



**SCHEDULE OF EVENTS
FOR
BOARD OF REGENTS' MEETING**

May 11-12, 2016
Austin, Texas

*U. T. System Administration, Ashbel Smith Hall, 9th Floor, 201 West Seventh Street
Office of the Board of Regents: 512.499.4402*

Wednesday, May 11, 2016

Audit, Compliance, and Management Review Committee	9:30 a.m.
Academic Affairs Committee	10:30 a.m.
Health Affairs Committee	11:15 a.m.
Meeting of the Board - Executive Session (Working Lunch)	12:00 p.m.
Technology Transfer and Research Committee	2:00 p.m.
Finance and Planning Committee	2:45 p.m.
Facilities Planning and Construction Committee	3:45 p.m.
Recess	4:30 p.m. <i>approximately</i>

Thursday, May 12, 2016

Meeting of the Board - Open Session	8:30 a.m.
Meeting of the Board - Executive Session (Working Lunch)	11:25 a.m. <i>approximately</i>
Meeting of the Board - Open Session	2:00 p.m. <i>approximately</i>
Adjourn	2:30 p.m. <i>approximately</i>



**AGENDA
FOR MEETING OF
THE UNIVERSITY OF TEXAS SYSTEM
BOARD OF REGENTS**

May 11-12, 2016
Austin, Texas

	Board Meeting	Page
<u>Wednesday, May 11, 2016</u>		
COMMITTEE MEETINGS	<i>9:30 a.m.-12:00 p.m.</i>	
CONVENE THE BOARD IN OPEN SESSION	<i>12:00 p.m.</i>	
RECESS TO EXECUTIVE SESSION PURSUANT TO <i>TEXAS GOVERNMENT CODE</i> , CHAPTER 551 (working lunch at noon)		
1. Personnel Matters Relating to Appointment, Employment, Evaluation, Assignment, Duties, Discipline, or Dismissal of Officers or Employees – Section 551.074		
U. T. Austin: Discussion and appropriate action regarding individual personnel matters relating to assignment and duties of the president including responsibilities associated with outlining a vision and plans for the future of the institution (Regents' <i>Rules and Regulations</i>, Rule 20201)		
2. Consultation with Attorney Regarding Legal Matters or Pending and/or Contemplated Litigation or Settlement Offers – Section 551.071		
U. T. System Board of Regents: Discussion and appropriate action regarding legal issues concerning implementation of Senate Bill 11 (Campus Carry)		
3. Deliberation Regarding Security Devices or Security Audits – Section 551.076		
U. T. System Board of Regents: Discussion and appropriate action regarding safety and security issues, including security audits and the deployment of security personnel and devices, regarding implementation of Senate Bill 11 (Campus Carry)		
RECESS	<i>2:00 p.m.</i>	
COMMITTEE MEETINGS	<i>2:00 p.m.-4:30 p.m.</i>	

	Board Meeting	Page
<u>Thursday, May 12, 2016</u>		
RECONVENE THE BOARD IN OPEN SESSION TO CONSIDER AGENDA ITEMS	<i>8:30 a.m.</i>	
1. U. T. System Board of Regents: Approval of Consent Agenda items and consideration of any items referred to the full Board	<i>8:31 a.m.</i> Action	6
2. U. T. System Board of Regents: Update from Texas Higher Education Coordinating Board Commissioner Paredes on the long-range strategic plan for Texas higher education	<i>8:35 a.m.</i> Report <i>Commissioner Raymund Paredes Dr. Fred Farias III</i>	7
3. U. T. System: Review and possible action regarding institutional and U. T. System Administration campus carry rules, regulations, and provisions	<i>8:55 a.m.</i> Review/Possible Action <i>Deputy Chancellor Daniel</i>	8
4. U. T. System: Annual Meeting with Officers of the U. T. System Student Advisory Council	<i>9:15 a.m.</i> Report/Discussion <i>Chair Varun Joseph, U. T. Health Science Center - San Antonio</i>	9
5. U. T. System Board of Regents: Award of Regents' Outstanding Student Awards in Arts and Humanities -- recognition of visual arts winners and display of artwork	<i>10:15 a.m.</i> Presentation <i>Dr. Leslie</i>	22
6. U. T. System Board of Regents: Discussion and appropriate action related to appointments to the Board of Directors of The University of Texas Investment Management Company (UTIMCO), including proposed appointment and reappointment to the UTIMCO Board and proposed appointment of member to the UTIMCO Board's Audit and Ethics Committee	<i>10:22 a.m.</i> Action	23
7. U. T. System Board of Regents: Proposed appointments to the University Lands Advisory Board	<i>10:24 a.m.</i> Action	24
8. U. T. System: Report on strategic Quantum Leaps initiatives	<i>10:26 a.m.</i> Report <i>Chancellor McRaven</i>	25
9. U. T. Austin: President's Report on the Strategic Vision and Institutional Priorities	<i>10:45 a.m.</i> Report <i>President Fenves</i>	26
10. U. T. System Board of Regents: Presentation of Certificate of Appreciation to Student Regent Justin A. Drake and Comments by Regent Drake	<i>11:00 a.m.</i> Presentation	27
STANDING COMMITTEE RECOMMENDATIONS AND REPORTS TO THE BOARD	<i>11:15 a.m.</i>	

RECESS TO EXECUTIVE SESSION PURSUANT TO TEXAS
GOVERNMENT CODE, CHAPTER 551 (working lunch at noon)

11:25 a.m.

1. Deliberations Regarding the Purchase, Exchange, Lease, Sale, or Value of Real Property – Section 551.072
 - a. **U. T. M. D. Anderson Cancer Center and U. T. Health Science Center - Houston: Discussion and appropriate action regarding the lease and/or exchange of land and use of common facilities at the proposed TMC3 collaborative research development, located on approximately 28 acres bounded by Old Spanish Trail, South Braeswood Boulevard, and bisected by William C. Harvin Boulevard, from Texas Medical Center, Inc., for research and related uses**
 - b. **U. T. System: Discussion and appropriate action regarding ground lease of real property bounded by West 6th Street, Lavaca Street, West 7th Street, and Colorado Street, in Austin, Travis County, Texas**
2. Negotiated Contracts for Prospective Gifts or Donations – Section 551.073
 - a. **U. T. Rio Grande Valley: Discussion and appropriate action regarding proposed negotiated gifts with potential naming features**
 - b. **U. T. San Antonio: Discussion and appropriate action regarding proposed negotiated gifts with potential naming features**
 - c. **U. T. Tyler: Discussion and appropriate action regarding proposed negotiated gifts with potential naming features**
 - d. **U. T. M. D. Anderson Cancer Center: Discussion and appropriate action regarding proposed negotiated gifts with potential naming features**
 - e. **U. T. Austin: Discussion and appropriate action regarding proposed negotiated gifts with potential naming features**
3. Personnel Matters Relating to Appointment, Employment, Evaluation, Assignment, Duties, Discipline, or Dismissal of Officers or Employees – Section 551.074
 - a. **U. T. System: Discussion and appropriate action regarding individual personnel matters relating to appointment, employment, evaluation, compensation, assignment, and duties of presidents (academic and health institutions), U. T. System Administration officers (Executive Vice Chancellors and Vice Chancellors), other officers reporting directly to the Board (Chancellor, General Counsel to the Board, and Chief Audit Executive), members of the Board of Regents, and U. T. System and institutional employees**
 - b. **U. T. System: Discussion and appropriate action regarding individual personnel matters relating to assignment and duties of the Chancellor, including responsibilities associated with the admissions procedures set forth in Regents' *Rules and Regulations*, Rule 40303**

4. Consultation with Attorney Regarding Legal Matters or Pending and/or Contemplated Litigation or Settlement Offers – Section 551.071
 - a. **U. T. System Board of Regents: Discussion with Counsel on pending legal issues**
 - b. **U. T. System Board of Regents: Discussion and appropriate action regarding legal issues concerning pending legal claims by and against U. T. System**
 - c. **U. T. El Paso: Discussion and appropriate action regarding legal issues related to expenditure of proceeds of sale of property from Cotton Trust**
 - d. **U. T. Health Science Center - Houston and U. T. M. D. Anderson Cancer Center: Discussion and appropriate action regarding legal issues related to thermal energy plant at Texas Medical Center**
 - e. **U. T. M. D. Anderson Cancer Center: Discussion and appropriate action regarding legal issues related to termination of the Siemens Medical Solutions, USA, Inc. Agreement for Patient Management, Scheduling, and Billing Systems, dated October 14, 2011, as assigned to Cerner Corporation**
 - f. **U. T. Austin: Discussion and appropriate action regarding legal issues related to a proposed acquisition by the Harry Ransom Humanities Research Center of a significant collection of works by a noted playwright**
 - g. **U. T. System Board of Regents: Discussion and appropriate action regarding legal issues concerning classification of University Lands income from water, caliche, sand, and surface damage payments**
 - h. **U. T. M. D. Anderson Cancer Center and U. T. Health Science Center - Houston: Discussion of legal issues related to proposed TMC3 collaborative research development**
 - i. **U. T. System Board of Regents: Discussion and appropriate action regarding legal issues related to the process for termination of tenured faculty (Regents' *Rules and Regulations*, Rule 31008)**
5. Deliberation Regarding Security Devices or Security Audits – Section 551.076

U. T. System: Discussion and appropriate action regarding annual update on safety and security issues, including security audits and the deployment of security personnel and devices

RECONVENE IN OPEN SESSION TO CONSIDER ACTION, IF ANY, ON EXECUTIVE SESSION ITEMS AND TO CONSIDER AGENDA ITEM

2:00 p.m.

11. **U. T. System Board of Regents: Discussion and appropriate action regarding interpretation and possible clarification of the process for termination of tenured faculty under Regents' *Rules and Regulations*, Rule 31008 (Termination of a Faculty Member), including compliance with termination procedures under special circumstances or where potential conflicts may exist**

Discussion/Action

ADJOURN

2:30 p.m.

1. **U. T. System Board of Regents: Approval of Consent Agenda items and consideration of any items referred to the full Board**

RECOMMENDATION

The Board will be asked to approve the [Consent Agenda](#) items located at the back of the book under the Consent Agenda tab and will discuss any items referred for consideration by the full Board.

2. **U. T. System Board of Regents: Update from Texas Higher Education Coordinating Board Commissioner Paredes on the long-range strategic plan for Texas higher education**

REPORT

Texas Higher Education Coordinating Board Commissioner Paredes will provide an update on the long-range strategic plan for Texas higher education.

Coordinating Board member Dr. Fred Farias will also attend the meeting.

3. **U. T. System: Review and possible action regarding institutional and U. T. System Administration campus carry rules, regulations, and provisions**

RECOMMENDATION

The presidents of the U. T. System institutions submit each institution's rules, regulations, and other provisions regarding the carrying of handguns by license holders on campus for review by the Board of Regents with the recommendation that the Board not amend the provisions in whole or in part. Chancellor McRaven submits U. T. System Administration's policy regarding the carrying of handguns by license holders at U. T. System Administration for review by the Board, with the recommendation that the Board not amend the provisions in whole or in part.

The rules, regulations, and other provisions begin on [Page 318](#).

BACKGROUND INFORMATION

Senate Bill 11, as passed by the 2015 Texas legislature, requires universities to create rules allowing for the carrying of handguns by license holders by August 1, 2016. The bill created *Texas Government Code* Section 411.2013, which permits the university presidents to establish reasonable rules, regulations, or other provisions that may not generally prohibit or have the effect of generally prohibiting license holders from carrying a handgun on the institution's campus. Under (d-2) of Section 411.2031, the Board must review the provisions not later than the 90th day after the date the rules are established. The Board may only amend the provisions by a two-thirds vote of the full Board.

Following Board review, each president and the Chancellor will be responsible for formatting the rules and including the rules in their respective handbooks of operating procedures. The presidents and the Chancellor are required by law to widely distribute the provisions to students, staff, and faculty and must include the rules prominently on the institution's website.

4. **U. T. System: Annual Meeting with Officers of the U. T. System Student Advisory Council**

INTRODUCTION

The U. T. System Student Advisory Council will meet with the Board of Regents to discuss recommendations of the Council and plans for the future. The Council's recommendations are set forth on the following pages.

Council members scheduled to attend are:

Chair: Mr. Varun Joseph, U. T. Health Science Center - San Antonio, General Doctor of Dental Surgery

Academic Affairs Committee: Ms. Caitlynn Fortner, U. T. Dallas, International Political Economy

Student Involvement and Campus Life Committee: Mr. Grant Branam, U. T. Dallas, Arts and Technology

Health and Graduate Affairs Committee: Mr. Sergio Rodriguez, U. T. Medical Branch - Galveston, Microbiology and Immunology

Financial and Legislative Affairs Committee: Mr. Alberto Adame, U. T. Rio Grande Valley, Economics and Finance

BACKGROUND INFORMATION

The U. T. System Student Advisory Council was established in 1989 to provide input to the U. T. System Board of Regents working through and with the Chancellor and U. T. System Administration on issues of student concern. The operating guidelines of the Council require that recommendations have a multi-institutional focus and that the Council explore individual campus issues with institutional administrators prior to any consideration thereof. The Student Advisory Council consists of two student representatives from each U. T. System institution enrolling students, and meets three times yearly in Austin. The Standing Committees of the Council are Academic Affairs, Student Involvement and Campus Life, Health and Graduate Affairs, and Financial and Legislative Affairs.



THE UNIVERSITY of TEXAS SYSTEM
FOURTEEN INSTITUTIONS. UNLIMITED POSSIBILITIES.

Office of Academic Affairs
601 Colorado Street, 3rd Floor
Austin, Texas 78701-2980
512-499-4233
WWW.UTSYSTEM.EDU

April 1, 2016

William H. McRaven, Adm (Ret.)
Chancellor
The University of Texas System
601 Colorado

Chancellor McRaven:

On behalf of The University of Texas System Student Advisory Council (UTSSAC) and the over 217,000 students we represent, I would like to thank you and the Board of Regents for providing us the opportunity to present our recommendations for the 2015-2016 academic year.

This past year has been a transformative year for the U. T. System. From a new university and multiple medical schools opening to new infrastructure like the state of the art dental clinic in San Antonio, the U. T. System is undoubtedly moving forward boldly into an era of unprecedented growth and service to the State of Texas and beyond. Perhaps one of the most important changes that has occurred is the new leadership team with you at the helm. We are excited and enthusiastic about the bold and visionary strategic plan and Quantum Leaps you have put forward. We are confident that you will strive to ensure that all aspects of the plan become reality which will impact the lives of students in new and meaningful ways.

I have truly enjoyed my two years of service on the Council, and it has been an honor to be a part this process. The student leaders I have met and their passion for service to others is only matched by their desire to bring about positive change. I am heartened by the sincerity and ambition of our representatives to tackle any issue that they thought could impact students' lives throughout the U. T. System and share thoughtful recommendations with U. T. System leadership.

With this letter, we are submitting the recommendations passed by the Council and we look forward to discussing these recommendations in more detail at the Board of Regents meeting in May.

Sincerely,

Varun P. Joseph, MA
Chairman, U. T. System Student Advisory Council, 2015- 2016 Academic Year
D.D.S Candidate - Class of 2017
U. T. Health Science Center San Antonio School of Dentistry

Joint Committee Recommendation – Financial and Legislative Affairs and Campus Life Committees

Recommendation 1: Increase the allocation of resources to sexual assault prevention, research, and related initiatives and increase outreach and education regarding Title IX policies on sexual assault

The U. T. System Student Advisory Council (SAC) supports the efforts of the U. T. System Board of Regents in recently funding a comprehensive Systemwide study – Cultivating Learning and Safe Environments (CLASE). The CLASE project seeks to understand sexual assault, dating violence, sexual harassment, and stalking crimes at thirteen campuses in the U. T. System. The CLASE project assesses student experiences with intimate interpersonal violence and the impact of programming, policy, and efforts among students who have experienced violence and those who have not. CLASE also addresses concerns about student safety and compliance by systematically benchmarking student intimate interpersonal violence on The University of Texas System campuses using an empirical, comprehensive, collaborative, and iterative process.

Additionally, SAC also commends U. T. Austin’s Institute on Domestic Violence and Sexual Assault in their provision of exams with a Sexual Assault Nurse Examiner (SANE). A SANE is a registered nurse who has been specifically trained to:

1. Provide comprehensive care to sexual assault patients;
2. Demonstrate competency in conducting a medical forensic exam to include evaluation for evidence collection;
3. Have the expertise to provide effective courtroom testimony; and
4. Show compassion and sensitivity to survivors of sexual assault.

The Council recommends that the CLASE study and similar initiatives receive consideration when requesting additional funding, and that all U. T. System institutions be given adequate resources to expand the SANE program.

While increasing allocations will provide long-term institutional support, the Council also recommends enhancing and aligning Title IX policies and procedures to incorporate students in their respective campus’ efforts in addressing sexual assault, as outlined below.

The U.S. Department of Education’s [Office for Civil Rights](#) (OCR) enforces, among other statutes, Title IX of the Education Amendments of 1972. Title IX protects students from harassment connected to any of the academic, educational, extracurricular, athletic, and other programs or activities of schools, regardless of the location. Title IX protects all students from sexual harassment by any school employee, another student, or a non-employee third party.

SAC highly supports the new U. T. System policy model that requires System approval of each individual institution's Title IX policy. SAC recommends that the U. T. System direct the dissemination of campus specific Title IX policies so that students and employees can be better informed about the policy and more specifically, so that students and employees can understand their obligations and procedures after a report has been filed. SAC recommends the implementation of the following criteria at each of the 14 U. T. System institutions as a best practice:

- Implement a Systemwide plan for all campuses to promote and educate members of the campus community on the roles and responsibilities of the Title IX Office to include:
 - (1) All institutions should clearly link their Title IX website to their indexed Title IX policy, using the U. T. Arlington site as a model.
 - (2) Require the institution's Title IX coordinator's contact information be placed on all student identifications.
 - (3) Include the "Mandatory Reporting Clause" in all course syllabi.
 - (4) Publicly provide the "Reporting and Responding to Campus Sexual Assault Flow Chart" to students.
- Inform and train all "Responsible Employees," including responsible student employees, of their position and responsibilities, with an emphasis on their obligation to disclose their status and possible necessary actions, including, but not limited to training for bystander intervention.
- Ensure students are informed of mandatory reporters' identities and obligations under the Title IX policy. Examples of potential mechanisms include the following:
 - Make use of Learning Management Systems to further inform
 - "It's On Us" Pledge
 - Informational skits or videos
 - Case studies of different scenarios
- Establish networks with student groups such as international and Lesbian, Gay, Bisexual, and Transgender (LGBT) students that face culture-specific issues.

Alignment among campuses will reduce the lapse of information available to students, which will increase reporting efficacy and number of incidents reported.

We recognize the issues that arise with different unique campus cultures. These duties and responsibilities will strengthen individual institutional capabilities in addressing unique issues regarding sexual misconduct and gender discrimination.

Financial and Legislative Affairs Committee Recommendation

Recommendation 2: Draft and implement a Board of Regents' policy statement that protects the freedom of expression of all students, faculty, and staff at each institution

Given the "Concerned Student 1950" movement at The University of Missouri, the U. T. System Student Advisory Council recommends the Board of Regents take a proactive stance on free speech and expression. Institutions of higher education have recently been forced by their student bodies to address vague or non-existent policies involving free speech. In order to address this reality, The University of Chicago appointed a Committee of Freedom and Expression that [released a letter](#) in January 2015 stating:

"In a word, the University's fundamental commitment is to the principle that debate or deliberation may not be suppressed because the ideas put forth are thought by some or even by most members of the University community to be offensive, unwise, immoral, or wrong-headed. It is for the individual members of the University community, not for the University as an institution, to make those judgments for themselves, and to act on those judgments not by seeking to suppress speech, but by openly and vigorously contesting the ideas that they oppose. Indeed, fostering the ability of members of the University community to engage in such debate and deliberation in an effective and responsible manner is an essential part of the University's educational mission."

Further, a national undergraduate study conducted by The William F. Buckley, Jr. Program at Yale University found that 95 percent of students regard free speech as personally important at their university. Eight out of ten students responded that freedom of speech should either be less limited on college campuses or that there should be no difference compared to society at large.

Faculty and students Systemwide have expressed concern over the effect of campus carry in indirectly restricting freedom of expression and the free-flow of ideas in the classroom and in-and-around their respective campus communities. SAC, based on the above cited references, and concerned about the on-campus interaction among students, faculty, and staff and the academic development and success of students everywhere, recommends that U. T. System leadership study, research, and recommend a policy to protect the freedom of expression.

With the consultation of institutional leadership, faculty, and students, we recommend that U. T. System appoint a group to study current trends in higher education, recommend adoption of a policy statement by the U. T. System Board of Regents, and recommend that the U. T. System assist institutions with organizing a communication plan to educate faculty, staff, and students on their rights and responsibilities regarding freedom of speech.

Campus Life Committee Recommendations

Recommendation 3: Implement policies Systemwide to protect parental leave for graduate student employees including offering paid leave

We recommend that the U. T. System help implement policies to protect parental leave and to extend paid leave to graduate students who are employed, at least part-time, in academic-related employment at each institution.

Currently, there is no formal policy in place protecting students' rights to paid parental leave after childbirth or adoption. The Family and Medical Leave Act (FMLA) provides covered employees with unpaid, job-protected leave, but no additional measures exist. Additionally, not all graduate student researchers are subject to provisions in FMLA. The current system involves the graduate student employee taking all of his or her sick and vacation time at the discretion of their advisors, who may not be willing to allow such prolonged student leave. This has the potential to jeopardize a student's new parental responsibilities. Additionally, in this situation, students are forced into taking a formal leave of absence from school and graduate teaching and research responsibilities, during which time they are uncompensated and health insurance status may be in jeopardy. The purpose of this recommendation is to implement protected paid, parental leave to all graduate students without negative repercussions to their academic progress. If this recommendation is implemented, the U. T. System will rise to join other national pioneering institutions already implementing these guidelines (ex: [University of Pittsburgh](#)) and will become the first in the State of Texas.

We recommend that the U. T. System work with institutions to implement the following guidelines regarding parental leave:

- Students should receive eight weeks paid leave for new birth or adoptive parents in accordance with the National Institutes of Health (NIH) guidelines.
- Any full-time student-employee in academic-related employment and enrolled in a graduate program in good standing could be offered paid parental benefits. Eligible students must have completed at least one full-time semester of their degree program.

- During a period of accommodation, students will continue to be enrolled as a full-time student. Because the student remains enrolled, it is not a formal leave of absence. It is instead a modification of deadlines and expectations to accommodate the student's new parental responsibilities. As such, students will continue to receive stipends, benefits, and associated tuition support.
- To facilitate the availability of resources for paid parental leave, we suggest each graduate institution set aside an adequate budget per academic year to assist advisors with providing funding for these students.
- Students will work out their modified schedule with a school official, delegated by the Dean, at least one month before leave is to begin. Upon completion of parental leave, students will return to complete their degree requirements.

Recommendation 4: Increase enforcement mechanisms of U. T. System institutions' current tobacco-free policies

SAC is concerned with the effect on students' health from being exposed to tobacco products as a result of the current lack of enforcement of existing tobacco-free policies that are already in place at U. T. System institutions. Enforcement of such policies currently relies on members of the campus community to issue a verbal warning when a violation is observed. Community enforcement is the only available mechanism, and it is largely ineffective. Students, faculty, staff, and visitors using tobacco products are abusing the lack of enforcement by smoking within non-smoking areas and even indoors with no valid consequences.

This abuse of policy is creating very serious and problematic situations. For example, pregnant students, students with asthma, and those with other compromising medical conditions are finding themselves within the same vicinity as those who are using tobacco products, jeopardizing the health of the student, faculty, or staff member. Additionally, non-smoking students are often exposed to second-hand smoke in areas designated as tobacco free. Since smokers are not complying with the required distance from doors on non-tobacco free campuses, it negatively impacts all who enter or exit nearby buildings.

All of the aforementioned situations, combined with ineffective enforcement mechanisms, could create a possible adversary relationship between students, faculty, and staff, and render existing policies ineffective.

Therefore, SAC recommends that each campus uphold existing tobacco policies and that the onus of enforcement be placed on campus police. Those violating the campus tobacco-free policy should be given tickets or citations by campus law enforcement when current policies are clearly violated.

Recommendation 5: A recommendation to strengthen security within campus buildings, with an emphasis on research facilities

Students have recently raised some significant security concerns at some U. T. System institutions that are worth noting, especially given increased anxiety over the allowance of concealed handguns on campus. SAC is aware of the current operating environment in which budget cuts are often necessary to sustain institutional priorities. However, SAC requests that the safety and security infrastructure is given priority in funding. **SAC recommends that strengthened security measures be taken, with an emphasis on needs at research facilities, to ensure the safety of all students.**

Examples of student concerns recently shared with SAC include students and members of the public bypassing existing security and authorization systems that allow unauthorized access to high security clearance areas. Sometimes badge authorization systems have been shut down in areas of high patient traffic in hospital and clinical settings for patient convenience. In these cases, however, unauthorized people have been able to shadow students into high security clearance areas like operating rooms. In non-clinical settings, students also have concerns about others bypassing existing security and authorization systems, and the lack of surveillance on some campuses inhibits the ability for police to assist in reporting crime and identifying perpetrators.

Academic Affairs Committee Recommendations

Recommendation 6: Improve the availability of and reduce confusion in the process of international student transcript translation

The U. T. System Student Advisory Council recognizes there is a large international student population at U. T. System institutions, and that this group continues to increase in size. U. T. System institutions require that international students submit an officially translated transcript or attestation if their documents are not in English; certain U. T. System institutions also require an official evaluation of the transcript if the degree was obtained from an institution or university outside of the United States.

There have been numerous accounts from international students regarding the translation and transcript evaluation process. Students have difficulty applying credit when enrolling due to translation errors or misunderstandings between course names. These discrepancies have led to international students being unable to enroll in classes required for their degree until an official translation or evaluation can be obtained. In doing this, international students are often halted in their degree plans or are forced to repeat coursework they have completed at a prior institution.

Therefore, in order to accommodate the needs of incoming and current international students, the U. T. System Student Advisory Council recommends that the U. T. System provide a pre-approved or partnered list of online transcript evaluation services for the institutions to make available to students as well as additional communication that could help clarify requirements of this process.

SAC also recommends that the U. T. System work with the institutions to consider facilitating, where needed, an on-campus translation service provided through the respective International Student programs or offices at each institution.

Recommendation 7: Adopt best practices that improve access to advising for undergraduate students at each institution

The U. T. System Student Advisory Council recommends that the U. T. System implement best practices designed to improve access to advisors and advising platforms for undergraduate students.

The 2014-2015 SAC recommended the implementation of online centralized advising platforms. Although most of the U. T. System institutions currently have the capability, SAC recommends that the U. T. System institutions continue to educate students about the existence of the platform and intended uses. The purpose of centralized academic advising is to provide all students with adequate access to course advisement at regular intervals during their studies and that the advice they receive is accurate and appropriate to the educational goals of each student. Although most institutions currently have the capability, SAC recommends that the U. T. System institutions continue to educate students about the existence of the platform and intended uses as part of a strategy to improve overall access to advising. SAC believes that advising is one of the most critical components to retention and timely and efficient degree completion.

In addition to the implementation of a centralized academic advising structure, SAC also recommends that additional best practices are considered to increase access to advising:

- Increase the number of advisors at each U. T. System institution thereby decreasing the student/advisor ratio to a level that is congruent with a national best practice;
- Require students to meet with their advisor upon completing 30 hours, 60 hours and 90 hours;
- Develop a comprehensive advising website where students can receive assistance and answers to frequently asked questions;

- Promote nonpeak advising periods encouraging students to use those periods for their advising appointments; and
- Consider the addition of other communication tools and platforms such as online chatting and Skype that enhance the student/advisor relationship.

Students also receive inconsistent or conflicting advice from two or more different advisors. In order to reduce the problem, **SAC also recommends that institutions continually stress and reiterate to advisors in training that more than one option may exist for students to effectively meet degree requirements.** A “preferred option” offered by one advisor might be a secondary option to another advisor. This will help the student realize that differing advice may not always be detrimental or conflicting advice.

Recommendation 8: Implement policies to protect student researchers from retaliation from Principal Investigators (PI)

SAC recognizes that there is a long-standing cultural acceptance within academia regarding the relationship between a graduate student and their Principal Investigator (PI). We recognize that the majority of graduate students are solely subject to their PI’s discretion for decisions that affect the students. PIs have considerable discretion on the completion of thesis work, graduation timelines, vacation leave, and parental leave allowances.

There are few checks and balances currently in place within graduate programs on behalf of graduate students to help resolve disagreements between student and PI and to help offset the power differential. Since PIs often hold full discretion for students’ completion and eligibility for graduation, some students can feel intimidated and afraid to openly disagree. It can also be challenging to seek resolution through a third party within the institution since close relationships among faculty can take precedence over a faculty/student relationship. For the reasons outlined, SAC recommends that the U. T. System work with institutions to adopt and implement anti-retaliation policies. More specifically:

- Institutions should have a policy clearly delineating how a student should deal with disagreements with their PI; and
- Anti-retaliation policies should exist to protect students and facilitate other avenues of resolution so that students feel comfortable going outside of their PI for guidance. These policies would protect students from the potential negative implications of enforcing Milestone agreements already in place and for discreetly seeking advice outside of their primary advisor.

Addressing these important issues will ultimately aid in the establishment of reasonable research timelines and solidify working relationships between

students and PIs. Clear and enforceable policies are needed to ultimately protect graduate student interests and success.

Health and Graduate Affairs Committee Recommendations

Recommendation 9: As a component of “Winning the Talent War,” consider increasing graduate student stipends in order to recruit and retain excellent students as part of the already existing Systemwide initiative to strengthen graduate student support

Concerning support for graduate students across all U. T. System institutions, SAC would like to reiterate and reemphasize the resolution made by the SAC in 2014-2015 regarding career development for graduate students. Since the time of the resolution’s proposal, there has been a significant variance in application of the proposal’s tenets throughout the member institutions. Although some campuses have seen increased career support and stipend adjustment, these changes have not been uniform across the U. T. System. Overall, support for graduate students in the form of stipends ranges from \$14,000 - \$35,000, with some students approaching what the U.S. Department of Health and Human Services (USDHHS) defines as the federal poverty level (i.e., ~\$12,000).

This level of compensation compromises U. T. System’s competitive advantage in attracting and retaining excellent graduate talent, given the attractive nature of the financial and benefits packages of peer institutions. It also disregards the notion that graduate education is a public good, benefiting the whole of society, and critical to maintaining U. T. System’s position as a premier institution of higher education. We believe these steps are necessary in order to rise to the standards outlined by the Quantum Leaps initiative, to analyze and increase graduate stipends, specifically positioning the System to ***“Win the Talent War.”***

Moreover, SAC recommends that the Regents continue the support of campus-specific task forces as well as student-led networks and initiatives with the sole intention of innovating and encouraging graduate professional development. These task forces should take specific care to promote interdisciplinary, intercollegiate, and intercampus collaboration so that advances may be actualized across the System. These programs should include, but are not limited to: mentorship networks, development of business acumen and financial skills for non-business students, scholarly support for academically inclined professional students, and the promotion of teaching-emphasized degree programs.

SAC believes that this recommendation offers a visionary path forward for the Board of Regents to effectively and fairly address the needs of graduate and professional students across the U. T. System.

Recommendation 10: Continue to support access to mental health and mandate reporting of mental health service-related metrics

Graduate and professional students experience significant amounts of pressure and anxiety that can lead to stress-related depression, which can be life-threatening. As the U. T. System continues to expand the number of professional and graduate student slots, UTSSAC recommends that continued support of mental health be a top priority in the education of students. Institutions across the System have adopted successful strategies at promotion such as:

- ID badge placards
- Campus-wide wellness events
- 24-Hour Crisis hotlines
- Class syllabi with prominent language/information displayed

We encourage the U. T. System to continue to examine successful institutional strategies in mental health awareness. Additionally, any way to mitigate the stigma associated with seeking psychological well-being would be ideal in promoting student wellness broadly.

Many institutions are left understaffed and do not have the appropriate funding required to maintain an adequate number of mental health professionals. According to the Accreditation Association for Ambulatory Health Care (AAHC) and International Association of Counseling Society (IACS), accreditation standards recommend a minimum ratio of 1:1,500 mental health clinicians to students. The closest U. T. System institutional benchmark on staffing ratios is U. T. San Antonio, which has a 1:2,000 provider to student ratio. The IACS reports that exceeding recommended ratios results in lengthy waitlists, increased institutional liability, and inability to conduct campus/population-based outreach.

Through this recommendation, UTSSAC seeks the implementation of the following goals to see improvement in the following areas:

- Decreasing the ratio of students to each mental health counselor;
- Decreasing the time it takes to schedule an appointment for professional help; and
- Increasing the availability of mental health professionals during emergency situations.

UTSSAC recommends that the System mandate that each university record and report various metrics regarding their mental health services (e.g., mental health and counseling services wait times, availability, and staffing, among others) in order to accomplish these goals. Furthermore, these records should include an overall satisfaction survey of the services provided to not only ensure that the services are available, but also that they are of high quality. These records should be reported to the U. T. System and available to students at large. It is our hope that the maintenance and

periodic evaluation of such metrics will allow the institutions to track performance over time and identify successful, value-adding interventions that could be expanded across the U. T. System. This will ensure continued improvement in the quality of mental health and counseling services at all U. T. System institutions.

Recommendation 11: Ensure protection of student status for students with health-related or extenuating circumstances

The Patient Care and Affordable Care Act (ACA) requires all individuals above the age of 26 to purchase and maintain some form of health insurance or pay a monetary penalty. For professional and graduate students who are frequently ineligible to be on their parents' insurance policy and unable to afford adequate coverage on the open market, a student health insurance option represents the only financially viable choice.

One unique requirement of student health insurance policies is that the covered individual be in good academic standing and remain enrolled in a requisite number of credits in order to maintain their insurance. Due to this policy, students who become unable to maintain full-time status, due to health-related or other extenuating circumstances, represent a particularly vulnerable population. Our most vulnerable students lose the protections afforded to them through their insurance policy by the very situation that requires them to take their leave of absence.

The Family and Medical Leave Act (FMLA) provides many of these same protections to public and private employees by requiring employers to provide job-protected and unpaid leave for qualified medical and other personal reasons. Additionally, peer institutions have pioneered policies that allow students to maintain their "student status" for health insurance purposes up to 6 months into their leave of absence. We believe a similar policy is financially and logistically feasible for the U. T. System institutions.

The majority of graduate and professional students are among the healthiest demographics (USDHHS) and do not present a high risk population for insurance companies. However, the peace of mind offered by maintaining insurance for those individuals, who require a leave of absence for any reason, is greatly beneficial to their well-being and will expedite a return to their studies.

Therefore, the Student Advisory Council recommends that the U. T. System strongly consider maintaining student status for all students who take a leave of absence falling under FMLA guidelines. This will permit students to continue receiving coverage under their original health insurance and alleviate a significant burden on our colleagues.

5. **U. T. System Board of Regents: Award of Regents' Outstanding Student Awards in Arts and Humanities -- presentation of visual arts winners and display of artwork**

REPORT

Executive Vice Chancellor Leslie will report on the results of the Regents' Outstanding Student Awards in Arts and Humanities and introduce the visual arts winners.

BACKGROUND INFORMATION

In recognition of its support of the arts and humanities, on February 9, 2012, the Board of Regents authorized the Office of Academic Affairs to establish the Regents' Outstanding Student Awards in Arts and Humanities. The awards program is designed to provide a framework that fosters excellence in student performance, rewards outstanding students, stimulates the arts and humanities, and promotes continuous quality in education. This year's awards are for the visual arts.

The nominees were evaluated on the following elements: originality, use of media, and artistic composition, with the following recognitions:

Ms. Haley Parsa, U. T. Austin, for outstanding two-dimensional artwork

Ms. Heather Mawson, U. T. El Paso, for outstanding three-dimensional artwork

Samples of works by the award winners will be shown at the Board meeting.

6. **U. T. System Board of Regents: Discussion and appropriate action related to appointments to the Board of Directors of The University of Texas Investment Management Company (UTIMCO), including proposed appointment and reappointment to the UTIMCO Board and proposed appointment of member to the UTIMCO Board's Audit and Ethics Committee**

RECOMMENDATION

Chairman Foster and The University of Texas Investment Management Company (UTIMCO) Chairman Hildebrand recommend the reappointment of Mr. J. Kyle Bass to the UTIMCO Board of Directors for a term expiring April 1, 2019. They will also recommend a new appointment to the UTIMCO Board of Directors for a term expiring on April 1, 2019, to replace Mr. Ardon Moore who has served three terms.

UTIMCO requests that the U. T. System Board of Regents consider the appointment of R. Steven Hicks to the Audit and Ethics Committee of the UTIMCO Board of Directors, pending action of the UTIMCO Board on May 5, 2016.

BACKGROUND INFORMATION

Texas Education Code Section 66.08 and Regents' *Rules and Regulations*, Rule 10402, Section 4 require that the U. T. System Board of Regents appoint seven members to the UTIMCO Board of Directors of whom three must be members of the Board of Regents, three must have a substantial background and expertise in investments, and one must be a qualified individual who may be the Chancellor.

The approved UTIMCO bylaws allow external directors to serve a maximum of three terms of three years each. Mr. Bass was first appointed to the UTIMCO Board on August 12, 2010, and reappointed on May 9, 2013.

The external director position to be filled with a new appointment was previously held by Mr. Ardon E. Moore, who has served three terms. Mr. Moore was first appointed to the UTIMCO Board on July 13, 2006, and reappointed on February 12, 2009, and on May 3, 2012.

Section 66.08 of the *Texas Education Code* requires that the U. T. System Board of Regents approve the appointment of members to the Audit and Ethics Committee of the UTIMCO Board of Directors. The UTIMCO Board is to consider the appointment of Vice Chairman Hicks to the Committee on May 5, 2016.

7. U. T. System Board of Regents: Proposed appointments to the University Lands Advisory Board

RECOMMENDATION

Chairman Foster recommends the following appointments to the University Lands Advisory Board (ULAB) for terms as follows:

- Alex M. Cranberg, Term of three years
- Jeffery D. Hildebrand, Term of two years
- Individual to represent The Texas A&M University System, Term of three years

BACKGROUND INFORMATION

On May 15, 2014, the Board of Regents established the ULAB composed of the following:

- Four (4) appointments by The University of Texas System Board of Regents, including at least one external member with industry experience
- One (1) Representative from The Texas A&M University System
- The Executive Vice Chancellor for Business Affairs of the U. T. System (ex officio and nonvoting)

ULAB members advise the Board on operations and management of the University Lands Office, including the hiring of the Chief Executive, reviewing and recommending budgets to the Board, and providing strategic direction.

The Board approved initial appointments to ULAB on July 10, 2014.

8. U. T. System: Report on strategic Quantum Leaps initiatives

REPORT

Chancellor McRaven will report on implementation of strategic Quantum Leaps initiatives at the U. T. System.

BACKGROUND INFORMATION

On November 5, 2015, Chancellor McRaven presented his strategic vision and mission for the U. T. System following a presentation, Leading in a Complex World, a Strategic Approach, 2015-2020. He outlined the following eight Quantum Leaps for the U. T. System:

1. Texas Prospect Initiative
2. The American Leadership Program
3. Winning the Talent War
4. Enhancing Fairness and Opportunity
5. The U. T. Health Care Enterprise
6. Leading the Brain Health Revolution
7. The U. T. Network for National Security
8. U. T. System Expansion in Houston

This presentation is the second in a series of periodic updates on the status of implementation of the eight initiatives.

9. U. T. Austin: President's Report on the Strategic Vision and Institutional Priorities

REPORT

President Fenves will report on his strategic vision and institutional priorities for U. T. Austin. As reported in the November 2015 Five-Year Institutional Scope of Work Outline, U. T. Austin has adopted three institutional priorities to advance the University's mission as the State's leading flagship university: (1) accelerate and enhance research productivity; (2) educate leaders through next-generation learning strategies; and (3) transform health care. These three priority goals are based on increasing the effectiveness and efficiency of each activity and university operation, including strategic allocation of resources.

BACKGROUND INFORMATION

Pursuant to Regents' *Rules and Regulations*, Rule 20201, Section 5.1, President Fenves will present his vision and plans for U. T. Austin to the Board of Regents.

U. T. Austin's priorities and strategies were reported in the Five-Year Institutional Scope of Work Outline in November 2015. During this report President Fenves will discuss the progress made on each priority as well as plans for the 2016-17 Academic Year and beyond.

U. T. Austin's priorities and strategies include:

1. Accelerate and Enhance Research Productivity
 - Investing in the recruitment, development, and retention of faculty
 - Establishing support systems for interdisciplinary research
 - Reviewing and reallocating resources, including campus facilities, to advance core academic mission
2. Educate Leaders through Next-Generation Learning Experiences
 - Investing in academic program redesign and faculty-led education innovation
 - Supporting student success through academic and career placement support structures
 - Expanding access through Texas Extended Campus and strategic partnerships
3. Transform Health Care
 - Launching the Dell Medical School and welcoming the first class in Fall 2016
 - Catalyzing new interdisciplinary teaching and research opportunities
 - Improving all aspects of health education, access, and delivery across the University and Central Texas
 - Incubating and launching strategic research-clinical care initiatives

Starting in Academic Year 2016-2017, U. T. Austin will develop and implement a new strategic plan that is mission and responsibility-centric, measurable, transparent, and representative of U. T. Austin's unique public mission.

10. **U. T. System Board of Regents: Presentation of Certificate of Appreciation to Student Regent Justin A. Drake and Comments by Regent Drake**



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FOR
AUDIT, COMPLIANCE, AND MANAGEMENT REVIEW
COMMITTEE**

Committee Meeting: 5/11/2016

Board Meeting: 5/12/2016
Austin, Texas

Jeffery D. Hildebrand, Chairman
Ernest Aliseda
David J. Beck
R. Steven Hicks
Brenda Pejovich

	Committee Meeting	Board Meeting	Page
Convene	9:30 a.m. <i>Chairman Hildebrand</i>		
1. U. T. System Board of Regents: Discussion and appropriate action regarding Consent Agenda items, if any, assigned for Committee consideration	Discussion	Action	29
2. U. T. System: Approval of services to be performed by Deloitte & Touche LLP for Cancer Prevention and Research Institute of Texas (CPRIT) grant audits and approval of a consulting project (Project Integrating Physicians and Community with Dell Medical School) by Deloitte Consulting LLP for U. T. Austin	9:31 a.m. Action <i>Mr. Peppers</i>	Not on Agenda	30
3. U. T. System: Report on the Systemwide internal audit activities and audit administrative items, including Priority Findings, Annual Audit Plan status, and Chief Audit Executive Annual Statements; and consideration and approval of Institutional Audit Committee chair changes	9:35 a.m. Action <i>Mr. Peppers</i>	Not on Agenda	31
4. U. T. System: Report on the Proportionality of Higher Education Benefits Audits	9:42 a.m. Report/Discussion <i>Mr. Peppers</i>	Not on Agenda	36
5. U. T. System: Report on the State Auditor’s Office Statewide Single Audit for FY 2015	9:50 a.m. Report/Discussion <i>Mr. Peppers</i>	Not on Agenda	37
6. U. T. System: Report on the Systemwide Data Analytics Initiative for internal audit	9:58 a.m. Report/Discussion <i>Mr. Peppers</i>	Not on Agenda	40
7. U. T. System: Report on U. T. System Information Security Strategic Plan	10:13 a.m. Report/Discussion <i>Mr. Mattison</i>	Not on Agenda	55
Adjourn	10:30 a.m.		

1. **U. T. System Board of Regents: Discussion and appropriate action regarding Consent Agenda items, if any, assigned for Committee consideration**

RECOMMENDATION

No Consent Agenda items are assigned for review by this Committee. The Consent Agenda begins on [Page 251](#).

2. U. T. System: Approval of services to be performed by Deloitte & Touche LLP for Cancer Prevention and Research Institute of Texas (CPRIT) grant audits and approval of a consulting project (Project Integrating Physicians and Community with Dell Medical School) by Deloitte Consulting LLP for U. T. Austin

RECOMMENDATION

It is recommended that approval be given by the Audit, Compliance, and Management Review Committee (ACMRC) for Deloitte subsidiaries, one of which is U. T. System's external audit firm, to perform the following non-audit services:

- a. Cancer Prevention and Research Institute of Texas (CPRIT) grant audits (to be performed by Deloitte & Touche LLP).
- b. Consulting (Project Integrating Physicians and Community with Dell Medical School) for U. T. Austin (to be performed by Deloitte Consulting LLP).

BACKGROUND INFORMATION

Deloitte & Touche LLP and Deloitte Consulting LLP are subsidiaries of Deloitte LLP. Deloitte & Touche LLP is the current external audit firm engaged by the U. T. System Board of Regents to provide audit services. Audit services are those provided for the purpose of expressing an opinion on the financial statements of U. T. System or any of the U. T. System institutions. The current audit services contract expires May 28, 2016.

Regents' Rule 20402, Section 2.1 requires review and approval by the ACMRC of the performance of certain non-audit services by the external audit firm. Section 2.2 of the Rule allows the ACMRC Chairman to delegate authority to grant approval to any ACMRC member, with the requirement that the decision made be presented to the full ACMRC at the next Committee meeting. The review and approval process assures no conflict of interest between the audit services and the proposed non-audit services.

ACMRC Chairman Hildebrand approved both non-audit service projects prior to the meeting. The Texas State Auditor has approved the use of an external auditor, as required under *Texas Government Code* Section 321.020, for the CPRIT grant audit work.

Deloitte previously performed audits in 2014 and 2015 at the U. T. System institutions that received CPRIT grant audits. The source of funding for the audit of CPRIT grant awards will be from CPRIT grant money, an allowable direct expense. The grant audits are within the Rule 20402 definition of non-audit services.

The Consent Agenda for this meeting includes an item seeking Board approval for a proposed consulting services contract in excess of \$1.0 million between U. T. Austin and Deloitte Consulting LLP (Consent Agenda Item 6). The contract, which will support other anticipated consulting projects for the Dell Medical School, was awarded following a Request for Proposal process and has a maximum total cost of \$1.5 million. The non-audit services consulting project described in the Recommendation above is for the first phase of work under the proposed contract and has an estimated cost of \$316,000. Any additional phases of work under the contract will also be processed for review and approval as required.

3. **U. T. System: Report on the Systemwide internal audit activities and audit administrative items, including Priority Findings, Annual Audit Plan status, and Chief Audit Executive Annual Statements; and consideration and approval of Institutional Audit Committee chair changes**

RECOMMENDATION

Chief Audit Executive Peppers will report on

- a. the status of Systemwide Priority Findings (see the PowerPoint on [Pages 33 - 35](#));
- b. the Fiscal Year 2015 Annual Audit Plan status as of February 29, 2016; and
- c. the Chief Audit Executive Annual Statements.

