;
- Requires all personnel who process competitive procurements of goods or services in an amount greater than \$25,000.00 to attain nationally recognized and/or State of Texas buyer certification; and
- Conforms to the guidelines established in this policy.

SCOPE

All institutions and UT System Administration

WEBSITE ADDRESS FOR THIS POLICY

<http://www.utsystem.edu/policy/policies/uts156.html>

RELATED STATUTES, POLICIES, REQUIREMENTS OR STANDARDS

UT System Administration Policies & Standards	Other Statutes, Policies & Standards
<ul style="list-style-type: none">• UTS159, <i>Purchasing</i>	<ul style="list-style-type: none">• UTS108, <i>Affiliation Agreement Policy</i>• UTS128, <i>Consulting Contracts Policy and Procedures Guide</i>

	<ul style="list-style-type: none"> • UTS130, <i>Vending Machine Contracts</i> • UTS137, <i>Historically Underutilized Business (HUB) Program</i> • UTS145, <i>Processing of Contracts</i> • UTS148, <i>Protest Procedures Related to Procurements of Goods and Services</i> • UTS150, <i>Access by Persons with Disabilities to Electronic and Information Resources Procured by The University of Texas System Administration and The University of Texas System Institutions</i>
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CONTACTS

If you have any questions about UT System Administration Policy UTS 156, *Purchaser Training and Certification*, contact the following offices:

Subject	Office Name	Telephone Number	Email/URL
	Office of Business Affairs	512-499-4560	http://www.utsystem.edu/bus

DEFINITIONS

None

RESPONSIBILITIES

Primary Procurement Officer

- Establishes and implements a training and certification plan at his or her institution.

- Submits the institution's purchaser training and certification plan to the chief business officer, the Office of General Counsel and the Executive Vice Chancellor for Business Affairs.
- Implements the institution's approved purchaser training and certification plan.
- Submits proposed substantive modifications or changes to an institution's plan to the chief business officer, the Office of General Counsel and the Executive Vice Chancellor for Business Affairs.

Chief Business Officer

- Approves training and certification plan and proposed substantive modifications or changes to the plan.

Office of General Counsel

- Approves training and certification plan and proposed substantive modifications or changes to the plan.

Executive Vice Chancellor of Business Affairs

- Approves training and certification plan and proposed substantive modifications or changes to the plan.

Applicable UT Purchasing Personnel

- Must be certified within eighteen months of his or her date of hire.

UT System

- Modifies the job description for each personnel classification to incorporate the training and certification requirements applicable to that classification in the job description.

UT Institution

- Modifies the job description for each personnel classification to incorporate the training and certification requirements applicable to that classification in the job description.

PROCEDURES

Plan Development

The primary procurement officer of each institution and System Administration shall be responsible for the establishment, implementation, modification, or change of a training and certification plan at his or her institution.

The plan may be implemented, modified, or changed only after review and approval of the respective chief business officer, the Office of General Counsel and the Executive Vice Chancellor for Business Affairs.

At a minimum, the plan must contain the following:

- a) identification of personnel who are required to obtain training and/or certification; personnel training and certification levels shall be classified by the type of purchase (e.g., formal bids, informal quotes, small dollar procurements) and level of commitment authority;
- b) training requirements for the identified personnel classifications; the training requirements shall identify the subject matter and the minimum hours of training required; and
- c) certification requirements for the identified personnel classifications.

Refer to Appendix for a sample training and certification plan.

Core Training Curriculum

At a minimum, purchaser training must include the following areas:

- a) ethics;
- b) supplier relations;
- c) The University of Texas System HUB program;
- d) applicable laws, rules, and regulations;
- e) the role of the buyer and the buyer's fiduciary responsibility;
- f) documentation and records management;
- g) applicable policies and procedures;
- h) contract administration; and
- i) principles of "best value" procurement.

Certification Programs

Only the certifications listed below are approved for inclusion in a training and certification plan.

Institute for Supply Management:

Certified Purchasing Manager (C.P.M.)

Certified Professional in Supply Management (C.P.S.M.)

National Institute of Government Purchasing:
Certified Public Purchasing Officer (C.P.P.O.)
Certified Public Purchasing Buyer (C.P.P.B.)

State of Texas (administered by Texas Procurement and Support Services Division of the Texas Comptroller's Office):
Certified Texas Purchaser (CTP)
Certified Texas Purchasing Manager (CTPM)

Deadlines and Submittal Requirements for Plan

The primary procurement officer at each institution is responsible for maintaining a current purchaser training and certification plan that has been approved by the chief business officer of the institution, the Office of General Counsel and the Executive Vice Chancellor for Business Affairs.