Chief Audit Executive Peppers, on behalf of the Presidents at U. T. San Antonio, U. T. Medical Branch - Galveston, and U. T. Health Science Center - Houston, recommends for consideration and approval by the ACMRC the appointment of the following individuals to serve as Chairs of the Institutional Audit Committees:

- Mr. Franklin W. Burk, retired senior partner of Carneiro, Chumney, and Co. at U. T. San Antonio
- Mr. Thomas H. Grace, partner of Vorys, Sater, Seymore, and Pease, LLP at U. T. Medical Branch - Galveston; and
- Mr. Robert E. George, retired partner of PricewaterhouseCoopers, LLC at U. T. Health Science Center - Houston.

The nominations have been reviewed by the ACMRC Chairman, the Chancellor, and the U. T. System Chief Audit Executive.

BACKGROUND INFORMATION

A Priority Finding is defined as "an issue identified by an audit that, if not addressed timely, could directly impact achievement of a strategic or important operational objective of a U. T. System institution or the U. T. System as a whole." A Priority Findings Matrix is used by the chief audit executives to aid in the determination of a Priority Finding. The matrix provides three categories of standard factors to consider, each alone with the potential to result in a Priority Finding. They are: Qualitative Risk Factors (evaluates the probability and consequences across seven high risks), Operational Control Risk Factors (evaluates operational vulnerability to risks by considering the existence of management oversight and effective alignment of operations), and Quantitative Risk Factors (evaluates the level of financial exposure or lost revenue).

The Chief Audit Executive Annual Statement process is a way to routinely and consistently obtain assurance that the chief audit executives are receiving adequate support to conduct the necessary audit services and that there are institutional internal audit departmental processes for certifying compliance with the Institute of Internal Auditors' International Professional

Practices Framework and internal auditor independence and objectivity. Each of the chief audit executives responded positively to the statement, noting no exceptions or requests to attend an ACMRC executive session meeting.

At the May 14, 2014 meeting, the ACMRC reviewed and approved nominations from all the institutional presidents for external member chairs of their institutional audit committees. Any subsequent changes in the external member chairs will be presented to the ACMRC annually for review and approval.

U. T. Systemwide Priority Findings

Mr. J. Michael Peppers, U. T. System Chief Audit Executive

U. T. System Board of Regents' Meeting
Audit, Compliance, and Management Review Committee
May 2016



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Priority Findings Status as of February 29, 2016

INSTITUTION	General				Academic		Risk Factors							
	FINANCE	INFORMATION TECHNOLOGY	RESEARCH	ACADEMIC SUPPORT	TOTAL	PAST DUE	REPUTATION	INFORMATION SECURITY	COMPLIANCE	ACCOMPLISHMENT OF OBJECTIVES	LIFE SAFETY	OPERATIONAL OVERSIGHT	MANAGEMENT OVERSIGHT	
U. T. Arlington	1	2	0	0	3	0	X	X	X				X	
U. T. Austin	0	4	1	0	5	0		X	X		X			
U. T. Dallas	0	1	0	0	1	0		X						
U. T. El Paso	0	1	1	0	2	0		X	X					
U. T. Permian Basin	0	0	0	0	0	0								
U. T. Rio Grande Valley	0	0	0	0	0	0								
U. T. San Antonio	0	0	0	0	0	0								
U. T. Tyler	0	0	0	0	0	0								
U. T. Southwestern Medical Center	0	0	0	0	0	0								
U. T. Medical Branch - Galveston	0	0	0	0	0	0								
U. T. Health Science Center - Houston	0	0	0	0	0	0								
U. T. Health Science Center - San Antonio	0	0	0	0	0	0								
U. T. M. D. Anderson Cancer Center	0	6	1	0	7	0		X	X					
U. T. Health Science Center - Tyler	0	0	0	0	0	0								
U. T. System Administration	0	0	0	1	1	0	X		X	X		X		
TOTALS	1	14	3	1	19	0								



Changes Since Last Report

	<u>Reported Feb 2016</u>	<u>Implemented</u>	<u>New</u>	<u>Reported May 2016</u>
IT related Priority Findings	14	(0)	0	14
Non-IT related Priority Findings	<u>7</u>	<u>(2)</u>	<u>0</u>	<u>5</u>
Total Priority Findings	21	(2)	0	19
Past due Priority Findings	0			0



4. U. T. System: Report on the Proportionality of Higher Education Benefits Audits

REPORT

Chief Audit Executive Peppers will report on the status of the Proportionality of Higher Education Benefits Audits that were conducted across U. T. System.

BACKGROUND INFORMATION

The 84th Legislature's General Appropriations Act, Rider 8, Page III-39, requires each higher education institution, excluding public community/junior colleges, to conduct an internal audit of benefits proportional by fund for appropriation years (AY) 2012 through 2014 using a methodology approved by the State Auditor's Office (SAO).

During Fiscal Year 2016, Proportionality of Higher Education Benefits audits were performed by U. T. System Administration's and each institution's respective internal audit department. The procedures conducted were consistent with the audit methodology prescribed by the SAO. The objective of these audits was to ensure that proportional benefits by fund were accurately calculated and applied according to the established statutory guidelines. The scope of the audits included benefits funding proportionality reporting for AY 2012 and AY 2014 as AY 2013 was audited during Fiscal Year 2015 at the request of the Governor. The internal audits done at U. T. Austin and U. T. M. D. Anderson Cancer Center only included AY 2012, and the SAO conducted the audits for AY 2014.

5. **U. T. System: Report on the State Auditor's Office Statewide Single Audit for FY 2015**

REPORT

Chief Audit Executive Peppers will report on the State Auditor's Office State of Texas Federal and Financial Portions of the Statewide Single Audit for Fiscal Year 2015. A summary of the audit reports is set forth on the following pages.

BACKGROUND INFORMATION

The Texas State Auditor's Office performs the Statewide Single Audit annually in accordance with OMB Circular A-133. The Statewide Single Audit includes a federal portion, which is an audit of compliance and controls over the State's federal awards, and a financial portion, which includes an audit of the basic financial statements for the State of Texas. Reports are submitted to the federal government to fulfill Single Audit reporting requirements.

The University of Texas System
State Auditor's Office FY 2015 Statewide Single Audit
Summary of Results

State of Texas Compliance with Federal Requirements for Federal Programs

As a condition of receiving federal funding, the U.S. Office of Management and Budget Circular A-133 requires non-federal entities that expend at least \$500,000 in federal awards in a fiscal year to obtain annual Single Audits. To supplement the audit procedures performed by KPMG for the annual Single Audit of federal expenditures for the State of Texas for Fiscal Year (FY) 2015, the State Auditor's Office (SAO) audited student financial aid at U. T. Arlington, U. T. Austin, U. T. El Paso, U. T. San Antonio, U. T. Health Science Center - San Antonio, and U. T. Medical Branch - Galveston and audited research and development programs at U. T. Austin, U. T. Dallas, U. T. Southwestern Medical Center, and U. T. Health Science Center at Houston. The SAO performs this audit every year, and institutions are chosen on a rotational basis with the size of their programs factored into the selection process. Procedures included assessing compliance with regulatory requirements and internal controls over federal funds. The SAO classifies findings identified in their samples as a significant deficiency/non-compliance or material weakness/material non-compliance, the latter of which indicates a more serious reportable issue.

Compliance with Federal Requirements for the Student Financial Assistance Cluster of Federal Programs for the Fiscal Year Ended August 31, 2015¹ (February 23, 2016)

The Student Financial Assistance (SFA) Cluster audits test compliance with federal requirements in up to 12 areas, such as eligibility and reporting. Overall, the State of Texas complied in all material respects with the federal requirements for the SFA Cluster of federal programs in FY 2015. The audit resulted in a total of 11 findings/recommendations at five U. T. System institutions for a total questioned cost of \$8,991 as outlined below:

- U. T. Arlington (questioned cost: \$0)
- U. T. Austin (questioned cost: \$0)
- U. T. El Paso (questioned cost: \$0)
- U. T. San Antonio (questioned cost: \$0)
- U. T. Medical Branch - Galveston (questioned cost: \$8,991)

At the remaining institution audited, U. T. Health Science Center - San Antonio, auditors did not identify any findings related to the SFA Cluster. All of the findings are categorized as significant deficiencies and non-compliance (none as material weaknesses). Institutional management has taken action to correct errors, as needed, and responded appropriately to the recommendations with several institutions having taken steps towards implementation.

Additionally, corrective actions were taken for several findings from the SAO's previous SFA Cluster audits, and management provided updated corrective action plans for the remaining open recommendations. Some recommendations were reissued as new findings in the FY 2015 audit report.

Compliance with Federal Requirements for the Research and Development Cluster of Federal Programs for the Fiscal Year Ended August 31, 2015² (February 23, 2016)

The Research and Development (R&D) Cluster audits test compliance with federal requirements in up to 12 areas, such as allowable costs, procurement, reporting, and monitoring of non-state entities to which the State passes federal funds. Overall, the State of Texas complied in all material

¹ <http://www.sao.texas.gov/reports/main/16-016.pdf>

² <http://www.sao.texas.gov/reports/main/16-017.pdf>

**The University of Texas System
State Auditor's Office FY 2015 Statewide Single Audit
Summary of Results**

respects with the federal requirements for the R&D Cluster of federal programs in FY 2015. The audit resulted in a total of 17 findings/recommendations (questioned cost: \$45,558.31) at four U. T. System institutions as outlined below:

- U. T. Austin (questioned cost: \$0)
- U. T. Dallas (questioned cost: \$45,230)
- U. T. Southwestern Medical Center (questioned cost: \$0)
- U. T. Health Science Center - Houston (questioned cost: \$328)

All the findings are categorized as significant deficiency and non-compliance (none as material weaknesses). Institutional management has taken action to correct errors, as needed, and responded appropriately to the recommendations with several institutions having taken steps towards implementation.

Additionally, corrective actions were taken for several findings from the SAO's previous R&D Cluster audits, and management provided updated corrective action plans for the remaining open recommendations. Some recommendations were reissued as new findings in the FY 2015 audit report.

**State of Texas Financial Portion of the Statewide Single Audit
Report for the Year Ended August 31, 2015³ (March 14, 2016)**

The SAO did not conduct audit procedures on the U. T. System institutions' financial statements as part of the audit of the State of Texas *Comprehensive Annual Financial Report* for the Fiscal Year Ended August 31, 2015, as they relied on the external audit of the U. T. System FY 2015 financial statements, which was performed by Deloitte. However, as part of the State of Texas financial portion of the statewide Single Audit report, the SAO made recommendations related to strengthening processes to ensure the completeness, accuracy, and review of the FY 2015 Schedules of Expenditures of Federal Awards (SEFAs) to U. T. Arlington, U. T. Austin, U. T. Dallas, U. T. El Paso, U. T. Pan American, U. T. San Antonio, U. T. Health Science Center - Houston, U. T. Health Science Center - San Antonio, and U. T. M. D. Anderson Cancer Center.

Additionally, while corrective actions were taken for several findings from the SAO's previous financial portion of the Statewide Single Audit, several recommendations were reissued as new findings in the FY 2015 audit report related to the SEFAs.

³ <http://www.sao.state.tx.us/reports/main/16-555.pdf>

Prepared by: System Audit Office

Date: April 2016

6. U. T. System: Report on the Systemwide Data Analytics Initiative for internal audit

REPORT

Chief Audit Executive Peppers will report on the progress of the U. T. Systemwide Data Analytics Initiative for internal audit. A PowerPoint presentation is set forth on the following pages.

BACKGROUND INFORMATION

In Fiscal Year 2014, the System Audit Office established a Specialty Audit Services section to support institutional internal audit offices in the specialized audit practice areas of investigations, information technology audits, and data analytics. For the Data Analytics Initiative, a three-year strategic plan was created to guide development of the program.

U. T. Systemwide Data Analytics Initiative for Internal Audit

Mr. J. Michael Peppers, U. T. System Chief Audit Executive

U. T. System Board of Regents' Meeting
Audit, Compliance, and Management Review Committee
May 2016



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Data Analytics Benefits for Internal Audit

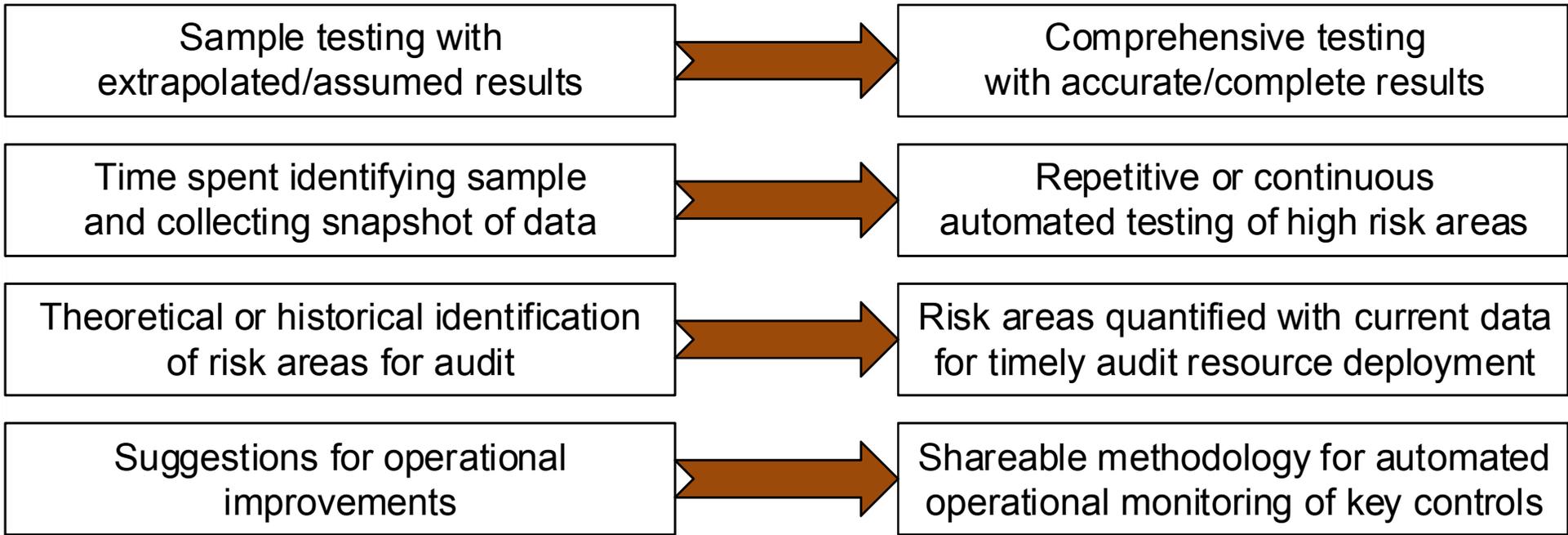
- Data Analytics is the process of leveraging technology to obtain, combine, validate, and analyze data. Data Analytics increases the ability to:
 - Identify potential errors
 - Quantify and highlight risks or opportunities for improvements
 - Reach conclusions
 - Support decision making
- Use of Data Analytics allows internal audit to perform comprehensive testing, identify trends and anomalies, and gain more accurate and useful risk insight



Data Analytics Benefits for Internal Audit (cont.)

Traditional Audit Approach

Audit with Data Analytics



Data Analytics Benefits for Internal Audit (cont.)

- Cost effective method to collect audit evidence
- Quantitative, data-driven approach to reach historical or predictive conclusions and identify risk
- Repeatable, sustainable, and shareable audit approach
- Eliminates random or judgmental sampling, offering improved fraud detection and better proof to support audit conclusions
- Enables trend, regression, and predictive analyses not possible with traditional sampling approach
- Enhances visualization of results



Data Analytics Program Objective

- Design and execute technology-based data mining, analysis, and continuous auditing activities in support of audit engagements throughout the U. T. System using a variety of software tools across different applications, databases, and platforms
 - Analytics specialist hired, current-state assessment performed, and strategic plan developed in Spring 2014

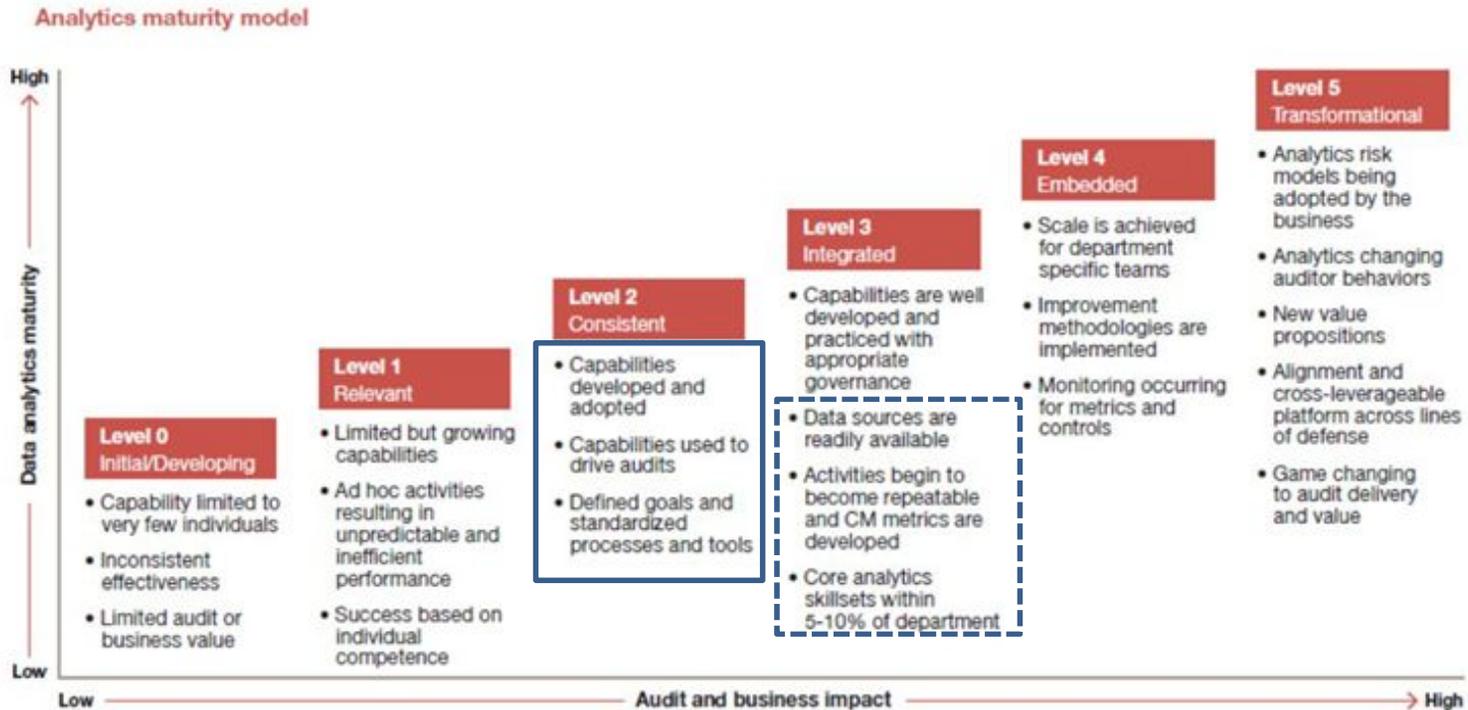


Data Analytics Program Goals

- Lead the development of a Systemwide data analytics program, including the design and implementation of tools and techniques
- Advise and consult with institutional internal audit teams
- Develop training programs
- Provide on-demand data analytics specialist to institutional internal audit teams
- Encourage/enable collaboration and sharing among institutions
- Establish cooperative relationships with external organizations



Progress Toward Goals



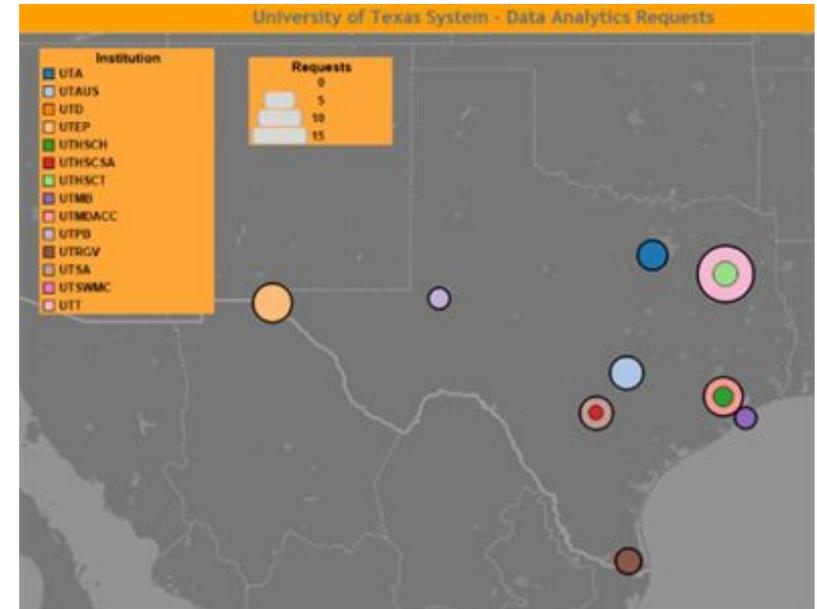
Source: PricewaterhouseCoopers, *The Internal Audit Analytics Conundrum – Finding Your Path Through Data*

CM – Continuous Monitoring



Progress Toward Goals (cont.)

- Sharp increase in on-demand requests from internal audit teams
- Training curriculum
 - Two-day beginner training in progress
 - Lunch and Learn webcasts
 - Tool-specific webcasts



Progress Toward Goals (cont.)

- Multi-institution analytics tools deployed for use by internal audit
 - Completed: Procurement card, department financial profile, travel and entertainment expenses, National Collegiate Athletic Association revenue and expense mapping
 - Under development: student financial aid, oil and gas revenue
 - Next: revenue capture, accounts payable, segregation of duties
 - Ongoing: visualization and dashboarding for existing tools
- Central hosting of analytics software, and ongoing evaluation of additional tools
- Higher Education Analytics Forum participation

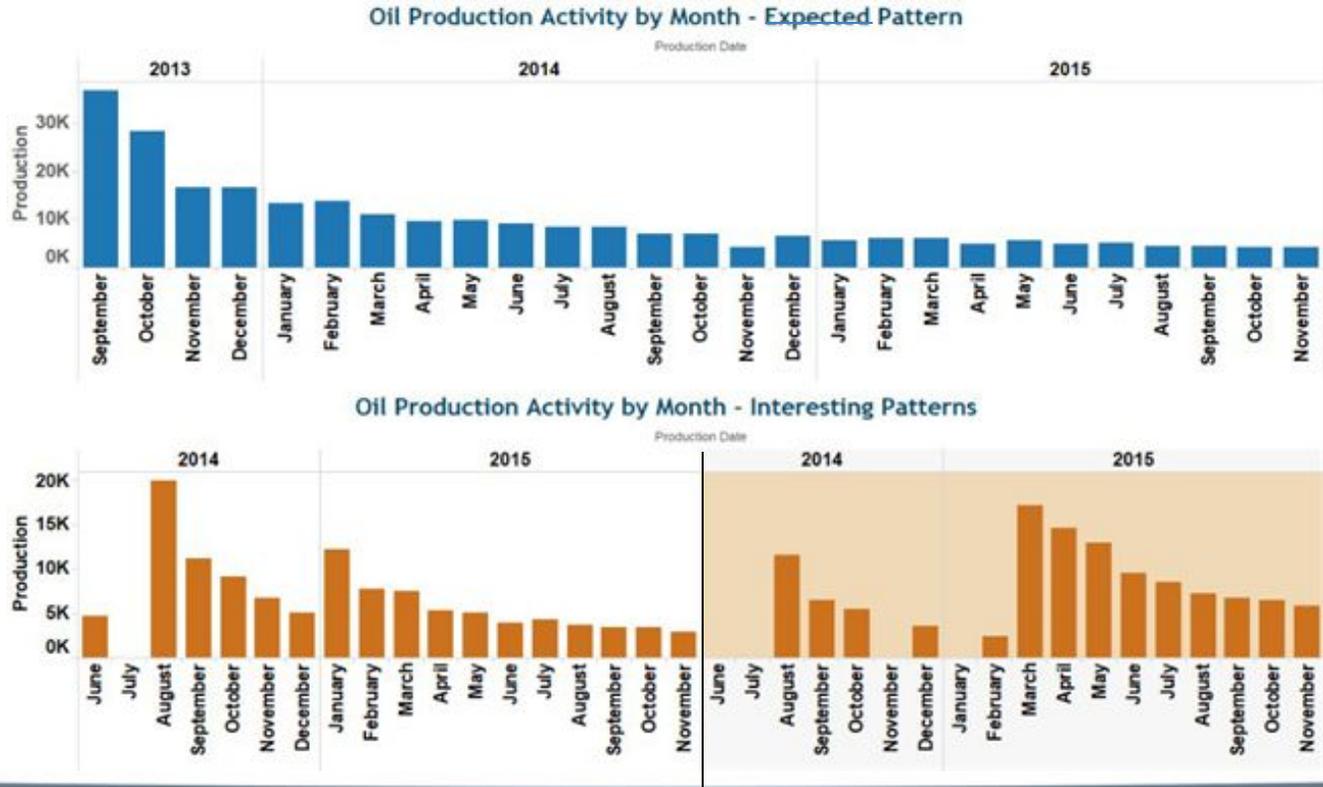


Data Analytics Program Challenges

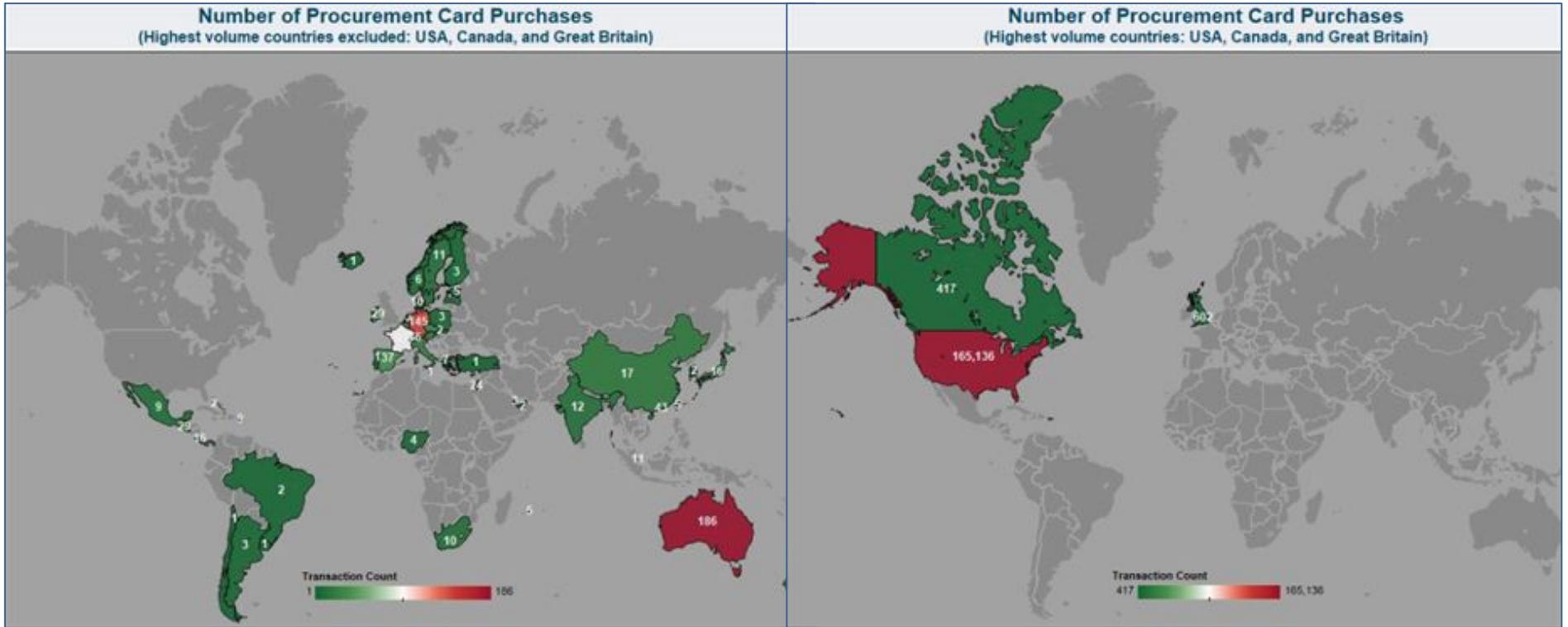
- Limited resources with rapidly increasing requests for campus-level assistance
- Use of different systems among institutions
- Complex data and the need for customized extraction tools
- Direct data access across U. T. System networks and systems
- Ongoing training needs for internal audit teams
- Management buy-in for operational use of audit-driven analytics



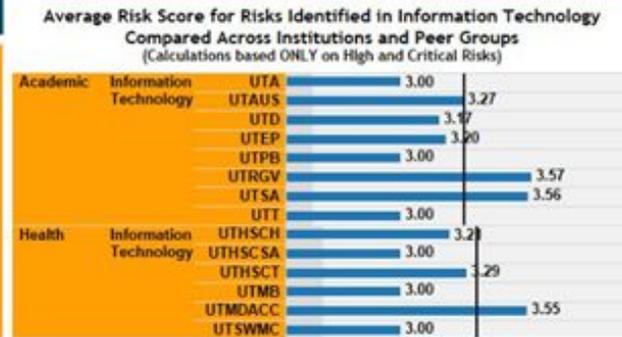
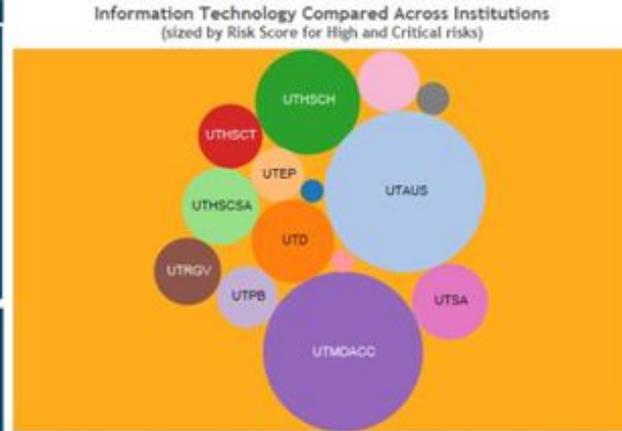
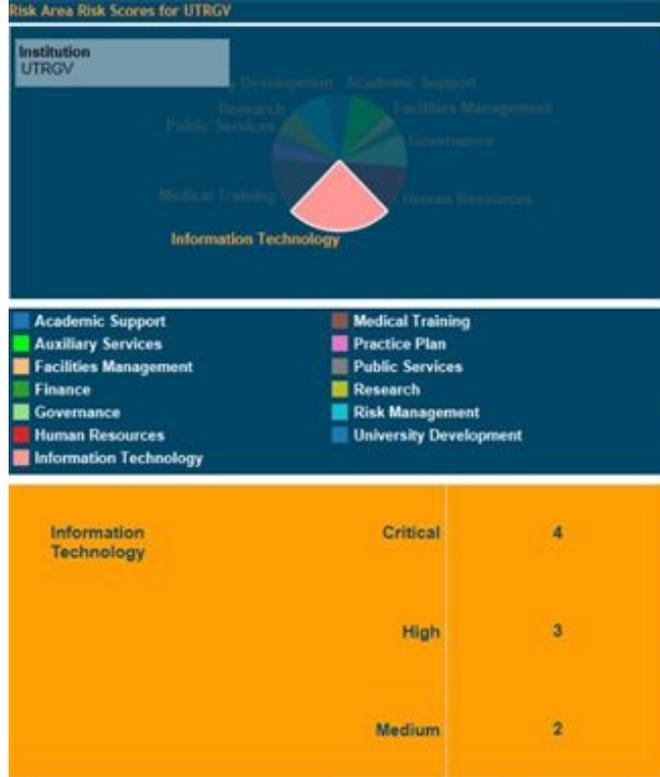
Visual Audit Results



Visual Audit Results (cont.)



Visual Audit Results (cont.)



7. U. T. System: Report on U. T. System Information Security Strategic Plan

REPORT

Chief Information Security Officer Mattison will reaffirm the vision and mission of the Office of Information Security and discuss the U. T. System Information Security five-year strategic plan, including its four objectives and 10 major initiatives. A PowerPoint presentation is set forth on the following pages.

U. T. System Information Security 5-Year Strategic Plan

Mr. Edward Mattison, U. T. System Chief Information Security Officer

U. T. System Board of Regents' Meeting
Audit, Compliance, and Management Review Committee
May 2016

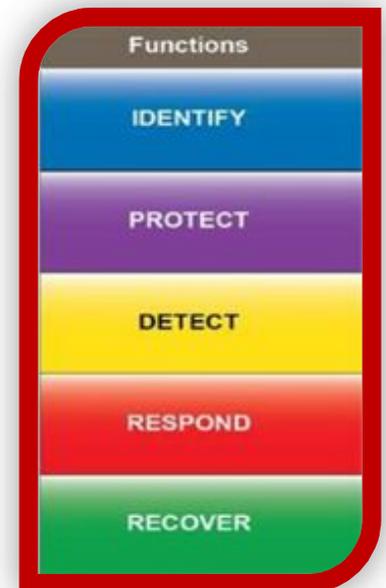


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Agenda

- Information Security Strategic Plan Overview
- U. T. System Information Security Vision revisited
- Systemwide Information Security Office Mission
- Systemwide Information Security Objectives
- National Institute of Standards and Technology (NIST) Cybersecurity Framework
- U. T. System Information Security Maturity Model
- U. T. System Information Security Initiatives



Chief Information Security Officer (CISO) Vision

The U. T. System Office of Information Security exists to accomplish the following three tasks. All CISO actions should support one of these tasks:

- **Enable** the business of U. T. System
- **Protect** the business of U. T. System
- **Promote** a positive information security culture



Systemwide Information Security Office Mission

- Implement a Systemwide information security program
- To provide guidance and support to the eight academic and six health institutions
- To maintain a high level of availability, integrity, and confidentiality in critical information systems



U. T. Systemwide Information Security Objectives

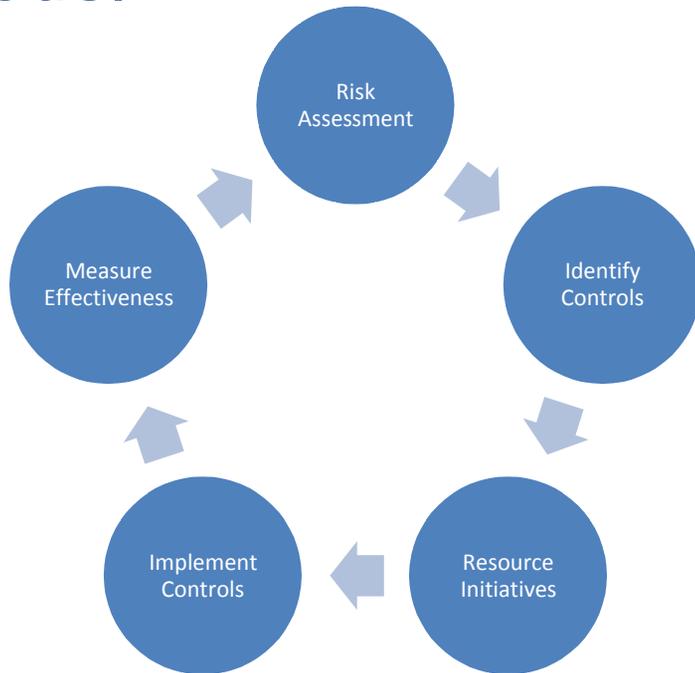
1. To improve Information Security (IS) situational awareness across U. T. System
2. To improve protection technologies and response procedures across U. T. System
3. To provide collaboration systems, consulting services, and security-as-a-service offerings in a cost-effective manner
4. To improve information security through the education and training of the IT and IS workforce



NIST Cybersecurity Framework



U. T. System Information Security Implementation Model



- Continuous process required
- Many overly focus on protection
- All stages equally important
- Likelihood of incidents is 100%
- Key is to “fail gracefully”
- Increase focus on response
- Exercises / practice is required
- Collaboration is critical



U. T. System Information Security Maturity Model Approach



Information Security Initiatives (next 12 to 36 months)

- Initiative 1: Two-Factor Authentication (Completed)
- Initiative 2: Splunk-as-a-Service (Ongoing)
- Initiative 3: University of Texas Cybersecurity Dashboard (Upcoming)
- Initiative 4: External / Internal Vulnerability-Scanning Service (Ongoing)
- Initiative 5: Third-Party Vendor Risk Management (Ongoing)



Information Security Initiatives (continued)

- Initiative 6: Risk Assessment and Risk Management Solution (Ongoing)
- Initiative 7: IT and Security Learning Management Portal (Completed)
- Initiative 8: Mobile Device Management Approach (Upcoming)
- Initiative 9: Unstructured Data Classification Project (Ongoing)
- Initiative 10: Enterprise Incident Management (Upcoming)





**TABLE OF CONTENTS
FOR
FINANCE AND PLANNING COMMITTEE**

Committee Meeting: 5/11/2016

Board Meeting: 5/12/2016
Austin, Texas

*R. Steven Hicks, Chairman
David J. Beck
Wallace L. Hall, Jr.
Jeffery D. Hildebrand
Sara Martinez Tucker*

	Committee Meeting	Board Meeting	Page
Convene	<i>2:45 p.m. Chairman Hicks</i>		
1. U. T. System Board of Regents: Discussion and appropriate action regarding Consent Agenda items, if any, assigned for Committee consideration	<i>3:00 p.m. Discussion</i>	Action	67
2. U. T. System: Key Financial Indicators Report and Monthly Financial Report	<i>3:05 p.m. Report/Discussion Dr. Kelley</i>	Not on Agenda	68
3. U. T. System Board of Regents: Report on activities of the University Lands Advisory Board	<i>3:15 p.m. Report/Discussion Regent Cranberg Mr. Houser</i>	Not on Agenda	102
4. U. T. System Board of Regents: Adoption of a Supplemental Resolution authorizing the issuance, sale, and delivery of Revenue Financing System Bonds and authorization to complete all related transactions	<i>3:30 p.m. Action Mr. Hull</i>	Action	103
5. U. T. System Board of Regents: The University of Texas Investment Management Company (UTIMCO) Performance Summary Report and Investment Reports for the quarter ended February 29, 2016	<i>3:35 p.m. Report/Discussion Mr. Zimmerman</i>	Report	105
6. U. T. System Board of Regents: Approval of annual distributions from the Permanent University Fund, the Permanent Health Fund, the Long Term Fund, and the Intermediate Term Fund	<i>3:50 p.m. Action Dr. Kelley</i>	Action	111
Adjourn	<i>3:45 p.m.</i>		

1. **U. T. System Board of Regents: Discussion and appropriate action regarding Consent Agenda items, if any, assigned for Committee consideration**

RECOMMENDATION

The proposed Consent Agenda is located at the back of the book. Consent Agenda items assigned to this Committee are on [Pages 264 - 269](#).

2. U. T. System: Key Financial Indicators Report and Monthly Financial Report

REPORT

Dr. Scott C. Kelley, Executive Vice Chancellor for Business Affairs, will discuss the Key Financial Indicators Report, as set forth on [Pages 69 - 76](#) and the March Monthly Financial Report on [Pages 77 - 101](#). The reports represent the consolidated and individual operating detail of the U. T. System institutions.

The Key Financial Indicators Report compares the Systemwide quarterly results of operations, key revenues and expenses, reserves, and key financial ratios in a graphical presentation from Fiscal Year 2012 through February 2016. Ratios requiring balance sheet data are provided for Fiscal Year 2011 through Fiscal Year 2015.

THE UNIVERSITY OF TEXAS SYSTEM



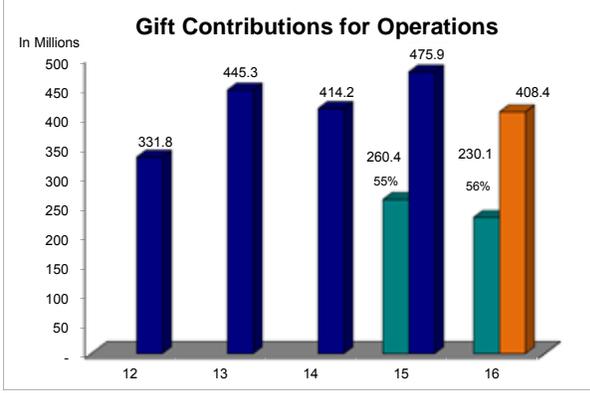
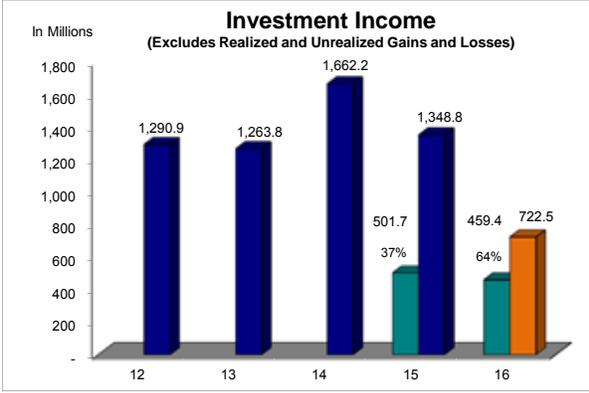
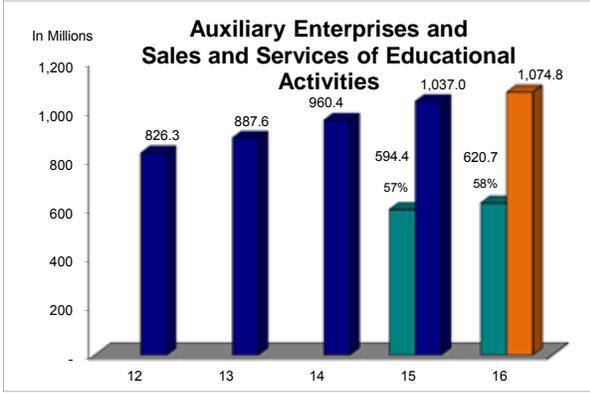
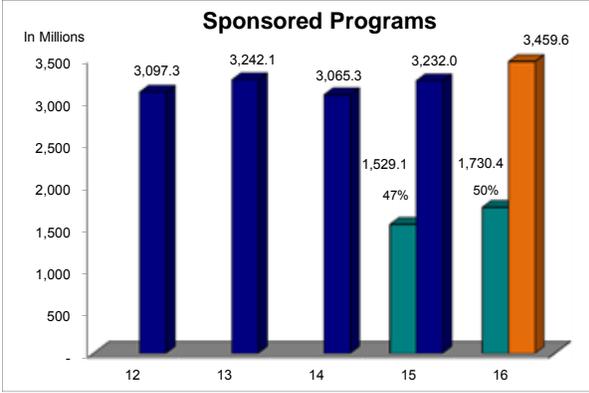
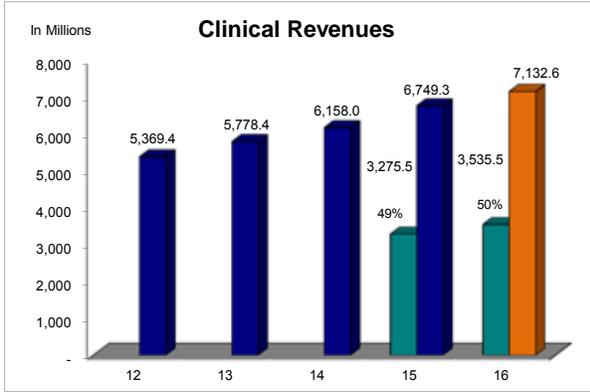
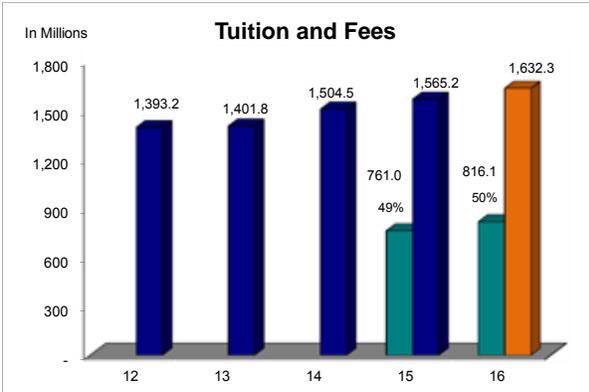
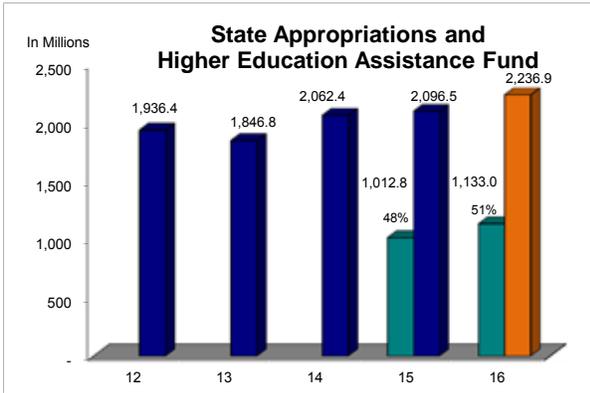
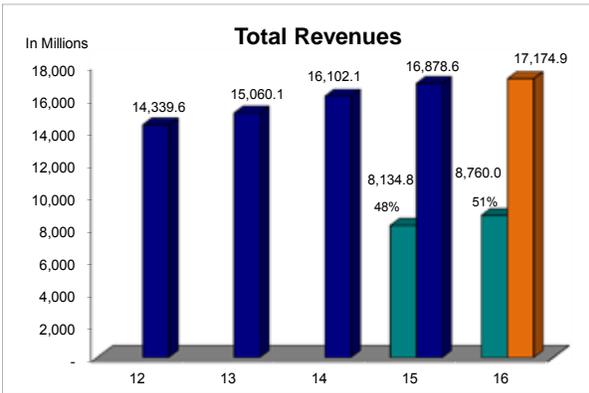
KEY FINANCIAL INDICATORS REPORT

FEBRUARY 2016

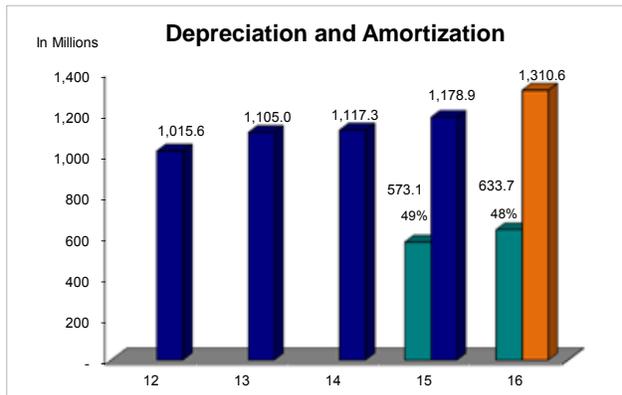
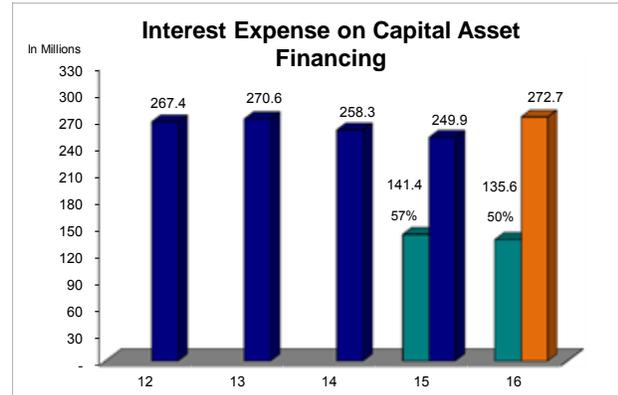
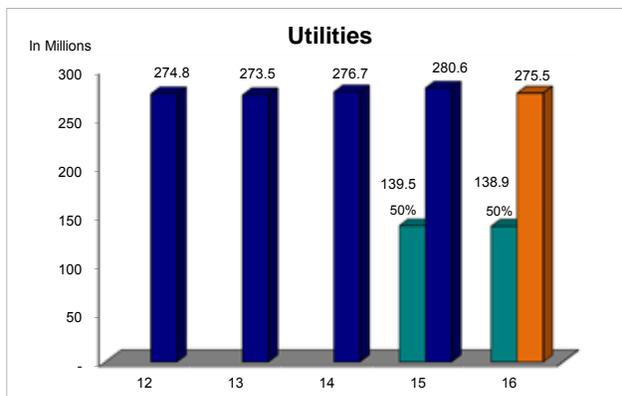
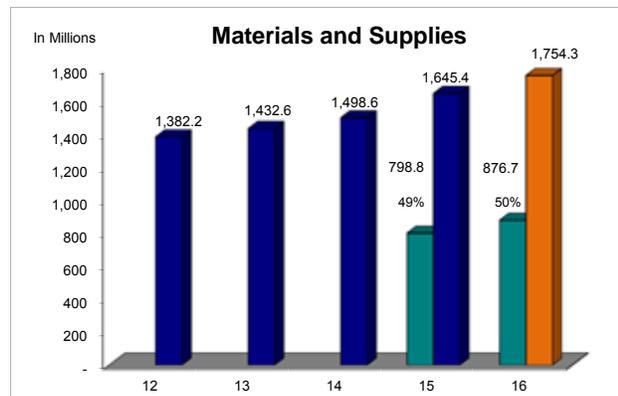
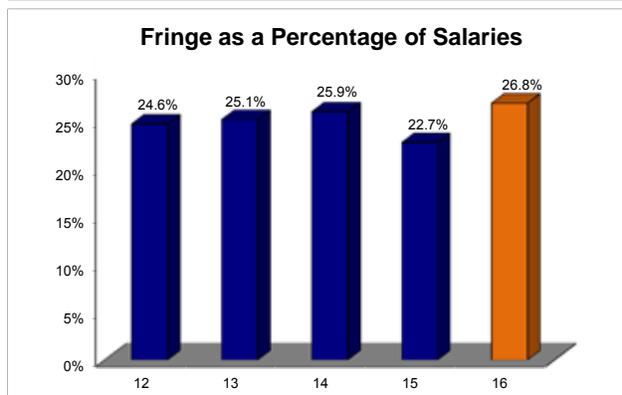
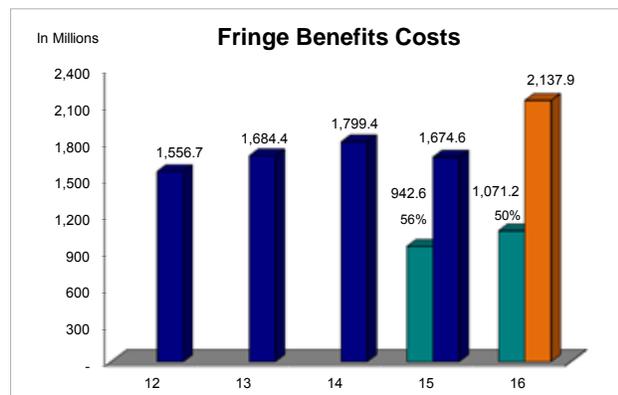
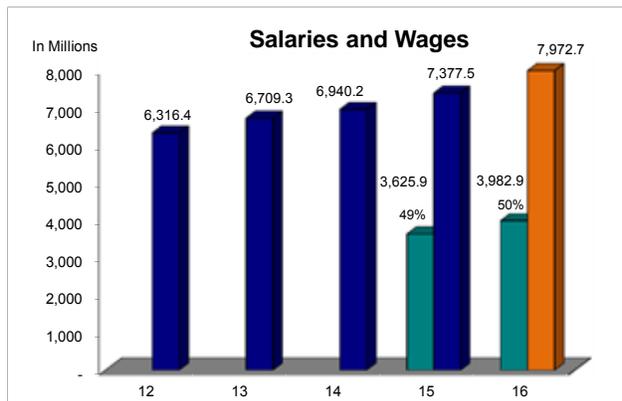
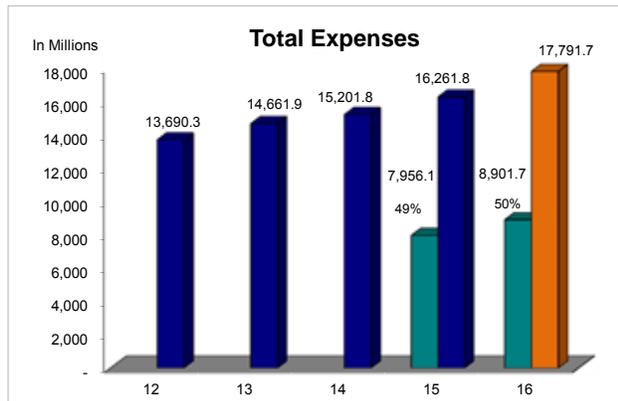
KEY

-  **Actual Annual Amounts**
(SOURCE: Annual Financial Reports)
-  **Projected Amounts based on the average change of the previous three years of data**
-  **Monthly Financial Report Year-to-Date Amounts**
-  **Annual and Quarterly Average of FTEs**
(SOURCE: State Auditor's Office Quarterly FTE Report)
-  **Year-to-Date Margin**
(SOURCE: Monthly Financial Report)
-  **Projected Amounts based on Monthly Financial Report**
-  **Year-to-Date Margin**
(SOURCE: Monthly Financial Report)
-  **Target Normalized Rates**
-  **Aaa Median**
(SOURCE: Moody's)
-  **A2 Median**
(SOURCE: Moody's)

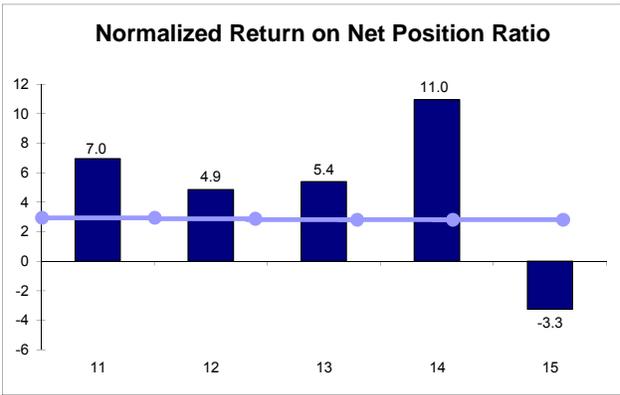
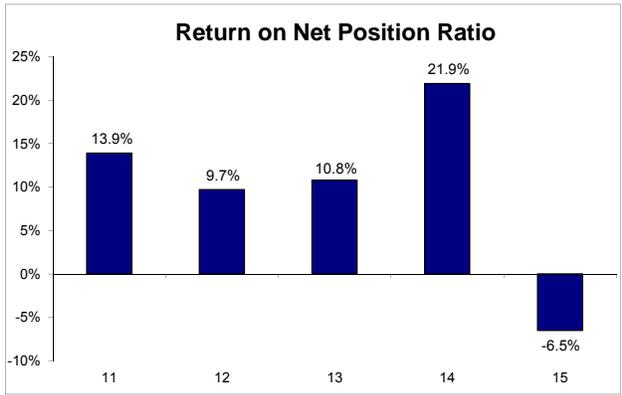
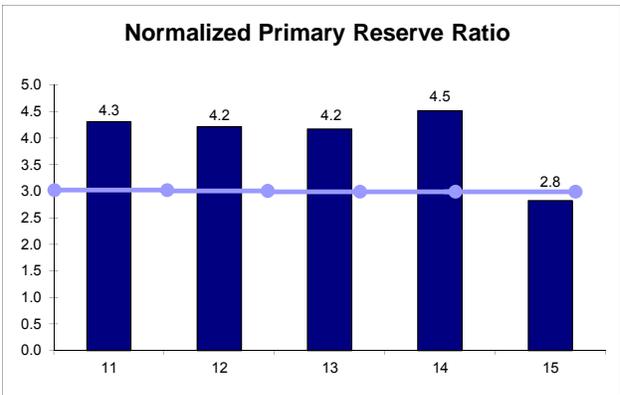
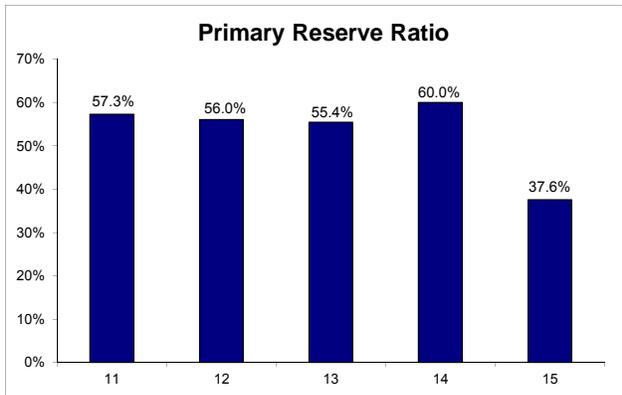
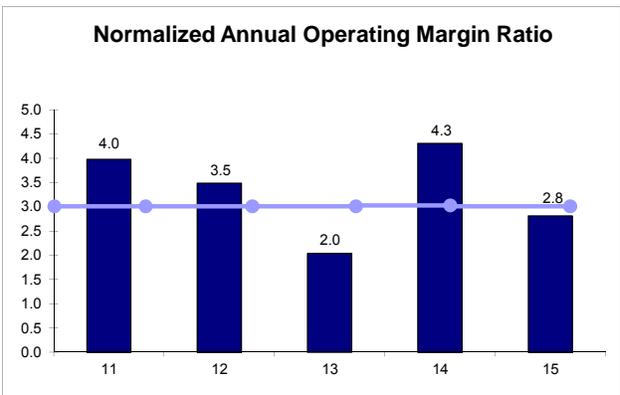
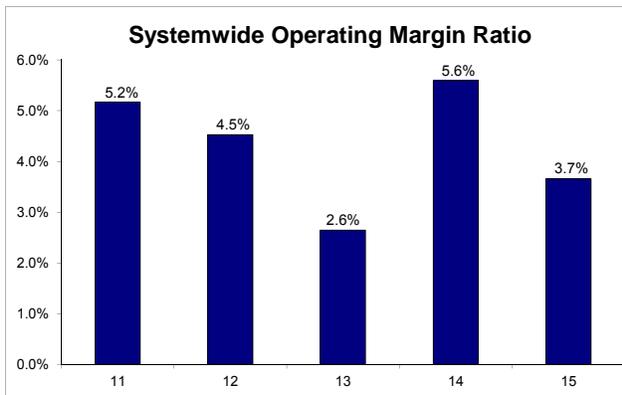
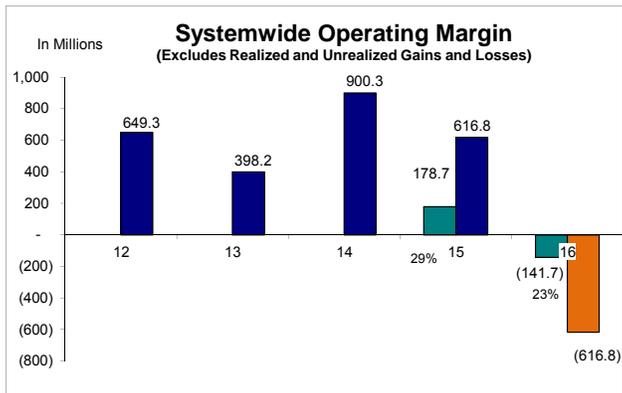
KEY INDICATORS OF REVENUES
ACTUAL 2012 THROUGH 2015
PROJECTED 2016
YEAR-TO-DATE 2015 AND 2016 FROM FEBRUARY MONTHLY FINANCIAL REPORT



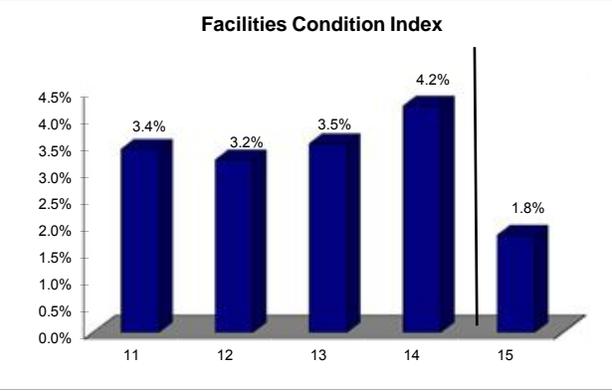
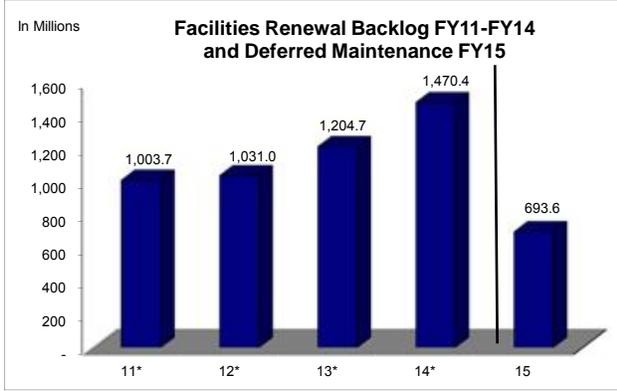
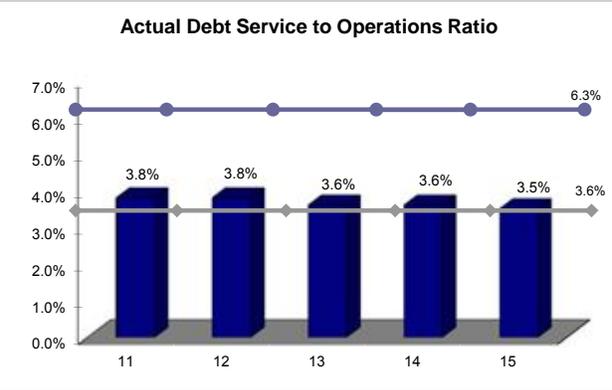
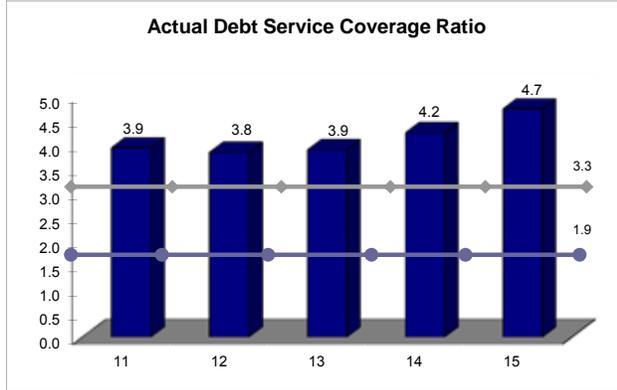
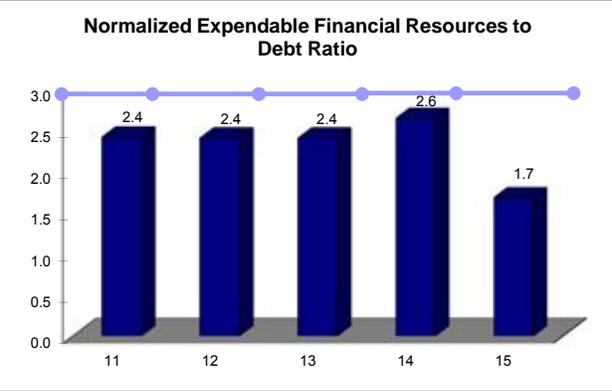
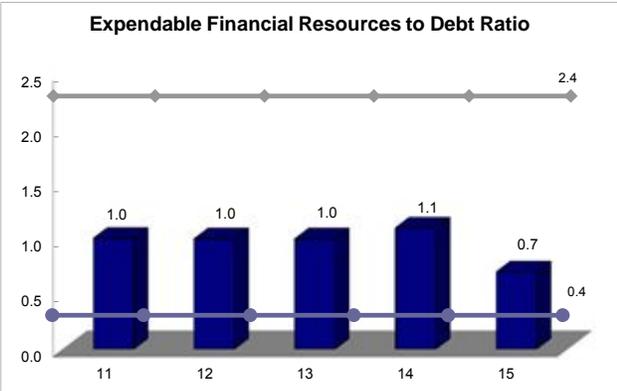
KEY INDICATORS OF EXPENSES
ACTUAL 2012 THROUGH 2015
PROJECTED 2016
YEAR-TO-DATE 2015 AND 2016 FROM FEBRUARY MONTHLY FINANCIAL REPORT



**KEY INDICATORS OF RESERVES
ACTUAL 2011 THROUGH 2015
PROJECTED 2016
YEAR-TO-DATE 2015 AND 2016 FROM FEBRUARY MONTHLY FINANCIAL REPORT**



**KEY INDICATORS OF CAPITAL NEEDS AND CAPACITY
2011 THROUGH 2015**

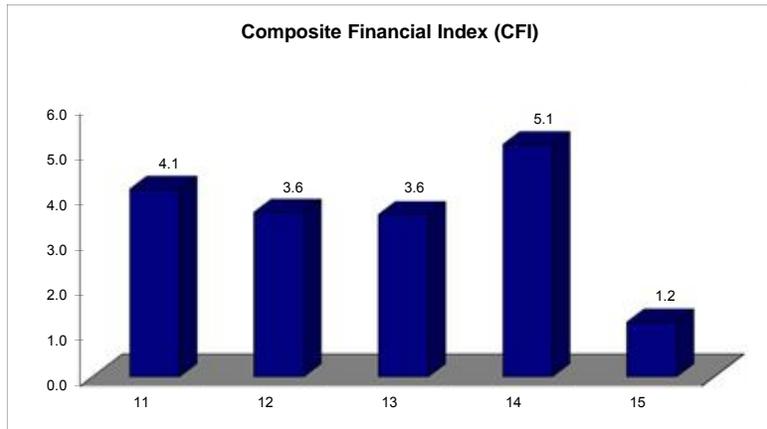


*For FY 2011 through 2014 the source of backlog data is the Facilities' Renewal Model (FRRM) and those systems that have exceeded their Life Cycle Age. Beginning in FY 2015, the deferred maintenance data is taken from the new annual BOR Campus Condition Report and the facilities' executives assessment of those systems that have failed or will fail within the current budget cycle (within one year).

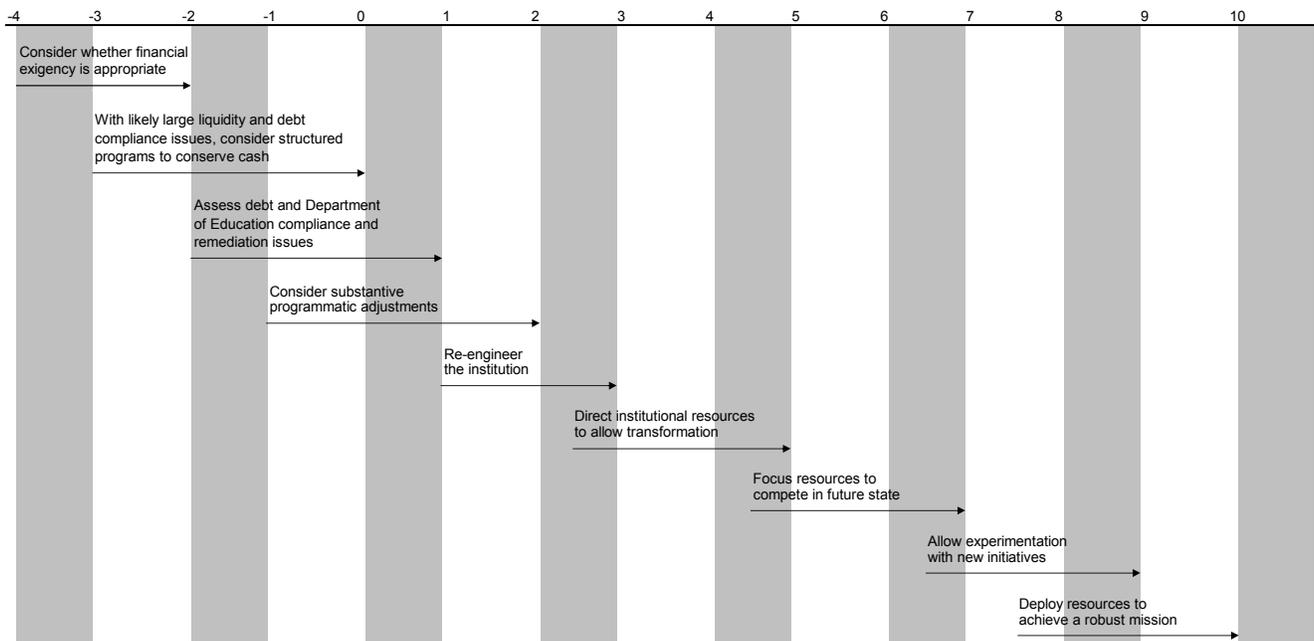
Note: Line between FY 2014 and 2015 indicates a change in the source data.

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**KEY INDICATORS OF FINANCIAL HEALTH
2011 THROUGH 2015**

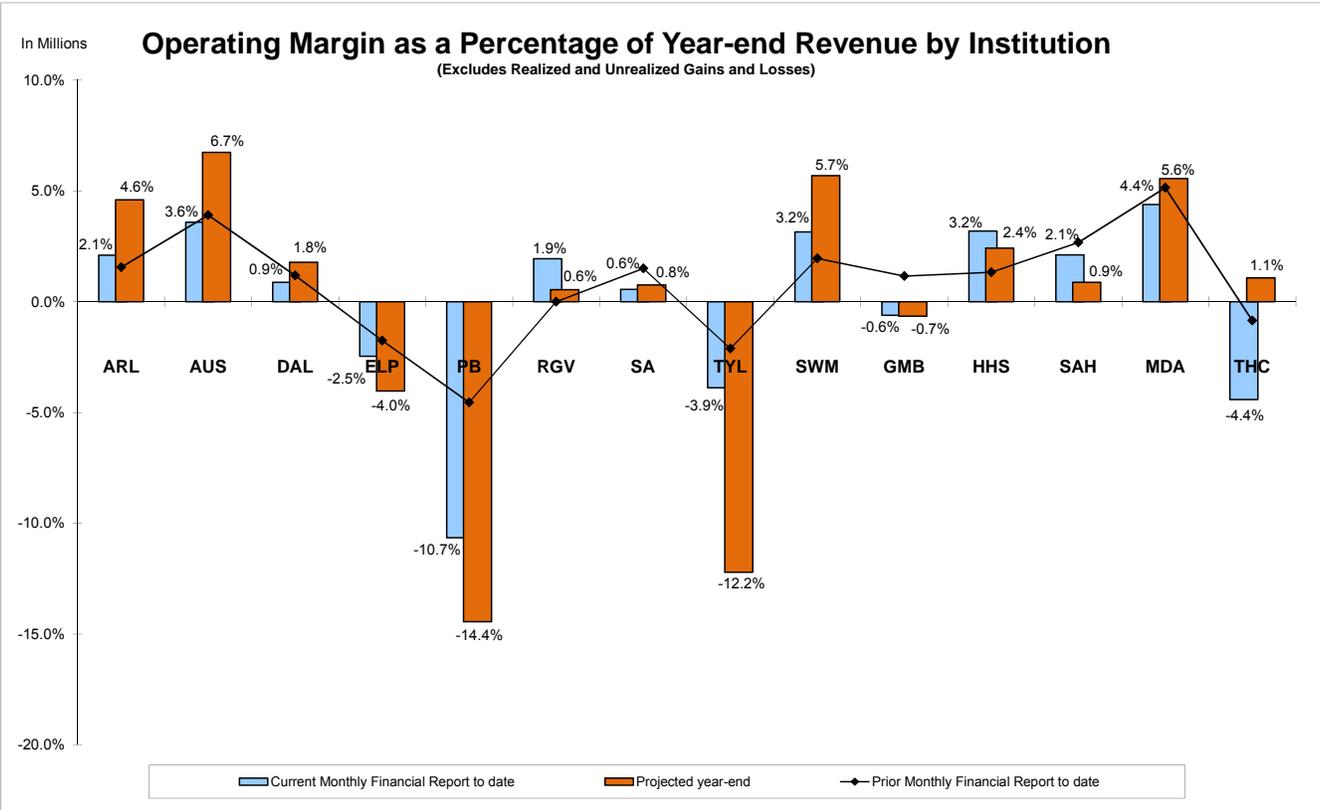
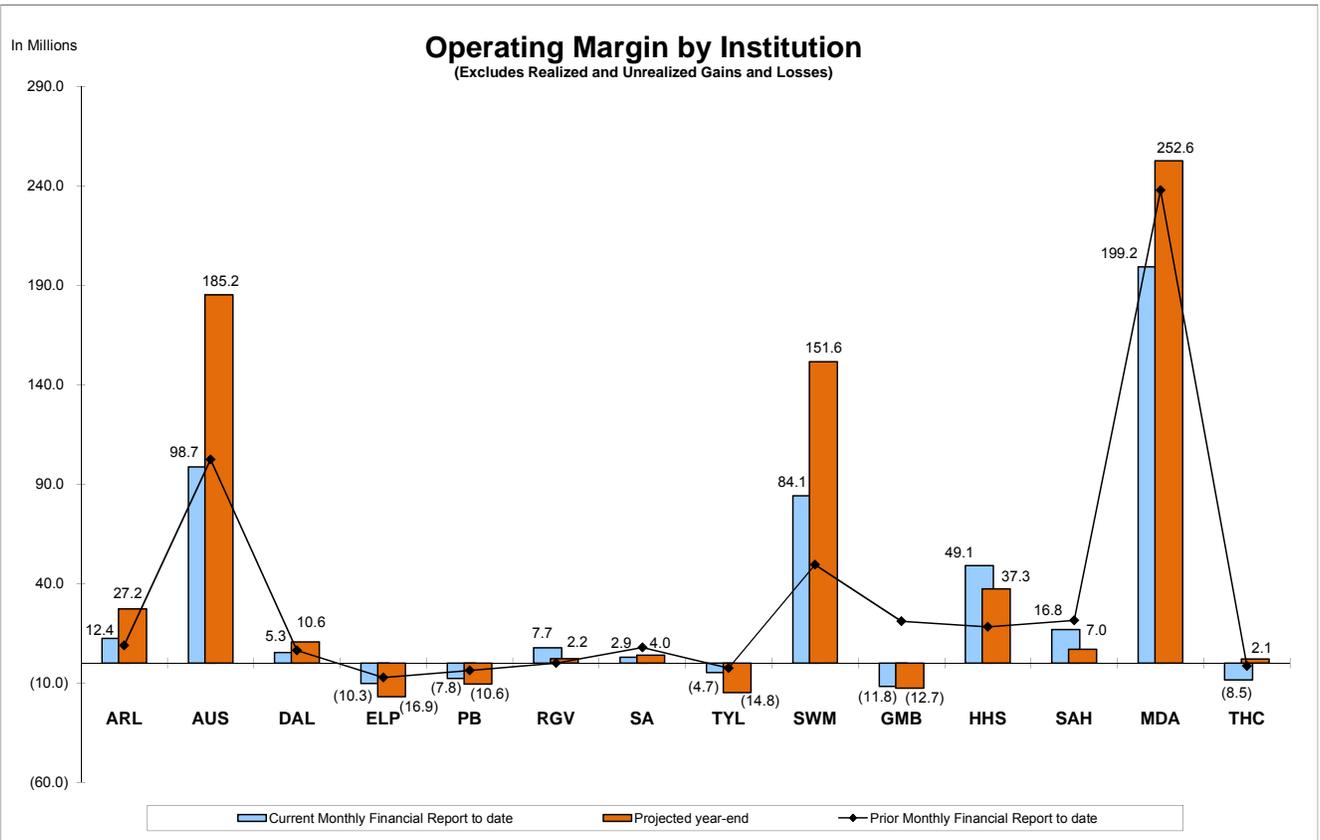


Scale for Charting CFI Performance



Source: Strategic Financial Analysis for Higher Education, Seventh Edition

KEY INDICATORS OF RESERVES YEAR-TO-DATE 2015 AND 2016 FROM FEBRUARY MONTHLY FINANCIAL REPORT PROJECTED 2016 YEAR-END MARGIN



THE UNIVERSITY OF TEXAS SYSTEM
OFFICE OF THE CONTROLLER

MONTHLY FINANCIAL REPORT
(unaudited)

MARCH 2016



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512.499.4527
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**THE UNIVERSITY OF TEXAS SYSTEM
MONTHLY FINANCIAL REPORT
(Unaudited)
FOR THE SEVEN MONTHS ENDING
March 31, 2016**

**The University of Texas System
Monthly Financial Report**

Foreword

The Monthly Financial Report (MFR) compares the results of operations between the current year-to-date cumulative amounts and the prior year-to-date cumulative amounts. Explanations are provided for institutions having the largest variances in Adjusted Income (Loss) year-to-date as compared to the prior year, both in terms of dollars and percentages. In addition, although no significant variance may exist, institutions with losses may be discussed.

The data is reported in three sections: (1) Operating Revenues, (2) Operating Expenses, and (3) Other Nonoperating Adjustments. Presentation of state appropriation revenues are required under GASB 35 to be reflected as nonoperating revenues, so all institutions will report an Operating Loss prior to this adjustment. The MFR provides an Adjusted Income (Loss), which takes into account the nonoperating adjustments associated with core operating activities. An Adjusted Margin (as a percentage of operating and nonoperating revenue adjustments) is calculated for each period and is intended to reflect relative operating contributions to financial health.

UNAUDITED

The University of Texas System Consolidated
 Monthly Financial Report, Comparison of Operating Results and Margin
 For the Period Ending March 31, 2016

	March Year-to-Date FY 2016	March Year-to-Date FY 2015	Variance	Fluctuation Percentage
Operating Revenues				
Net Student Tuition and Fees	957,955,280.56	885,589,210.17	72,366,070.39	8.2%
Sponsored Programs	1,795,627,618.51	1,629,691,108.13	165,936,510.38	10.2%
Net Sales and Services of Educational Activities	363,160,571.04	346,986,394.20	16,174,176.84	4.7%
Net Sales and Services of Hospitals	3,125,647,854.25	2,975,889,583.88	149,758,270.37	5.0%
Net Professional Fees	957,804,352.55	870,472,329.07	87,332,023.48	10.0%
Net Auxiliary Enterprises	360,809,591.61	343,368,667.75	17,440,923.86	5.1%
Other Operating Revenues	259,944,938.51	227,719,681.98	32,225,256.53	14.2%
Total Operating Revenues	7,820,950,207.03	7,279,716,975.18	541,233,231.85	7.4%
Operating Expenses				
Salaries and Wages	4,657,822,206.98	4,241,916,177.72	415,906,029.26	9.8%
Payroll Related Costs	1,264,680,089.15	1,110,163,127.76	154,516,961.39	13.9%
Cost of Goods Sold	85,926,963.88	74,126,778.08	11,800,185.80	15.9%
Professional Fees and Services	314,794,004.82	236,402,242.08	78,391,762.74	33.2%
Other Contracted Services	467,376,756.16	444,085,538.42	23,291,217.74	5.2%
Travel	80,371,587.71	74,137,401.65	6,234,186.06	8.4%
Materials and Supplies	1,030,188,693.86	949,092,741.85	81,095,952.01	8.5%
Utilities	159,423,667.93	161,763,533.73	(2,339,865.80)	-1.4%
Communications	67,218,682.53	67,561,983.41	(343,300.88)	-0.5%
Repairs and Maintenance	187,069,292.48	172,768,801.32	14,300,491.16	8.3%
Rentals and Leases	99,137,237.34	93,350,948.95	5,786,288.39	6.2%
Printing and Reproduction	21,832,603.11	20,144,381.61	1,688,221.50	8.4%
Bad Debt Expense	561,534.61	612,121.38	(50,586.77)	-8.3%
Claims and Losses	5,451,402.66	30,826,325.55	(25,374,922.89)	-82.3%
Increase in Net OPEB Obligation	388,991,548.75	326,590,952.92	62,400,595.83	19.1%
Pension Expense	124,188,614.35	-	124,188,614.35	100.0%
Scholarships and Fellowships	264,421,854.02	225,568,984.93	38,852,869.09	17.2%
Depreciation and Amortization	740,516,200.34	667,839,654.31	72,676,546.03	10.9%
Federal Sponsored Program Pass-Through to Other State Agencies	15,617,314.73	11,123,431.04	4,493,883.69	40.4%
State Sponsored Program Pass-Through to Other State Agencies	10,973,801.85	1,672,831.21	9,300,970.64	556.0%
Other Operating Expenses	262,687,623.84	248,188,488.04	14,499,135.80	5.8%
Total Operating Expenses	10,249,251,681.10	9,157,936,445.96	1,091,315,235.14	11.9%
Operating Loss	(2,428,301,474.07)	(1,878,219,470.78)	(550,082,003.29)	-29.3%
Other Nonoperating Adjustments				
State Appropriations	1,318,904,661.42	1,182,117,613.75	136,787,047.67	11.6%
Nonexchange Sponsored Programs	208,773,612.15	156,686,665.94	52,086,946.21	33.2%
Gift Contributions for Operations	300,918,649.54	296,741,147.84	4,177,501.70	1.4%
Net Investment Income	525,845,686.13	596,387,710.48	(70,542,024.35)	-11.8%
Interest Expense on Capital Asset Financings	(159,452,753.75)	(158,786,222.42)	(666,531.33)	-0.4%
Net Other Nonoperating Adjustments	2,194,989,855.49	2,073,146,915.59	121,842,939.90	5.9%
Adjusted Income (Loss) including Depreciation & Amortization	(233,311,618.58)	194,927,444.81	(428,239,063.39)	-219.7%
Adjusted Margin % including Depreciation & Amortization	-2.3%	2.0%		
Investment Gain (Losses)	(1,222,227,534.72)	149,309,079.32	(1,371,536,614.04)	-918.6%
Adj. Inc. (Loss) with Investment Gains (Losses)	(1,455,539,153.30)	344,236,524.13	(1,799,775,677.43)	-522.8%
Adj. Margin % with Investment Gains (Losses)	-16.3%	3.6%		
Adjusted Income (Loss) excluding Depreciation & Amortization	507,204,581.76	862,767,099.12	(355,562,517.36)	-41.2%
Adjusted Margin % excluding Depreciation & Amortization	5.0%	9.1%		

**The University of Texas System
Comparison of Adjusted Income (Loss)
For the Seven Months Ending March 31, 2016**

	Including Depreciation and Amortization Expense			
	March	March	Variance	Fluctuation Percentage
	Year-to-Date FY 2016	Year-to-Date FY 2015		
U. T. System Administration	\$ (485,556,831.45)	\$ (164,704,834.41)	(320,851,997.04)	(1) -194.8%
U. T. Arlington	23,555,053.75	8,386,913.39	15,168,140.36	(2) 180.9%
U. T. Austin	124,610,715.07	114,261,375.75	10,349,339.32	9.1%
U. T. Brownsville	(640,964.65)	(5,528,427.55)	4,887,462.90	88.4%
U. T. Dallas	4,406,214.94	4,959,089.27	(552,874.33)	-11.1%
U. T. El Paso	(11,138,662.30)	(8,064,301.47)	(3,074,360.83)	(3) -38.1%
U. T. Permian Basin	(3,263,086.51)	(3,938,847.29)	675,760.78	(4) 17.2%
U. T. Rio Grande Valley	7,670,604.43	-	7,670,604.43	(5) 100.0%
U. T. San Antonio	3,099,158.19	9,312,859.13	(6,213,700.94)	(6) -66.7%
U. T. Tyler	(6,518,211.60)	(4,065,666.33)	(2,452,545.27)	(7) -60.3%
U. T. Southwestern Medical Center	119,451,557.31	60,171,756.24	59,279,801.07	(8) 98.5%
U. T. Medical Branch - Galveston	(13,311,551.83)	20,235,084.77	(33,546,636.60)	(9) -165.8%
U. T. Health Science Center - Houston	53,916,808.49	17,901,402.92	36,015,405.57	(10) 201.2%
U. T. Health Science Center - San Antonio	13,669,697.80	19,802,292.00	(6,132,594.20)	(11) -31.0%
U. T. M. D. Anderson Cancer Center	122,906,414.92	283,417,600.71	(160,511,185.79)	(12) -56.6%
U. T. Health Science Center - Tyler	(8,393,419.56)	(1,619,257.76)	(6,774,161.80)	(13) -418.3%
Elimination of AUF Transfer	(177,775,115.58)	(155,599,594.56)	(22,175,521.02)	-14.3%
Total Adjusted Income (Loss)	(233,311,618.58)	194,927,444.81	(428,239,063.39)	-219.7%
Investment Gains (Losses)	(1,222,227,534.72)	149,309,079.32	(1,371,536,614.04)	-918.6%
Total Adjusted Income (Loss) with Investment Gains (Losses) Including Depreciation and Amortization	\$ (1,455,539,153.30)	\$ 344,236,524.13	\$ (1,799,775,677.43)	-522.8%

	Excluding Depreciation and Amortization Expense			
	March	March	Variance	Fluctuation Percentage
	Year-to-Date FY 2016	Year-to-Date FY 2015		
U. T. System Administration	\$ (475,114,297.69)	\$ (154,274,423.26)	(320,839,874.43)	-208.0%
U. T. Arlington	50,258,275.78	34,626,970.58	15,631,305.20	45.1%
U. T. Austin	268,985,715.07	254,261,375.75	14,724,339.32	5.8%
U. T. Brownsville	(285,810.06)	(570,978.05)	285,167.99	49.9%
U. T. Dallas	41,495,714.94	38,560,900.49	2,934,814.45	7.6%
U. T. El Paso	7,110,582.37	9,446,312.54	(2,335,730.17)	-24.7%
U. T. Permian Basin	4,883,163.49	3,662,334.14	1,220,829.35	33.3%
U. T. Rio Grande Valley	29,747,306.94	-	29,747,306.94	100.0%
U. T. San Antonio	31,014,836.58	37,781,865.42	(6,767,028.84)	-17.9%
U. T. Tyler	1,586,684.13	2,961,581.09	(1,374,896.96)	-46.4%
U. T. Southwestern Medical Center	210,864,671.73	148,633,280.81	62,231,390.92	41.9%
U. T. Medical Branch - Galveston	55,453,183.58	78,445,127.29	(22,991,943.71)	-29.3%
U. T. Health Science Center - Houston	89,916,303.38	52,753,646.64	37,162,656.74	70.4%
U. T. Health Science Center - San Antonio	44,586,364.47	50,427,292.00	(5,840,927.53)	-11.6%
U. T. M. D. Anderson Cancer Center	326,532,063.47	456,797,191.40	(130,265,127.93)	-28.5%
U. T. Health Science Center - Tyler	(2,055,060.84)	4,854,216.84	(6,909,277.68)	-142.3%
Elimination of AUF Transfer	(177,775,115.58)	(155,599,594.56)	(22,175,521.02)	-14.3%
Total Adjusted Income (Loss)	507,204,581.76	862,767,099.12	(355,562,517.36)	-41.2%
Total Adjusted Income (Loss) Excluding Depreciation and Amortization	\$ 507,204,581.76	\$ 862,767,099.12	\$ (355,562,517.36)	-41.2%

THE UNIVERSITY OF TEXAS SYSTEM
EXPLANATION OF VARIANCES ON THE MONTHLY FINANCIAL REPORT
For the Seven Months Ending March 31, 2016

Explanations are provided for institutions having the largest variances in adjusted income (loss) year-to-date as compared to the prior year, both in terms of dollars and percentages. Explanations are also provided for institutions with a current year-to-date adjusted loss and/or a projected year-to-date loss.

- (1) U. T. System Administration - The \$320.9 million (194.8%) increase in adjusted loss over the same period last year was primarily due to a decrease in oil and gas royalties, which are a component of net investment income. Additionally, GASB Statement No. 68, *Accounting and Financial Reporting for Pensions*, became effective in 2015. *U. T. System Administration* recognized an accrual of \$124.2 million for the entire *U. T. System* for the first seven months of 2016; however, in 2015 the pension expense was not recognized until July. Also contributing to the increase in the adjusted loss was an increase of \$62.4 million in the accrual for Other Postemployment Benefits (OPEB) expense for the entire *U. T. System*. Finally, in 2015, *U. T. System Administration* recognized \$41.6 million of gifts for operations for *U. T. Rio Grande Valley* with no comparable gifts in 2016. As a result of these factors, *U. T. System Administration* incurred a year-to-date loss of \$485.6 million. Excluding depreciation and amortization expense, *U. T. System Administration's* adjusted loss was \$475.1 million or -218.7% of revenues. *U. T. System Administration* anticipates ending the year with a \$905.2 million loss, -302.2% of projected revenues, which includes \$17.9 million of depreciation and amortization expense, as well as a \$666.8 million accrual for OPEB and a \$212.9 million accrual for pension expense.
- (2) U. T. Arlington - The \$15.2 million (180.9%) increase in adjusted income over the same period last year was primarily attributable to an increase in nonexchange sponsored programs as a result of increased Texas Research Incentive Program funds received in 2016 and an increase in state appropriations. Excluding depreciation and amortization expense, *U. T. Arlington's* adjusted income was \$50.3 million or 14.7% of revenues.
- (3) U. T. El Paso - The \$3.1 million (38.1%) increase in adjusted loss over the same period last year was largely attributable to an increase in salaries and wages and payroll related costs due to merit increases implemented in 2016. As a result, *U. T. El Paso* incurred a year-to-date loss of \$11.1 million. Other factors contributing to the loss include the following: an increase in tuition exemption scholarship expense, primarily related to the Hazelwood and Hazelwood Legacy programs; and an increase in depreciation expense over the last five years as a result of the rapid growth of buildings and research infrastructure on campus. Excluding depreciation and amortization expense, *U. T. El Paso's* adjusted income was \$7.1 million or 2.9% of revenues. *U. T. El Paso* anticipates ending the year with a \$13.9 million loss, -3.2% of projected revenues, which includes \$32.0 million of depreciation and amortization expense. *U. T. El Paso* is implementing measures to reduce spending, including a hiring freeze on positions that are not mission critical, utility savings efforts, and other cost savings measures.
- (4) U. T. Permian Basin incurred a year-to-date loss of \$3.3 million primarily due to the following: an increase in salaries and wages and payroll related costs driven by merit increases and additional faculty to accommodate the increased enrollment; an increase in payroll related costs attributable to increased premium sharing rates; and a decrease in gift contributions for operations as a result of large contributions for the football program received in 2015 with no comparable gifts received in 2016. Excluding depreciation and amortization expense, *U. T. Permian Basin's* adjusted income was \$4.9 million or 10.3% of revenues. *U. T. Permian Basin* anticipates ending the year with a \$5.5 million loss, -7.4% of projected revenues, which includes \$13.0 million of depreciation and amortization expense.
- (5) U. T. San Antonio - The \$6.2 million (66.7%) decrease in adjusted income over the same period last year was primarily due to increases in salaries and wages and payroll related costs as a result of merit increases. Additionally, the monthly amount for Retiree Premium Sharing was not recorded in the prior year, which also contributed to the increase in payroll related costs. Excluding depreciation and amortization expense, *U. T. San Antonio's* adjusted income was \$31.0 million or 10.1% of revenues.
- (6) U. T. Tyler - The \$2.5 million (60.3%) increase in adjusted loss over the same period last year was largely due to an increase in salaries and wages and payroll related costs driven by merit and market increases. The number of full-time equivalents also increased as a result of the new College of Pharmacy and the implementation of PeopleSoft. As a result of these factors, combined with an increase in depreciation and amortization expense for the new Pharmacy Building, *U. T. Tyler* incurred a year-to-date loss of \$6.5 million. Excluding depreciation and amortization expense, *U. T. Tyler's* adjusted income was \$1.6 million or 2.2% of revenues. *U. T. Tyler* anticipates ending the year with a \$13.6 million loss, -11.0% of projected revenues, which includes \$14.6 million of depreciation and amortization expense. The projected loss is the result of an increase in personnel and renovation projects across the campus not meeting the threshold for capitalization.