The primary procurement officer shall submit proposed substantive modifications or changes to an institution's plan to the Office of General Counsel and the Executive Vice Chancellor for Business Affairs after the approval of the modification or change by the institution's chief business officer.

Personnel Requirements

Any individual who is hired after September 1, 2002, and who is required to be certified under his or her institution's plan must be certified within eighteen months of his or her date of hire.

Job descriptions for each personnel classification shall incorporate the training and certification requirements applicable to that classification in the job description.

By January 15 of each fiscal year, the primary procurement officer at each institution and System Administration must submit to the respective institution's chief business officer a list of all personnel who are certified. The list must include each employee's name, job title, and certification type.

If an individual fails to obtain training and/or certification for a particular personnel classification in accordance with the requirements set forth in his or her institution's training and certification plan, the individual may not make purchases on behalf of the institution that are permitted for that personnel classification. At the sole discretion of the institution, however, the individual may continue to make purchases on behalf of the institution at those levels for which the individual has attained the requisite training and/or certification

FORMS AND TOOLS/ONLINE PROCESSES

None

APPENDIX

Sample Training and Certification Program

(This Sample is provided for illustration purposes only)

Type of Purchase	Commitment Level	Minimum Hours of Training	Type of Training	Certification
1.) Spot Market Purchases (e.g., purchases of routine supplies, materials, periodicals)	≤ \$5,000	4 hours	A (see note)	None
2.) Purchases of \$25,000.00 or less for materials, supplies, services, and/or equipment using informal bidding methods – direct contract purchases under existing or approved local, state, or group purchasing contracts, or specialty product purchases of restricted items on a sole source/proprietary non-competitive basis	≤ \$25,000	16 hours	B (see note)	None
3.) Purchases over \$25,000 (e.g., purchases for materials, supplies, services, and/or equipment for which formal bid (ITB) methods are required; purchases are of a generally non-complex nature, such as annual supply orders, maintenance services, or small capital equipment; individual is responsible for approving solicitations for advertisement, decides appropriate procurement method, and solicits, evaluates, and awards bids)	Up to \$100,000	24 hours	C (see note)	A.P.P., C.P.P.B. or C.T.P.
4.) Purchases over \$100,000.00 (e.g., purchases for materials, supplies, services, and/or equipment for which formal methods of procurement are used (ITB, RFP); purchases are generally of a complex nature, such as major capital purchases, lease purchase agreements, master purchase agreements; individual is responsible for approving solicitations for advertisement, decides appropriate procurement method, and solicits, evaluates, and awards bids, offers, proposals and contracts)	Over \$100,000	24 hours	D (see note)	C.P.M., C.P.P.O. or C.T.P.M.

Notes:

(A) Individuals performing the procurement function(s) requiring Type “A” training will receive, at a minimum, training consisting of the “Core Curriculum,” which includes:

- Ethics
- Supplier relations
- The University of Texas System HUB Program
- Applicable laws, rules and regulations
- Principles of “best value” procurement
- Role of the buyer and the buyer’s fiduciary responsibility

- Documentation and records management
- Applicable policies and procedures
- Contract administration

Dependent on an individual's specific duties and responsibilities, the primary procurement officer may require that the individual complete additional training as specified by the primary procurement officer; e.g., sole-source/proprietary procurements, the procurement cycle, and basics of specification writing.

(B) Individuals performing the procurement function(s) requiring Type "B" training will receive, at a minimum, training consisting of the "Core Curriculum" listed in Note A, above, and the following:

- Purchasing cycle
- Specification writing
- Basic purchasing law
- Basic negotiations
- Supplier selection and price analysis
- Texas Purchasing techniques and methods
- Reporting Requirements

Dependent on an individual's specific duties and responsibilities, the primary procurement officer may require that the individual complete additional training as specified by the primary procurement officer.

(C) Individuals performing the procurement function(s) requiring Type "C" training will receive, at a minimum, the training listed in Note B, above, and the following:

- Advanced purchasing law
- Contract management and administration
- Advanced negotiations
- Value-cost analysis
- Advanced specification writing

Dependent on an individual's specific duties and responsibilities, the primary procurement officer may require that the individual complete additional training as specified by the primary procurement officer; e.g., contracting for services, major construction/renovation projects, requests for proposals.

(D) Individuals performing the procurement function(s) requiring Type "D" training will receive, at a minimum, training listed in Note C, above, and the following:

- Requests for proposals
- Contract writing
- Supply-chain management
- Performance contracting

Dependent on an individual's specific duties and responsibilities, the primary procurement officer may require that the individual complete additional training as specified by the primary procurement officer; e.g., software licensing, high-tech purchasing, equipment leasing, purchasing management and administration.

Keywords: training, purchasing, purchasers, purchaser, personnel, financial