- (7) U. T. Southwestern Medical Center - The \$59.3 million (98.5%) increase in adjusted income over the same period last year was primarily attributable to an increase in net sales and services of hospitals as a result of increased outpatient and inpatient revenue and an increase in net professional fees attributable to an increase in volume in managed care, Medicare and charity care. Other operating revenues also increased primarily due to increases in revenue from the Delivery System Reform Incentive Payments (DSRIP) and the Network Access Improvement Program. These increases in revenue were partially offset by increases in salaries and wages and payroll related costs as a result of additional employees, a 3% merit increase and increased premium sharing rates. Excluding depreciation and amortization expense, *Southwestern's* adjusted income was \$210.9 million or 13.2% of revenues.
- (8) U. T. Medical Branch - Galveston - The \$33.5 million (165.8%) increase in adjusted loss as compared to adjusted income over the same period last year was primarily attributable to an increase in salaries and wages and payroll related costs due to merit increases, and additional staffing resulting from clinical recruitment and preparation for the opening of Jennie Sealy and League City Hospitals. Payroll related costs also increased as a result of increased premium sharing rates. Other operating revenues also decreased primarily due to decreased revenue from DSRIP and Meaningful Use revenues. As a result of these factors, *UTMB* incurred a year-to-date loss of \$13.3 million. Excluding depreciation and amortization, *UTMB's* adjusted income was \$55.5 million or 5.0% of revenues. *UTMB* anticipates ending the year with a \$12.2 million loss which represents -0.6% of projected revenues and includes \$132.5 million of depreciation and amortization expense.
- (9) U. T. Health Science Center - Houston - The \$36.0 million (201.2%) increase in adjusted income as compared to the same period last year was primarily attributable to an increase in sponsored program revenue related to growth of the physician practice plan. Net professional fees also increased due to an increase in gross charges as a result of faculty recruitments and the planned expansion and growth of the physician practice plan, as well as an increase in uncompensated care revenue. These revenue increases were partially offset by increased salaries and wages and payroll related costs as a result of the ongoing recruitment efforts related to the planned expansion and growth of the physician practice plan. Excluding depreciation and amortization expense, *UTHSC-Houston's* adjusted income was \$89.9 million or 9.9% of revenues.
- (10) U. T. Health Science Center - San Antonio - The \$6.1 million (31.0%) decrease in adjusted income over the same period last year was primarily due to increases in other contracted services, materials and supplies and professional fees and services. Other contracted services increased due to increases in service agreements associated with the South Texas DSRIP programs and sub-recipient payments related to federal sponsored programs. Materials and supplies increased as a result of increases in drug and medication supplies at the Cancer Therapy and Research Center Pharmacy and UT Medicine clinics due to increase in clinical volumes, as well as costs related to the new Center for Oral Health Care & Research building, which was placed into service in July 2015 and increased clinical and research laboratory supplies. Professional fees and services increased primarily due to engagements with external consultants to develop a clinical transformation strategy associated with the physician practice plan. Excluding depreciation and amortization expense, *UTHSC-San Antonio's* adjusted income was \$44.6 million or 9.3% of revenues.
- (11) U. T. M. D. Anderson Cancer Center - The \$160.5 million (56.6%) decrease in adjusted income over the same period last year was primarily attributable to an increase in expenses combined with a decrease in patient revenues as a result of the implementation of the new EPIC Electronic Health Record system (EHR). Expenses increased due to the following: salaries and wages and payroll related costs increased due to an increase in full-time employees, salary increases and increased premium sharing rates; professional fees and services increased as a result of increased consulting expenses primarily related to the EPIC EHR project; and depreciation and amortization increased as a result of the completion of several large projects such as the Zayed Building, which was placed into service in February 2015, and the EPIC EHR system, which was placed into service in March 2016, as well as various other facility management and software projects. Excluding depreciation and amortization expense, *M. D. Anderson's* adjusted income was \$326.5 million or 12.5% of revenues. *M. D. Anderson* anticipated a material impact to revenues and expenses as a result of the EPIC EHR implementation. The post implementation strategy will focus on clinical productivity and operational efficiencies to return to normalized operations by year-end.
- (12) U. T. Health Science Center - Tyler - The \$6.8 million (418.3%) increase in adjusted loss over the same period last year was primarily attributable to the following: a decrease in other operating revenues driven by a decrease of \$1.9 million in Meaningful Use and Physician Quality Reporting System healthcare incentive revenue; and an increase in salaries and wages and payroll related costs as a result of the hiring of 66 behavioral health employees due to the dissolution of the main psychiatric subcontractor, as well as the addition of new employees for the new Population/Community Health Program and for the opening of the clinic in Lindale. As a result of these factors, *UTHSC-Tyler* incurred a year-to-date loss of \$8.4 million. Excluding depreciation and amortization expense, *UTHSC-Tyler's* adjusted loss was \$2.1 million or -1.9% of revenues. *UTHSC-Tyler* anticipates ending the year with a positive margin of \$2.1 million as a result of adjustments to the workforce size currently underway, a 3% institution-wide expense reduction initiative implemented for the second half of 2016, and anticipated growth in clinical services with a corresponding increase in net patient revenue. This represents 1.1% of projected revenues and includes \$10.9 million of depreciation and amortization expense.

GLOSSARY OF TERMS

OPERATING REVENUES:

NET STUDENT TUITION - All student tuition and fee revenues earned at the UT institution for educational purposes, net of tuition discounting.

SPONSORED PROGRAMS - Funding received from local, state and federal governments or private agencies, organizations or individuals, excluding Federal Pell Grant Program which is reported as nonoperating. Includes amounts received for services performed on grants, contracts, and agreements from these entities for current operations. This also includes indirect cost recoveries and pass-through federal and state grants.

NET SALES AND SERVICES OF EDUCATIONAL ACTIVITIES - Revenues that are related to the conduct of instruction, research, and public service and revenues from activities that exist to provide an instructional and laboratory experience for students that create goods and services that may be sold.

NET SALES AND SERVICES OF HOSPITALS - Revenues (net of discounts, allowances, and bad debt expense) generated from UT health institution's daily patient care, special or other services, as well as revenues from health clinics that are part of a hospital.

NET PROFESSIONAL FEES - Revenues (net of discounts, allowances, and bad debt expense) derived from the fees charged by the professional staffs at UT health institutions as part of the Medical Practice Plans. These revenues are also identified as Practice Plan income. Examples of such fees include doctor's fees for clinic visits, medical and dental procedures, professional opinions, and anatomical procedures, such as analysis of specimens after a surgical procedure, etc.

NET AUXILIARY ENTERPRISES - Revenues derived from a service to students, faculty, or staff in which a fee is charged that is directly related to, although not necessarily equal to the cost of the service (e.g., bookstores, dormitories, dining halls, snack bars, inter-collegiate athletic programs, etc.).

OTHER OPERATING REVENUES - Other revenues generated from sales or services provided to meet current fiscal year operating expenses, which are not included in the preceding categories (e.g., certified nonprofit healthcare company revenues, donated drugs, interest on student loans, etc.) Other receipts for settlements, judgments and lawsuits are considered nonoperating revenues.

OPERATING EXPENSES:

SALARIES AND WAGES - Expenses for all salaries and wages of individuals employed by the institution including full-time, part-time, longevity, hourly, seasonal, etc. Includes salary augmentation and incentive compensation.

PAYROLL RELATED COSTS - Expenses for all employee benefits paid by the institution or paid by the state on behalf of the institution. Includes supplemental retirement annuities.

COST OF GOODS SOLD - Purchases of goods for resale and raw materials purchased for use in the manufacture of products intended for sale to others.

PROFESSIONAL FEES AND SERVICES - Payments for services rendered on a fee, contract, or other basis by a person, firm, corporation, or company recognized as possessing a high degree of learning and responsibility. Includes such items as services of a consultant, legal counsel, financial or audit fees, medical contracted services, guest lecturers (not employees) and expert witnesses.

OTHER CONTRACTED SERVICES - Payments for services rendered on a contractual basis by a person, firm, corporation or company that possess a lesser degree of learning and responsibility than that required for Professional Fees and Services. Includes such items as temporary employment expenses, janitorial services, dry cleaning services, etc.

TRAVEL - Payments for travel costs incurred by employees and board members for meetings and training.

MATERIALS AND SUPPLIES - Payments for consumable items. Includes, but is not limited to: computer consumables, office supplies, paper products, soap, lights, plants, fuels and lubricants, chemicals and gasses, medical supplies and copier supplies. Also includes postal services, and subscriptions and other publications not for permanent retention.

UTILITIES - Payments for the purchase of electricity, natural gas, water, and thermal energy.

COMMUNICATIONS - Electronically transmitted communications services (telephone, internet, computation center services, etc.).

REPAIRS AND MAINTENANCE - Payments for the maintenance and repair of equipment, furnishings, motor vehicles, buildings and other plant facilities, and waste disposal. Includes, but is not limited to repair and maintenance to copy machines, furnishings, equipment - including medical and laboratory equipment, office equipment and aircraft.

RENTALS AND LEASES - Payments for rentals or leases of furnishings and equipment, vehicles, land and office buildings (all rental of space).

PRINTING AND REPRODUCTION - Printing and reproduction costs associated with the printing/copying of the institution's documents and publications.

BAD DEBT EXPENSE - Expenses incurred by the university related to nonrevenue receivables such as non-payment of student loans.

CLAIMS AND LOSSES - Payments for claims from self-insurance programs. Other claims for settlements, judgments and lawsuits are considered nonoperating expenses.

INCREASE IN NET OPEB OBLIGATION - The change in the actuarially estimated liability of the cost of providing healthcare benefits to UT System's employees after they separate from employment (retire).

PENSION EXPENSE - An estimate of year-end expense which will be allocated from the Texas Comptroller's Office based upon prior year amounts.

SCHOLARSHIPS AND FELLOWSHIPS - Payments made for scholarship grants to students authorized by law, net of tuition discounting.

DEPRECIATION AND AMORTIZATION - Depreciation on capital assets and amortization expense on intangible assets.

FEDERAL SPONSORED PROGRAM PASS-THROUGHS TO OTHER STATE AGENCIES - Pass-throughs to other Texas state agencies, including other universities, of federal grants and contracts.

STATE SPONSORED PROGRAM PASS-THROUGHS TO OTHER STATE AGENCIES - Pass-throughs to other Texas state agencies, including Texas universities.

OTHER OPERATING EXPENSES - Other operating expenses not identified in other line items above (e.g., certified non-profit healthcare company expenses, property taxes, insurance premiums, credit card fees, hazardous waste disposal expenses, meetings and conferences, etc.). Other claims for settlements, judgments and lawsuits are considered nonoperating expenses.

OPERATING LOSS - Total operating revenues less total operating expenses before other nonoperating adjustments like state appropriations.

OTHER NONOPERATING ADJUSTMENTS:

STATE APPROPRIATIONS - Appropriations from the State General Revenue fund, which supplement the UT institutional revenue in meeting operating expenses, such as faculty salaries, utilities, and institutional support.

NONEXCHANGE SPONSORED PROGRAMS - Funding received for the Federal Pell Grant Program, the portion of "state appropriations" funded by the American Recovery and Reinvestment Act, Texas Research Incentive Program (TRIP) and Enrollment Growth funding.

GIFT CONTRIBUTIONS FOR OPERATIONS - Consist of gifts from donors received for use in current operations, excluding gifts for capital acquisition and endowment gifts. Gifts for capital acquisition which can only be used to build or buy capital assets are excluded because they cannot be used to support current operations. Endowment gifts must be held in perpetuity and cannot be spent. The distributed income from endowment gifts must be spent according to the donor's stipulations.

NET INVESTMENT INCOME (on institutions' sheets) - Interest and dividend income on treasury balances, bank accounts, Short Term Fund, Intermediate Term Fund and Long Term Fund. It also includes distributed earnings from the Permanent Health Fund and patent and royalty income.

NET INVESTMENT INCOME (on the consolidated sheet) - Interest and dividend earnings of the Permanent University Fund, Short Term Fund, Intermediate Term Fund, Long Term Fund and Permanent Health Fund. This line item also includes the Available University Fund surface income, oil and gas royalties, and mineral lease bonus sales.

INTEREST EXPENSE ON CAPITAL ASSET FINANCINGS - Interest expenses associated with bond and note borrowings utilized to finance capital improvement projects by an institution. This consists of the interest portion of mandatory debt service transfers under the Revenue Financing System, Tuition Revenue bond and Permanent University Fund (PUF) bond programs. PUF interest expense is reported on System Administration as the debt legally belongs to the Board of Regents.

ADJUSTED INCOME (LOSS) including Depreciation and Amortization - Total operating revenues less total operating expenses including depreciation and amortization expense plus net other nonoperating adjustments.

ADJUSTED MARGIN % including Depreciation and Amortization - Percentage of Adjusted Income (Loss) including depreciation and amortization expense divided by Total Operating Revenues plus Net Nonoperating Adjustments less Interest Expense on Capital Asset Financings.

AVAILABLE UNIVERSITY FUND TRANSFER - Includes Available University Fund (AUF) transfer to System Administration for Educational and General operations and to UT Austin for Excellence Funding. These transfers are funded by investment earnings from the Permanent University Fund (PUF), which are required by law to be reported in the PUF at System Administration. On the MFR, investment income for System Administration has been reduced for the amount of the System Administration transfer so as not to overstate investment income for System Administration. The AUF transfers are eliminated at the consolidated level to avoid overstating System-wide revenues, as the amounts will be reflected as transfers at year-end.

INVESTMENT GAINS (LOSSES) - Realized and unrealized gains and losses on investments.

ADJUSTED INCOME (LOSS) excluding Depreciation and Amortization - Total operating revenues less total operating expenses excluding depreciation and amortization expense plus net other nonoperating adjustments.

ADJUSTED MARGIN % excluding Depreciation and Amortization - Percentage of Adjusted Income (Loss) excluding depreciation and amortization expense divided by Total Operating Revenues plus Net Nonoperating Adjustments less Interest Expense on Capital Asset Financings.

UNAUDITED

The University of Texas System Administration
 Monthly Financial Report, Comparison of Operating Results and Margin
 For the Period Ending March 31, 2016

	March Year-to-Date FY 2016	March Year-to-Date FY 2015	Variance	Fluctuation Percentage
Operating Revenues				
Sponsored Programs	11,631,794.00	7,172,414.48	4,459,379.52	62.2%
Net Sales and Services of Educational Activities	24,147,196.07	17,543,946.86	6,603,249.21	37.6%
Other Operating Revenues	15,286,810.39	12,243,127.73	3,043,682.66	24.9%
Total Operating Revenues	51,065,800.46	36,959,489.07	14,106,311.39	38.2%
Operating Expenses				
Salaries and Wages	38,020,732.36	34,229,665.75	3,791,066.61	11.1%
Payroll Related Costs	8,624,368.54	8,394,350.79	230,017.75	2.7%
Professional Fees and Services	8,671,708.18	8,281,795.50	389,912.68	4.7%
Other Contracted Services	15,342,152.01	16,330,116.62	(987,964.61)	-6.0%
Travel	887,253.00	876,963.42	10,289.58	1.2%
Materials and Supplies	12,769,789.26	5,815,208.50	6,954,580.76	119.6%
Utilities	193,850.79	229,459.84	(35,609.05)	-15.5%
Communications	6,086,758.44	4,883,717.70	1,203,040.74	24.6%
Repairs and Maintenance	15,310,072.95	4,122,457.66	11,187,615.29	271.4%
Rentals and Leases	3,393,535.52	2,267,588.80	1,125,946.72	49.7%
Printing and Reproduction	1,048,401.17	210,040.07	838,361.10	399.1%
Claims and Losses	5,451,402.66	30,826,325.55	(25,374,922.89)	-82.3%
Increase in Net OPEB Obligation	388,991,548.75	326,590,952.92	62,400,595.83	19.1%
Pension Expense	124,188,614.35	-	124,188,614.35	100.0%
Scholarships and Fellowships	393,891.67	398,500.00	(4,608.33)	-1.2%
Depreciation and Amortization	10,442,533.76	10,430,411.15	12,122.61	0.1%
State Sponsored Program Pass-Through to Other State Agencies	1,112,462.12	973,024.35	139,437.77	14.3%
Other Operating Expenses	23,987,369.06	18,862,174.50	5,125,194.56	27.2%
Total Operating Expenses	664,916,444.59	473,722,753.12	191,193,691.47	40.4%
Operating Loss	(613,850,644.13)	(436,763,264.05)	(177,087,380.08)	-40.5%
Other Nonoperating Adjustments				
State Appropriations	1,654,901.11	1,001,375.64	653,525.47	65.3%
Nonexchange Sponsored Programs	13,712,379.80	13,574,111.80	138,268.00	1.0%
Gift Contributions for Operations	881,522.07	42,613,101.98	(41,731,579.91)	-97.9%
Net Investment Income	89,758,103.22	211,550,478.01	(121,792,374.79)	-57.6%
Interest Expense on Capital Asset Financings	(37,884,758.35)	(38,733,628.37)	848,870.02	2.2%
Net Other Nonoperating Adjustments	68,122,147.85	230,005,439.06	(161,883,291.21)	-70.4%
Adjusted Income (Loss) including Depreciation & Amortization	(545,728,496.28)	(206,757,824.99)	(338,970,671.29)	-163.9%
Adjusted Margin % including Depreciation & Amortization	-347.4%	-67.6%		
Available University Fund Transfer	60,171,664.83	42,052,990.58	18,118,674.25	43.1%
Adjusted Income (Loss) with AUF Transfer	(485,556,831.45)	(164,704,834.41)	(320,851,997.04)	-194.8%
Adjusted Margin % with AUF Transfer	-223.5%	-47.4%		
Investment Gain (Losses)	(571,598,248.97)	264,196,464.72	(835,794,713.69)	-316.4%
Adj. Inc. (Loss) with AUF Transfer & Invest. Gains (Losses)	(1,057,155,080.42)	\$99,491,630.31	(1,156,646,710.73)	-1,162.6%
Adj. Margin % with AUF Transfer & Invest. Gains (Losses)	298.3%	16.3%		
Adjusted Income (Loss) with AUF Transfer excluding Depreciation & Amortization	(475,114,297.69)	(154,274,423.26)	(320,839,874.43)	-208.0%
Adjusted Margin % with AUF Transfer excluding Depreciation & Amortization	-218.7%	-44.4%		

UNAUDITED

The University of Texas at Arlington
 Monthly Financial Report, Comparison of Operating Results and Margin
 For the Period Ending March 31, 2016

	March Year-to-Date FY 2016	March Year-to-Date FY 2015	Variance	Fluctuation Percentage
Operating Revenues				
Net Student Tuition and Fees	143,024,341.81	132,949,770.99	10,074,570.82	7.6%
Sponsored Programs	38,284,667.65	41,679,176.19	(3,394,508.54)	-8.1%
Net Sales and Services of Educational Activities	11,326,892.60	12,687,564.00	(1,360,671.40)	-10.7%
Net Auxiliary Enterprises	23,795,175.43	21,480,977.21	2,314,198.22	10.8%
Other Operating Revenues	5,395,264.13	4,312,864.13	1,082,400.00	25.1%
Total Operating Revenues	221,826,341.62	213,110,352.52	8,715,989.10	4.1%
Operating Expenses				
Salaries and Wages	146,105,563.29	143,121,777.16	2,983,786.13	2.1%
Payroll Related Costs	35,960,137.32	33,156,313.70	2,803,823.62	8.5%
Cost of Goods Sold	3,622.76	4,687.69	(1,064.93)	-22.7%
Professional Fees and Services	5,366,058.37	5,487,306.97	(121,248.60)	-2.2%
Other Contracted Services	32,196,174.35	30,745,667.48	1,450,506.87	4.7%
Travel	3,956,893.59	3,603,760.10	353,133.49	9.8%
Materials and Supplies	13,681,606.21	11,800,444.61	1,881,161.60	15.9%
Utilities	5,833,333.33	5,834,536.51	(1,203.18)	-
Communications	4,883,593.72	4,869,935.79	13,657.93	0.3%
Repairs and Maintenance	4,199,576.61	7,036,307.55	(2,836,730.94)	-40.3%
Rentals and Leases	2,229,248.64	1,852,264.46	376,984.18	20.4%
Printing and Reproduction	1,584,452.38	1,439,912.70	144,539.68	10.0%
Bad Debt Expense	153,796.71	360,658.56	(206,861.85)	-57.4%
Scholarships and Fellowships	19,129,784.92	18,360,459.00	769,325.92	4.2%
Depreciation and Amortization	26,703,222.03	26,240,057.19	463,164.84	1.8%
Federal Sponsored Program Pass-Through to Other State Agencies	2,785,271.93	1,871,244.26	914,027.67	48.8%
State Sponsored Program Pass-Through to Other State Agencies	85,184.27	136,498.71	(51,314.44)	-37.6%
Other Operating Expenses	7,660,874.05	7,233,424.86	427,449.19	5.9%
Total Operating Expenses	312,518,394.48	303,155,257.30	9,363,137.18	3.1%
Operating Loss	(90,692,052.86)	(90,044,904.78)	(647,148.08)	-0.7%
Other Nonoperating Adjustments				
State Appropriations	76,698,411.42	69,781,999.58	6,916,411.84	9.9%
Nonexchange Sponsored Programs	33,955,734.98	26,250,000.00	7,705,734.98	29.4%
Gift Contributions for Operations	2,891,666.96	4,546,855.72	(1,655,188.76)	-36.4%
Net Investment Income	7,550,197.62	5,278,325.87	2,271,871.75	43.0%
Interest Expense on Capital Asset Financings	(6,848,904.37)	(7,425,363.00)	576,458.63	7.8%
Net Other Nonoperating Adjustments	114,247,106.61	98,431,818.17	15,815,288.44	16.1%
Adjusted Income (Loss) including Depreciation & Amortization	23,555,053.75	8,386,913.39	15,168,140.36	180.9%
Adjusted Margin % including Depreciation & Amortization	6.9%	2.6%		
Investment Gain (Losses)	(20,834,453.97)	(1,327,188.41)	(19,507,265.56)	-1,469.8%
Adj. Inc. (Loss) with Investment Gains (Losses)	2,720,599.78	7,059,724.98	(4,339,125.20)	-61.5%
Adj. Margin % with Investment Gains (Losses)	0.8%	2.2%		
Adjusted Income (Loss) excluding Depreciation & Amortization	50,258,275.78	34,626,970.58	15,631,305.20	45.1%
Adjusted Margin % excluding Depreciation & Amortization	14.7%	10.9%		

UNAUDITED

The University of Texas at Austin
 Monthly Financial Report, Comparison of Operating Results and Margin
 For the Period Ending March 31, 2016

	March Year-to-Date FY 2016	March Year-to-Date FY 2015	Variance	Fluctuation Percentage
Operating Revenues				
Net Student Tuition and Fees	281,166,666.67	282,916,666.67	(1,750,000.00)	-0.6%
Sponsored Programs	326,365,780.49	323,477,810.03	2,887,970.46	0.9%
Net Sales and Services of Educational Activities	240,196,827.99	238,562,133.29	1,634,694.70	0.7%
Net Auxiliary Enterprises	193,055,611.13	185,974,794.06	7,080,817.07	3.8%
Other Operating Revenues	4,815,551.72	3,641,876.06	1,173,675.66	32.2%
Total Operating Revenues	1,045,600,438.00	1,034,573,280.11	11,027,157.89	1.1%
Operating Expenses				
Salaries and Wages	691,808,442.20	664,146,430.03	27,662,012.17	4.2%
Payroll Related Costs	200,962,639.40	182,791,650.09	18,170,989.31	9.9%
Cost of Goods Sold	15,528,251.44	14,917,047.90	611,203.54	4.1%
Professional Fees and Services	17,617,827.23	19,558,175.76	(1,940,348.53)	-9.9%
Other Contracted Services	90,066,337.17	83,992,188.85	6,074,148.32	7.2%
Travel	23,554,917.96	23,560,942.18	(6,024.22)	-
Materials and Supplies	75,816,348.48	84,491,916.95	(8,675,568.47)	-10.3%
Utilities	49,874,055.96	52,246,788.23	(2,372,732.27)	-4.5%
Communications	22,738,691.39	25,851,651.73	(3,112,960.34)	-12.0%
Repairs and Maintenance	41,119,163.90	38,903,650.53	2,215,513.37	5.7%
Rentals and Leases	12,936,171.63	11,570,436.42	1,365,735.21	11.8%
Printing and Reproduction	4,909,457.47	5,314,150.25	(404,692.78)	-7.6%
Bad Debt Expense	275,316.10	184,295.56	91,020.54	49.4%
Scholarships and Fellowships	70,000,000.00	70,000,000.00	-	-
Depreciation and Amortization	144,375,000.00	140,000,000.00	4,375,000.00	3.1%
Federal Sponsored Program Pass-Through to Other State Agencies	2,088,476.20	2,255,283.66	(166,807.46)	-7.4%
State Sponsored Program Pass-Through to Other State Agencies	9,230,625.00	-	9,230,625.00	100.0%
Other Operating Expenses	67,428,440.53	63,590,162.39	3,838,278.14	6.0%
Total Operating Expenses	1,540,330,162.06	1,483,374,770.53	56,955,391.53	3.8%
Operating Loss	(494,729,724.06)	(448,801,490.42)	(45,928,233.64)	-10.2%
Other Nonoperating Adjustments				
State Appropriations	215,070,564.63	192,540,969.01	22,529,595.62	11.7%
Nonexchange Sponsored Programs	25,666,666.67	27,766,666.67	(2,100,000.00)	-7.6%
Gift Contributions for Operations	95,314,044.74	81,574,460.26	13,739,584.48	16.8%
Net Investment Income	132,275,055.35	133,568,827.81	(1,293,772.46)	-1.0%
Interest Expense on Capital Asset Financings	(26,761,007.84)	(27,987,652.14)	1,226,644.30	4.4%
Net Other Nonoperating Adjustments	441,565,323.55	407,463,271.61	34,102,051.94	8.4%
Adjusted Income (Loss) including Depreciation & Amortization	(53,164,400.51)	(41,338,218.81)	(11,826,181.70)	-28.6%
Adjusted Margin % including Depreciation & Amortization	-3.5%	-2.8%		
Available University Fund Transfer	177,775,115.58	155,599,594.56	22,175,521.02	14.3%
Adjusted Income (Loss) with AUF Transfer	124,610,715.07	114,261,375.75	10,349,339.32	9.1%
Adjusted Margin % with AUF Transfer	7.4%	7.0%		
Investment Gain (Losses)	(196,921,355.19)	(52,327,332.69)	(144,594,022.50)	-276.3%
Adj. Inc. (Loss) with AUF Transfer & Invest. Gains (Losses)	(72,310,640.12)	\$61,934,043.06	(134,244,683.18)	-216.8%
Adj. Margin % with AUF Transfer & Invest. Gains (Losses)	-4.8%	3.9%		
Adjusted Income (Loss) with AUF Transfer excluding Depreciation & Amortization	268,985,715.07	254,261,375.75	14,724,339.32	5.8%
Adjusted Margin % with AUF Transfer excluding Depreciation & Amortization	15.9%	15.6%		

UNAUDITED

The University of Texas at Brownsville
 Monthly Financial Report, Comparison of Operating Results and Margin
 For the Period Ending March 31, 2016

	March Year-to-Date FY 2016	March Year-to-Date FY 2015	Variance	Fluctuation Percentage
Operating Revenues				
Net Student Tuition and Fees	-	18,569,767.43	(18,569,767.43)	-100.0%
Sponsored Programs	-	14,430,825.73	(14,430,825.73)	-100.0%
Net Sales and Services of Educational Activities	-	1,321,599.88	(1,321,599.88)	-100.0%
Net Auxiliary Enterprises	-	1,165,392.75	(1,165,392.75)	-100.0%
Other Operating Revenues	-	46,208.48	(46,208.48)	-100.0%
Total Operating Revenues	-	35,533,794.27	(35,533,794.27)	-100.0%
Operating Expenses				
Salaries and Wages	-	29,196,974.83	(29,196,974.83)	-100.0%
Payroll Related Costs	-	8,817,576.35	(8,817,576.35)	-100.0%
Professional Fees and Services	232.40	713,100.51	(712,868.11)	-100.0%
Other Contracted Services	-	439,775.12	(439,775.12)	-100.0%
Travel	-	843,382.40	(843,382.40)	-100.0%
Materials and Supplies	74,001.70	2,985,765.90	(2,911,764.20)	-97.5%
Utilities	-	1,071,869.39	(1,071,869.39)	-100.0%
Communications	-	147,987.28	(147,987.28)	-100.0%
Repairs and Maintenance	211,575.96	634,087.39	(422,511.43)	-66.6%
Rentals and Leases	-	2,169,574.88	(2,169,574.88)	-100.0%
Printing and Reproduction	-	166,354.34	(166,354.34)	-100.0%
Scholarships and Fellowships	-	18,973,026.20	(18,973,026.20)	-100.0%
Depreciation and Amortization	355,154.59	4,957,449.50	(4,602,294.91)	-92.8%
Federal Sponsored Program Pass-Through to Other State Agencies	-	94,510.21	(94,510.21)	-100.0%
Other Operating Expenses	-	2,700,785.33	(2,700,785.33)	-100.0%
Total Operating Expenses	640,964.65	73,912,219.63	(73,271,254.98)	-99.1%
Operating Loss	(640,964.65)	(38,378,425.36)	37,737,460.71	98.3%
Other Nonoperating Adjustments				
State Appropriations	-	23,565,717.27	(23,565,717.27)	-100.0%
Nonexchange Sponsored Programs	-	9,358,433.16	(9,358,433.16)	-100.0%
Gift Contributions for Operations	-	266,497.54	(266,497.54)	-100.0%
Net Investment Income	-	1,289,913.81	(1,289,913.81)	-100.0%
Interest Expense on Capital Asset Financings	-	(1,630,563.97)	1,630,563.97	100.0%
Net Other Nonoperating Adjustments	-	32,849,997.81	(32,849,997.81)	-100.0%
Adjusted Income (Loss) including Depreciation & Amortization	(640,964.65)	(5,528,427.55)	4,887,462.90	88.4%
Adjusted Margin % including Depreciation & Amortization	N/A	-7.9%		
Investment Gain (Losses)	(0.04)	(968,644.74)	968,644.70	100.0%
Adj. Inc. (Loss) with Investment Gains (Losses)	(640,964.69)	(6,497,072.29)	5,856,107.60	90.1%
Adj. Margin % with Investment Gains (Losses)	N/A	-9.4%		
Adjusted Income (Loss) excluding Depreciation & Amortization	(285,810.06)	(570,978.05)	285,167.99	49.9%
Adjusted Margin % excluding Depreciation & Amortization	N/A	-0.8%		

UNAUDITED

The University of Texas at Dallas
 Monthly Financial Report, Comparison of Operating Results and Margin
 For the Period Ending March 31, 2016

	March Year-to-Date FY 2016	March Year-to-Date FY 2015	Variance	Fluctuation Percentage
Operating Revenues				
Net Student Tuition and Fees	165,902,459.09	156,506,849.31	9,395,609.78	6.0%
Sponsored Programs	34,103,711.31	34,235,358.59	(131,647.28)	-0.4%
Net Sales and Services of Educational Activities	10,665,571.87	8,731,491.24	1,934,080.63	22.2%
Net Auxiliary Enterprises	16,261,330.91	16,945,713.82	(684,382.91)	-4.0%
Other Operating Revenues	2,679,908.70	3,177,363.66	(497,454.96)	-15.7%
Total Operating Revenues	229,612,981.88	219,596,776.62	10,016,205.26	4.6%
Operating Expenses				
Salaries and Wages	166,212,902.41	152,391,973.21	13,820,929.20	9.1%
Payroll Related Costs	39,039,536.07	36,433,203.33	2,606,332.74	7.2%
Professional Fees and Services	6,042,457.82	5,627,823.93	414,633.89	7.4%
Other Contracted Services	9,209,761.07	11,015,667.31	(1,805,906.24)	-16.4%
Travel	3,740,655.60	3,107,995.80	632,659.80	20.4%
Materials and Supplies	19,307,223.83	14,933,535.57	4,373,688.26	29.3%
Utilities	6,492,063.06	6,914,512.12	(422,449.06)	-6.1%
Communications	422,249.78	121,229.44	301,020.34	248.3%
Repairs and Maintenance	3,465,406.81	2,910,473.19	554,933.62	19.1%
Rentals and Leases	4,173,831.85	4,019,465.03	154,366.82	3.8%
Printing and Reproduction	1,227,743.20	1,142,262.65	85,480.55	7.5%
Scholarships and Fellowships	23,506,454.47	28,268,856.51	(4,762,402.04)	-16.8%
Depreciation and Amortization	37,089,500.00	33,601,811.22	3,487,688.78	10.4%
Federal Sponsored Program Pass-Through to Other State Agencies	43,753.14	17,349.80	26,403.34	152.2%
State Sponsored Program Pass-Through to Other State Agencies	232,682.37	280,079.54	(47,397.17)	-16.9%
Other Operating Expenses	12,932,738.27	9,368,101.20	3,564,637.07	38.1%
Total Operating Expenses	333,138,959.75	310,154,339.85	22,984,619.90	7.4%
Operating Loss	(103,525,977.87)	(90,557,563.23)	(12,968,414.64)	-14.3%
Other Nonoperating Adjustments				
State Appropriations	64,529,784.77	70,373,281.58	(5,843,496.81)	-8.3%
Nonexchange Sponsored Programs	31,194,407.42	13,682,940.83	17,511,466.59	128.0%
Gift Contributions for Operations	7,583,333.33	7,630,106.93	(46,773.60)	-0.6%
Net Investment Income	13,601,894.15	12,877,384.54	724,509.61	5.6%
Interest Expense on Capital Asset Financings	(8,977,226.86)	(9,047,061.38)	69,834.52	0.8%
Net Other Nonoperating Adjustments	107,932,192.81	95,516,652.50	12,415,540.31	13.0%
Adjusted Income (Loss) including Depreciation & Amortization	4,406,214.94	4,959,089.27	(552,874.33)	-11.1%
Adjusted Margin % including Depreciation & Amortization	1.3%	1.5%		
Investment Gain (Losses)	(13,492,246.50)	(6,827,386.94)	(6,664,859.56)	-97.6%
Adj. Inc. (Loss) with Investment Gains (Losses)	(9,086,031.56)	(1,868,297.67)	(7,217,733.89)	-386.3%
Adj. Margin % with Investment Gains (Losses)	-2.7%	-0.6%		
Adjusted Income (Loss) excluding Depreciation & Amortization	41,495,714.94	38,560,900.49	2,934,814.45	7.6%
Adjusted Margin % excluding Depreciation & Amortization	12.0%	11.9%		

UNAUDITED

The University of Texas at El Paso
 Monthly Financial Report, Comparison of Operating Results and Margin
 For the Period Ending March 31, 2016

	March Year-to-Date FY 2016	March Year-to-Date FY 2015	Variance	Fluctuation Percentage
Operating Revenues				
Net Student Tuition and Fees	68,496,426.95	65,656,158.45	2,840,268.50	4.3%
Sponsored Programs	53,407,478.56	46,334,560.07	7,072,918.49	15.3%
Net Sales and Services of Educational Activities	4,552,768.20	3,749,255.50	803,512.70	21.4%
Net Auxiliary Enterprises	18,682,205.90	23,010,580.10	(4,328,374.20)	-18.8%
Other Operating Revenues	(7,902.63)	49,835.83	(57,738.46)	-115.9%
Total Operating Revenues	145,130,976.98	138,800,389.95	6,330,587.03	4.6%
Operating Expenses				
Salaries and Wages	110,369,830.70	99,414,291.97	10,955,538.73	11.0%
Payroll Related Costs	29,084,382.17	26,552,989.39	2,531,392.78	9.5%
Professional Fees and Services	6,701,928.24	1,864,375.17	4,837,553.07	259.5%
Other Contracted Services	7,145,995.90	19,632,280.56	(12,486,284.66)	-63.6%
Travel	4,717,252.62	4,562,765.55	154,487.07	3.4%
Materials and Supplies	11,542,976.33	11,104,081.13	438,895.20	4.0%
Utilities	4,982,683.03	4,858,956.69	123,726.34	2.5%
Communications	595,559.89	743,557.58	(147,997.69)	-19.9%
Repairs and Maintenance	3,823,783.97	3,158,501.77	665,282.20	21.1%
Rentals and Leases	1,931,081.53	2,528,160.23	(597,078.70)	-23.6%
Printing and Reproduction	682,625.19	811,150.20	(128,525.01)	-15.8%
Scholarships and Fellowships	44,818,748.16	39,660,842.53	5,157,905.63	13.0%
Depreciation and Amortization	18,249,244.67	17,510,614.01	738,630.66	4.2%
Federal Sponsored Program Pass-Through to Other State Agencies	2,971,813.54	168,854.82	2,802,958.72	1,660.0%
State Sponsored Program Pass-Through to Other State Agencies	-	34,450.69	(34,450.69)	-100.0%
Other Operating Expenses	7,223,002.91	5,616,426.52	1,606,576.39	28.6%
Total Operating Expenses	254,840,908.85	238,222,298.81	16,618,610.04	7.0%
Operating Loss	(109,709,931.87)	(99,421,908.86)	(10,288,023.01)	-10.3%
Other Nonoperating Adjustments				
State Appropriations	65,232,895.00	58,707,187.00	6,525,708.00	11.1%
Nonexchange Sponsored Programs	26,615,854.72	26,824,163.02	(208,308.30)	-0.8%
Gift Contributions for Operations	3,694,877.54	2,956,292.80	738,584.74	25.0%
Net Investment Income	7,216,784.26	7,428,426.73	(211,642.47)	-2.8%
Interest Expense on Capital Asset Financings	(4,189,141.95)	(4,558,462.16)	369,320.21	8.1%
Net Other Nonoperating Adjustments	98,571,269.57	91,357,607.39	7,213,662.18	7.9%
Adjusted Income (Loss) including Depreciation & Amortization	(11,138,662.30)	(8,064,301.47)	(3,074,360.83)	-38.1%
Adjusted Margin % including Depreciation & Amortization	-4.5%	-3.4%		
Investment Gain (Losses)	(14,234,379.75)	(3,859,399.22)	(10,374,980.53)	-268.8%
Adj. Inc. (Loss) with Investment Gains (Losses)	(25,373,042.05)	(11,923,700.69)	(13,449,341.36)	-112.8%
Adj. Margin % with Investment Gains (Losses)	-10.9%	-5.2%		
Adjusted Income (Loss) excluding Depreciation & Amortization	7,110,582.37	9,446,312.54	(2,335,730.17)	-24.7%
Adjusted Margin % excluding Depreciation & Amortization	2.9%	4.0%		

UNAUDITED

The University of Texas of the Permian Basin
 Monthly Financial Report, Comparison of Operating Results and Margin
 For the Period Ending March 31, 2016

	March Year-to-Date FY 2016	March Year-to-Date FY 2015	Variance	Fluctuation Percentage
Operating Revenues				
Net Student Tuition and Fees	9,265,786.80	9,801,308.25	(535,521.45)	-5.5%
Sponsored Programs	5,095,986.05	4,503,930.92	592,055.13	13.1%
Net Sales and Services of Educational Activities	1,215,740.12	1,636,155.51	(420,415.39)	-25.7%
Net Auxiliary Enterprises	4,186,008.05	1,800,447.12	2,385,560.93	132.5%
Other Operating Revenues	230,247.19	375,365.40	(145,118.21)	-38.7%
Total Operating Revenues	19,993,768.21	18,117,207.20	1,876,561.01	10.4%
Operating Expenses				
Salaries and Wages	19,556,541.93	15,638,704.60	3,917,837.33	25.1%
Payroll Related Costs	4,680,804.85	3,536,790.21	1,144,014.64	32.3%
Cost of Goods Sold	5,935.29	6,870.79	(935.50)	-13.6%
Professional Fees and Services	1,875,547.04	2,053,458.64	(177,911.60)	-8.7%
Other Contracted Services	2,019,824.18	1,993,862.66	25,961.52	1.3%
Travel	842,571.23	684,893.82	157,677.41	23.0%
Materials and Supplies	3,149,841.82	2,671,136.64	478,705.18	17.9%
Utilities	1,274,876.10	1,710,140.19	(435,264.09)	-25.5%
Communications	403,309.40	408,574.44	(5,265.04)	-1.3%
Repairs and Maintenance	(151,416.11)	686,106.54	(837,522.65)	-122.1%
Rentals and Leases	377,234.83	337,579.71	39,655.12	11.7%
Printing and Reproduction	75,135.70	41,387.07	33,748.63	81.5%
Scholarships and Fellowships	4,474,389.10	7,351,495.57	(2,877,106.47)	-39.1%
Depreciation and Amortization	8,146,250.00	7,601,181.43	545,068.57	7.2%
Federal Sponsored Program Pass-Through to Other State Agencies	(44,024.12)	-	(44,024.12)	100.0%
Other Operating Expenses	824,150.36	799,053.27	25,097.09	3.1%
Total Operating Expenses	47,510,971.60	45,521,235.58	1,989,736.02	4.4%
Operating Loss	(27,517,203.39)	(27,404,028.38)	(113,175.01)	-0.4%
Other Nonoperating Adjustments				
State Appropriations	20,750,980.40	17,011,627.01	3,739,353.39	22.0%
Nonexchange Sponsored Programs	4,169,136.83	3,580,841.25	588,295.58	16.4%
Gift Contributions for Operations	1,622,197.90	4,980,692.76	(3,358,494.86)	-67.4%
Net Investment Income	860,369.62	1,221,272.57	(360,902.95)	-29.6%
Interest Expense on Capital Asset Financings	(3,148,567.87)	(3,329,252.50)	180,684.63	5.4%
Net Other Nonoperating Adjustments	24,254,116.88	23,465,181.09	788,935.79	3.4%
Adjusted Income (Loss) including Depreciation & Amortization	(3,263,086.51)	(3,938,847.29)	675,760.78	17.2%
Adjusted Margin % including Depreciation & Amortization	-6.9%	-8.8%		
Investment Gain (Losses)	(2,361,006.68)	(514,542.91)	(1,846,463.77)	-358.9%
Adj. Inc. (Loss) with Investment Gains (Losses)	(5,624,093.19)	(4,453,390.20)	(1,170,702.99)	-26.3%
Adj. Margin % with Investment Gains (Losses)	-12.5%	-10.0%		
Adjusted Income (Loss) excluding Depreciation & Amortization	4,883,163.49	3,662,334.14	1,220,829.35	33.3%
Adjusted Margin % excluding Depreciation & Amortization	10.3%	8.2%		

UNAUDITED

The University of Texas Rio Grande Valley
 Monthly Financial Report, Comparison of Operating Results and Margin
 For the Period Ending March 31, 2016

	March Year-to-Date FY 2016	-	March Year-to-Date FY 2015	Variance	Fluctuation Percentage
Operating Revenues					
Net Student Tuition and Fees	70,639,809.87	-		70,639,809.87	100.0%
Sponsored Programs	58,742,553.62	-		58,742,553.62	100.0%
Net Sales and Services of Educational Activities	2,612,790.46	-		2,612,790.46	100.0%
Net Professional Fees	131,602.58	-		131,602.58	100.0%
Net Auxiliary Enterprises	6,646,340.37	-		6,646,340.37	100.0%
Other Operating Revenues	5,701,254.46	-		5,701,254.46	100.0%
Total Operating Revenues	144,474,351.36	-		144,474,351.36	100.0%
Operating Expenses					
Salaries and Wages	115,595,441.93	-		115,595,441.93	100.0%
Payroll Related Costs	33,825,127.04	-		33,825,127.04	100.0%
Cost of Goods Sold	269,059.21	-		269,059.21	100.0%
Professional Fees and Services	1,259,013.11	-		1,259,013.11	100.0%
Other Contracted Services	4,995,024.36	-		4,995,024.36	100.0%
Travel	4,113,082.38	-		4,113,082.38	100.0%
Materials and Supplies	8,448,457.49	-		8,448,457.49	100.0%
Utilities	4,542,672.12	-		4,542,672.12	100.0%
Communications	304,706.14	-		304,706.14	100.0%
Repairs and Maintenance	2,749,680.95	-		2,749,680.95	100.0%
Rentals and Leases	2,812,593.75	-		2,812,593.75	100.0%
Printing and Reproduction	400,059.74	-		400,059.74	100.0%
Bad Debt Expense	4,700.38	-		4,700.38	100.0%
Scholarships and Fellowships	59,267,930.11	-		59,267,930.11	100.0%
Depreciation and Amortization	22,076,702.51	-		22,076,702.51	100.0%
Federal Sponsored Program Pass-Through to Other State Agencies	145,273.37	-		145,273.37	100.0%
Other Operating Expenses	4,849,838.52	-		4,849,838.52	100.0%
Total Operating Expenses	265,659,363.11	-		265,659,363.11	100.0%
Operating Loss	(121,185,011.75)	-		(121,185,011.75)	100.0%
Other Nonoperating Adjustments					
State Appropriations	88,992,512.00	-		88,992,512.00	100.0%
Nonexchange Sponsored Programs	34,458,985.07	-		34,458,985.07	100.0%
Gift Contributions for Operations	5,653,670.05	-		5,653,670.05	100.0%
Net Investment Income	3,435,857.92	-		3,435,857.92	100.0%
Interest Expense on Capital Asset Financings	(3,685,408.86)	-		(3,685,408.86)	100.0%
Net Other Nonoperating Adjustments	128,855,616.18	-		128,855,616.18	100.0%
Adjusted Income (Loss) including Depreciation & Amortization	7,670,604.43	-		7,670,604.43	100.0%
Adjusted Margin % including Depreciation & Amortization	2.8%				
Investment Gain (Losses)	(4,268,324.63)	-		(4,268,324.63)	100.0%
Adj. Inc. (Loss) with Investment Gains (Losses)	3,402,279.80	-		3,402,279.80	100.0%
Adj. Margin % with Investment Gains (Losses)	1.2%				
Adjusted Income (Loss) excluding Depreciation & Amortization	29,747,306.94	-		29,747,306.94	100.0%
Adjusted Margin % excluding Depreciation & Amortization	10.7%				

UNAUDITED

The University of Texas at San Antonio
 Monthly Financial Report, Comparison of Operating Results and Margin
 For the Period Ending March 31, 2016

	March Year-to-Date FY 2016	March Year-to-Date FY 2015	Variance	Fluctuation Percentage
Operating Revenues				
Net Student Tuition and Fees	106,898,041.77	113,838,010.13	(6,939,968.36)	-6.1%
Sponsored Programs	35,805,583.55	35,474,416.73	331,166.82	0.9%
Net Sales and Services of Educational Activities	8,809,640.02	8,051,502.39	758,137.63	9.4%
Net Auxiliary Enterprises	26,409,668.51	24,692,475.17	1,717,193.34	7.0%
Other Operating Revenues	2,148,351.51	1,858,765.24	289,586.27	15.6%
Total Operating Revenues	180,071,285.36	183,915,169.66	(3,843,884.30)	-2.1%
Operating Expenses				
Salaries and Wages	141,659,109.11	131,524,689.21	10,134,419.90	7.7%
Payroll Related Costs	36,232,619.35	33,592,439.69	2,640,179.66	7.9%
Cost of Goods Sold	175,000.00	228,860.34	(53,860.34)	-23.5%
Professional Fees and Services	3,734,088.73	3,476,062.29	258,026.44	7.4%
Other Contracted Services	8,225,082.85	9,966,192.82	(1,741,109.97)	-17.5%
Travel	6,343,774.17	5,600,353.64	743,420.53	13.3%
Materials and Supplies	17,842,970.14	17,779,330.20	63,639.94	0.4%
Utilities	7,226,784.33	7,758,333.33	(531,549.00)	-6.9%
Communications	1,727,996.26	1,378,390.45	349,605.81	25.4%
Repairs and Maintenance	4,894,097.83	5,089,768.97	(195,671.14)	-3.8%
Rentals and Leases	1,353,955.77	1,395,542.17	(41,586.40)	-3.0%
Printing and Reproduction	868,683.48	1,004,089.14	(135,405.66)	-13.5%
Bad Debt Expense	127,721.42	46,666.67	81,054.75	173.7%
Scholarships and Fellowships	25,811,258.67	22,982,842.86	2,828,415.81	12.3%
Depreciation and Amortization	27,915,678.39	28,469,006.29	(553,327.90)	-1.9%
Federal Sponsored Program Pass-Through to Other State Agencies	962,430.23	906,980.34	55,449.89	6.1%
Other Operating Expenses	8,884,592.41	8,793,058.89	91,533.52	1.0%
Total Operating Expenses	293,985,843.14	279,992,607.30	13,993,235.84	5.0%
Operating Loss	(113,914,557.78)	(96,077,437.64)	(17,837,120.14)	-18.6%
Other Nonoperating Adjustments				
State Appropriations	75,099,225.25	71,800,298.50	3,298,926.75	4.6%
Nonexchange Sponsored Programs	29,895,801.25	27,257,501.25	2,638,300.00	9.7%
Gift Contributions for Operations	4,666,666.67	4,348,193.43	318,473.24	7.3%
Net Investment Income	15,938,570.49	11,197,565.74	4,741,004.75	42.3%
Interest Expense on Capital Asset Financings	(8,586,547.69)	(9,213,262.15)	626,714.46	6.8%
Net Other Nonoperating Adjustments	117,013,715.97	105,390,296.77	11,623,419.20	11.0%
Adjusted Income (Loss) including Depreciation & Amortization	3,099,158.19	9,312,859.13	(6,213,700.94)	-66.7%
Adjusted Margin % including Depreciation & Amortization	1.0%	3.1%		
Investment Gain (Losses)	(25,643,439.57)	29,415,511.53	(55,058,951.10)	-187.2%
Adj. Inc. (Loss) with Investment Gains (Losses)	(22,544,281.38)	38,728,370.66	(61,272,652.04)	-158.2%
Adj. Margin % with Investment Gains (Losses)	-8.1%	11.8%		
Adjusted Income (Loss) excluding Depreciation & Amortization	31,014,836.58	37,781,865.42	(6,767,028.84)	-17.9%
Adjusted Margin % excluding Depreciation & Amortization	10.1%	12.7%		

UNAUDITED

The University of Texas at Tyler
 Monthly Financial Report, Comparison of Operating Results and Margin
 For the Period Ending March 31, 2016

	March Year-to-Date FY 2016	March Year-to-Date FY 2015	Variance	Fluctuation Percentage
Operating Revenues				
Net Student Tuition and Fees	23,683,559.68	22,262,486.98	1,421,072.70	6.4%
Sponsored Programs	6,783,132.57	8,544,475.54	(1,761,342.97)	-20.6%
Net Sales and Services of Educational Activities	4,152,620.05	2,267,019.77	1,885,600.28	83.2%
Net Auxiliary Enterprises	4,879,623.00	3,848,439.00	1,031,184.00	26.8%
Other Operating Revenues	255,285.77	177,657.62	77,628.15	43.7%
Total Operating Revenues	39,754,221.07	37,100,078.91	2,654,142.16	7.2%
Operating Expenses				
Salaries and Wages	39,856,303.94	33,454,874.15	6,401,429.79	19.1%
Payroll Related Costs	9,514,736.43	8,592,583.80	922,152.63	10.7%
Cost of Goods Sold	17,739.57	16,616.65	1,122.92	6.8%
Professional Fees and Services	1,529,431.13	2,024,559.32	(495,128.19)	-24.5%
Other Contracted Services	3,392,945.10	3,310,648.12	82,296.98	2.5%
Travel	1,149,158.90	1,007,755.03	141,403.87	14.0%
Materials and Supplies	4,902,407.84	4,488,431.44	413,976.40	9.2%
Utilities	1,510,742.36	1,307,626.01	203,116.35	15.5%
Communications	374,860.10	736,246.15	(361,386.05)	-49.1%
Repairs and Maintenance	1,582,664.13	1,957,495.14	(374,831.01)	-19.1%
Rentals and Leases	396,617.03	349,619.16	46,997.87	13.4%
Printing and Reproduction	811,876.41	785,627.86	26,248.55	3.3%
Bad Debt Expense	-	20,500.59	(20,500.59)	-100.0%
Scholarships and Fellowships	1,624,136.62	3,665,582.84	(2,041,446.22)	-55.7%
Depreciation and Amortization	8,104,895.73	7,027,247.42	1,077,648.31	15.3%
Federal Sponsored Program Pass-Through to Other State Agencies	-	23,303.00	(23,303.00)	-100.0%
Other Operating Expenses	2,064,322.21	1,691,798.93	372,523.28	22.0%
Total Operating Expenses	76,832,837.50	70,460,515.61	6,372,321.89	9.0%
Operating Loss	(37,078,616.43)	(33,360,436.70)	(3,718,179.73)	-11.1%
Other Nonoperating Adjustments				
State Appropriations	23,243,528.00	21,283,346.00	1,960,182.00	9.2%
Nonexchange Sponsored Programs	5,718,524.00	4,616,526.00	1,101,998.00	23.9%
Gift Contributions for Operations	1,061,227.15	3,144,577.28	(2,083,350.13)	-66.3%
Net Investment Income	2,584,275.89	2,707,230.16	(122,954.27)	-4.5%
Interest Expense on Capital Asset Financings	(2,047,150.21)	(2,456,909.07)	409,758.86	16.7%
Net Other Nonoperating Adjustments	30,560,404.83	29,294,770.37	1,265,634.46	4.3%
Adjusted Income (Loss) including Depreciation & Amortization	(6,518,211.60)	(4,065,666.33)	(2,452,545.27)	-60.3%
Adjusted Margin % including Depreciation & Amortization	-9.0%	-5.9%		
Investment Gain (Losses)	(3,775,564.56)	(1,200,351.77)	(2,575,212.79)	-214.5%
Adj. Inc. (Loss) with Investment Gains (Losses)	(10,293,776.16)	(5,266,018.10)	(5,027,758.06)	-95.5%
Adj. Margin % with Investment Gains (Losses)	-15.0%	-7.8%		
Adjusted Income (Loss) excluding Depreciation & Amortization	1,586,684.13	2,961,581.09	(1,374,896.96)	-46.4%
Adjusted Margin % excluding Depreciation & Amortization	2.2%	4.3%		

UNAUDITED

The University of Texas Southwestern Medical Center
 Monthly Financial Report, Comparison of Operating Results and Margin
 For the Period Ending March 31, 2016

	March Year-to-Date FY 2016	March Year-to-Date FY 2015	Variance	Fluctuation Percentage
Operating Revenues				
Net Student Tuition and Fees	13,257,600.53	12,979,211.57	278,388.96	2.1%
Sponsored Programs	329,949,473.17	315,939,493.27	14,009,979.90	4.4%
Net Sales and Services of Educational Activities	7,929,856.35	6,346,396.46	1,583,459.89	25.0%
Net Sales and Services of Hospitals	621,759,202.72	522,113,862.73	99,645,339.99	19.1%
Net Professional Fees	311,576,860.13	275,877,185.00	35,699,675.13	12.9%
Net Auxiliary Enterprises	16,526,135.19	13,815,345.42	2,710,789.77	19.6%
Other Operating Revenues	65,371,049.15	36,305,984.81	29,065,064.34	80.1%
Total Operating Revenues	1,366,370,177.24	1,183,377,479.26	182,992,697.98	15.5%
Operating Expenses				
Salaries and Wages	747,121,152.63	673,860,449.04	73,260,703.59	10.9%
Payroll Related Costs	198,252,657.07	158,035,842.43	40,216,814.64	25.4%
Cost of Goods Sold	3,775,035.09	3,205,977.64	569,057.45	17.7%
Professional Fees and Services	48,674,644.52	31,886,231.20	16,788,413.32	52.7%
Other Contracted Services	68,970,563.04	70,508,163.66	(1,537,600.62)	-2.2%
Travel	6,970,597.89	6,184,953.30	785,644.59	12.7%
Materials and Supplies	218,992,367.21	200,598,540.59	18,393,826.62	9.2%
Utilities	11,168,148.18	14,685,120.19	(3,516,972.01)	-23.9%
Communications	6,623,593.35	6,485,370.50	138,222.85	2.1%
Repairs and Maintenance	8,204,766.90	6,028,401.87	2,176,365.03	36.1%
Rentals and Leases	4,973,795.06	3,972,259.24	1,001,535.82	25.2%
Printing and Reproduction	1,389,159.17	1,832,993.23	(443,834.06)	-24.2%
Scholarships and Fellowships	796,576.81	1,483,108.67	(686,531.86)	-46.3%
Depreciation and Amortization	91,413,114.42	88,461,524.57	2,951,589.85	3.3%
Federal Sponsored Program Pass-Through to Other State Agencies	1,346,014.18	882,723.38	463,290.80	52.5%
Other Operating Expenses	37,585,576.95	37,459,600.44	125,976.51	0.3%
Total Operating Expenses	1,456,257,762.47	1,305,571,259.95	150,686,502.52	11.5%
Operating Loss	(89,887,585.23)	(122,193,780.69)	32,306,195.46	26.4%
Other Nonoperating Adjustments				
State Appropriations	108,354,051.00	99,731,386.14	8,622,664.86	8.6%
Nonexchange Sponsored Programs	-	-	-	-
Gift Contributions for Operations	60,708,408.67	46,193,481.88	14,514,926.79	31.4%
Net Investment Income	58,122,477.06	53,218,106.51	4,904,370.55	9.2%
Interest Expense on Capital Asset Financings	(17,845,794.19)	(16,777,437.60)	(1,068,356.59)	-6.4%
Net Other Nonoperating Adjustments	209,339,142.54	182,365,536.93	26,973,605.61	14.8%
Adjusted Income (Loss) including Depreciation & Amortization	119,451,557.31	60,171,756.24	59,279,801.07	98.5%
Adjusted Margin % including Depreciation & Amortization	7.5%	4.4%		
Investment Gain (Losses)	(84,252,207.79)	(33,268,567.30)	(50,983,640.49)	-153.2%
Adj. Inc. (Loss) with Investment Gains (Losses)	35,199,349.52	26,903,188.94	8,296,160.58	30.8%
Adj. Margin % with Investment Gains (Losses)	2.3%	2.0%		
Adjusted Income (Loss) excluding Depreciation & Amortization	210,864,671.73	148,633,280.81	62,231,390.92	41.9%
Adjusted Margin % excluding Depreciation & Amortization	13.2%	10.8%		

UNAUDITED

The University of Texas Medical Branch at Galveston
 Monthly Financial Report, Comparison of Operating Results and Margin
 For the Period Ending March 31, 2016

	March Year-to-Date FY 2016	March Year-to-Date FY 2015	Variance	Fluctuation Percentage
Operating Revenues				
Net Student Tuition and Fees	21,139,899.21	21,081,223.85	58,675.36	0.3%
Sponsored Programs	114,019,923.76	105,583,487.58	8,436,436.18	8.0%
Net Sales and Services of Educational Activities	9,714,844.83	14,436,886.22	(4,722,041.39)	-32.7%
Net Sales and Services of Hospitals	585,067,584.96	539,134,622.34	45,932,962.62	8.5%
Net Professional Fees	101,506,757.14	93,912,429.93	7,594,327.21	8.1%
Net Auxiliary Enterprises	5,721,001.21	5,320,047.92	400,953.29	7.5%
Other Operating Revenues	25,606,765.36	32,876,441.81	(7,269,676.45)	-22.1%
Total Operating Revenues	862,776,776.47	812,345,139.65	50,431,636.82	6.2%
Operating Expenses				
Salaries and Wages	560,193,185.56	514,790,544.65	45,402,640.91	8.8%
Payroll Related Costs	152,883,681.10	139,846,752.54	13,036,928.56	9.3%
Cost of Goods Sold	50,625,760.74	43,056,716.61	7,569,044.13	17.6%
Professional Fees and Services	21,446,257.16	19,551,306.00	1,894,951.16	9.7%
Other Contracted Services	68,752,014.89	58,767,262.70	9,984,752.19	17.0%
Travel	4,208,558.69	4,042,045.13	166,513.56	4.1%
Materials and Supplies	91,547,172.79	84,501,350.82	7,045,821.97	8.3%
Utilities	20,001,062.95	18,288,724.30	1,712,338.65	9.4%
Communications	5,648,374.17	5,343,891.20	304,482.97	5.7%
Repairs and Maintenance	27,286,075.55	26,900,763.44	385,312.11	1.4%
Rentals and Leases	15,110,731.50	15,700,668.08	(589,936.58)	-3.8%
Printing and Reproduction	878,129.89	837,960.96	40,168.93	4.8%
Scholarships and Fellowships	4,472,004.10	4,425,999.92	46,004.18	1.0%
Depreciation and Amortization	68,764,735.41	58,210,042.52	10,554,692.89	18.1%
Federal Sponsored Program Pass-Through to Other State Agencies	378,557.09	816,377.45	(437,820.36)	-53.6%
Other Operating Expenses	21,132,205.68	24,343,481.15	(3,211,275.47)	-13.2%
Total Operating Expenses	1,113,328,507.27	1,019,423,887.47	93,904,619.80	9.2%
Operating Loss	(250,551,730.80)	(207,078,747.82)	(43,472,982.98)	-21.0%
Other Nonoperating Adjustments				
State Appropriations	211,836,667.82	202,785,965.77	9,050,702.05	4.5%
Nonexchange Sponsored Programs	769,013.20	840,846.00	(71,832.80)	-8.5%
Gift Contributions for Operations	5,382,584.52	3,841,056.53	1,541,527.99	40.1%
Net Investment Income	27,000,071.84	23,790,994.39	3,209,077.45	13.5%
Interest Expense on Capital Asset Financings	(7,748,158.41)	(3,945,030.10)	(3,803,128.31)	-96.4%
Net Other Nonoperating Adjustments	237,240,178.97	227,313,832.59	9,926,346.38	4.4%
Adjusted Income (Loss) including Depreciation & Amortization	(13,311,551.83)	20,235,084.77	(33,546,636.60)	-165.8%
Adjusted Margin % including Depreciation & Amortization	-1.2%	1.9%		
Investment Gain (Losses)	(47,735,678.36)	(9,880,820.08)	(37,854,858.28)	-383.1%
Adj. Inc. (Loss) with Investment Gains (Losses)	(61,047,230.19)	10,354,264.69	(71,401,494.88)	-689.6%
Adj. Margin % with Investment Gains (Losses)	-5.8%	1.0%		
Adjusted Income (Loss) excluding Depreciation & Amortization	55,453,183.58	78,445,127.29	(22,991,943.71)	-29.3%
Adjusted Margin % excluding Depreciation & Amortization	5.0%	7.5%		

UNAUDITED

The University of Texas Health Science Center at Houston
 Monthly Financial Report, Comparison of Operating Results and Margin
 For the Period Ending March 31, 2016

	March Year-to-Date FY 2016	March Year-to-Date FY 2015	Variance	Fluctuation Percentage
Operating Revenues				
Net Student Tuition and Fees	30,681,191.32	27,029,025.37	3,652,165.95	13.5%
Sponsored Programs	412,305,157.48	342,959,715.86	69,345,441.62	20.2%
Net Sales and Services of Educational Activities	22,660,649.48	17,609,368.47	5,051,281.01	28.7%
Net Sales and Services of Hospitals	43,940,018.48	37,423,719.34	6,516,299.14	17.4%
Net Professional Fees	196,276,117.75	160,712,026.77	35,564,090.98	22.1%
Net Auxiliary Enterprises	16,839,652.03	15,948,904.00	890,748.03	5.6%
Other Operating Revenues	34,272,159.18	30,498,750.95	3,773,408.23	12.4%
Total Operating Revenues	756,974,945.72	632,181,510.76	124,793,434.96	19.7%
Operating Expenses				
Salaries and Wages	486,477,071.19	435,050,809.98	51,426,261.21	11.8%
Payroll Related Costs	110,919,538.94	94,545,849.14	16,373,689.80	17.3%
Cost of Goods Sold	13,978,456.70	9,731,759.15	4,246,697.55	43.6%
Professional Fees and Services	40,820,702.37	31,143,779.04	9,676,923.33	31.1%
Other Contracted Services	44,636,010.71	44,262,708.38	373,302.33	0.8%
Travel	6,068,202.64	5,707,448.32	360,754.32	6.3%
Materials and Supplies	35,578,277.38	30,791,532.02	4,786,745.36	15.5%
Utilities	9,462,858.39	9,832,605.13	(369,746.74)	-3.8%
Communications	3,285,035.39	2,865,872.62	419,162.77	14.6%
Repairs and Maintenance	7,557,428.44	7,699,194.88	(141,766.44)	-1.8%
Rentals and Leases	20,040,181.33	17,731,487.53	2,308,693.80	13.0%
Printing and Reproduction	3,992,081.17	3,084,999.35	907,081.82	29.4%
Scholarships and Fellowships	3,542,013.26	3,546,498.77	(4,485.51)	-0.1%
Depreciation and Amortization	35,999,494.89	34,852,243.72	1,147,251.17	3.3%
Federal Sponsored Program Pass-Through to Other State Agencies	3,542,361.24	4,160,578.03	(618,216.79)	-14.9%
Other Operating Expenses	23,808,795.52	24,095,416.95	(286,621.43)	-1.2%
Total Operating Expenses	849,708,509.56	759,102,783.01	90,605,726.55	11.9%
Operating Loss	(92,733,563.84)	(126,921,272.25)	34,187,708.41	26.9%
Other Nonoperating Adjustments				
State Appropriations	120,176,139.09	116,440,162.39	3,735,976.70	3.2%
Nonexchange Sponsored Programs	339,112.00	357,965.29	(18,853.29)	-5.3%
Gift Contributions for Operations	11,913,016.89	16,323,685.51	(4,410,668.62)	-27.0%
Net Investment Income	20,574,274.32	18,484,701.00	2,089,573.32	11.3%
Interest Expense on Capital Asset Financings	(6,352,169.97)	(6,783,839.02)	431,669.05	6.4%
Net Other Nonoperating Adjustments	146,650,372.33	144,822,675.17	1,827,697.16	1.3%
Adjusted Income (Loss) including Depreciation & Amortization	53,916,808.49	17,901,402.92	36,015,405.57	201.2%
Adjusted Margin % including Depreciation & Amortization	5.9%	2.3%		
Investment Gain (Losses)	(20,079,243.24)	(8,550,614.82)	(11,528,628.42)	-134.8%
Adj. Inc. (Loss) with Investment Gains (Losses)	33,837,565.25	9,350,788.10	24,486,777.15	261.9%
Adj. Margin % with Investment Gains (Losses)	3.8%	1.2%		
Adjusted Income (Loss) excluding Depreciation & Amortization	89,916,303.38	52,753,646.64	37,162,656.74	70.4%
Adjusted Margin % excluding Depreciation & Amortization	9.9%	6.7%		

UNAUDITED

The University of Texas Health Science Center at San Antonio
 Monthly Financial Report, Comparison of Operating Results and Margin
 For the Period Ending March 31, 2016

	March Year-to-Date FY 2016	March Year-to-Date FY 2015	Variance	Fluctuation Percentage
Operating Revenues				
Net Student Tuition and Fees	22,468,119.92	20,885,939.08	1,582,180.84	7.6%
Sponsored Programs	173,764,308.61	175,456,656.06	(1,692,347.45)	-1.0%
Net Sales and Services of Educational Activities	12,552,926.12	11,770,229.08	782,697.04	6.6%
Net Professional Fees	106,852,953.25	95,759,399.84	11,093,553.41	11.6%
Net Auxiliary Enterprises	3,183,140.71	3,377,150.12	(194,009.41)	-5.7%
Other Operating Revenues	23,243,611.15	27,530,232.18	(4,286,621.03)	-15.6%
Total Operating Revenues	342,065,059.76	334,779,606.36	7,285,453.40	2.2%
Operating Expenses				
Salaries and Wages	243,628,604.09	245,247,077.24	(1,618,473.15)	-0.7%
Payroll Related Costs	68,588,858.21	65,610,004.48	2,978,853.73	4.5%
Professional Fees and Services	13,722,623.55	11,651,102.82	2,071,520.73	17.8%
Other Contracted Services	19,314,520.20	14,809,667.71	4,504,852.49	30.4%
Travel	2,711,484.42	2,718,277.23	(6,792.81)	-0.2%
Materials and Supplies	27,425,008.15	22,681,887.25	4,743,120.90	20.9%
Utilities	10,847,998.00	10,208,333.33	639,664.67	6.3%
Communications	6,399,559.41	7,356,506.64	(956,947.23)	-13.0%
Repairs and Maintenance	3,374,551.26	3,196,187.84	178,363.42	5.6%
Rentals and Leases	3,324,874.15	3,042,237.94	282,636.21	9.3%
Printing and Reproduction	1,013,047.69	957,002.92	56,044.77	5.9%
Scholarships and Fellowships	4,576,971.47	4,437,403.18	139,568.29	3.1%
Depreciation and Amortization	30,916,666.67	30,625,000.00	291,666.67	1.0%
Federal Sponsored Program Pass-Through to Other State Agencies	904,166.67	875,000.00	29,166.67	3.3%
Other Operating Expenses	21,848,847.81	22,558,979.28	(710,131.47)	-3.1%
Total Operating Expenses	458,597,781.75	445,974,667.86	12,623,113.89	2.8%
Operating Loss	(116,532,721.99)	(111,195,061.50)	(5,337,660.49)	-4.8%
Other Nonoperating Adjustments				
State Appropriations	98,361,163.83	102,803,777.42	(4,442,613.59)	-4.3%
Nonexchange Sponsored Programs	758,333.33	729,166.67	29,166.66	4.0%
Gift Contributions for Operations	13,846,498.69	11,396,393.52	2,450,105.17	21.5%
Net Investment Income	22,011,865.66	21,202,311.42	809,554.24	3.8%
Interest Expense on Capital Asset Financings	(4,775,441.72)	(5,134,295.53)	358,853.81	7.0%
Net Other Nonoperating Adjustments	130,202,419.79	130,997,353.50	(794,933.71)	-0.6%
Adjusted Income (Loss) including Depreciation & Amortization	13,669,697.80	19,802,292.00	(6,132,594.20)	-31.0%
Adjusted Margin % including Depreciation & Amortization	2.9%	4.2%		
Investment Gain (Losses)	(33,217,275.72)	(9,781,276.98)	(23,435,998.74)	-239.6%
Adj. Inc. (Loss) with Investment Gains (Losses)	(19,547,577.92)	10,021,015.02	(29,568,592.94)	-295.1%
Adj. Margin % with Investment Gains (Losses)	-4.4%	2.2%		
Adjusted Income (Loss) excluding Depreciation & Amortization	44,586,364.47	50,427,292.00	(5,840,927.53)	-11.6%
Adjusted Margin % excluding Depreciation & Amortization	9.3%	10.7%		

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The University of Texas M. D. Anderson Cancer Center
 Monthly Financial Report, Comparison of Operating Results and Margin
 For the Period Ending March 31, 2016

	March Year-to-Date FY 2016	March Year-to-Date FY 2015	Variance	Fluctuation Percentage
Operating Revenues				
Net Student Tuition and Fees	1,253,036.96	1,039,018.17	214,018.79	20.6%
Sponsored Programs	180,038,998.79	164,579,116.29	15,459,882.50	9.4%
Net Sales and Services of Educational Activities	1,315,312.21	1,275,581.07	39,731.14	3.1%
Net Sales and Services of Hospitals	1,843,201,874.19	1,841,354,688.75	1,847,185.44	0.1%
Net Professional Fees	232,339,461.27	235,733,863.28	(3,394,402.01)	-1.4%
Net Auxiliary Enterprises	24,484,119.79	25,865,003.85	(1,380,884.06)	-5.3%
Other Operating Revenues	60,862,525.96	58,676,151.33	2,186,374.63	3.7%
Total Operating Revenues	2,343,495,329.17	2,328,523,422.74	14,971,906.43	0.6%
Operating Expenses				
Salaries and Wages	1,096,724,467.77	1,024,166,662.84	72,557,804.93	7.1%
Payroll Related Costs	319,544,878.48	296,167,709.38	23,377,169.10	7.9%
Cost of Goods Sold	1,461,161.60	2,893,460.93	(1,432,299.33)	-49.5%
Professional Fees and Services	130,529,005.73	88,014,997.91	42,514,007.82	48.3%
Other Contracted Services	86,327,018.01	70,767,188.28	15,559,829.73	22.0%
Travel	10,752,271.48	11,291,364.27	(539,092.79)	-4.8%
Materials and Supplies	475,267,738.29	442,481,290.31	32,786,447.98	7.4%
Utilities	24,952,007.27	25,432,346.04	(480,338.77)	-1.9%
Communications	7,006,608.53	5,847,042.79	1,159,565.74	19.8%
Repairs and Maintenance	60,097,804.04	61,184,742.84	(1,086,938.80)	-1.8%
Rentals and Leases	25,341,130.81	25,673,961.33	(332,830.52)	-1.3%
Printing and Reproduction	2,912,510.67	2,464,409.51	448,101.16	18.2%
Scholarships and Fellowships	1,915,410.88	1,952,804.00	(37,393.12)	-1.9%
Depreciation and Amortization	203,625,648.55	173,379,590.69	30,246,057.86	17.4%
Federal Sponsored Program Pass-Through to Other State Agencies	365,786.98	(1,092,656.61)	1,458,443.59	133.5%
State Sponsored Program Pass-Through to Other State Agencies	312,848.09	248,777.92	64,070.17	25.8%
Other Operating Expenses	20,224,974.98	19,037,693.11	1,187,281.87	6.2%
Total Operating Expenses	2,467,361,272.16	2,249,911,385.54	217,449,886.62	9.7%
Operating Loss	(123,865,942.99)	78,612,037.20	(202,477,980.19)	-257.6%
Other Nonoperating Adjustments				
State Appropriations	117,467,845.58	109,022,475.49	8,445,370.09	7.7%
Nonexchange Sponsored Programs	1,519,662.88	1,847,504.00	(327,841.12)	-17.7%
Gift Contributions for Operations	85,322,912.30	66,777,068.22	18,545,844.08	27.8%
Net Investment Income	62,292,257.94	48,081,139.06	14,211,118.88	29.6%
Interest Expense on Capital Asset Financings	(19,830,320.79)	(20,922,623.26)	1,092,302.47	5.2%
Net Other Nonoperating Adjustments	246,772,357.91	204,805,563.51	41,966,794.40	20.5%
Adjusted Income (Loss) including Depreciation & Amortization	122,906,414.92	283,417,600.71	(160,511,185.79)	-56.6%
Adjusted Margin % including Depreciation & Amortization	4.7%	11.1%		
Investment Gain (Losses)	(181,219,842.57)	(15,094,105.44)	(166,125,737.13)	-1,100.6%
Adj. Inc. (Loss) with Investment Gains (Losses)	(58,313,427.65)	268,323,495.27	(326,636,922.92)	-121.7%
Adj. Margin % with Investment Gains (Losses)	-2.4%	10.6%		
Adjusted Income (Loss) excluding Depreciation & Amortization	326,532,063.47	456,797,191.40	(130,265,127.93)	-28.5%
Adjusted Margin % excluding Depreciation & Amortization	12.5%	17.9%		

UNAUDITED

The University of Texas Health Science Center at Tyler
 Monthly Financial Report, Comparison of Operating Results and Margin
 For the Period Ending March 31, 2016

	March Year-to-Date FY 2016	March Year-to-Date FY 2015	Variance	Fluctuation Percentage
Operating Revenues				
Net Student Tuition and Fees	78,339.98	73,773.92	4,566.06	6.2%
Sponsored Programs	15,329,068.90	9,319,670.79	6,009,398.11	64.5%
Net Sales and Services of Educational Activities	1,306,934.67	997,264.46	309,670.21	31.1%
Net Sales and Services of Hospitals	31,679,173.90	35,862,690.72	(4,183,516.82)	-11.7%
Net Professional Fees	9,120,600.43	8,477,424.25	643,176.18	7.6%
Net Auxiliary Enterprises	139,579.38	123,397.21	16,182.17	13.1%
Other Operating Revenues	14,084,056.47	15,949,056.75	(1,865,000.28)	-11.7%
Total Operating Revenues	71,737,753.73	70,803,278.10	934,475.63	1.3%
Operating Expenses				
Salaries and Wages	54,492,857.87	45,681,253.06	8,811,604.81	19.3%
Payroll Related Costs	16,566,124.18	14,089,072.44	2,477,051.74	17.6%
Cost of Goods Sold	86,941.48	64,780.38	22,161.10	34.2%
Professional Fees and Services	6,802,479.24	5,068,167.02	1,734,312.22	34.2%
Other Contracted Services	6,783,332.32	7,544,148.15	(760,815.83)	-10.1%
Travel	354,913.14	344,501.46	10,411.68	3.0%
Materials and Supplies	13,842,506.94	11,968,289.92	1,874,217.02	15.7%
Utilities	1,060,532.06	1,384,182.43	(323,650.37)	-23.4%
Communications	717,786.56	522,009.10	195,777.46	37.5%
Repairs and Maintenance	3,344,059.29	3,260,661.71	83,397.58	2.6%
Rentals and Leases	742,253.94	740,103.97	2,149.97	0.3%
Printing and Reproduction	39,239.78	52,041.36	(12,801.58)	-24.6%
Scholarships and Fellowships	92,283.78	61,564.88	30,718.90	49.9%
Depreciation and Amortization	6,338,358.72	6,473,474.60	(135,115.88)	-2.1%
Federal Sponsored Program Pass-Through to Other State Agencies	127,434.28	143,882.70	(16,448.42)	-11.4%
Other Operating Expenses	2,231,894.58	2,038,331.22	193,563.36	9.5%
Total Operating Expenses	113,622,998.16	99,436,464.40	14,186,533.76	14.3%
Operating Loss	(41,885,244.43)	(28,633,186.30)	(13,252,058.13)	-46.3%
Other Nonoperating Adjustments				
State Appropriations	31,435,991.52	25,268,044.95	6,167,946.57	24.4%
Nonexchange Sponsored Programs	-	-	-	
Gift Contributions for Operations	376,022.06	148,683.48	227,338.58	152.9%
Net Investment Income	2,451,965.96	2,438,042.28	13,923.68	0.6%
Interest Expense on Capital Asset Financings	(772,154.67)	(840,842.17)	68,687.50	8.2%
Net Other Nonoperating Adjustments	33,491,824.87	27,013,928.54	6,477,896.33	24.0%
Adjusted Income (Loss) including Depreciation & Amortization	(8,393,419.56)	(1,619,257.76)	(6,774,161.80)	-418.3%
Adjusted Margin % including Depreciation & Amortization	-7.9%	-1.6%		
Investment Gain (Losses)	(2,594,267.18)	(702,665.63)	(1,891,601.55)	-269.2%
Adj. Inc. (Loss) with Investment Gains (Losses)	(10,987,686.74)	(2,321,923.39)	(8,665,763.35)	-373.2%
Adj. Margin % with Investment Gains (Losses)	-10.6%	-2.4%		
Adjusted Income (Loss) excluding Depreciation & Amortization	(2,055,060.84)	4,854,216.84	(6,909,277.68)	-142.3%
Adjusted Margin % excluding Depreciation & Amortization	-1.9%	4.9%		

3. **U. T. System Board of Regents: Report on activities of the University Lands Advisory Board**

REPORT

Regent Cranberg, Chairman of the University Lands Advisory Board (ULAB), and Mr. Mark Houser, Chief Executive Officer - University Lands, will report on activities related to the ULAB.

BACKGROUND INFORMATION

The ULAB was established by the Board of Regents on May 15, 2014, to advise the Board on operations and management of the University Lands Office, to review and recommend budgets to the Board, and to provide strategic direction for University Lands.

4. U. T. System Board of Regents: Adoption of a Supplemental Resolution authorizing the issuance, sale, and delivery of Revenue Financing System Bonds and authorization to complete all related transactions

RECOMMENDATION

The Chancellor concurs in the recommendation of the Executive Vice Chancellor for Business Affairs that the U. T. System Board of Regents

- a. adopt a Supplemental Resolution, substantially in the form previously approved by the U. T. System Board of Regents, authorizing the issuance, sale, and delivery of Board of Regents of The University of Texas System Revenue Financing System (RFS) Bonds in one or more installments in an aggregate principal amount not to exceed \$925 million to be used to refund certain outstanding RFS Bonds, to refund RFS Commercial Paper Notes, to provide new money to fund construction and acquisition costs of projects in the Capital Improvement Program, and to pay the costs of issuance; and
- b. authorize appropriate officers and employees of U. T. System as set forth in the Supplemental Resolution to take any and all actions necessary to carry out the intentions of the U. T. System Board of Regents within the limitations and procedures specified therein; to make certain covenants and agreements in connection therewith; and to resolve other matters incident and related to the issuance, sale, security, and delivery of such RFS Bonds.

BACKGROUND INFORMATION

On August 20, 2015, the Board of Regents adopted the 29th Supplemental Resolution authorizing the issuance of additional RFS Bonds in an amount not to exceed \$600 million. This authority was utilized to issue \$255,825,000 of Revenue Financing System Taxable Bonds, Series 2016A on January 14, 2016, and \$206,040,000 of Revenue Financing System Bonds, Series 2016B (Green Bonds) on January 22, 2016. Adoption of this 30th Supplemental Resolution would provide additional capacity to finance additional projects, including tuition revenue bond projects, under the same provisions as the prior resolution.

Adoption of the Supplemental Resolution would authorize the advance or current refunding of a portion of certain outstanding RFS Bonds provided that an advance refunding exceeds a minimum 3% present value debt service savings threshold. An advance refunding involves issuing bonds to refund outstanding bonds more than 90 days in advance of the call date whereas a current refunding involves issuing bonds to refund outstanding bonds within 90 days of the call date. Refunding bonds are issued at lower interest rates thereby producing debt service savings. Adoption of this Supplemental Resolution will provide the flexibility to select the particular bonds to be refunded depending on market conditions at the time of pricing.

The Supplemental Resolution would also authorize the current refunding of all or a portion of the RFS Commercial Paper Notes. The RFS Commercial Paper Note program is used to provide interim financing for RFS projects approved by the Board. Adoption of the Supplemental

Resolution will permit the interim financing provided through the Notes to be replaced with long-term financing. The Supplemental Resolution also authorizes the issuance of bonds to provide new money to fund the capital costs of eligible projects.

The Supplemental Resolution would also authorize the appropriate officers and employees of the U. T. System to refund outstanding RFS Bonds pursuant to a tender program and to use lawfully available funds to defease outstanding RFS Bonds when economically advantageous.

The proposed Supplemental Resolution has been reviewed by outside bond counsel and the U. T. System Office of General Counsel.

Note: The proposed Resolution is available online at <http://www.utsystem.edu/board-of-regents/meetings/board-meeting-2016-05-11>.

5. **U. T. System Board of Regents: The University of Texas Investment Management Company (UTIMCO) Performance Summary Report and Investment Reports for the quarter ended February 29, 2016**

REPORT

The February 29, 2016 UTIMCO Performance Summary Report is set forth on [Page 106](#).

The Investment Reports for the quarter ended February 29, 2016, are set forth on [Pages 107 - 110](#).

Item I on [Page 107](#) reports activity for the Permanent University Fund (PUF) investments. The PUF's net investment return for the quarter was negative 3.89% versus its composite benchmark return of negative 4.39%. The PUF's net asset value decreased by \$572 million during the quarter to \$16,978 million. The decrease was due to \$119 million PUF Lands receipts, less a net investment return of negative \$691 million. No distribution was made to the Available University Fund (AUF) during the quarter.

Item II on [Page 108](#) reports activity for the General Endowment Fund (GEF) investments. The GEF's net investment return for the quarter was negative 3.82% versus its composite benchmark return of negative 4.39%. The GEF's net asset value decreased by \$322 million during the quarter to \$7,820 million.

Item III on [Page 109](#) reports activity for the Intermediate Term Fund (ITF). The ITF's net investment return for the quarter was negative 3.65% versus its composite benchmark return of negative 2.42%. The net asset value increased during the quarter to \$7,134 million due to net contributions of \$354 million, less net investment return of negative \$261 million and distributions of \$54 million.

All exposures were within their asset class and investment type ranges. Liquidity was within policy.

Item IV on [Page 110](#) presents book and market values of cash, debt, equity, and other securities held in funds outside of internal investment pools. Total cash and equivalents, consisting primarily of institutional operating funds held in the Dreyfus and Fidelity money market fund, increased by \$504 million to \$2,515 million during the three months since the last reporting period. Market values for the remaining asset types were debt securities: \$20 million versus \$20 million at the beginning of the period; equities: \$85 million versus \$240 million at the beginning of the period; and other investments: \$1 million versus \$2 million at the beginning of the period.

UTIMCO Performance Summary

February 29, 2016

	Net Asset Value 2/29/2016 (in Millions)	Periods Ended February 29, 2016 (Returns for Periods Longer Than One Year are Annualized)							
		Short Term		Year to Date		Historic Returns			
		1 Mo	3 Mos	Fiscal	Calendar	1 Yr	3 Yrs	5 Yrs	10 Yrs
ENDOWMENT FUNDS									
Permanent University Fund	\$ 16,978	(0.33%)	(3.89%)	(4.31%)	(3.00%)	(4.91%)	4.22%	4.60%	4.99%
Permanent Health Fund	1,004								
Long Term Fund	6,816								
General Endowment Fund	7,820	(0.32%)	(3.82%)	(4.37%)	(3.06%)	(4.75%)	4.33%	4.71%	5.10%
Separately Invested Funds	239								
Total Endowment Funds	25,037								
OPERATING FUNDS									
Intermediate Term Fund	7,134	(0.29%)	(3.65%)	(4.26%)	(2.85%)	(7.67%)	0.90%	2.10%	3.75%
Short Term Fund and Debt Proceeds Fund	2,383								
Total Operating Funds	9,517								
Total Assets Under Management	\$ 34,554								
VALUE ADDED (Percent)									
Permanent University Fund		(0.43%)	0.50%	(1.37%)	(1.13%)	(0.99%)	0.66%	0.81%	1.56%
General Endowment Fund		(0.42%)	0.57%	(1.43%)	(1.19%)	(0.83%)	0.77%	0.92%	1.67%
Intermediate Term Fund		(0.60%)	(1.23%)	(1.42%)	(1.05%)	(1.30%)	0.85%	1.30%	1.88%
VALUE ADDED (\$ IN MILLIONS)									
Permanent University Fund		(\$73)	\$88	(\$241)	(\$197)	(\$176)	\$321	\$624	\$2,209
General Endowment Fund		(34)	46	(119)	(97)	(69)	184	370	1,297
Intermediate Term Fund		(43)	(89)	(104)	(76)	-98	139	333	849
Total Value Added		(\$150)	\$45	(\$464)	(\$370)	(\$343)	\$644	\$1,327	\$4,355

Footnote available upon request.

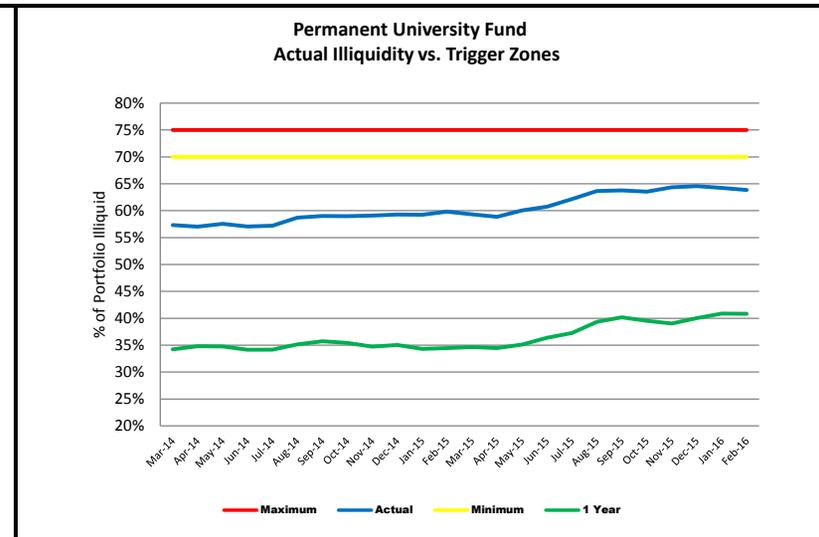
UTIMCO 3/31/2016

I. PERMANENT UNIVERSITY FUND
Investment Reports for Periods Ended February 29, 2016

Prepared in accordance with Texas Education Code Sec. 51.0032

	Fiscal Year to Date							
	Asset Allocation		Returns		Value Added			Total
	Actual	Policy	Portfolio	Policy Benchmark	Tactical Allocation	Active Management		
More Correlated and Constrained:								
Investment Grade	8.6%	6.5%	0.18%	2.68%	0.04%	-0.16%	-0.12%	
Credit-Related	0.1%	0.0%	0.04%	-3.88%	0.00%	0.00%	0.00%	
Real Estate	2.3%	2.5%	-6.54%	1.28%	-0.01%	-0.20%	-0.21%	
Natural Resources	5.5%	7.5%	-12.18%	-6.43%	0.10%	-0.43%	-0.33%	
Developed Country	12.1%	14.0%	-10.41%	-5.18%	0.07%	-0.76%	-0.69%	
Emerging Markets	<u>8.9%</u>	<u>9.5%</u>	<u>-5.57%</u>	<u>-8.85%</u>	<u>-0.01%</u>	<u>0.33%</u>	<u>0.32%</u>	
Total More Correlated and Constrained	37.5%	40.0%	7.20%	4.56%	0.1%	1.22%	1.03%	
Less Correlated and Constrained	27.0%	27.0%	5.53%	5.05%	0.08%	0.02%	0.10%	
Private Investments	34.6%	31.0%	0.01%	1.07%	0.02%	0.26%	0.24%	
Total	100.0%	100.0%	4.31%	2.64%	0.13%	1.50%	1.37%	

	Summary of Capital Flows		
(\$ millions)	Fiscal Year Ended August 31, 2015	Quarter Ended February 29, 2016	Fiscal Year to Date August 31, 2016
Beginning Net Assets	\$17,365	\$17,550	\$17,490
PUF Lands Receipts	807	119	259
Investment Return (Net of Expenses)	82	(691)	(771)
Distributions to AUF	(764)	0	0
Ending Net Assets	<u>\$17,490</u>	<u>\$16,978</u>	<u>\$16,978</u>

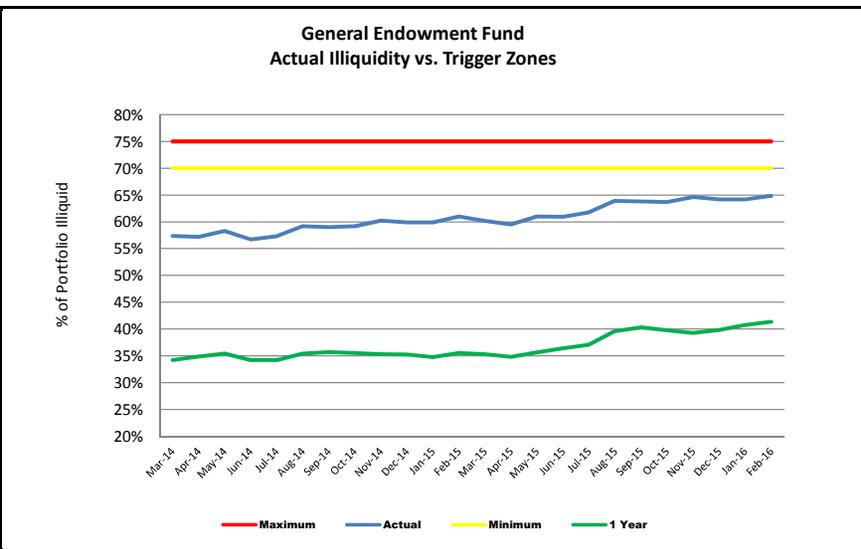


II. GENERAL ENDOWMENT FUND Investment Reports for Periods Ended February 29, 2016

Prepared in accordance with Texas Education Code Sec. 51.0032

	Fiscal Year to Date							
	Asset Allocation		Returns		Value Added			
	Actual	Policy	Portfolio	Policy Benchmark	Tactical Allocation	Active Management	Total	
More Correlated and Constrained:								
Investment Grade	6.8%	6.5%	0.99%	2.68%	0.04%	-0.11%	-0.07%	
Credit-Related	0.1%	0.0%	0.04%	-3.88%	0.00%	0.00%	0.00%	
Real Estate	2.3%	2.5%	-6.53%	1.28%	0.00%	-0.20%	-0.20%	
Natural Resources	5.7%	7.5%	-12.16%	-6.43%	0.10%	-0.44%	-0.34%	
Developed Country	12.6%	14.0%	-10.24%	-5.18%	0.04%	-0.71%	-0.67%	
Emerging Markets	<u>9.1%</u>	<u>9.5%</u>	<u>-6.53%</u>	<u>-8.85%</u>	<u>0.00%</u>	<u>0.22%</u>	<u>0.22%</u>	
Total More Correlated and Constrained	36.6%	40.0%	-7.30%	-4.56%	0.18%	-1.24%	-1.06%	
Less Correlated and Constrained	28.3%	29.0%	-5.53%	-5.05%	-0.10%	-0.03%	-0.13%	
Private Investments	<u>35.1%</u>	<u>31.0%</u>	<u>0.00%</u>	<u>1.07%</u>	<u>0.02%</u>	<u>-0.26%</u>	<u>-0.24%</u>	
Total	<u>100.0%</u>	<u>100.0%</u>	<u>-4.37%</u>	<u>-2.94%</u>	<u>0.10%</u>	<u>-1.53%</u>	<u>-1.43%</u>	

<u>Summary of Capital Flows</u>			
(\$ millions)	Fiscal Year Ended August 31, 2015	Quarter Ended February 29, 2016	Fiscal Year to Date August 31, 2016
Beginning Net Assets	\$8,325	\$8,142	\$8,237
Contributions	230	94	150
Withdrawals	(43)	(1)	(4)
Distributions	(366)	(102)	(203)
Investment Return (Net of Expenses)	<u>91</u>	<u>(313)</u>	<u>(360)</u>
Ending Net Assets	<u>\$8,237</u>	<u>\$7,820</u>	<u>\$7,820</u>

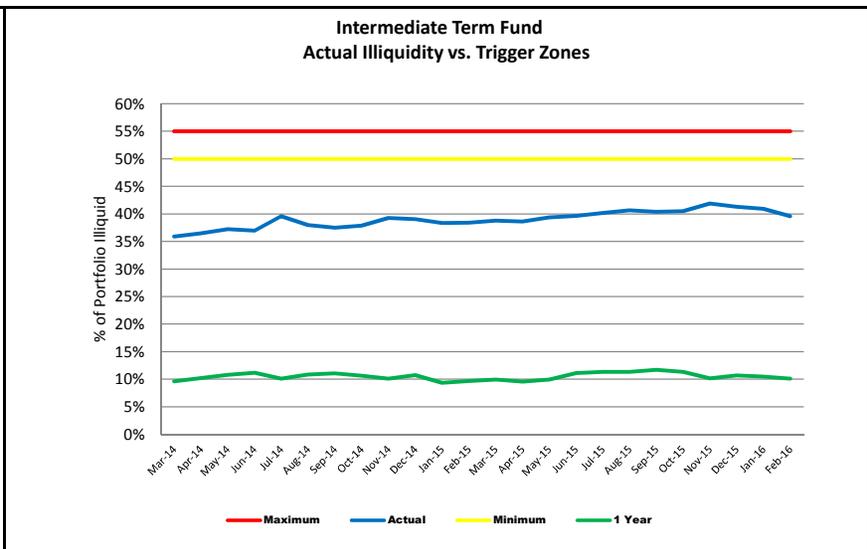


III. INTERMEDIATE TERM FUND Investment Reports for Periods Ended February 29, 2016

Prepared in accordance with Texas Education Code Sec. 51.0032

	Fiscal Year to Date							
	Asset Allocation		Returns		Value Added			
	Actual	Policy	Portfolio	Policy Benchmark	Tactical Allocation	Active Management	Total	
More Correlated and Constrained:								
Investment Grade	34.3%	30.0%	1.22%	2.68%	0.08%	-0.42%	-0.34%	
Credit-Related	0.0%	0.0%	0.00%	0.00%	0.00%	0.00%	0.00%	
Real Estate	2.5%	3.0%	-6.53%	1.28%	0.02%	-0.22%	-0.20%	
Natural Resources	4.9%	7.0%	-12.15%	-6.43%	0.10%	-0.41%	-0.31%	
Developed Country	7.8%	9.0%	-10.33%	-5.18%	0.02%	-0.48%	-0.46%	
Emerging Markets	5.0%	6.0%	-6.45%	-8.85%	0.02%	0.15%	0.17%	
Total More Correlated and Constrained	54.5%	55.0%	-3.22%	-1.05%	0.24%	-1.38%	-1.14%	
Less Correlated and Constrained	45.5%	45.0%	-5.54%	-5.05%	-0.28%	0.00%	-0.28%	
Private Investments	0.0%	0.0%	0.00%	0.00%	0.00%	0.00%	0.00%	
Total	100.0%	100.0%	-4.26%	-2.84%	-0.04%	-1.38%	-1.42%	

<u>Summary of Capital Flows</u>			
(\$ millions)	Fiscal Year Ended August 31, 2015	Quarter Ended February 29, 2016	Fiscal Year to Date August 31, 2016
Beginning Net Assets	\$6,665	\$7,095	\$7,037
Contributions	1,448	428	707
Withdrawals	(627)	(74)	(196)
Distributions	(210)	(54)	(107)
Investment Return (Net of Expenses)	(239)	(261)	(307)
Ending Net Assets	<u>\$7,037</u>	<u>\$7,134</u>	<u>\$7,134</u>



IV. SEPARATELY INVESTED ASSETS
Summary Investment Report at February 29, 2016
 Report prepared in accordance with *Texas Education Code Sec. 51.0032*

ASSET TYPES	(\$ thousands)															
	FUND TYPE															
	CURRENT PURPOSE DESIGNATED		RESTRICTED		ENDOWMENT & SIMILAR FUNDS		ANNUITY & LIFE INCOME FUNDS		AGENCY FUNDS		TOTAL EXCLUDING OPERATING FUNDS		OPERATING FUNDS (DEBT PROCEEDS AND SHORT TERM FUND)		TOTAL	
BOOK	MARKET	BOOK	MARKET	BOOK	MARKET	BOOK	MARKET	BOOK	MARKET	BOOK	MARKET	BOOK	MARKET	BOOK	MARKET	
Cash & Equivalents:																
Beginning value 11/30/15	10,247	10,247	3,645	3,645	98,013	98,013	1,859	1,859	739	739	114,503	114,503	1,895,989	1,895,989	2,010,492	2,010,492
Increase/(Decrease)	(10,246)	(10,246)	(2,043)	(2,042)	(343)	(343)	(261)	(261)	481	481	(12,412)	(12,411)	516,616	516,616	504,204	504,205
Ending value 02/29/16	1	1	1,602	1,603	97,670	97,670	1,598	1,598	1,220	1,220	102,091	102,092	2,412,605	2,412,605	2,514,696	2,514,697
Debt Securities:																
Beginning value 11/30/15	-	-	11	12	11,353	11,554	8,908	8,713	-	-	20,272	20,279	-	-	20,272	20,279
Increase/(Decrease)	-	-	(4)	(6)	(136)	(272)	288	(46)	-	-	148	(324)	-	-	148	(324)
Ending value 02/29/16	-	-	7	6	11,217	11,282	9,196	8,667	-	-	20,420	19,955	-	-	20,420	19,955
Equity Securities:																
Beginning value 11/30/15	180,941	177,399	575	575	41,432	50,047	12,534	12,355	-	-	235,482	240,376	-	-	235,482	240,376
Increase/(Decrease)	(176,985)	(166,451)	(41)	(31)	533	12,662	-	(1,214)	-	-	(176,493)	(155,034)	-	-	(176,493)	(155,034)
Ending value 02/29/16	3,956	10,948	534	544	41,965	62,709	12,534	11,141	-	-	58,989	85,342	-	-	58,989	85,342
Other:																
Beginning value 11/30/15	-	-	973	973	2	2	4	4	931	931	1,910	1,910	-	-	1,910	1,910
Increase/(Decrease)	-	-	(159)	(159)	(2)	(2)	1	1	(479)	(479)	(639)	(639)	-	-	(639)	(639)
Ending value 02/29/16	-	-	814	814	-	-	5	5	452	452	1,271	1,271	-	-	1,271	1,271
Total Assets:																
Beginning value 11/30/15	191,188	187,646	5,204	5,205	150,800	159,616	23,305	22,931	1,670	1,670	372,167	377,068	1,895,989	1,895,989	2,268,156	2,273,057
Increase/(Decrease)	(187,231)	(176,697)	(2,247)	(2,238)	52	12,045	28	(1,520)	2	2	(189,396)	(168,408)	516,616	516,616	327,220	348,208
Ending value 02/29/16	3,957	10,949	2,957	2,967	150,852	171,661	23,333	21,411	1,672	1,672	182,771	208,660	2,412,605	2,412,605	2,595,376	2,621,265

Details of individual assets by account furnished upon request.

UTIMCO 3/22/2016

6. U. T. System Board of Regents: Approval of annual distributions from the Permanent University Fund, the Permanent Health Fund, the Long Term Fund, and the Intermediate Term Fund

RECOMMENDATION

The Chancellor concurs with the Deputy Chancellor and the Executive Vice Chancellor for Business Affairs in the recommendation that

- a. the Fiscal Year 2017 distribution from the Permanent University Fund (PUF) to the Available University Fund (AUF) be increased from \$772,876,690 to \$839,441,000 effective September 1, 2016. This distribution equates to 5.00% of the trailing 12-quarter average of the net asset value of the PUF;
- b. the distribution rate for the Permanent Health Fund (PHF) be increased from \$0.0606 per unit to \$0.0612 per unit for Fiscal Year 2017 (effective with November 30, 2016 distribution);
- c. the distribution rate for the U. T. System Long Term Fund (LTF) be increased from \$0.3472 per unit to \$0.3507 per unit for Fiscal Year 2017 (effective with November 30, 2016 distribution); and
- d. the distribution rate for the U. T. System Intermediate Term Fund (ITF) remain at 3.0% per annum (paid monthly) for Fiscal Year 2017.

BACKGROUND INFORMATION

The PUF Investment Policy states that the Board of Regents will approve an annual distribution from the PUF to the AUF. Regents' Rule 80303 provides that the default distribution shall be an amount equal to 4.75% of the trailing 12-quarter average of the net asset value of the PUF for the quarter ending February of each fiscal year unless the average annual rate of return of the PUF investments over the trailing 12 quarters exceeds the Expected Return by 25 basis points or more, in which case distribution shall be an amount equal to 5.0% of the trailing 12-quarter average. "Expected Return" is the Expected Annual Return or Benchmarks set out in Exhibit A to the PUF Investment Policy Statement.

As shown in the table below, the average annual return of the PUF investments for the trailing 12 quarters ending February 29, 2016, did not exceed the Expected Return by 25 basis points or more ($\geq 25\%$). Therefore, as outlined in Regents' Rule 80303, the "default" distribution rate for Fiscal Year 2016-2017 would be 4.75%, or \$797,468,950.

	Trailing 12 Quarters Ending February 29, 2016	Expected or Benchmark	Excess/(Deficit)
Average Annual Rate of Return	4.22%	5.40%	(1.18%)

Due to continued relatively strong royalty income and a desire to continue to enhance quality and invest in the U. T. System institutions even during a period of moderate capital market returns, it is the recommendation of the Chancellor and the Executive Vice Chancellor for Business Affairs that the distribution from the PUF to the AUF for Fiscal Year 2017 be \$839,441,000 or 5.00% of the trailing 12-quarter average of the net asset value of the PUF. This calculation is shown below:

<u>Quarter Ended</u>	<u>Net Asset Value</u>
05/31/2013	14,630,924,697
08/31/2013	14,852,538,510
11/30/2013	15,625,425,857
02/28/2014	16,284,907,290
05/31/2014	16,912,325,818
08/31/2014	17,364,902,520
11/30/2014	17,533,457,255
02/28/2015	18,043,442,921
05/31/2015	18,200,267,855
08/31/2015	17,490,041,365
11/30/2015	17,549,833,950
02/29/2016	16,977,772,075
	\$ 201,465,840,112
Number of quarters	12
Average Net Asset Value	\$ 16,788,820,009
Distribution Percentage	5.00%
FY 2016-17 Distribution	\$ 839,441,000

Article VII, Section 18 of the Texas Constitution requires that the amount of distributions to the AUF be determined by the Board of Regents of The University Texas System (Board of Regents) in a manner intended to provide the AUF with a stable and predictable stream of annual distributions and to maintain over time the purchasing power of PUF investments and annual distributions to the AUF. The Constitution further limits the Board of Regents' discretion to set annual PUF distributions to the satisfaction of three tests:

1. The amount of PUF distributions to the AUF in a fiscal year must be not less than the amount needed to pay the principal and interest due and owing in that fiscal year on PUF bonds and notes. The proposed distribution of \$839,441,000 is substantially greater than PUF bond debt service of \$290,306,000 projected for FY 2016-2017.

<u>System</u>	<u>Debt Service</u>
U. T.	\$ 154,155,000
TAMU	136,151,000
Total:	\$ 290,306,000

Sources: U. T. System Office of Finance
 Texas A&M University System Office of
 Treasury Services

2. The Board of Regents may not increase annual PUF distributions to the AUF (except as necessary to pay PUF debt service) if the purchasing power of PUF investments for any rolling 10-year period has not been preserved. As the schedule below indicates, the average annual increase in the rate of growth of the value of PUF investments (net of expenses, inflation, and distributions) for the trailing 10-year period ended February 29, 2016, was 3.67%, which indicates that the purchasing power test was met.

Average Annual	Percent
Rate of Total Return, Net of Investment Manager Fees	5.11%
Mineral Interest Receipts	4.88%
Expense Rate	(0.17)% ⁽¹⁾
Inflation Rate	(1.57)%
Distribution Rate	(4.58)%
Net Real Return	3.67%

(1) The expense rate as shown is a 10-year annualized average and includes PUF Land Expenses and PUF investment management costs other than investment manager fees. Investment management fees are a reduction to the Rate of Total Return.

3. The annual distribution from the PUF to the AUF during any fiscal year made by the Board of Regents may not exceed an amount equal to 7% of the average net fair market value of PUF investment assets as determined by the Board of Regents (except as necessary to pay PUF bond debt service). The annual distribution rate calculated using the trailing 12-quarter average value of the PUF is within the 7% maximum allowable distribution rate.

Value of PUF Investments (1)	Proposed Distribution	Proposed Distribution as a % of Value of PUF Investments	Maximum Allowed Rate
\$16,788,820,009	\$ 839,441,000	5.00%	7.00%

(1) Source: UTIMCO

The spending policy objectives of the PHF and LTF are to

- a. provide a predictable stable stream of distributions over time;
- b. ensure that the inflation-adjusted value of the distributions is maintained over the long term; and
- c. ensure that the inflation-adjusted value of the assets of the PHF and the LTF, as appropriate after distributions, is maintained over the long term.

The increase in the consumer price index for the prior three years as of November 30, 2015, was 1.0%. The recommended 1.0% increase in the PHF distribution rate of \$0.0606 to \$0.0612 per unit will increase the distributions by the average rate of inflation for the trailing 12 quarters. The PHF's distribution rate calculated using the prior 12-quarter average value of the PHF is 4.7%.

The recommended 1.4% increase in the LTF distribution rate from \$0.3472 to \$0.3507 per unit will increase the distributions by the average rate of inflation for the trailing 12 quarters. The LTF's distribution rate calculated using the prior 12-quarter average value of the LTF is 4.9%.

The distribution rate for the ITF was originally set at 3.0% per annum for Fiscal Year 2007 by the Board of Regents on May 11, 2006, and has continued at that rate for each succeeding fiscal year. The recommendation for Fiscal Year 2017 is to continue a distribution rate of 3.0%.



**TABLE OF CONTENTS
FOR
ACADEMIC AFFAIRS COMMITTEE**

Committee Meeting: 5/11/2016

Board Meeting: 5/12/2016
Austin, Texas

*Ernest Aliseda, Chairman
Alex M. Cranberg
R. Steven Hicks
Brenda Pejovich
Sara Martinez Tucker*

	Committee Meeting	Board Meeting	Page
Convene	<i>10:30 a.m. Chairman Aliseda</i>		
1. U. T. System Board of Regents: Discussion and appropriate action regarding Consent Agenda items, if any, assigned for Committee consideration	<i>10:30 a.m. Discussion</i>	Action	115
2. U. T. Rio Grande Valley: Approval to extend the delegation of authority to the Chancellor to approve certain contracts for U. T. Rio Grande Valley	<i>10:31 a.m. Action President Bailey</i>	Action	115
3. U. T. Permian Basin: Approval to create a College of Nursing	<i>10:36 a.m. Action President Watts</i>	Action	116
4. U. T. Rio Grande Valley: Approval of preliminary authority for a Doctor of Philosophy in Physics	<i>10:41 a.m. Action President Bailey</i>	Action	117
5. U. T. System: Approval of \$5 million in Available University Funds (AUF) to support the Agreement of Cooperation in Higher Education and Research with The National Council of Science and Technology (CONACYT) of Mexico; and finding that the expenditure of AUF for this purpose is appropriate	<i>10:47 a.m. Action President Romo Dr. Leslie</i>	Action	118
6. U. T. System: Discussion and appropriate action regarding termination of the Educational Partnership Agreement between U. T. Brownsville and Texas Southmost College (TSC) effective July 1, 2016, and abolition of U. T. Brownsville effective July 1, 2016	<i>11:02 a.m. Action Dr. Leslie</i>	Action	120
Adjourn	<i>11:15 a.m.</i>		

1. **U. T. System Board of Regents: Discussion and appropriate action regarding Consent Agenda items, if any, assigned for Committee consideration**

RECOMMENDATION

The proposed Consent Agenda is located at the back of the book. Consent Agenda items assigned to this Committee are on [Pages 269 - 298](#).

2. **U. T. Rio Grande Valley: Approval to extend the delegation of authority to the Chancellor to approve certain contracts for U. T. Rio Grande Valley**

RECOMMENDATION

The Chancellor concurs in the recommendation of the Deputy Chancellor, the Executive Vice Chancellor for Academic Affairs, and President Bailey that the U. T. System Board of Regents extend the delegation of authority to the Chancellor or his delegate to approve contracts with a value of less than \$5 million for U. T. Rio Grande Valley that would otherwise require approval by the Board of Regents pursuant to the Regents' *Rules and Regulations*, Rule 10501, Delegation to Act on Behalf of the Board.

This extended delegation is recommended to be conditioned upon the current requirement that the Chancellor provide a quarterly report to the Board of Regents of those contracts approved under this delegation of authority. The recommended extension will terminate as of August 31, 2016.

BACKGROUND INFORMATION

On February 12, 2015, the Board of Regents approved the original delegation of authority with effective dates beginning February 12, 2015, and terminating on April 30, 2016, to facilitate the expeditious and efficient handling of contracts needed to establish and begin operations of U. T. Rio Grande Valley. The recommended extension is needed to allow U. T. Rio Grande Valley to most efficiently process several pending contracts related to the U. T. Rio Grande Valley transition.

3. U. T. Permian Basin: Approval to create a College of Nursing

RECOMMENDATION

The Chancellor concurs in the recommendation of the Deputy Chancellor, the Executive Vice Chancellor for Academic Affairs, and President Watts that approval be granted to create a College of Nursing at U. T. Permian Basin.

BACKGROUND INFORMATION

U. T. Permian Basin proposes to create a College of Nursing to establish an infrastructure to support the current nursing program and future programmatic development, with a reporting line directly to the provost.

The nursing program at U. T. Permian Basin, which is delivered in both a traditional face-to-face mode of instruction and in an accelerated, online Registered Nursing to Bachelor of Science in Nursing (RN-to-BSN) mode, has achieved several notable milestones and successes. In November 2015, the Commission on Collegiate Nursing Education (CCNE) granted accreditation to the program effective February 4, 2015, the first date of CCNE's official site visit. The program graduated its first class in May 2015. The pass rate on the National Council Licensure Examination (NCLEX) for that class was 91%, and the Texas Board of Nursing granted full approval to the program.

The College of Nursing will be led by a dean and served by coordinators in three areas: Academics and Research, Clinical Education and Simulation, and RN-to-BSN Program. There will be no new hires. Funding in support of the dean's position would be provided by the Medical Center Health System Endowment, which was specifically established to support the nursing leader. Stipends for existing nursing faculty to serve as coordinators would come from institutional funds. Total costs for the first five years would be \$154,000, with \$100,000 covered by the Endowment and \$54,000 covered by the institution.

The creation of the new College has been approved by the Executive Vice Chancellor for Academic Affairs pending approval by the Board. Upon approval by the Board of Regents, the Office of Academic Affairs will notify the Texas Higher Education Coordinating Board of the change so that the U. T. Permian Basin administrative unit structure may be updated.

Texas Education Code Section 65.11 authorizes the Board of Regents to provide for the "administration, organization, and names of the institutions and entities in The University of Texas System in such a way as will achieve the maximum operating efficiency of such institutions and entities[.]"

4. **U. T. Rio Grande Valley: Approval of preliminary authority for a Doctor of Philosophy in Physics**

RECOMMENDATION

The Chancellor concurs in the recommendation of the Deputy Chancellor, the Executive Vice Chancellor for Academic Affairs, and President Bailey that the U. T. System Board of Regents approve

- a. preliminary authority for U. T. Rio Grande Valley to include a Doctor of Philosophy (Ph.D.) in Physics; and
- b. notification of the proposal to the Texas Higher Education Coordinating Board.

BACKGROUND INFORMATION

U. T. Rio Grande Valley's College of Sciences requests preliminary authority for a Doctor of Philosophy in Physics. While a strict needs analysis suggests that the supply of Ph.D.s in physics will be greater than the demand, the concentration of excellence in the area of gravitational wave physics and astronomy research in the U. T. Rio Grande Valley Department of Physics strongly suggests that a stand-alone Ph.D. program could quickly achieve national and international prominence. The well-recognized departmental excellence, along with the close proximity to the SpaceX launch site, will provide unique opportunities to conduct doctoral research that are unavailable at other institutions. Such distinction will likely make graduates of the program highly competitive in both the academic and industry job markets. Further, the core faculty within the department has extensive experience teaching and mentoring doctoral students in the cooperative Ph.D. program in physics with U. T. San Antonio and the cooperative Ph.D. program in physics with U. T. Arlington.

Once preliminary authority has been approved, U. T. Rio Grande Valley will submit the degree program for approval by the U. T. System Board of Regents and the Texas Higher Education Coordinating Board.

5. **U. T. System: Approval of \$5 million in Available University Funds (AUF) to support the Agreement of Cooperation in Higher Education and Research with The National Council of Science and Technology (CONACYT) of Mexico; and finding that the expenditure of AUF for this purpose is appropriate**

RECOMMENDATION

The Chancellor concurs in the recommendation of the Deputy Chancellor, the Executive Vice Chancellor for Academic Affairs, and the Executive Vice Chancellor for Business Affairs that the U. T. System Board of Regents

- a. authorize \$5 million in Available University Funds (AUF) to support the Agreement of Cooperation in Higher Education and Research with The National Council of Science and Technology (CONACYT) of Mexico for four years; and
- b. find that expenditure of AUF for this purpose is appropriate under the U. T. System's responsibilities to coordinate the activities of the U. T. System institutions participating in the program, including support and maintenance of the participation of U. T. Austin in the program, with the intent that the expenditure will benefit a broad number of U. T. System institutions.

BACKGROUND INFORMATION

On August 25, 2015, during an event at U. T. San Antonio, Chancellor McRaven and Enrique Cabrero Mendoza, General Director of CONACYT, signed a Memorandum of Understanding (MOU) establishing the basis for programs of cooperation between the two parties to promote and strengthen relations between both countries in regards to higher education and research. Using the MOU as a platform, this Agreement of Cooperation in Higher Education and Research represents the initial funded series of programs. A summary of the Agreement may be found on the following page.

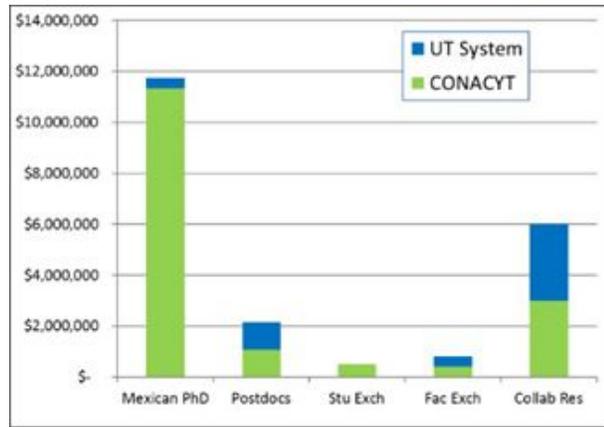
The programs supported through this Agreement include Mexican Ph.D. student education at U. T. System institutions, exchange of postdoctoral fellows, exchange of nondegree students and faculty, and collaborative research projects. The proposed funding provides program and administration support for four years.

The partnership with CONACYT is a U. T. System program to be administered through an office located on the U. T. San Antonio campus. The office will function under contract with U. T. System Administration through the Office of Academic Affairs. U. T. System Administration will reimburse the institution with the allocated AUF based on invoices submitted or other appropriate means. The allocation of AUF will also be used to reimburse U. T. Austin for expenses related to U. T. Austin's participation in the program. Funds provided by CONACYT will be used to reimburse the cost of institutional participation for those U. T. System institutions choosing to participate. Most U. T. System institutions have previously participated individually in educational programs funded by CONACYT.

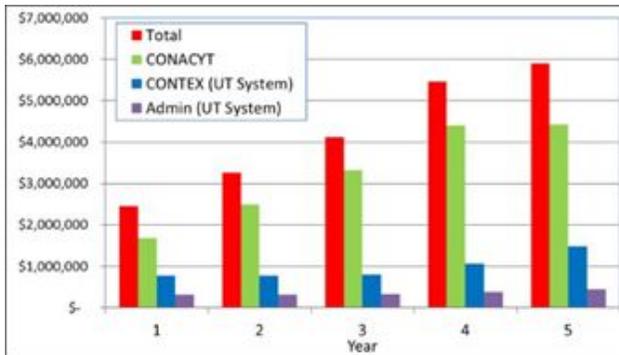
Agreement of Cooperation in Higher Education and Research

This will be the first agreement under the MOU signed in August 2015 establishing programs of cooperation between Mexico’s National Council of Science and Technology (CONACYT) and UT System. The programs supported through this Agreement include Mexican PhD student education, exchange of postdoctoral fellows, exchange of non-degree students and faculty, and collaborative research projects. During the 5-year time period to fully implement the Agreement, the total funding commitment could reach \$21.6 million. During this time period this would require a \$16.3 million commitment from CONACYT and \$4.9 million from UT System. The Agreement will be administered for UT System and CONACYT through the *CONTEX* program office, a component of UT System and located at UT San Antonio.

The largest financial commitment of this Agreement is for Mexican PhD student support, adding 30 students per year and reaching an ongoing number of 150 students. Financial support includes tuition, fees, health insurance, and a stipend. The first four years, where the student is largely involved with classroom study and significant research, is funded by CONACYT. The last year (year 5), if necessary, is funded by UT System. All students who participate in this program will meet entrance requirements of the hosting institution and be accepted for admission prior to commitment of financial support.



The collaborative research program will be jointly funded by UT System and CONACYT and is designed as seed funding to establish research programs involving faculty from UT institutions and Mexican institutions in research topics of mutual interest and bilateral significance. It is anticipated that the postdoctoral, non-degree student and faculty exchanges will be closely affiliated with the collaborative research program.



The annual UT System investment in this Agreement will increase from about \$770,000 in year 1 to about \$1.5 million in year 5, which reflects an ongoing level of support.

UT System support for this Agreement makes sense. Mexico remains the largest export market

for Texas products. Many of the Agreement programs PhD graduates will return to Mexico to work in higher levels of government, industry and as university faculty. It is a long-term benefit to Texas to have these individuals familiar with and supportive of UT System institutions, rather than another university within Texas, the U.S., or abroad. Recruitment and retention of well-qualified PhD students is always a primary objective for any research-active institution of Higher Education. UT institutions extend significant financial resources in these efforts, and PhD student support requires even greater funds from academic programs and/or individual faculty (at least in the STEM fields, the unfunded PhD student is a rare exception, and individual PhD student support for tuition, fees, health insurance and stipend easily ranges from \$40K to \$70K annually). This Agreement will provide well-qualified PhD students for UT institutions and cover a significant part of the associated costs. Furthermore, the additional international students will have a positive impact on the Texas economy (recent estimates suggest a positive impact in excess of \$22K per student annually). Additionally, this Agreement is consistent with recent bilateral efforts to enhance cooperation between Texas and Mexico.

6. U. T. System: Discussion and appropriate action regarding termination of the Educational Partnership Agreement between U. T. Brownsville and Texas Southmost College (TSC) effective July 1, 2016, and abolition of U. T. Brownsville effective July 1, 2016

RECOMMENDATION

The Chancellor concurs in the recommendation of the Deputy Chancellor, the Executive Vice Chancellor for Academic Affairs, the Executive Vice Chancellor for Business Affairs, and the Vice Chancellor and General Counsel that the Board of Regents approve the termination of the Educational Partnership Agreement between U. T. Brownsville and Texas Southmost College (TSC) effective July 1, 2016.

In addition, conditioned on approval by the Southern Association of Colleges and Schools Commission on Colleges (SACSCOC) to merge U. T. Rio Grande Valley and U. T. Brownsville, it is recommended that the Board abolish U. T. Brownsville effective July 1, 2016, and that the Board of Regents provide written notice of that action to the Texas Secretary of State as required by law.

BACKGROUND INFORMATION

Senate Bill 24 of the 83rd Legislative Session, which created U. T. Rio Grande Valley, required that the partnership agreement between U. T. Brownsville and Texas Southmost College be continued at least until September 1, 2015, to the extent necessary to ensure accreditation of the respective entities. On August 20, 2015, the Board of Regents approved an extension of the Educational Partnership Agreement between U. T. Brownsville and TSC until the earlier of August 31, 2016, or TSC achieving independent accreditation from SACSCOC.

U. T. Brownsville has remained operational with minimal administrative staff for the purpose of facilitating the partnership with TSC until independent accreditation is obtained. During its annual board meeting in December 2015, the SACSCOC approved TSC's application for independent accreditation, giving TSC the ability to provide educational opportunities and providing TSC students continued eligibility for federal financial aid.

Senate Bill 24 also directs the Board to choose a date of abolition of U. T. Brownsville. After the final transfer of assets, facilities, operations, programs, and liabilities to U. T. Rio Grande Valley, the Board of Regents may reasonably determine that the abolition of U. T. Brownsville on July 1, 2016, is appropriate. Accordingly, contingent upon action by SACSCOC at its June 2016 meeting related to the merger of U. T. Rio Grande Valley and U. T. Brownsville, the Chancellor and the Executive Vice Chancellor for Academic Affairs recommend that the U. T. System Board of Regents abolish U. T. Brownsville effective July 1, 2016, and that on satisfaction of the contingency, written notice of the abolition be provided to the Texas Secretary of State as required by law.



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FOR
HEALTH AFFAIRS COMMITTEE**

Committee Meeting: 5/11/2016

Board Meeting: 5/12/2016
Austin, Texas

*Alex M. Cranberg, Chairman
Ernest Aliseda
David J. Beck
Jeffery D. Hildebrand
Sara Martinez Tucker*

	Committee Meeting	Board Meeting	Page
Convene	<i>11:15 a.m. Chairman Cranberg</i>		
1. U. T. System Board of Regents: Discussion and appropriate action regarding Consent Agenda items, if any, assigned for Committee consideration	<i>11:15 a.m. Discussion</i>	Action	122
2. U. T. Southwestern Medical Center: Report on the William P. Clements, Jr. University Hospital	<i>11:20 a.m. Report/Discussion President Podolsky Dr. John Warner, UTSWMC</i>	Not on Agenda	123
3. U. T. System: Approval of \$12.4 million from the Available University Fund to be deployed over four fiscal years to support a new U. T. System Clinical Data Network; finding that the expenditure is appropriate; and authority to substitute Permanent University Funds for Available University Funds	<i>11:30 a.m. Action Dr. Greenberg Dr. Elmer Bernstam, UTHSC-H</i>	Action	136
Adjourn	<i>12:00 p.m.</i>		

1. **U. T. System Board of Regents: Discussion and appropriate action regarding Consent Agenda items, if any, assigned for Committee consideration**

RECOMMENDATION

The proposed Consent Agenda is located at the back of the book. Consent Agenda items assigned to this Committee are on [Pages 299 - 316](#).

2. **U. T. Southwestern Medical Center: Report on the William P. Clements, Jr. University Hospital**

REPORT

President Podolsky will introduce John Warner, M.D., Vice President and Chief Executive Officer of U. T. Southwestern University Hospitals, who will report on the William P. Clements, Jr. University Hospital at U. T. Southwestern Medical Center. Dr. Warner's presentation is set forth on the following pages.

BACKGROUND INFORMATION

In 2009, former Texas Governor William P. Clements, Jr., made an unprecedented \$100 million gift to U. T. Southwestern Medical Center, the largest single gift in the institution's history. In the spirit of Governor Clements' intentions, the institution chose to use the funds to support construction of a new university hospital (approved by the Board on November 12, 2009) to replace the aging St. Paul University Hospital. The new university hospital broke ground in March 2011 on the West Campus, immediately northwest of the existing St. Paul Hospital building. The hospital is comprised of 460 patient beds, 24 operating rooms, 40 emergency rooms, endoscopy and catheterization/interventional rooms, and imaging services. The total cost for the hospital and related components was \$800 million.

On April 12, 2012, the Board of Regents approved the honorific naming of the new university hospital as the William P. Clements, Jr. University Hospital. President Podolsky briefly presented status reports of the project at the August 20, 2014 and November 5, 2015 meetings of the Board of Regents.

On December 6, 2014, the Clements University Hospital at U. T. Southwestern Medical Center opened its doors to the public, transforming medical care in North Texas and serving as a model for academic medical centers across the country. The goal of the new hospital was to bring together innovative hospital design, state-of-the-art technology, and industry best practices to create an environment that seamlessly integrates patient care with leading-edge research and medical education.

William P. Clements, Jr. University Hospital Expansion

Daniel K. Podolsky, M.D., President, U. T. Southwestern Medical Center
John Warner, M.D., Vice President and CEO, U. T. Southwestern
University Hospitals

U. T. System Board of Regents' Meeting
Health Affairs Committee
May 2016



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Clinical Strategic Plan Initiatives

- Grow faculty practice
 - Expansion of on-campus clinics
 - Develop off-campus multidisciplinary Clinical Centers
 - Initiate and grow U. T. Southwestern Clinically Affiliated Physicians (UTSCAP) as a primary care base of referral
- Expand inpatient capacity
- Develop partnership – Southwestern Health Resources
- Adapt to changing health care economics
 - Develop population health capabilities
 - Formation of U. T. Southwestern Accountable Care Network (ACN)
 - Engage in alternative payment models
 - Medicare Shared Savings Program (MSSP)
 - Medicare Advantage
 - Commercial risk-based contracts and bundles
- Explore development of a health plan

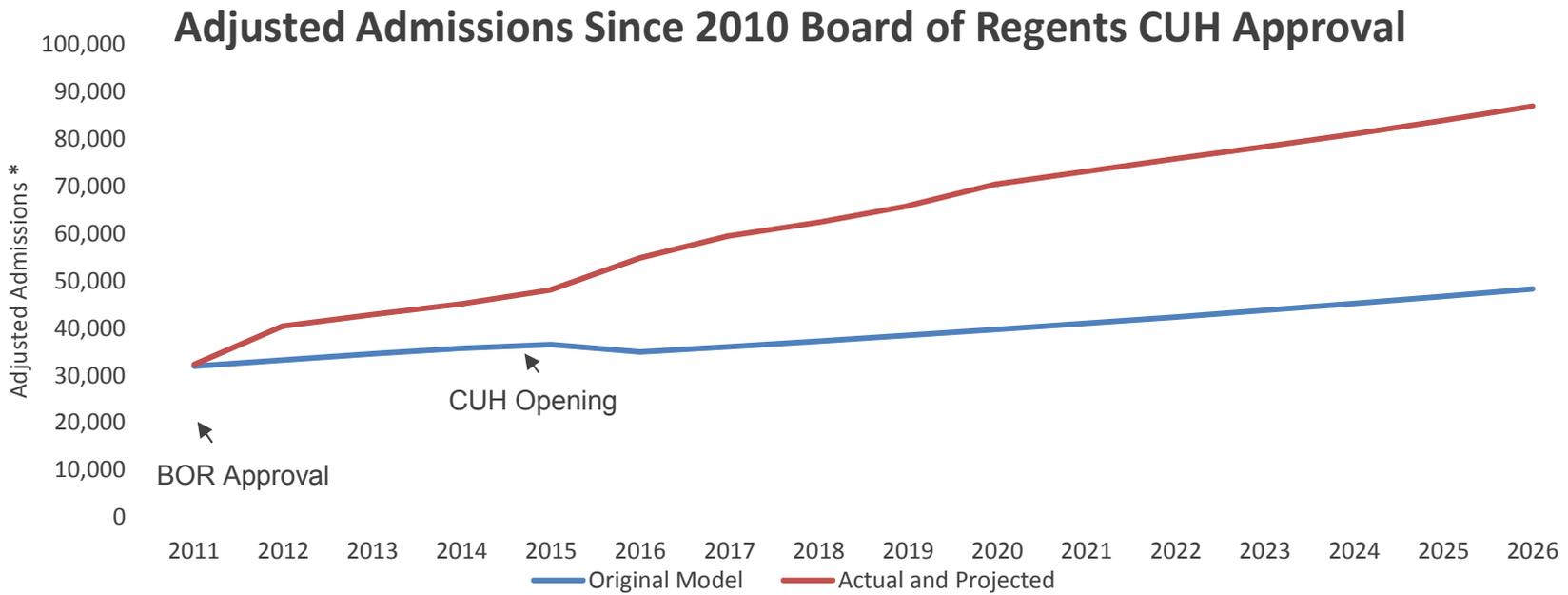


Our Current Situation: University Hospitals are Developing Capacity Constraints

- Opening of William P. Clements, Jr. University Hospital (CUH) has led to unprecedented growth across entire clinical platform
 - Community has embraced the new hospital
 - National recognition in quality and safety
- Growth being driven by increase in medical and surgical specialty care – cardiovascular disease and cancer
- Emergency Department volumes continue to grow – even after expected increase after opening
- Zale Lipshy University Hospital volume is increasing
 - Emphasis on Neuroscience programmatic growth
 - Rising tide across entire clinical enterprise



University Hospitals: Growth Has Outperformed Projections – Before and After CUH Opening

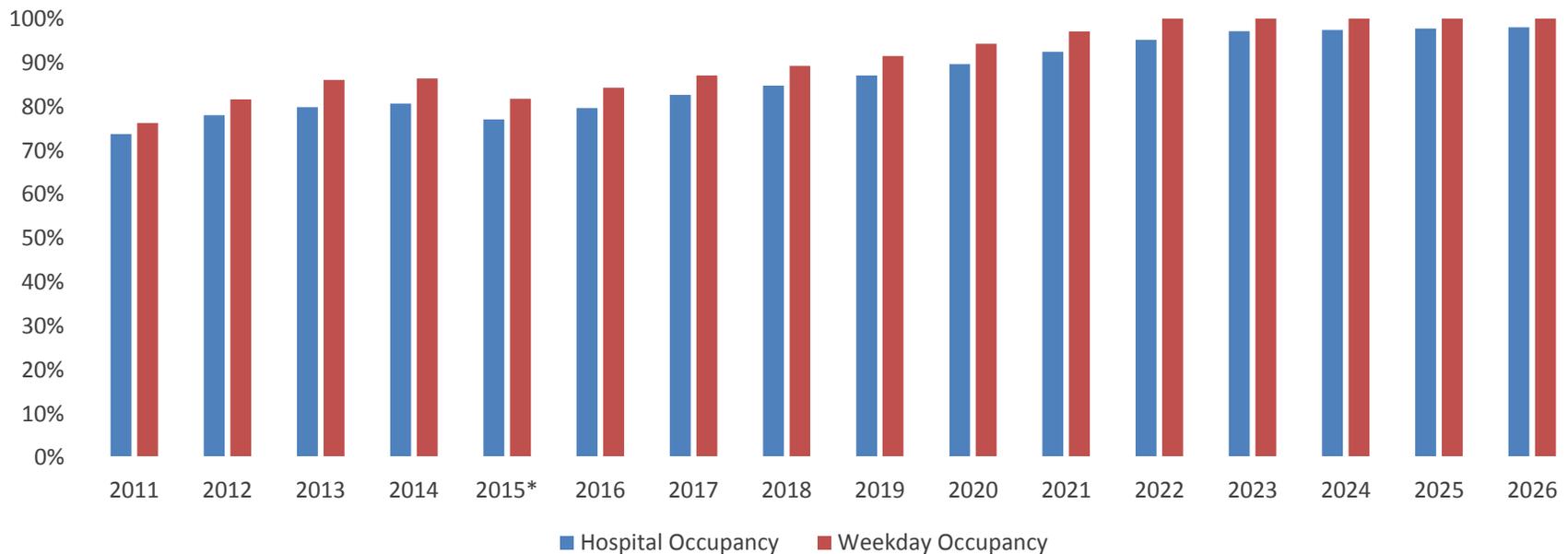


* admitted patients, patients seen for observation, and patients receiving outpatient services in the hospital



University Hospitals are Essentially Fully Occupied during Weekdays by FY 2018

University Hospitals Percent Occupancy by Fiscal Year



* CUH opened December 2014, 10 months prior to projected opening in University Hospital model



Continuing Our Growth – Proposed Expansion of Clements University Hospital

- Add third tower to existing two bed towers at CUH
- Expand CUH Emergency Department
- Add additional operating rooms and interventional suites to CUH
- Add space in existing CUH materials management building for supply chain support
- Build new CUH parking structure
- Move existing services at Zale Lipshy University Hospital to new CUH tower
- Explore alternative uses for Zale Lipshy University Hospital



The Benefits of a William P. Clements, Jr. University Hospital Expansion

- Consolidates inpatient services together in one facility, improving the quality of care, and both the patient and provider experience
- Improves operational efficiency by eliminating redundant infrastructure across two hospitals – lowers the cost of care
- Adds needed capacity to University Hospitals during a period of dynamic growth
- Establishes CUH as destination high-acuity quaternary care hospital
- Creates best-in-class multidisciplinary care models across all disciplines – located in a single facility



William P. Clements, Jr. University Hospital



Rendering of Possible Third Tower Expansion Produced During Original CUH Design Process



CUH Expansion Cost Assumptions

Project Budget Total – \$360 million

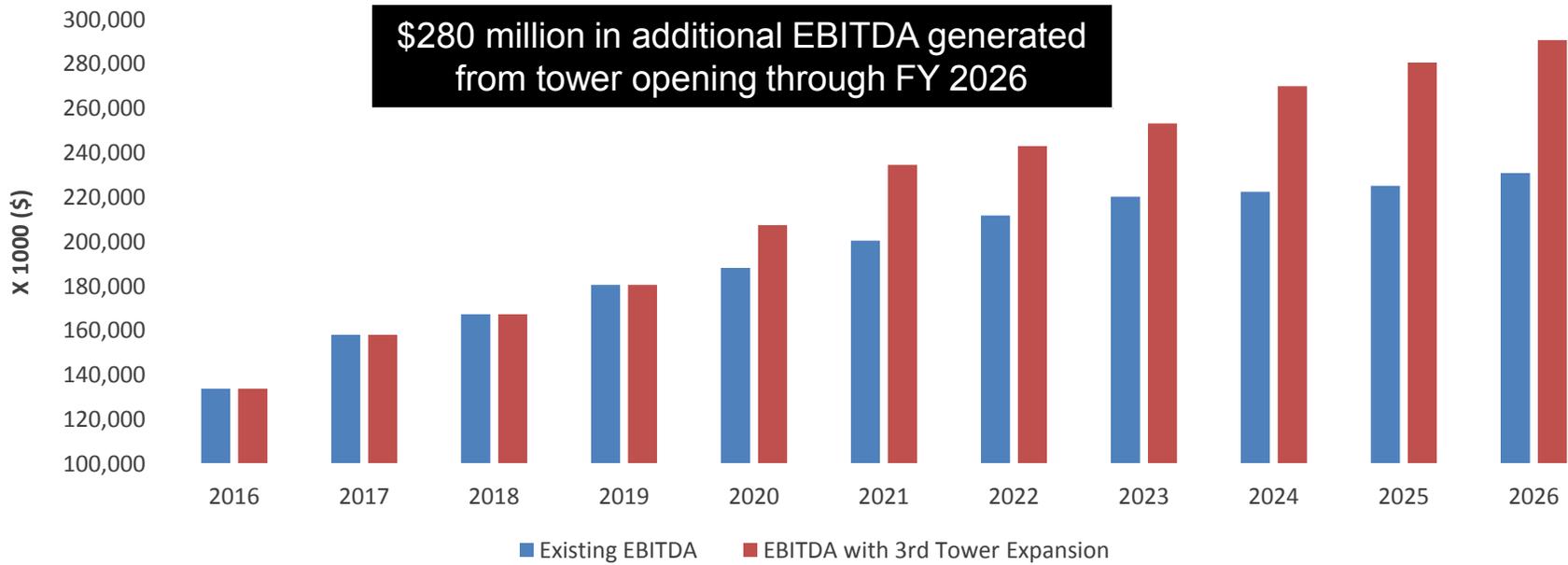
- Hospital expansion and site work – \$320 million
 - \$1.3 million estimated cost per bed (industry standard \$1.5 - \$2.0 million per bed)
 - Leverages investments made during original CUH construction to facilitate expansion at a lower cost, with minimal disruption to existing operations
- Parking structure – \$40 million
 - 1,870 parking spaces; 1,570 additional over existing surface parking
 - Budgeted at \$21,000 per space



Financial Impact – Earnings Before Interest, Taxes, Depreciation, and Amortization (EBITDA)

University Hospitals EBITDA by Fiscal Year

\$280 million in additional EBITDA generated from tower opening through FY 2026



The Case for a William P. Clements, Jr. Hospital Expansion

- Improves the quality of hospital care and service
 - Having all providers in one facility improves collaboration and multidisciplinary care
 - Simpler patient experience – particularly for those admitted through the Emergency Department
- Lowers the cost of care
 - Eliminates redundancies in infrastructure, staffing, and inventory
- Positions UTSWMC as destination high-acuity hospital in region
- Prepares UTSWMC for future referrals from growth of Southwestern Health Resources network



3. **U. T. System: Approval of \$12.4 million from the Available University Fund to be deployed over four fiscal years to support a new U. T. System Clinical Data Network; finding that the expenditure is appropriate; and authority to substitute Permanent University Funds for Available University Funds**

RECOMMENDATION

The Chancellor concurs with the recommendation of the Deputy Chancellor, the Executive Vice Chancellor for Health Affairs, the Executive Vice Chancellor for Academic Affairs, and the Executive Vice Chancellor for Business Affairs that the U. T. System Board of Regents

- a. approve \$12.4 million from the Available University Fund (AUF) to be deployed over four fiscal years to support a new U. T. System Clinical Data Network;
- b. find that the expenditure of AUF for this purpose is appropriate in furtherance of the U. T. System's responsibility to provide oversight and coordination of the activities of the U. T. System and that benefit a broad number of the institutions of the System, in this case through a centralized clinical data network linking all U. T. System health care delivery entities into a collaborative health care enterprise model of centralized health care data; and
- c. authorize the U. T. System Associate Vice Chancellor, Controller, and Chief Budget Officer to substitute Permanent University Funds (PUF) for AUF after consultation with the Chancellor for appropriate capital expenditures.

A PowerPoint presentation is set forth on the following pages.

BACKGROUND INFORMATION

The U. T. System Clinical Data Network would benefit a broad number of U. T. System institutions. Funding for this initiative will be issued over a four-year time period and evaluated annually by the Executive Vice Chancellor for Health Affairs on the basis of high performance metrics, such as quality control reliability and low variability. Funds would be provided for technical support and capital purchases to implement this initiative.

Thirty full-time equivalent positions at the U. T. System institutions are expected to be supported by the requested funds to develop and launch the network.

At the February 10, 2016 meeting of the Board of Regents' Health Affairs Committee, Dr. Bernstam introduced a proposal to create a U. T. System Clinical Data Network.

Advance notice of this potential initiative was provided to the Legislative Budget Board as required by Rider 8, Page III-61 of the current *General Appropriations Act*.

The University of Texas System Clinical Data Network

Elmer Bernstam, M.D., M.S.E., Associate Dean for Research and Professor
U. T. Health Science Center - Houston, School of Biomedical Informatics

U. T. System Board of Regents' Meeting
Health Affairs Committee
May 2016



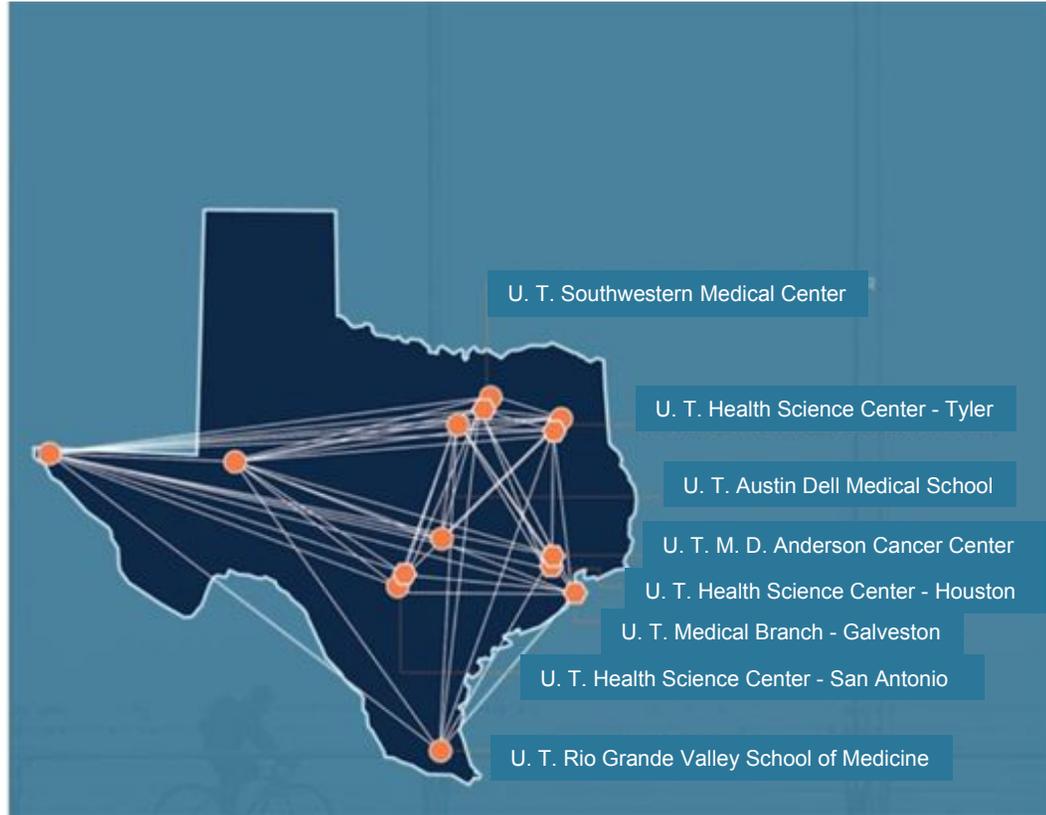
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THE U. T. SYSTEM HEALTH CARE ENTERPRISE

U. T. System will develop a collaborative health care enterprise that will leverage U. T. System's size and expertise and connect U. T. System's regional capabilities to provide Texas, the nation, and the world with the finest health care possible.



What is a Clinical Data Network?

- Electronic Health Record (EHR)
 - Transactional system for clinical use
 - Single patient queries
- Clinical Data Network (CDN)
 - Data aggregation and reporting for:
 - Research
 - Administration (support Accountable Care Organization efforts)
 - Patient safety, quality, and bio-surveillance



Components of a Clinical Data Network

- Hardware and software infrastructure
 - Informatics for Integrating Biology and the Bedside (i2b2) (data models, user interface)
- Processes and procedures
 - Extract/Transform/Load
 - Regulatory/Political: How are data accessed? By whom?
 - Necessary agreements with assurances may vary depending on the CDN Model selected and the justification for data needs (e.g., Business Associate Agreement, Data Use Agreements, Interagency Agreements)
- Data
 - Vocabularies, standards, accuracy



Progressively harder



Overview of Activities of the U. T. System Clinical Data Network (UT-CDN)

- Established key institutional contacts
- Biweekly teleconferences
- Inventory of partner institutions, data sources, and current data warehouse capabilities and resources
- Review of other statewide efforts (e.g., California, South Carolina)
- Site visits and other key personnel meetings
 - Project Diabetes Obesity Control (Project DOC), Healthcare Information and Management Systems Society national meeting in February



Overview of Activities of the UT-CDN (cont.)

- Proposal development and refinements
 - Key contact pre-meetings for final reviews at American Medical Informatics Association and Texas Regional Clinical and Translational Science Awards Consortium
- Submission to the U. T. System Office of Health Affairs



Personnel Interviewed

U. T. M. D. Anderson Cancer Center:

- John Frenzel, M.D., Chief Medical Information Officer
- George Wilding, M.D., Vice Provost, Clinical and Interdisciplinary Research and Clinical Research
- J. Jack Lee, Ph.D., M.S., D.D.S., Associate Vice Provost, Quantitative Research
- Mark Wozny, Data Governance Manager

U. T. Health Science Center - San Antonio:

- Alfredo Tirado-Ramos, Ph.D., Chief, Clinical Informatics Research Division
- Alex F. Bokov, Ph.D., Deputy Chief, Clinical Informatics Research Department
- Daniel E. Hale, M.D., Chief, Division of Pediatric Endocrinology and Diabetes
- Angela Bos, Senior Software Engineer, Epidemiology and Biostatistics

U. T. Southwestern Medical Center:

- Dipti Ranganathan, Associate Vice President Academic and Administrative Information Systems
- Teresa Bosler, P.M.P., Project Manager
- Melody Bell, Assistant Vice President, Academic information Systems
- Jennifer Cai, Information Resources Manager, Academic Information Systems
- Phillip Reeder, Senior Database Analyst, Academic Information Systems

U. T. Medical Branch - Galveston:

- Jonathan Starkey, M.D., Ph.D., Assistant Professor, Director of Health Informatics, Institute for Translational Sciences
- Michael J. King, Director of Enterprise Information Security

U. T. Rio Grande Valley:

- Joseph B. McCormick, M.D., M.S., Regional Dean, James H. Steele Professor

U. T. Health Science Center - Tyler:

- John Yoder, Vice President of Technology & CIO
- Thomas Belt, M.D., Professor of Medicine and Co-Chief Medical Information Officer
- Michael Sammons, Database Analyst

U. T. Austin:

- William Tierney, M.D., Professor and Chair of Population Health (Interim)

U. T. System:

- Patricia D. Hurn, Ph.D., Vice Chancellor for Research and Innovation, Health Affairs
- Lynda Chin, M.D., Associate Vice Chancellor for Health Transformation and Chief Innovation Officer, Health Affairs



1. Architect a hybrid-federated model based on open standards

- Each site wants to retain ownership of locally-stored data
 - Federated model allows flexibility
 - May need a centralized index of all information depending on use case
- Use existing tools where possible
 - Supports open data standard mappings, which are EHR independent
 - i2b2, Shared Health Research Informatics Network (SHRINE), and Patient-Centered Outcomes Research Institute ontology

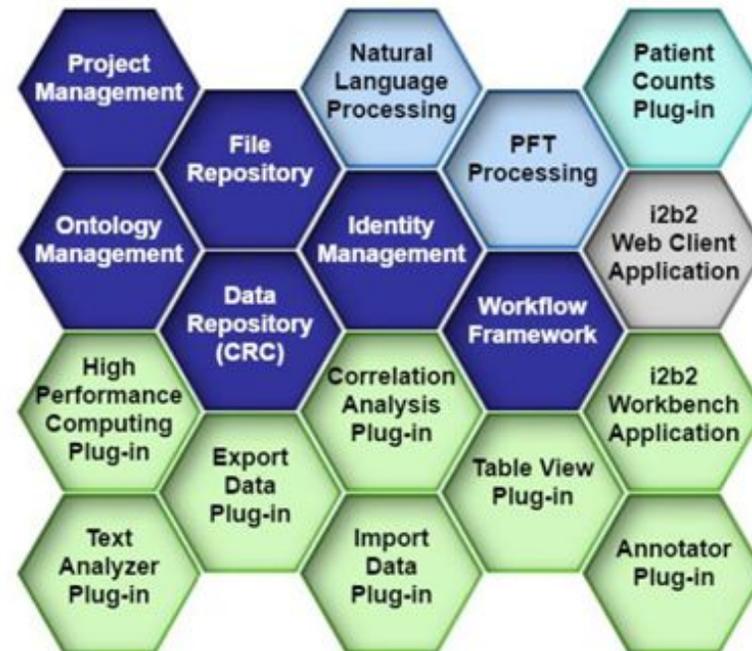
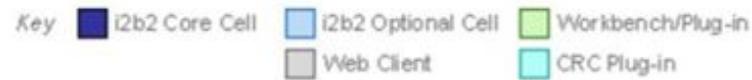


1. Architect a hybrid-federated model based on open standards (cont.)

i2b2

- Exists at four Texas Clinical and Translational Science Awards institutions (UTSWMC, UTHSCH, UTHSCSA, UTMB)
- Basis for the University of California Research Exchange

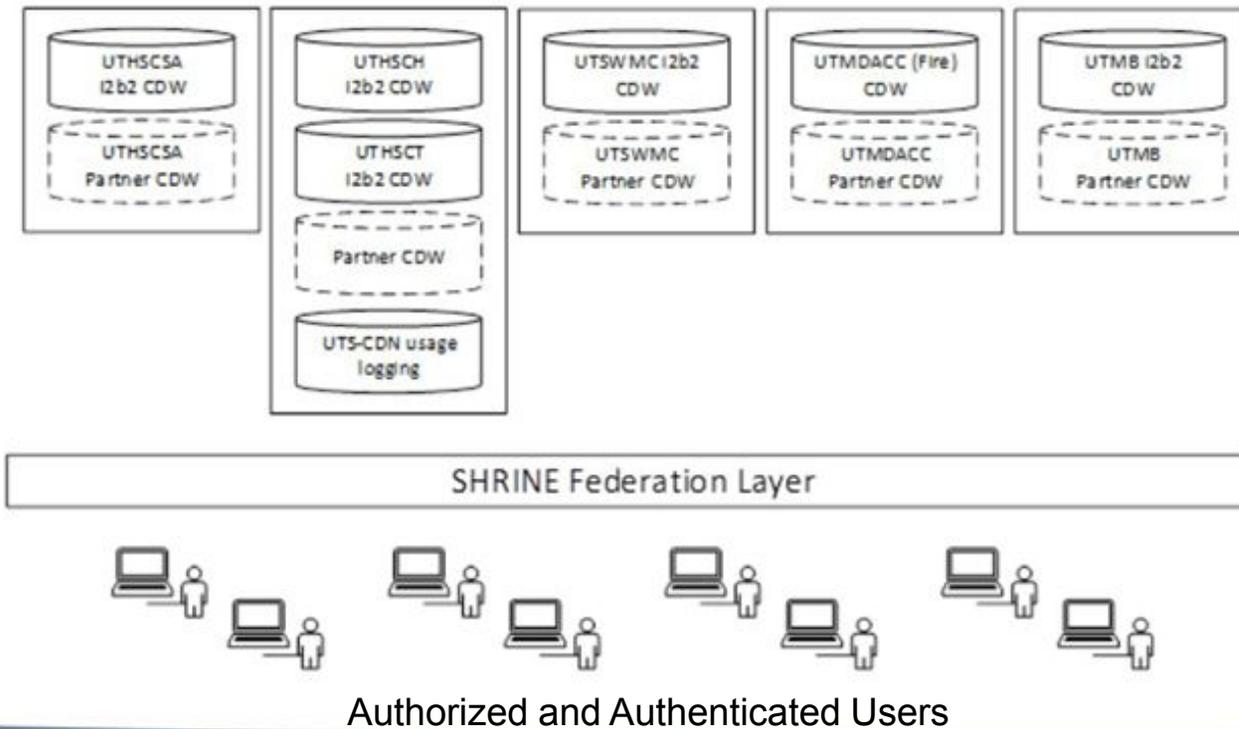
<https://www.i2b2.org/software/index.html>
 Accessed 4/6/2016



Clinical Resource Chart (CRC)



1. Architect a hybrid-federated model based on open standards (cont.)



Clinical Data Warehouse (CDW)



2. Create governance with key leaders, including institutional partners

- Executive
- Clinical and technical operations
- Data standards and harmonization
- Analytics and research
- Regulatory/Compliance
- Security
- External advisory committee



3. Take a phased approach that prioritizes early utility

- Phase 1: Existing administrative data
- Phase 2: Additional structured data
- Phase 3: Expanded data



4. Pick one to three compelling use cases that leverage(s) the UT-CDN capabilities

- Use cases must have a strong individual leader (champions)
- Balance with administrative, clinical, and research examples
- Utilize proven change management approaches to ensure adoption



5. Leverage scarce expertise across institutions

- Utilize current expertise; develop recruitment and development plans for data scientists
- Coordinating center based at UTHSCH; resources will need to be mobile
- Develop methods to share common tools and processes



6. Establish administration and management structure with a Coordinating Center

- Executive – Associate Vice Chancellor of Health Analytics
- Coordinating Center
 - Director
 - Finance staff
 - Project Management staff
 - Operations staff
 - Technical staff



7. Develop a sustainability model based on improved clinical operations and research competitiveness

- Clinical operations
- Research operations
- Direct grant and philanthropy
- Commercial entities (possible)



UT-CDN Available University Funds (AUF) Initiative Budget 2016 – 2019

AUF Net Position per activity	FY 2016 estimated	FY 2017 estimated	FY 2018 estimated	FY 2019 estimated
Beginning Balance (AUF)	\$ -	\$ 11,282,241	\$ 6,920,333	\$ 2,919,184
Allocations (AUF)	\$ 12,400,000	\$ -	\$ -	\$ -
Less Expenses (AUF)	\$ (1,117,759)	\$ (4,361,908)	\$ (4,001,149)	\$ (2,919,184)
AUF Net Position – End of Year	\$ 11,282,241	\$ 6,920,333	\$ 2,919,184	\$ -





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FOR
FACILITIES PLANNING AND CONSTRUCTION
COMMITTEE**

Committee Meeting: 5/11/2016

Board Meeting: 5/12/2016
Austin, Texas

*Brenda Pejovich, Chairman
David J. Beck
Alex M. Cranberg
Wallace L. Hall, Jr.
R. Steven Hicks*

	Committee Meeting	Board Meeting	Page
Convene	<i>3:45 p.m. Chairman Pejovich</i>		
1. U. T. System Board of Regents: Discussion and appropriate action regarding Consent Agenda items, if any, assigned for Committee consideration	<i>3:45 p.m. Discussion</i>	Action	156
<u>Design Development Approval</u>			
2. U. T. Arlington: Science and Engineering Innovation and Research Building - Approval of design development; approval to revise funding sources; appropriation of funds and authorization of expenditure; and resolution regarding parity debt (Final Board approval)	<i>3:50 p.m. Action Mr. O'Donnell</i>	Action	157
3. U. T. Dallas: Engineering Building - Approval of design development; appropriation of funds and authorization of expenditure; and resolution regarding parity debt (Final Board approval)	<i>3:55 p.m. Action Mr. O'Donnell</i>	Action	160
4. U. T. Dallas: Student Housing Phase VI - Approval of design development; appropriation of funds and authorization of expenditure; and resolution regarding parity debt (Final Board approval)	<i>4:00 p.m. Action Mr. O'Donnell</i>	Action	163
5. U. T. Dallas: Student Housing Phase VII - Approval of design development; appropriation of funds and authorization of expenditure; and resolution regarding parity debt (Final Board approval)	<i>4:05 p.m. Action Mr. O'Donnell</i>	Action	166
6. U. T. Tyler: STEM - Business Building - Approval of design development; and appropriation of funds and authorization of expenditure (Final Board approval)	<i>4:10 p.m. Action Mr. O'Donnell</i>	Action	169

	Committee Meeting	Board Meeting	Page
<u>Modifications to the CIP</u>			
7. U. T. Austin: Dell Medical School - Phase I - Amendment of the FY 2016-2021 Capital Improvement Program to increase total project cost; appropriation of funds and authorization of expenditure; and resolution regarding parity debt (Final Board approval)	4:15 p.m. Action <i>Mr. O'Donnell</i>	Action	172
8. U. T. M. D. Anderson Cancer Center: Clinical Research Building Animal Area Renovation - Amendment of the FY 2016-2021 Capital Improvement Program to increase total project cost; and appropriation of funds and authorization of expenditure (Final Board approval)	4:25 p.m. Action <i>Mr. O' Donnell</i>	Action	175
Adjourn	4:30 p.m.		

1. **U. T. System Board of Regents: Discussion and appropriate action regarding Consent Agenda items, if any, assigned for Committee consideration**

RECOMMENDATION

The proposed Consent Agenda is located at the back of the book. Consent Agenda items assigned to this Committee are on [Page 317](#).

2. U. T. Arlington: Science and Engineering Innovation and Research Building - Approval of design development; approval to revise funding sources; appropriation of funds and authorization of expenditure; and resolution regarding parity debt (Final Board approval)

RECOMMENDATION

The Chancellor concurs in the recommendation of the Deputy Chancellor, the Executive Vice Chancellor for Academic Affairs, the Executive Vice Chancellor for Business Affairs, and President Karbhari that the U. T. System Board of Regents approve the recommendations for the Science and Engineering Innovation and Research Building project at U. T. Arlington as follows:

Project No.: 301-941

Project Delivery Method: Construction Manager-at-Risk

Substantial Completion Date: July 2018

Total Project Cost:	<u>Source</u>	<u>Current</u>	<u>Proposed</u>
	Tuition Revenue Bond Proceeds	\$ 70,000,000	\$ 70,000,000
	Revenue Financing System Bond Proceeds ¹	\$ 20,000,000	\$ 35,000,000
	Permanent University Fund Proceeds	\$ 20,000,000	\$ 20,000,000
	Gifts	<u>\$ 15,000,000</u>	<u>\$ 0</u>
		\$125,000,000	\$125,000,000

Funding Note: ¹ Revenue Financing System (RFS) to be repaid from Designated Tuition

- Investment Metrics:**
- Increase enrollment in the College of Engineering by 100% from 6,516 to 13,032 by 2020
 - Increase enrollment in the College of Science by 50% from 3,403 to 5,104 by 2020
 - Increase number of teaching seats in lecture halls and classrooms from 10,750 to 11,650 by 2018

Project Advocate: Duane Dimos, Vice President for Research

Definition Phase Completed: N/A

Project Planning:	Owner's Project Requirements	Yes
	Basis of Design	Yes
	Schematic Plans	Yes
	Detailed Cost Estimate	Yes
	Facilities Program	Yes

Cost Per Gross Square Foot Benchmarks*

Science and Engineering Innovation and Research Building	\$421
Texas Higher Education Coordinating Board Average for Laboratory, Medical/Healthcare	\$479

	Low Quartile	Median	High Quartile
Other U. T. System Projects	\$421	\$457	\$503
Other Texas Projects	\$409	\$430	\$458
Other National Projects	\$452	\$515	\$653

* All benchmark building costs are escalated to 2016

- a. approve design development plans;
- b. revise funding sources to remove Gifts;
- c. appropriate funds and authorize expenditure of \$125,000,000 with funding of \$70,000,000 from Tuition Revenue Bond (TRB) Proceeds, \$35,000,000 from RFS Bond Proceeds, and \$20,000,000 from Permanent University Fund (PUF) Bond Proceeds; and
- d. resolve in accordance with Section 5 of the Amended and Restated Master Resolution Establishing The University of Texas System Revenue Financing System that
 - parity debt shall be issued to pay the project's cost, including any costs prior to the issuance of such parity debt;
 - sufficient funds will be available to meet the financial obligations of the U. T. System, including sufficient Pledged Revenues as defined in the Master Resolution to satisfy the Annual Debt Service Requirements of the Financing System, and to meet all financial obligations of the U. T. System Board of Regents relating to the Financing System; and
 - U. T. Arlington, which is a "Member" as such term is used in the Master Resolution, possesses the financial capacity to satisfy its direct obligation as defined in the Master Resolution relating to the issuance by the U. T. System Board of Regents of tax-exempt parity debt in the aggregate amount of \$35,000,000.

BACKGROUND INFORMATION

Debt Service

The \$35,000,000 in RFS debt will be repaid from Designated Tuition. Annual debt service on the \$35,000,000 RFS debt is expected to be \$2.13 million. The institution's debt service coverage is expected to be at least 1.8 times and average 2.1 times over FY 2016-2021.

Previous Actions

On July 10, 2014, President Karbhari presented this project to the Board of Regents for approval to submit the project for consideration by the Texas Legislature for TRB funding. The 84th Legislature passed, and Governor Greg Abbott signed into law, House Bill 100 allowing for the issuance of \$922,632,000 in TRB Proceeds for U. T. System institutions effective September 1, 2015. On August 20, 2015, the project was included in the CIP with a total project cost of \$125,000,000 with funding of \$70,000,000 from TRB Proceeds, \$20,000,000 from RFS Bond Proceeds, \$20,000,000 from PUF Bond Proceeds, and \$15,000,000 from Gifts.

Project Description

This project will construct an approximately 222,000 gross square foot Science and Engineering Innovation and Research (SEIR) Building. The SEIR building will be a state-of-the-art interdisciplinary research facility focused on life and health science research that will bring together top researchers from the Colleges of Engineering, Science, and Nursing and Health Innovation. SEIR will facilitate integrated research programs that span fundamental life science research, innovative health approaches, medical devices, computational health research, and clinical research with human subjects. The building will contain shared core facilities for imaging and computation and will have a bridge connection from the Life Science Building to provide direct access to the Animal Care Facility.

The SEIR Building will include a four-story plus basement wing for research laboratory use and a two-story instructional classroom wing. The research laboratory wing will include 12 research laboratory neighborhoods based on flexible designs that will accommodate changes in researchers and research programs over time. SEIR will be designed to have Science on Display, with laboratories that are highly visible, as a way of inspiring students to pursue research opportunities and STEM careers. A dedicated core laboratory neighborhood space will be located in the basement and will be designed with a vibration resistant structure to facilitate vibration-sensitive research equipment. It is anticipated a portion of the basement will be shell space. The classroom wing will feature two large lecture halls on each floor with a total of 900 seats that will be available to all instructional programs on campus, optimizing space utilization and promoting effective class scheduling. These spaces will provide the flexibility for instruction in large lecture rooms, large interactive spaces, and will enable SEIR to host conferences and workshops.

Basis of Design

The planned building life expectancy includes the following elements:

- Enclosure: 45-50 years
- Building Systems: 25-30 years
- Interior Construction: 10-20 years

The interior and exterior appearance and finish are consistent with other campus buildings and with the existing Campus Master Plan. The mechanical and electrical building systems are designed with sufficient flexibility and space for future capacity to allow for changes without significant disruption to ongoing activities.

3. U. T. Dallas: Engineering Building - Approval of design development; appropriation of funds and authorization of expenditure; and resolution regarding parity debt (Final Board approval)

RECOMMENDATION

The Chancellor concurs in the recommendation of the Executive Vice Chancellor for Academic Affairs, the Executive Vice Chancellor for Business Affairs, and President *ad interim* Wildenthal that the U. T. System Board of Regents approve the recommendations for the Engineering Building project at U. T. Dallas as follows:

Project No.:	302-905	
Project Delivery Method:	Construction Manager-at-Risk	
Substantial Completion Date:	July 2018	
Total Project Cost:	<u>Source</u>	<u>Current</u>
	Tuition Revenue Bond Proceeds	\$ 70,000,000
	Permanent University Fund Bond Proceeds	\$ 20,000,000
	Revenue Financing System Bond Proceeds ¹	\$ 11,000,000
	Gifts ²	<u>\$ 9,000,000</u>
		\$110,000,000

Funding Notes: ¹ Revenue Financing System (RFS) to be repaid from Designated Tuition
² Gifts not fully collected or committed at this time and will be revised to Unexpended Plant Funds if not collected at time of expenditure

- Investment Metrics:**
- Increase tenured and tenure-track faculty members from 19 to 55 and senior lecturers from 5 to 15 by 2025
 - Increase number of students by 1,250 from 1,000 to 2,250 by 2025
 - Increase number of graduates from 83 to 300 Bachelor students, from 40 to 150 Master students, and from 2 to 30 Ph.D. students by 2025
 - Increase external research funding by approximately \$15.75 million annually from \$13 million to \$28.75 million by 2025

Project Advocate: Inga Musselman, Senior Vice Provost

Definition Phase Completed: N/A

Project Planning:	Owner's Project Requirements	Yes
	Basis of Design	Yes
	Schematic Plans	Yes
	Detailed Cost Estimate	Yes
	Facilities Program	Yes

Cost Per Gross Square Foot Benchmarks*

Engineering Building	\$410
Texas Higher Education Coordinating Board Average Laboratory, General	\$496

	Low Quartile	Median	High Quartile
Other U. T. System Projects	\$421	\$457	\$503
Other Texas Projects	\$409	\$430	\$458
Other National Projects	\$452	\$515	\$653

* All benchmark building costs are escalated to 2016

- a. approve design development plans;
- b. appropriate funds and authorize expenditure of \$110,000,000 with funding of \$70,000,000 from Tuition Revenue Bond (TRB) Proceeds, \$20,000,000 from Permanent University Fund (PUF) Bond Proceeds, \$11,000,000 from RFS Bond Proceeds, and \$9,000,000 from Gifts; and
- c. resolve in accordance with Section 5 of the Amended and Restated Master Resolution Establishing The University of Texas System Revenue Financing System that
 - parity debt shall be issued to pay the project's cost, including any costs prior to the issuance of such parity debt;
 - sufficient funds will be available to meet the financial obligations of the U. T. System, including sufficient Pledged Revenues as defined in the Master Resolution to satisfy the Annual Debt Service Requirements of the Financing System, and to meet all financial obligations of the U. T. System Board of Regents relating to the Financing System; and
 - U. T. Dallas, which is a "Member" as such term is used in the Master Resolution, possesses the financial capacity to satisfy its direct obligation as defined in the Master Resolution relating to the issuance by the U. T. System Board of Regents of tax-exempt parity debt in the aggregate amount of \$11,000,000.

BACKGROUND INFORMATION

Debt Service

The \$11,000,000 in RFS debt will be repaid from Designated Tuition. Annual debt service on the \$11,000,000 RFS debt is expected to be \$0.67 million. The institution's debt service coverage is expected to be at least 1.4 times and average 1.8 times over FY 2016-2021.

Previous Actions

On July 10, 2014, former President Daniel presented this project to the Board of Regents for approval to submit for consideration by the Texas Legislature for TRB funding. The 84th Legislature passed, and Governor Greg Abbott signed into law, House Bill 100 allowing for the issuance of \$922,632,000 in TRB Proceeds for U. T. System institutions effective September 1, 2015. On August 20, 2015, the project was included in the CIP with a total project cost of \$110,000,000 with funding of \$70,000,000 from TRB Proceeds, \$20,000,000 from PUF Bond Proceeds, \$11,000,000 from RFS Bond Proceeds, and \$9,000,000 from Gifts.

Project Description

This building will contain approximately 200,000 gross square feet with the majority of the footage assigned as research labs, instructional labs, and classrooms and the remainder for student workspace and faculty offices. The addition of a new engineering building, primarily to be occupied by the Department of Mechanical Engineering, will give U. T. Dallas the ability to accommodate the expanding student enrollment and the hiring of additional faculty, increasing degree production, graduation rates, and externally funded research. The building will also relieve space pressure on the Department of Electrical Engineering, which has been severely constrained by the rapid growth of the Department of Mechanical Engineering. With the extraordinary success in the growth of new engineering programs, space is becoming a limiting factor in meeting the objective to become a major, nationally competitive research university, serving highly qualified students who may otherwise leave Texas.

Basis of Design

The planned building life expectancy includes the following elements:

- Enclosure: 45-50 years
- Building Systems: 25-30 years
- Interior Construction: 10-20 years

The interior and exterior appearance and finish are consistent with other campus buildings and with the existing Campus Master Plan. The mechanical and electrical building systems are designed with sufficient flexibility and space for future capacity to allow for changes without significant disruption to ongoing activities.

4. U. T. Dallas: Student Housing Phase VI - Approval of design development; appropriation of funds and authorization of expenditure; and resolution regarding parity debt (Final Board approval)

RECOMMENDATION

The Chancellor concurs in the recommendation of the Executive Vice Chancellor for Academic Affairs, the Executive Vice Chancellor for Business Affairs, and President *ad interim* Wildenthal that the U. T. System Board of Regents approve the recommendations for the Student Housing Phase VI project at U. T. Dallas as follows:

- Project No.:** 302-934
- Project Delivery Method:** Construction Manager-at-Risk
- Substantial Completion Date:** July 2017
- Total Project Cost:** Source Revenue Financing System Bond Proceeds¹ Proposed \$46,000,000
- Funding Note:** ¹ Revenue Financing System (RFS) to be repaid from rental revenue
- Investment Metric:**
 - Directly support the University's Strategic Plan imperative of increasing enrollment to more than 27,500 students by 2017
- Project Advocate:** Matthew Grief, Associate Vice President for Student Affairs
- Definition Phase Completed:** N/A
- Project Planning:**
- | | |
|------------------------------|-----|
| Owner's Project Requirements | Yes |
| Basis of Design | Yes |
| Schematic Plans | Yes |
| Detailed Cost Estimate | Yes |
| Facilities Program | Yes |

Cost Per Bed Benchmarks*

Student Housing Phase VI	\$70,280
College Planning & Management National Average for Residence Halls	\$79,892

	Low Quartile	Median	High Quartile
Other U. T. System Projects	\$59,094	\$74,909	\$85,184
Other National Projects	\$75,128	\$110,683	\$137,712

* All benchmark building costs are escalated to 2016

- a. approve design development plans;
- b. appropriate funds and authorize expenditure of \$46,000,000 from RFS Bond Proceeds; and
- c. resolve in accordance with Section 5 of the Amended and Restated Master Resolution Establishing The University of Texas System Revenue Financing System that

- parity debt shall be issued to pay the project's cost, including any costs prior to the issuance of such parity debt;
- sufficient funds will be available to meet the financial obligations of the U. T. System, including sufficient Pledged Revenues as defined in the Master Resolution to satisfy the Annual Debt Service Requirements of the Financing System, and to meet all financial obligations of the U. T. System Board of Regents relating to the Financing System; and
- U. T. Dallas, which is a "Member" as such term is used in the Master Resolution, possesses the financial capacity to satisfy its direct obligation as defined in the Master Resolution relating to the issuance by the U. T. System Board of Regents of tax-exempt parity debt in the aggregate amount of \$46,000,000.

BACKGROUND INFORMATION

Debt Service

The \$46,000,000 in RFS debt will be repaid from rental income. Annual debt service on the \$46,000,000 RFS debt is expected to be \$2.8 million. The project's debt service coverage is expected to be at least 1.2 times and average 1.4 times over FY 2018-2023.

Previous Action

On May 14, 2015, the project was included in the Capital Improvement Program with a total project cost of \$46,000,000 with funding from RFS Bond Proceeds.

Project Description

U. T. Dallas is critically short of housing space to accommodate current and new students who wish to live on campus. In addition to increased student enrollment, a much larger percentage of today's students are full-time, residential students who make use of campus facilities. The University has constructed 2,200 beds in the past six years and currently has a waiting list of 1,500 students.

The proposed apartment-style residence hall will contain a mix of one-bedroom and two-bedroom apartments for a total of 400 beds and is intended for upper-division, graduate, and international students. The building is configured to form communities of 50 students per wing and 100 students per floor, each with a designated peer advisor and study lounge.

Encompassing approximately 200,000 gross square feet, the four-story project will also provide multipurpose support space for students, offices for housing management, a common laundry facility, and a 150-car surface parking lot shared with Student Housing Phase VII (see Item 5).

Current student housing is operating at 100% occupancy. U. T. Dallas provides approximately 4,700 beds for students, and the total number of beds will increase to 5,500 with the completion of this project and the Student Housing VII project.

Basis of Design

The planned building life expectancy includes the following elements:

- Enclosure: 30 years
- Building Systems: 30 years
- Interior Construction: 10-20 years

The interior and exterior appearance and finish are consistent with other campus buildings and with the existing Campus Master Plan. The mechanical and electrical building systems are designed with sufficient flexibility and space for future capacity to allow for changes without significant disruption to ongoing activities. The interior appearance and finish are consistent with similar types of student housing.

5. U. T. Dallas: Student Housing Phase VII - Approval of design development; appropriation of funds and authorization of expenditure; and resolution regarding parity debt (Final Board approval)

RECOMMENDATION

The Chancellor concurs in the recommendation of the Executive Vice Chancellor for Academic Affairs, the Executive Vice Chancellor for Business Affairs, and President *ad interim* Wildenthal that the U. T. System Board of Regents approve the recommendations for the Student Housing Phase VII project at U. T. Dallas as follows:

- Project No.:** 302-997
- Project Delivery Method:** Construction Manager-at-Risk
- Substantial Completion Date:** July 2017
- Total Project Cost:** Source Proposed
Revenue Financing System Bond Proceeds¹ \$33,500,000
- Funding Note:** ¹ Revenue Financing System (RFS) to be repaid from rental revenue
- Investment Metric:** • Directly support the University's Strategic Plan imperative of increasing enrollment to more than 27,500 students by 2017
- Project Advocate:** Matthew Grief, Associate Vice President for Student Affairs
- Definition Phase Completed:** Yes
- Project Planning:**
- | | |
|------------------------------|-----|
| Owner's Project Requirements | Yes |
| Basis of Design | Yes |
| Schematic Plans | Yes |
| Detailed Cost Estimate | Yes |
| Facilities Program | Yes |

Cost Per Bed Benchmarks*

Student Housing Phase VII	\$50,049
College Planning & Management National Average for Residence Halls	\$79,892

	Low Quartile	Median	High Quartile
Other U. T. System Projects	\$59,094	\$74,909	\$85,184
Other National Projects	\$75,128	\$110,683	\$137,712

* All benchmark building costs are escalated to 2016

- a. approve design development plans;
- b. appropriate funds and authorize expenditure of \$33,500,000 from RFS Bond Proceeds; and
- c. resolve in accordance with Section 5 of the Amended and Restated Master Resolution Establishing The University of Texas System Revenue Financing System that

- parity debt shall be issued to pay the project's cost, including any costs prior to the issuance of such parity debt;
- sufficient funds will be available to meet the financial obligations of the U. T. System, including sufficient Pledged Revenues as defined in the Master Resolution to satisfy the Annual Debt Service Requirements of the Financing System, and to meet all financial obligations of the U. T. System Board of Regents relating to the Financing System; and
- U. T. Dallas, which is a "Member" as such term is used in the Master Resolution, possesses the financial capacity to satisfy its direct obligation as defined in the Master Resolution relating to the issuance by the U. T. System Board of Regents of tax-exempt parity debt in the aggregate amount of \$33,500,000.

BACKGROUND INFORMATION

Debt Service

The \$33,500,000 in RFS debt will be repaid from rental income. Annual debt service on the \$33,500,000 RFS debt is expected to be \$2.04 million. The project's debt service coverage is expected to be at least 1.2 times and average 1.4 times over FY 2018-2023.

Previous Actions

On October 7, 2015, the Chancellor approved this project for Definition Phase. On February 11, 2016, the project was included in the CIP with a total project cost of \$33,500,000 with funding from RFS Bond Proceeds.

Project Description

U. T. Dallas is critically short of housing space to accommodate current and new students who wish to live on campus. In addition to increased student enrollment, a much larger percentage of today's students are full-time, residential students who make use of campus facilities. The University has constructed 2,200 beds in the past six years and currently has a waiting list of 1,500 students.

The proposed apartment-style residence hall will contain a mix of one-bedroom, two-bedroom double occupancy, and four-bedroom configurations for a total of 400 beds and is intended for lower-division and international students. Encompassing approximately 127,500 gross square feet, the four-story project will provide multipurpose support space for students, offices for housing management, and a common laundry facility.

Current student housing is operating at 100% occupancy. U. T. Dallas provides approximately 4,700 beds for students, and the total number of beds will increase to 5,500 with the completion of this project and the Student Housing Phase VI project (see Item 4).

Basis of Design

The planned building life expectancy includes the following elements:

- Enclosure: 30 years
- Building Systems: 30 years
- Interior Construction: 10-20 years

The interior and exterior appearance and finish are consistent with other campus buildings and with the existing Campus Master Plan. The mechanical and electrical building systems are designed with sufficient flexibility and space for future capacity to allow for changes without significant disruption to ongoing activities. The interior appearance and finish are consistent with similar types of student housing.

6. U. T. Tyler: STEM - Business Building - Approval of design development; and appropriation of funds and authorization of expenditure (Final Board approval)

RECOMMENDATION

The Chancellor concurs in the recommendation of the Deputy Chancellor, the Executive Vice Chancellor for Academic Affairs, the Executive Vice Chancellor for Business Affairs, and President Mabry that the U. T. System Board of Regents approve the recommendations for the STEM - Business Building project at U. T. Tyler as follows:

Project No.: 802-947

Project Delivery Method: Construction Manager-at-Risk

Substantial Completion Date: March 2019

Total Project Cost:	<u>Source</u>	<u>Current</u>
	Tuition Revenue Bond Proceeds	\$ 60,000,000
	Permanent University Fund Bond Proceeds	\$ 11,000,000
	Unexpended Plant Funds ¹	<u>\$ 5,000,000</u>
		<u>\$ 76,000,000</u>

Funding Note: ¹ Unexpended Plant Funds from Designated Tuition

- Investment Metrics:**
- Increase STEM graduates by 50% from 222 to 333 over the next 10 years
 - Increase Business enrollment by 65% from 1,018 to 1,680 over the next 10 years

Project Advocate: Chip Clark, Assistant Vice President for Facilities Management

Definition Phase Completed: N/A

Project Planning:	Owner's Project Requirements	Yes
	Basis of Design	Yes
	Schematic Plans	Yes
	Detailed Cost Estimate	Yes
	Facilities Program	Yes

- a. approve design development plans; and
- b. appropriate funds and authorize expenditure of \$76,000,000 with funding of \$60,000,000 from Tuition Revenue Bond (TRB) Proceeds, \$11,000,000 from Permanent University Fund (PUF) Bond Proceeds, and \$5,000,000 from Unexpended Plant Funds.

Cost Per Gross Square Foot Benchmarks*

STEM - Business Building (with 41% Shell Space)	\$287
STEM - Business Building (Estimated Total Finish-Out)	\$315
Texas Higher Education Coordinating Board Average for Classroom Buildings	\$424

	Low Quartile	Median	High Quartile
Other U. T. System Projects	\$254	\$334	\$368
Other National Projects	\$256	\$430	\$551

Cost Per Car Benchmarks*

STEM - Business Building - Parking Garage	\$18,952
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	Low Quartile	Median	High Quartile
Other U. T. System Projects	\$16,360	\$17,925	\$24,877
Other National Projects	\$17,709	\$18,154	\$22,556

Cost Per Gross Square Foot Benchmarks*

STEM - Business Building - College of Arts and Sciences Renovation	\$72
Texas Higher Education Coordinating Board Average for Classroom Building Renovation	\$197

	Low Quartile	Median	High Quartile
Other U. T. System Projects	\$114	\$163	\$196

* All benchmark building costs are escalated to 2016

BACKGROUND INFORMATION

Previous Actions

On July 10, 2014, President Mabry presented this project to the Board of Regents for approval to submit for consideration by the Texas Legislature for TRB funding. The 84th Legislature passed, and Governor Greg Abbott signed into law, House Bill 100 allowing for the issuance of \$922,632,000 in TRB Proceeds for U. T. System institutions effective September 1, 2015. On August 20, 2015, the project was included in the CIP with a total project cost of \$76,000,000 with funding of \$60,000,000 from TRB Proceeds, \$11,000,000 from PUF Bond Proceeds, and \$5,000,000 from Unexpended Plant Funds.

Project Description

The proposed STEM - Business Building will provide space for current and projected enrollment growth, as well as the implementation of new programs. The existing Business Building currently houses the Business section of the College of Business and Technology (CBT) and the College of Arts and Sciences (CAS). Due to significant growth in both colleges, the existing building is not large enough to accommodate the technology portion of the CBT. This project will enable growth for both colleges by relocating the CBT out of the current 50,000 gross square foot (GSF) Business Building into a new approximately 141,000 GSF STEM - Business Building, leaving 58,000 GSF of shell space for future finish-out. The existing Business Building will then be renovated, allowing CAS to occupy the entire building.

The new building will require an approximately 500-ton chiller to be added to the south plant to accommodate the increased cooling load on the campus. A parking garage will also be constructed for current and future parking loads. The parking garage will consist of a ground level and two elevated decks to accommodate approximately 302 vehicles in a 105,000 GSF open structure, and surface parking for approximately 97 vehicles is also included.

Basis of Design

The planned building life expectancy includes the following elements:

- Enclosure: 45-50 years
- Building Systems: 50 years
- Interior Construction: 10-20 years

The interior and exterior appearance and finish are consistent with other campus buildings and with the existing Campus Master Plan. The mechanical and electrical building systems are designed with sufficient flexibility and space for future capacity to allow for changes without significant disruption to ongoing activities.

7. U. T. Austin: Dell Medical School - Phase I - Amendment of the FY 2016-2021 Capital Improvement Program to increase total project cost; appropriation of funds and authorization of expenditure; and resolution regarding parity debt (Final Board approval)

RECOMMENDATION

The Chancellor concurs with the Deputy Chancellor, the Executive Vice Chancellor for Academic Affairs, the Executive Vice Chancellor for Business Affairs, and President Fenves that the U. T. System Board of Regents approve the recommendations for the Dell Medical School - Phase I project at U. T. Austin as follows:

Project No.: 102-772
Project Delivery Method: Construction Manager-at-Risk
Substantial Completion Date: October 2017

Total Project Cost:	<u>Source</u>	<u>Current</u>	<u>Proposed</u>
	Revenue Financing System Bond Proceeds ¹	\$366,897,000	\$435,897,000
	Available University Fund	\$ 250,000	\$ 250,000
	Unexpended Plant Funds ²	<u>\$ 250,000</u>	<u>\$ 250,000</u>
		\$367,397,000	\$436,397,000

Funding Notes:
¹ Revenue Financing System (RFS) to be repaid from office space rentals, parking revenues, and funds provided by the Available University Fund (AUF)
² Unexpended Plant Funds from balances from Frank C. Erwin, Jr. Special Events Center operations

Investment Metrics:

- Incoming cohort of 50 medical students and total of 200 enrollment
- Incoming cohort of 25 Ph.D. students with total of 125 enrollment
- Increase residents from 175 to 350 residents over 10 years

Cost Per Gross Square Foot Benchmarks*

Dell Medical School - Phase I - Interior Finish-Out	\$280
Texas Higher Education Coordinating Board Average for Healthcare Facility, Clinic Renovation	\$180

	Low Quartile	Median	High Quartile
Other U. T. System Projects	\$201	\$272	\$293

* All benchmark building costs are escalated to 2016

- a. amend the FY 2016-2021 Capital Improvement Program (CIP) to increase the total project cost from \$367,397,000 to \$436,397,000;
- b. appropriate funds and authorize expenditure of an additional \$69,000,000 from RFS Bond Proceeds; and
- c. resolve in accordance with Section 5 of the Amended and Restated Master Resolution Establishing The University of Texas System Revenue Financing System that

- parity debt shall be issued to pay the project's cost, including any costs prior to the issuance of such parity debt;
- sufficient funds will be available to meet the financial obligations of the U. T. System, including sufficient Pledged Revenues as defined in the Master Resolution to satisfy the Annual Debt Service Requirements of the Financing System, and to meet all financial obligations of the U. T. System Board of Regents relating to the Financing System; and
- U. T. Austin, which is a "Member" as such term is used in the Master Resolution, possesses the financial capacity to satisfy its direct obligation as defined in the Master Resolution relating to the issuance by the U. T. System Board of Regents of tax-exempt parity debt in the aggregate amount of \$69,000,000.

BACKGROUND INFORMATION

Debt Service

The \$435,897,000 in aggregate RFS debt will be repaid from office space rentals, parking revenues, and funds provided by the AUF. Annual debt service on the incremental \$69,000,000 RFS debt is expected to be \$4.2 million. The debt service coverage for the institution is expected to be at least 1.4 times and average 1.7 times over FY 2016-2021.

Previous Actions

On May 9, 2013, the project was included in the CIP with a total project cost of \$334,500,000 with funding from RFS Bond Proceeds. On February 6, 2014, the Board approved design development and revised funding to \$334,000,000 from RFS Bond Proceeds, \$250,000 from the Available University Fund, and \$250,000 from Unexpended Plant Funds. On March 7, 2014, the Chancellor approved an increase to the total project cost to \$341,261,000 with additional funds from RFS Bond Proceeds. On December 11, 2014, the Chancellor approved an increase to the total project cost to \$355,797,000 with additional funds from RFS Bond Proceeds. On January 13, 2016, the Chancellor approved an increase to the total project cost to \$367,397,000 with additional funds from RFS Bond Proceeds.

Project Description

The proposed increase will finish out approximately 166,100 gross square feet (GSF) of shell space within the Health Transformation Building (formerly the Medical Office Building) for clinical space on floors seven, eight, and nine, and an ambulatory surgical center on the first level. Phase I of the Dell Medical School (DMS) project included constructing a medical office building in shell form until a Dean of the medical school was hired. Floors seven through nine will house multiple clinical functions both in traditional form and in the new integrated practice unit format. These floors will effectively be a laboratory for how the delivery of health care is transformed and improved. The ambulatory surgical center is planned with three operating rooms and patient preparation and recovery rooms accommodating up to 23-hour occupancy. Approximately 17,100 GSF of shell space will remain in the Health Transformation Building.

The original Dell Medical School - Phase 1 project entailed the construction of approximately 578,000 GSF of new University buildings, including an Education and Administration Building, Research Building, Medical Office Building, and a Parking Garage targeted to house approximately 1,120 cars. The Education and Administration Building will also include approximately 8,000 GSF of renovation to the School of Nursing Building to accommodate simulation laboratory space to serve the entire medical district.

8. U. T. M. D. Anderson Cancer Center: Clinical Research Building Animal Area Renovation - Amendment of the FY 2016-2021 Capital Improvement Program to increase total project cost; and appropriation of funds and authorization of expenditure (Final Board approval)

RECOMMENDATION

The Chancellor concurs with the Deputy Chancellor, the Executive Vice Chancellor for Health Affairs, the Executive Vice Chancellor for Business Affairs, and President DePinho that the U. T. System Board of Regents approve the recommendations for the Clinical Research Building Animal Area Renovation project at U. T. M. D. Anderson Cancer Center as follows:

Project No.: 703-X55
Institutionally Managed: Yes
Project Delivery Method: Construction Manager-at-Risk
Substantial Completion Date: November 2016
Total Project Cost:

<u>Source</u>	<u>Current</u>	<u>Proposed</u>
Hospital Revenues	\$10,000,000	\$13,000,000

Cost Per Gross Square Foot Benchmarks*

Clinical Research Building Animal Area Renovation	\$356
Texas Higher Education Coordinating Board Average for Laboratory, Medical/Healthcare Renovation	\$297

	Low Quartile	Median	High Quartile
Other U. T. System Clinical Projects	\$289	\$340	\$393
Other U. T. System Vivarium Renovations	\$282	\$378	\$497

* All benchmark building costs are escalated to 2016

- a. amend the FY 2016-2021 Capital Improvement Program (CIP) to increase the total project cost from \$10,000,000 to \$13,000,000; and
- b. appropriate funds and authorize expenditure of an additional \$3,000,000 from Hospital Revenues.

BACKGROUND INFORMATION

Previous Action

On August 12, 2010, the project was added to the CIP with a total project cost of \$10,000,000 with funding from Hospital Revenues.

Project Description

The project involves the reallocation and renovation of existing space within M. D. Anderson Cancer Center's North Campus Vivarium (NCV). The goal of the project is to increase rodent housing and associated support space while maintaining appropriate space for large animal housing. The project will also renew aging infrastructure.

Following addition to the CIP in August 2010, the project proceeded through the programming, schematic design, and design development phases until Spring 2013, when the project was temporarily suspended. When work resumed on the project in Fall 2014, it was determined that the scope of the project should include upgrading the portion of the Clinical Research Building air handling system that services the NCV to provide redundancy to meet the current program guidelines and to position the institution to maintain its AAALAC International accreditation for the NCV.

The proposed increase in the total project cost will fund the additional scope of work on the air handling system, as well as the impact of construction cost inflation since the total project cost was first estimated in 2010.



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FOR
TECHNOLOGY TRANSFER AND RESEARCH COMMITTEE**

Committee Meeting: 5/11/2016

Board Meeting: 5/12/2016
Austin, Texas

Wallace L. Hall, Jr., Chairman
Ernest Aliseda
Alex M. Cranberg
Brenda Pejovich
Sara Martinez Tucker

	Committee Meeting	Board Meeting	Page
Convene	<i>2:00 p.m.</i> <i>Chairman Hall</i>		
1. U. T. System Board of Regents: Discussion and appropriate action regarding Consent Agenda items, if any, assigned for Committee consideration	<i>2:00 p.m.</i> Discussion	Action	178
2. U. T. System: Report on a systematic assessment of how to best advance Offices of Technology Commercialization and the process of commercialization of discovery across the U. T. System	<i>2:01 p.m.</i> Report/Discussion <i>Dr. Hurn</i> <i>Ms. Goonewardene</i>	Not on Agenda	179
3. U. T. System: Report on MicroTransponder, a U. T. Horizon Fund Portfolio Company	<i>2:10 p.m.</i> Report/Discussion <i>Ms. Goonewardene</i> <i>Mr. Frank McEachern,</i> <i>CEO, MicroTransponder</i>	Not on Agenda	198
4. U. T. System: Report and discussion on the initiatives of the Institute for Transformational Learning	<i>2:20 p.m.</i> Report/Discussion <i>Dr. Mintz</i> <i>Dr. Baker Stein</i>	Not on Agenda	220
Adjourn	<i>2:45 p.m.</i>		

1. **U. T. System Board of Regents: Discussion and appropriate action regarding Consent Agenda items, if any, assigned for Committee consideration**

RECOMMENDATION

No Consent Agenda items are assigned for review by this Committee. The Consent Agenda begins on [Page 251](#).

2. **U. T. System: Report on a systematic assessment of how to best advance Offices of Technology Commercialization and the process of commercialization of discovery across the U. T. System**

REPORT

Ms. Julie Goonewardene, Associate Vice Chancellor for Innovation and Strategic Investment and Managing Director of the U. T. Horizon Fund, will report on an assessment conducted on U. T. System institutions' Offices of Technology Commercialization in response to a recommendation from the report titled, "*Task Force on Intellectual Property: Disposition, Practices, and Mechanisms of Implementation*," issued in August 2014 by the U. T. System Task Force on Intellectual Property (IP) Issues. A PowerPoint presentation is set forth on the following pages.

BACKGROUND INFORMATION

The IP Task Force, created by Chairman Foster on February 6, 2014, was charged to evaluate the intent, rationale, current language, workability, and requirements of the U. T. System Board of Regents' *Rules and Regulations* related to the disposition and management of research-derived intellectual property at U. T. System institutions and to recommend changes or revisions of the Regents' Rules to the Board of Regents. The Task Force issued a report in August 2014 titled, "*Task Force on Intellectual Property: Disposition, Practices, and Mechanisms of Implementation*." The report was accepted and recommendations approved by the Board of Regents on February 12, 2015.

One of the recommendations from the report was to "carry out a systematic assessment of how to best advance Offices of Technology Commercialization and the process of commercialization of discovery at each U. T. System institution."

In response to the IP Task Force recommendation, an assessment was conducted to:

1. Provide information on the approach used for assessing technology commercialization across U. T. System institutions.
2. Present findings on "how to best advance offices of technology commercialization and the processes of discovery at each U. T. System institution and across and between U. T. System institutions."
3. Recommend the mechanisms by which U. T. System can provide commercialization resources that will incentivize, promote, and support commercialization by U. T. System institutions.

A Systematic Assessment of How to Best Advance Offices of Technology Commercialization and the Process of Commercialization of Discovery Across the U. T. System

Ms. Julie Goonewardene

Associate Vice Chancellor for Innovation and Strategic Investment and
Managing Director of the U. T. Horizon Fund

U. T. System Board of Regents' Meeting
Technology Transfer and Research Committee
May 2016



THE UNIVERSITY of TEXAS SYSTEM
FOURTEEN INSTITUTIONS. UNLIMITED POSSIBILITIES.

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Recommendations from Review

- **Recommendation 1:** Presidents at each U. T. System institution should clearly articulate the mission and corresponding primary metrics of their commercialization offices
- **Recommendation 2:** Establish a first-of-its-kind U. T. System Commercialization Fund to advance the commercialization missions and primary objectives of U. T. System institutions
- **Recommendation 3:** Launch the U. T. System Proof-of-Concept Fund and the Patent Nationalization Program, as part of the U. T. System Commercialization Fund, and continue to support royalty audits
- **Recommendation 4:** Gather data from the U. T. System Mentor Network pilot program to inform next steps for a U. T. System Entrepreneurship-in-Residence (EIR) program



How do these Recommendations Strengthen Us?

- Creates a mechanism by which U. T. System can incent commercialization activity across mission critical areas
- Clarifies the role of commercialization offices across U. T. System
- Avoids defining commercialization missions and metrics for institutions
- Builds an innovation pipeline that serves administrators, innovators, and commercialization offices across academic and health center institutions



Which Data Informed the Recommendations?

- Self-reported performance data to the Association of University Technology Managers (AUTM) from FY 2012 - 2014
- May 2015 - July 2015: U. T. System Office of Technology Commercialization (OTC) staff traveled to all U. T. System institutions and met with administrators, commercialization staff, and other stakeholders, such as faculty and entrepreneurs
- October 2015: Follow-on survey was sent to commercialization office directors to gather information on the mission statement, organizational structure, core commercialization areas, and primary challenges and opportunities faced by their offices
- November 2015: Survey was sent to various stakeholders on perceptions of commercialization/entrepreneurship objectives and services offered at U. T. System institutions



Three-Year Average Performance Self-Reported to AUTM for the Top 10 Commercialization Institutions/Systems in the United States, FY 2012 - 2014

(Note – Top 5 shown below)

Measure	Top 5	U. C. System	MIT	U. T. System	Columbia	Stanford
Overall Rank		1	2	3	4	5
Avg. Licensing Revenues (\$M)	101.4	107.5	3	5	8	6
Avg. Licenses Issued	116.3	214.0	2	8	3	5
Avg. Licenses \$1M+	9.7	15.7	1	2	3	7
Avg. Total Research Expenditures (\$M)	2,232.2	5,410.7	1	3	2	7
Avg. Federal Research Expenditures (\$M)	1,350.4	2,870.6	1	2	3	6
Avg. Industry Research Expenditures (\$M)	181.8	360.0	1	2	3	6
Avg. Prov. Patents Filed	387.0	811.3	1	2	3	4
Avg. U.S. Patents Issued	226.1	384.7	1	2	4	3
Avg. Legal Fees (\$M)	17.2	36.3	1	2	4	5
Avg. Legal Fees Reimbursed (\$M)	8.7	21.0	1	2	3	6
Avg. Number of Startups	27.2	65.3	1	4	2	5



How Unique Are Each of the Offices?

Institution	UTA	UTAUS	UTD	UTEP	UTHSCH	UTHSCSA	UTHSCT	UTMB	UTMDA	UTPB	UTRGV	UTSA	UTSWMC	UTT	Totals
Total staff	3.0	23.0	6.5	5.0	6.5	5.0	0.0	6.0	10.0	0.0	2.5	4.0	22.5	1.0	95.0
Total research expenditures (\$M)	71.1	585.3	99.7	74.5	223.4	178.3	11.0	124.8	736.2	1.2	18.9	45.4	398.6	4.6	2,573.0
Total industrial research expenditures (\$M)	5.5	71.3	9.0	2.0	42.6	32.4	0.6	10.1	75.3	0.2	1.0	Not Avail.	25.0	0.0	275.0
Licenses executed	3	21	2	1	27	11	1	7	9	0	3	6	37	0	128
Provisional patents filed	38	102	25	12	15	21	1	11	37	0	3	31	47	0	343
U.S. patents issued	21	50	11	5	23	11	1	24	27	0	3	2	31	0	209
Start-up companies formed	1	7	0	1	2	2	0	2	3	0	1	2	6	0	27
Licensing revenue (\$M)	0.0	17.1	0.1	0.0	3.3	2.8	0.0	2.1	21.5	0.0	0.1	0.1	5.4	0.0	52.5
Legal expenditures (\$M)	0.4	2.4	0.4	0.2	1.0	0.4	0.1	0.7	2.9	0.0	0.1	0.3	1.2	0.0	10.1
Reimbursements for legal expenditures (\$M)	0.0	0.3	0.1	0.0	1.0	0.3	0.0	0.1	2.2	0.0	0.0	0.1	0.6	0.0	4.8



Findings: Mission Statements

- Commercialization missions at many U. T. System institutions are unclear to their various stakeholders

3. In terms of defining commercialization success, how would you best summarize your understanding of the top priority of leadership at your institution?

Answer Options	Response Percent
Providing excellent service to faculty, students and staff	37.8%
Generating revenues	18.9%
Positively impacting peoples' lives	25.7%
Other	17.6%
Responses	74



Findings: Challenges and Opportunities

Self-Reported Commercialization Challenges and Opportunities (by Institution Type)

U. T. Health Institutions

Challenges



Opportunities



U. T. Academic Institutions

Challenges



Opportunities



- Two main challenges/ opportunities:
 - Resources
 - Funding



Resources: Proof-of-Concept Fund

- The majority of top-tier institutions across the nation operate a proof-of-concept program, including:
 - University of California System (\$8M in proof-of-concept funding to 55 projects between 2011-2013)
 - State University of New York (\$1.5M in funding has led to \$3.5M in funding from external partners since 2011)
 - University of Illinois (\$1M proof-of-concept funding since 2009 has led to 9 startup companies, \$49M raised in venture and angel funding, and \$1.3M in additional government funding support)
- Compared to peers, U. T. System is behind the curve in providing proof-of-concept resources that can significantly benefit the U. T. System institutions



Resources: Patent Nationalization Program

Self-Reported Data to OTC on Patent Budget at the Beginning of FY2014 and Actual Patent Expenditures for FY2014 across U. T. System Institutions

Institution	Patent Budget at Beginning of FY2014 (\$M)	Actual Patent Expenditures for FY2014 (\$M)
U. T. Arlington	0.00	0.45
U. T. Austin	2.00	2.40
U. T. Dallas	0.61	0.39
U. T. El Paso	0.07	0.20
U. T. Health Science Center - Houston	1.18	1.18
U. T. Health Science Center - San Antonio	0.40	0.43
U. T. Health Science Center - Tyler	0.00	0.00
U. T. M. D. Anderson Cancer Center	2.50	2.86
U. T. Medical Branch - Galveston	0.90	0.67
U. T. Permian Basin	0.00	0.00
U. T. Rio Grande Valley	0.09	0.07
U. T. San Antonio	0.25	0.33
U. T. Southwestern Medical Center	1.20	0.65
U. T. Tyler	0.00	0.00

Note – U. T. Rio Grande Valley data is comprised of the aggregated data reported by U. T. Brownsville and U. T. Pan American



Resources: Royalty Audits

- Commercialization offices are limited in their ability to monitor delinquent licensee
- In larger offices across the nation, a best practice is to regularly audit licensing portfolios to identify licensees who may owe millions of dollars in delinquent royalty payments
- From on-site interviews, a majority of commercialization office directors from the health science centers identified royalty audits as a useful resource that OTC has funded in the past



Resources: Entrepreneur-in-Residence Program (EIR)

- A number of the U. T. System institutions stated that they would like assistance in attracting executive talent to work with U. T.-related startups
- MIT, Columbia, Stanford, and UCLA, have accomplished this objective through mentoring and EIR programs
- Startups often attribute their success to top-notch and experienced management teams, as well as well-connected mentors that enable fundraising, hiring, partnerships, customers, etc.
- U. T. System has not yet capitalized on its brand and size to develop and strengthen an integrated mentor network across its institutions



Recommendation 1

- **Presidents at each institution should clearly articulate the mission and corresponding primary metrics of their commercialization programs**
 - Establish a common understanding of commercialization measures from those reported in AUTM licensing surveys
 - Directors will select and provide to the OTC primary metrics that will help them to achieve their objectives
 - OTC will generate a Systemwide annual report that will present the most exciting commercialization advances across U. T. System and promote the objectives of the institutions, as well as report on Systemwide performance metrics



Recommendation 2

- **Establish a first-of-its-kind U. T. System Commercialization Fund to advance the commercialization mission and primary objectives of the U. T. System institutions**
 - OTC will work together with commercialization office directors to create the structure and a transparent and equitable process for the allocation of resources and funds across institutions
 - OTC is particularly interested in advancing commercialization across mission critical areas
 - Utilizing Available University Funds as a first source of capital, the primary purpose of this fund will be to advance the commercialization missions and improve performance across the metrics provided by U. T. System institutions



Recommendation 3

- **Launch the U. T. System Proof-of-Concept (POC) Fund and the Patent Nationalization Program (PNP), as part of the U. T. System Commercialization Fund, and continue to support royalty audits**
 - POC will offer finite, milestone-based awards intended to advance research products/technologies and improve the probability of attracting further extramural funding and market interest
 - PNP will provide funding for the nationalization of patents to commercialization offices, which will increase the value of U. T.-generated intellectual property, as well as improve the marketability of these assets
 - The Commercialization Fund will support third-party royalty audits to identify licensees who are not fulfilling their financial obligations to the commercialization offices



Discussion: A Strategic Approach to the POC Fund

- **Potential funding sources:** Philanthropic (unrestricted)/AUF (restricted)
- **Objective:** Provide gap funding to accelerate the research/development and commercialization of mission-critical areas
- **Structure:** Centrally administered through U. T. System OTC and in collaboration with U. T. System institutions
- **Selection:** External review committee
- **Impact:** Increase likelihood of follow-on research funding; decrease time to commercialize research; increase deal flow for U. T. System OTCs and the U. T. Horizon Fund
- **Sustainability:** Implement mechanisms to return POC capital invested (i.e., investment rights, equity options, or reimbursement through royalty payments received by OTCs)



Recommendation 4

- **Gather data from the U. T. System Mentor Network pilot program to inform next steps for a U. T. System EIR program**
 - OTC will seek to build a strong mentor and executive network as the basis for informing the structure and goals of a potential EIR program
 - The OTC is already in the process of launching a U. T. System Mentor Network, which will be organized in the first half of 2016 and piloted in August 2016
 - One key objective of the pilot is to establish a baseline on the potential benefits and associated costs with a formal EIR program
 - Analysis will be completed at the end of 2016 and presented to the Intellectual Property Task Force at that time



Follow-up Actions

- Task the presidents of all U. T. System institutions to work with their commercialization offices to clearly articulate a commercialization mission and corresponding metrics
- Task U. T. System institutions to communicate their commercialization mission and objectives in all relevant internal and external marketing materials
- Task the OTC to work with commercialization office directors across U. T. System to create the structure and process for the U. T. System Commercialization Fund
- Recommend to the Board of Regents the authorization of funding towards the U. T. System Commercialization Fund, which will include a U. T. System POC Fund, Patent Nationalization Program, and support for royalty audits
- Task the OTC to gather data from the U. T. System Mentor Network pilot program and provide a report on the benefits and costs related to a Systemwide EIR program



3. **U. T. System: Report on MicroTransponder, a U. T. Horizon Fund Portfolio Company**

REPORT

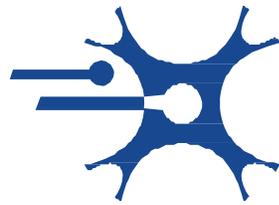
Ms. Julie Goonewardene, Associate Vice Chancellor for Innovation and Strategic Investment and Managing Director of the U. T. Horizon Fund, will introduce Mr. Frank McEachern, J.D., Chief Executive Officer of MicroTransponder, a U. T. Horizon Fund portfolio company. Mr. McEachern will report on the activities and progress of MicroTransponder. A PowerPoint presentation is set forth on the following pages.

BACKGROUND INFORMATION

The dual-purpose mission of the U. T. Horizon Fund is to 1) help move novel technologies to the marketplace to impact the world, and 2) create a positive financial return. To achieve its dual-purpose mission, the U. T. Horizon Fund invests both (i) in companies utilizing U. T. System innovations, and (ii) in companies in which U. T. System holds an existing equity interest, but which may not necessarily be utilizing U. T. System innovations.

MicroTransponder is a U. T. Horizon Fund portfolio company that embodies the Fund's dual-purpose mission. The clinical-stage company is leveraging decades of neuroscience research to develop treatments for two separate neurological conditions: chronic tinnitus and post-stroke upper limb mobility issues. Chronic tinnitus is the persistent presence of noise in a person's ears or head and, according to the NIH, is a primary condition reported by veterans who served in Iraq and Afghanistan. Also as reported by the NIH, stroke is the leading cause of long-term disability in the U.S., affecting nearly four million stroke victims directly and tens of millions more whose lives are interconnected. In pursuit of its therapies, MicroTransponder has completed numerous scientific collaborations with researchers from U. T. Dallas and other leading universities and is currently completing pivotal trial-enabling studies at multiple clinical trial sites in Texas and throughout the U.S.

Mr. McEachern has worked as a corporate securities attorney at Baker Botts, LLP, where he represented Advanced Neuromodulation Systems (ANS) in their merger with St. Jude Medical, the largest neurostimulation merger to date. He has nearly a decade of executive leadership experience in the implantable neurostimulation field and serves on the Board of the Texas Biomedical Device Center at U. T. Dallas. Mr. McEachern received a B.B.A. from U. T. Austin Business Honors Program and completed a J.D. from U. T. Austin.



MicroTransponder

Frank McEachern - CEO

Corporate Presentation

U. T. System Board of Regents' Meeting
Technology Transfer and Research Committee
May 2016

Paired Stroke Rehab

695,000

Annual incidence of ischemic stroke
~1 person every 45 seconds

320,000

Patients who still suffer from upper arm deficits 3 months post their stroke

\$140,000

Direct Medical Cost of care for an ischemic stroke survivor

\$73.7 BLN

How much the US spent on stroke-related costs and disability; patients require daily assistance from family members or paid healthcare workers.



Our Markets

Tinnitus

3.9 Million

People in the U.S. with severe, tonal tinnitus

No drugs approved in the U.S. or EU for tinnitus.

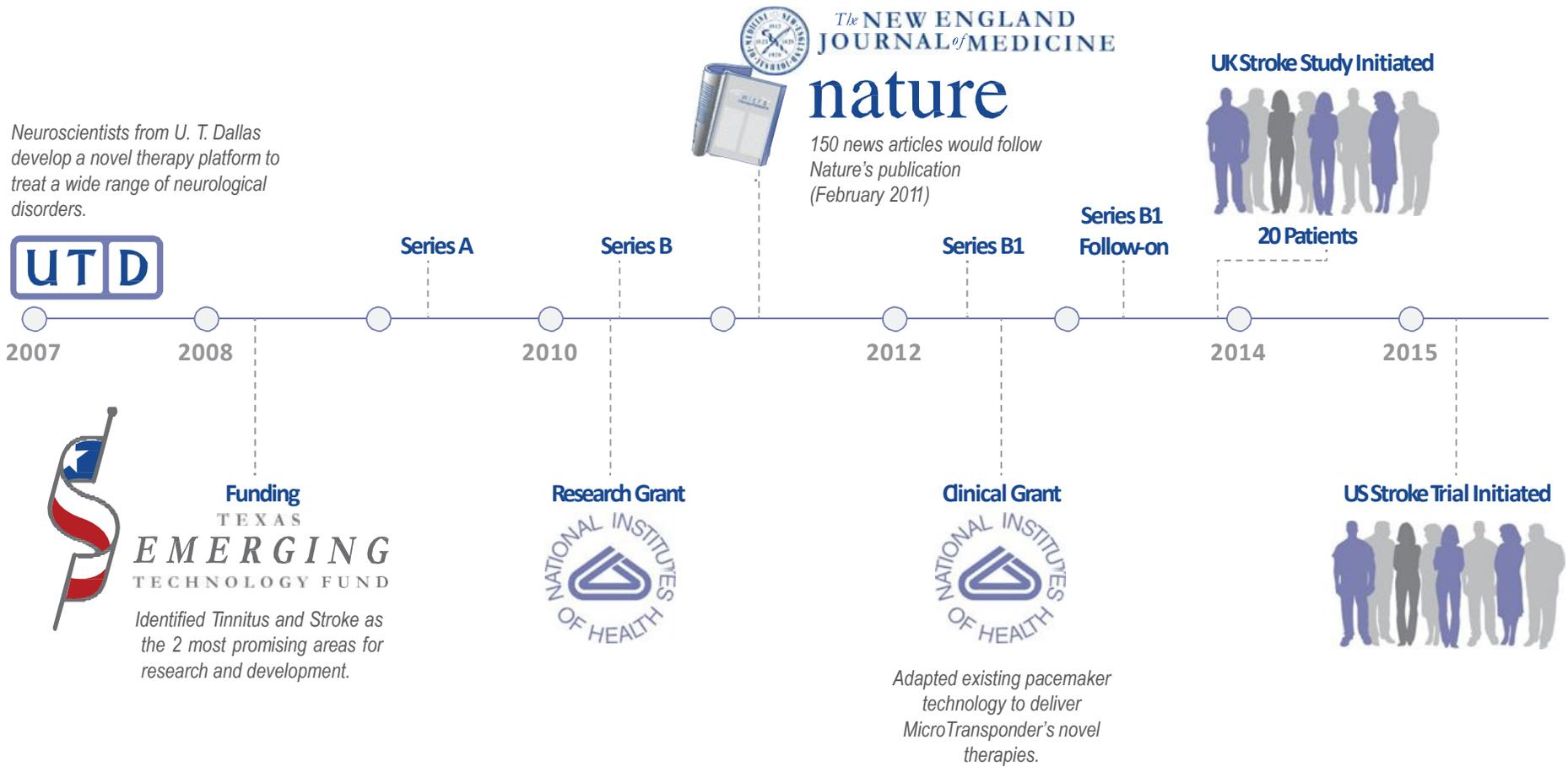
#1 disability among recent U.S. Veterans
\$1.6B in annual VA disability payments

Currently, no effective treatment.



Note: Cyberonics (NASDAQ: CYBX, \$1.6BLN Market Cap) is the largest neurostimulation company focused solely on VNS. Annually it sells 14,520 Devices. (9,850 in U.S., 4,670 OUS)

How MicroTransponder Found the Product: A Visual Chronology



Why MicroTransponder Pursued the Indication: Stroke Rehab



Harnessing Plasticity to Reset Dysfunctional Neurons

nature

Reversing Pathological Neural Activity Using Targeted Plasticity

22 Academic Publications

- Nature
- New England Journal of Medicine
- Neuromodulation
- Neuroscience
- Cerebral Cortex
- Hearing Research
- Biological Psychiatry
- Stroke
- Brain Stimulation

The pre-clinical data showed that Vagus Nerve Stimulation (VNS) therapy paired with rehab was effective over a wide range of brain damage suffered by stroke subjects.

The pre-clinical data also showed that the therapy worked across disabilities common to the target populations:

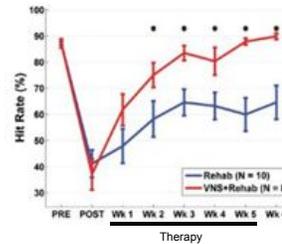
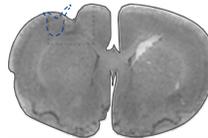


Chronic Stroke

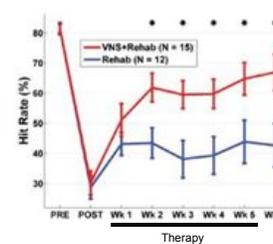
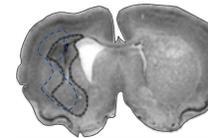
Patients whose stroke events occurred a long time ago and still have disabilities.



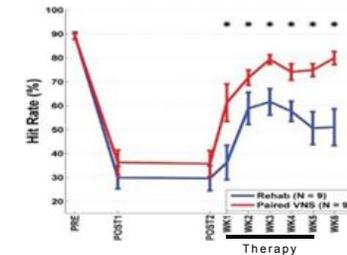
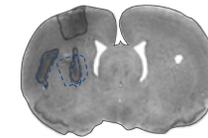
Cortical Ischemic Stroke



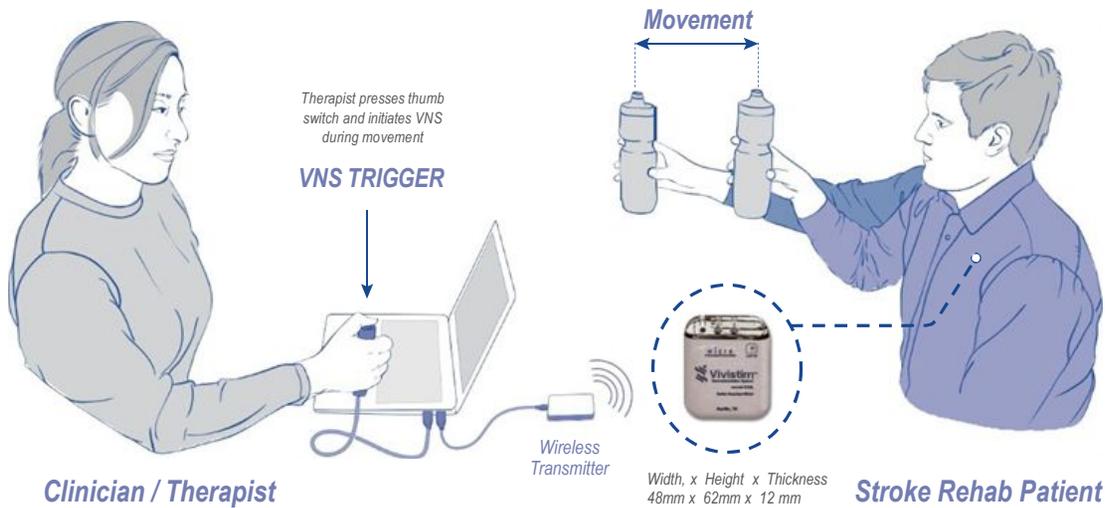
Hemorrhagic Stroke



Cortical / Subcortical Ischemic Stroke



What is the Product: Stroke Rehabilitation



Paired Vagus Nerve Stimulation (VNS)

with Physical Therapy

Therapist uses thumb switch to trigger VNS during physical movements by the patient. Stimulation of the vagus nerve releases important brain chemicals involved in learning and plasticity. These chemicals strengthen brain circuits that are responsible for arm movement. Therefore, pairing movements with VNS is important.

Safety Well Established

VNS medical implants started in 1997

100,000 patients

Use implanted VNS for Epilepsy
Implant is placed in left pectoral region

75 Minutes

Duration of implanting procedure

90 Minutes

Duration of treatment

3

Treatments per week

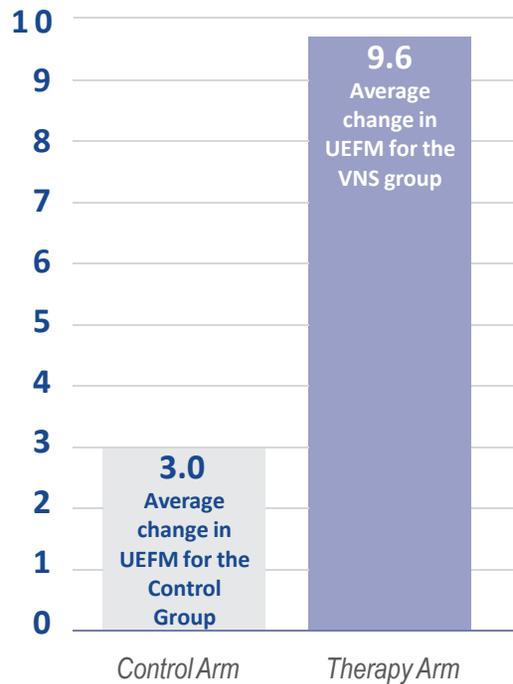
6 weeks

Duration of treatment cycle

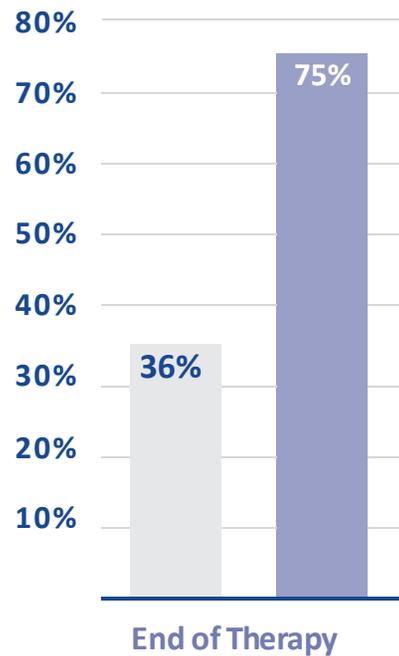
Cyberonics

2014 VNS sales \$292M

How We Know It Works: Stroke Rehabilitation



Average UEFM Score Change on Trial



UEFM – Upper Extremity Fugl-Meyer, the gold standard of measuring movement. A change in score ≥ 6 is considered clinically meaningful (responder)

- 75% of Patients Responded to the Therapy
- The 9.6 Change in UEFM Score is Statistically Significant Compared to Control ($p = .038$)
- Chronic Patient Population – Average of 1.8 Years Post-Stroke
- “These results are impressive. This therapy has the potential to improve the lives of my patients.”
Stephen Cramer, M.D.
 Director, Clinical Translational Science at UC Irvine

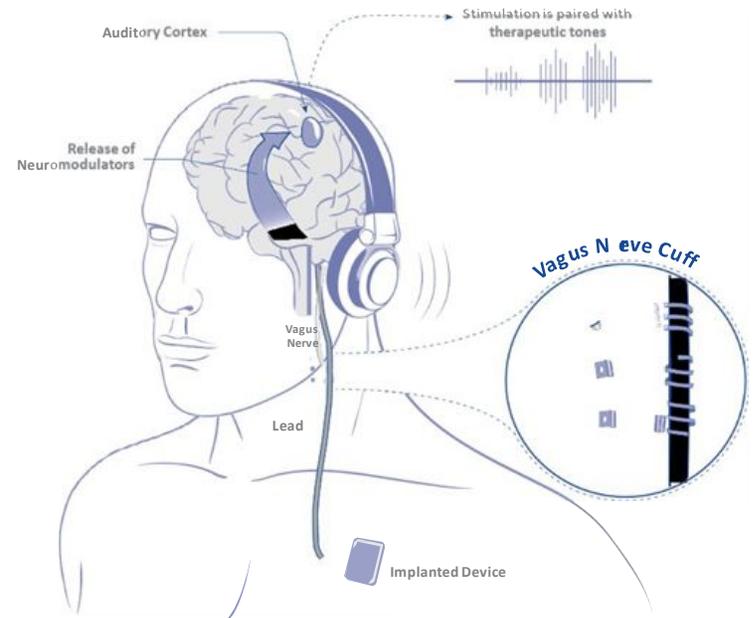
What is the Product: Tinnitus

For Tinnitus, we pair stimulation with tones



Neuroplasticity

Pairing tones with VNS increases the brain's ability to repair brain circuits and reduce the perception of tinnitus.



At-Home Therapy

Patients administer the therapy from the comfort of their own home. No therapist required.

Patient Begins Sound Therapy

Therapeutic tones are played outside of patient's tinnitus frequency.

Why MicroTransponder Pursued the Indication: Tinnitus



Harnessing Plasticity to Reset Dysfunctional Neurons

nature

Reversing Pathological Neural Activity Using Targeted Plasticity

22 Academic Publications

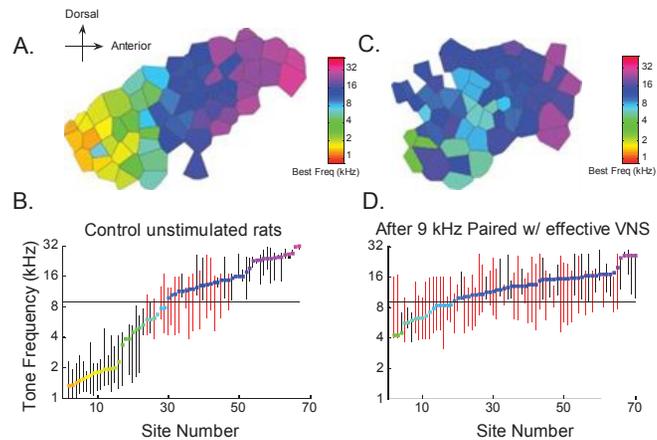
- Nature
- New England Journal of Medicine
- Neuromodulation
- Neuroscience
- Cerebral Cortex
- Hearing Research
- Biological Psychiatry
- Stroke
- Brain Stimulation

After trauma that damages a person's hearing, there is lack of auditory input to one or more regions of the auditory cortex in the brain. A region in the auditory cortex not receiving its normal input will seek input from neighboring regions and this interference causes a malfunctioning circuit. The auditory neurons start firing spontaneously and in unison - this is perceived as tinnitus.

LETTER *Nature* 470, 101–104 (03 February 2011) doi:10.1038/nature09656

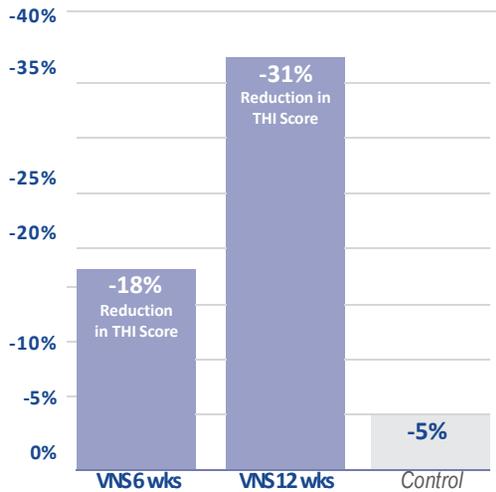
Reversing pathological neural activity using targeted plasticity

Navzer D. Engineer^{1,2}, Jonathan R. Riley³, Jonathan D. Seale¹, Will A. Vrana¹, Jai A. Shetake¹, Sindhu P. Sudhanagunta¹, Michael S. Borland¹ & Michael P. Kilgard¹



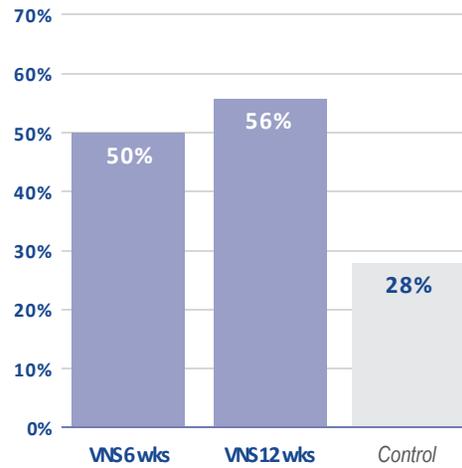
How MicroTransponder Knows It Works: Tinnitus

Primary Efficacy



Median THI (%) Change During Trial

THI Responder Rate



THI – Tinnitus Handicap Inventory, the gold standard of measuring the severity of a patient's tinnitus. 20% or greater change is considered clinically meaningful (responders)

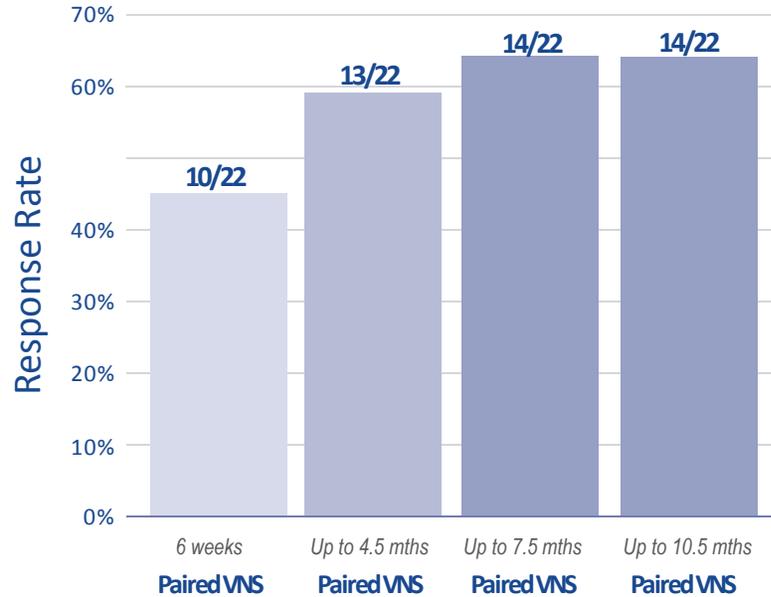
- 56% of Patients Responded to the Therapy
- Highly Motivated Patients
96% Compliance with Therapy (n=30)
- Difficult to Treat
Average patient had tried 4 other treatments and had tinnitus for 18 years
- "We have patients reporting big changes in their lives as the result of this therapy."
Richard Tyler, Ph.D.
Professor, Otolaryngology at the University of Iowa

Tinnitus Study

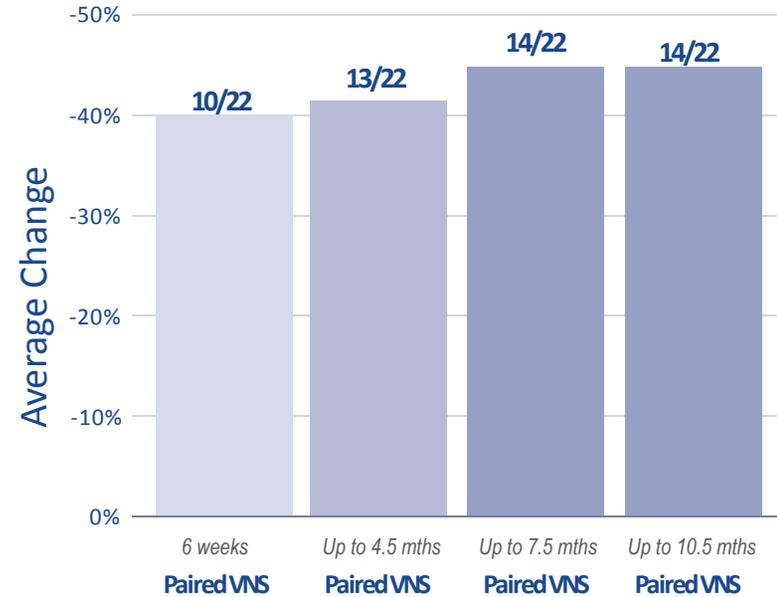
Long-Term Efficacy for more severe patients (>38% in THI)

22/30 patients had moderate or greater tinnitus at baseline (10 moderate, 8 severe, 4 catastrophic)

THI Responders Rate 20% or greater change for moderate or greater tinnitus



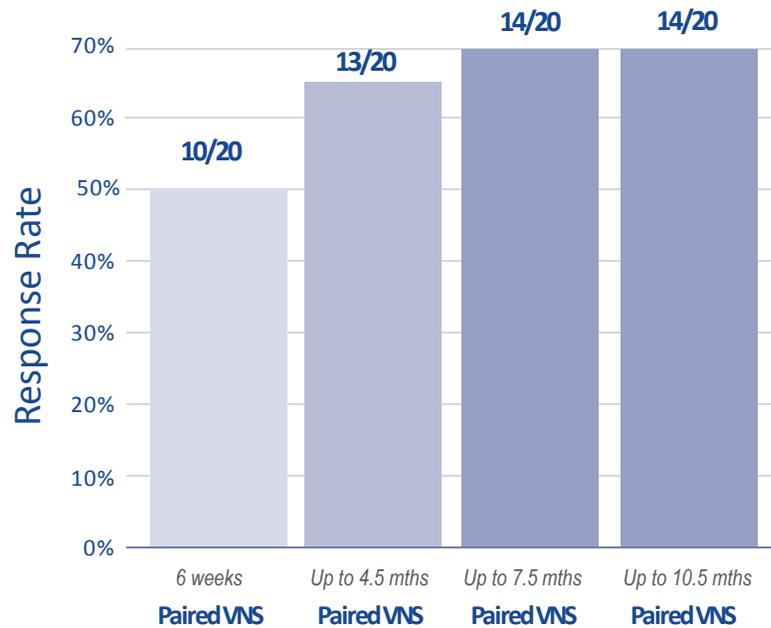
THI % Average Change for moderate or greater tinnitus responders



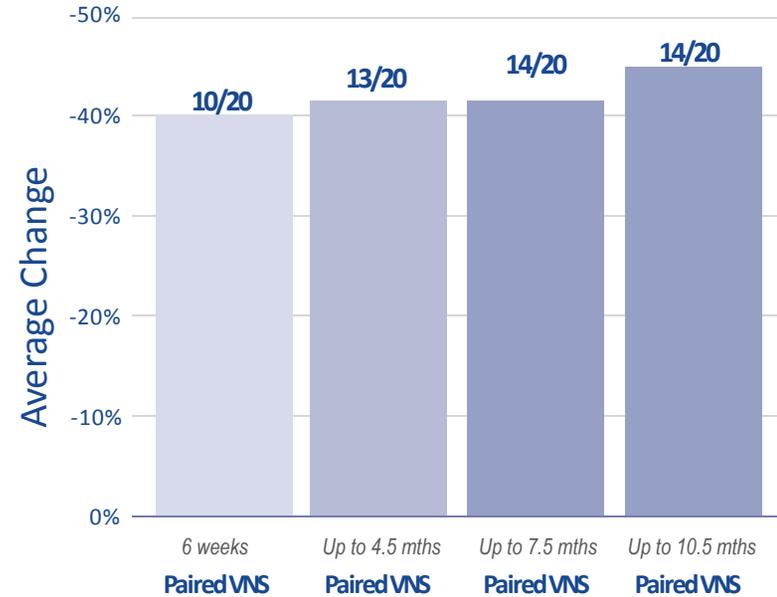
Target Population

Long-Term Efficacy for more severe patients ($\geq 38\%$ and $\leq 90\%$ in THI)
 20/30 patients were in this THI range (10 moderate, 8 severe, 2 catastrophic)

THI Responders Rate 20% or greater change for $\geq 38\%$ and $\leq 90\%$ in THI



THI % Average Change for $>38\%$ and $<90\%$ in THI responders



Why This Matters



Case Study Patient



Status

- *6 issued U.S. patents, 21 pending or allowed, with 267 unique claims.*
- *System development complete.*
- *2nd stroke trial completes enrollment in Q2 2016 with a CE mark expected in Q1 2017.*
- *CE mark in Q3 2016 for tinnitus.*
- *Favorable reimbursement codes exist. (EU \$14,500 / U.S. \$27,500). The cost of the system will be ~ \$5,000 (82% U.S. Profit Margin).*
- *U.S. pivotal trials for both tinnitus and stroke planned for Q4 2016.*
- *FDA has approved the Investigational Device Extension (IDE) for a 120 patient pivotal study in tinnitus.*

267 Unique Claims



European Sales Launch Strategy



VP of Sales and Marketing - EU
Jean-Philippe Allar

- *Led Cyberonic's European VNS Sales for 10 years*
- *VP of Sales for Neurotech, selling VNS devices for another 2 years, ending in 2012*
- *25 years of medical device sales in Europe, great clinical contacts and reimbursement expertise*

Germany



*Established
Reimbursement*

*Initial
Launch Market*

Management Team



Frank McEachern, J.D.
CEO

- Attorney at BakerBotts LLP, including St. Jude / ANS merger
- Business and Law degrees from the University of Texas at Austin
- Significant experience with medical device regulation, reimbursement, outsourcing arrangements, and IP matters



Jordan Curnes, M.B.A.
President and COO

- Co-founder, prior health care consulting expertise
- M.B.A. from Duke University,
- Finance degree from Notre Dame
- Co-founder, prior health care



Navzer Engineer, M.D., Ph.D.
CSO and VP of Medical Affairs

- Expert in neurostimulation procedures and published papers in Nature



Brent Tarver
VP of Clinical Affairs

- Clinical Director at CYBX for 15 years
- Supervised 17 device trials in 8 countries
- Specific previous experience with VNS therapy for treatment of epilepsy and depression



Ravi Jain, M.S.
Director of Engineering

- Experience at St. Jude on both implantable pulse generator (IPG) and lead development
- Responsible for the vagus nerve cuff lead design and production



Jean-Philippe Allar
VP of Sales & Marketing Europe

- European General Manager at Cyberonics for 10 years
- Previous experience at Neurotech and Apnex Medical, both neuromodulation startups

Valuation Framework: Initial Public Offerings (IPOs)

Market data as of
April 1, 2015

	Indication	Dev. Stage @IPO	IPO Pricing	IPO Date	Market Cap
	Back Pain <i>Neurostim</i>	Post CE Mark Pre FDA Approval	Raised \$145 M IPO @\$523M	Nov 2014	\$1.72 BLN
	Back Pain <i>Neurostim</i>	26 patients Pre FDA Approval	Raised \$25 M IPO @\$108M	Apr 2014	\$72.4 M
	Vision Restoration <i>Neurostim</i>	Humanitarian Device Exemption	Raised \$32 M IPO @\$326 M	Nov 2014	\$170.5 M
	Tinnitus <i>Drug</i>	248 patients, Phase II	Raised \$56.4M IPO @\$140 M	Aug 2014	\$130.5 M
	Tinnitus <i>Drug</i>	532 patients, Phase III	Raised \$115 M IPO @\$888 M	Aug 2014	\$450.7 M

Valuation Framework: Transactions

		<i>Valuation</i>	<i>Amount Raised</i>		
 MicroTransponder	2015	\$ ____	\$ ____	20 stroke patients EU 40 tinnitus patients US/EU	 Stroke
 saluda MEDICAL	2015	N/A	\$10M	80 patients US	 Backpain
 IMTHERA	2011	\$60M	\$16M	14 patients EU	 Sleep Apnea
 NEUROPACE	2011	\$100M	\$50M	30 patients EU and US	 Epilepsy

Neurostimulation: Valuation Framework

		Valuation	Amount Raised			
	2015	\$ ____	\$ ____	20 stroke patients EU 40 tinnitus patients US/EU		Stroke
	2015	\$130M	\$32M <i>Raised</i>	100 headache patients US		Headache
	2014	N/A	\$33M <i>Raised</i>	0 patients US		Urinary Incontinence
	2013	N/A	\$27M <i>Raised</i>	8 patients US		Rheumatoid Arthritis
	2011	\$120M	\$58M	24 patients US 70 patients EU		Backpain
	2009	\$70M	\$30M	10 patients US		Backpain

Potential Acquirers



Cochlear Implant (CI) Leader
 2013 CI Revenue was \$646M Great relationships with key opinion leaders in space

Expertise in Hearing
 Same physician call points, MicroTransponder (MTI) would be a tuck-in acquisition



Cochlear Implant - Emerging Competitor
 2013 Cochlear Implant Revenue was \$218M, 33% increase
 Trying to grow their neurostim presence

Dedicated Hearing Aid Player
 Committed to broader hearing issues
 MTI would be a tuck-in acquisition



Dominant Neurostim Leader
 Estimated \$8B in Cash, \$1.8B rev.
 Leaders in Pain, Urinary Incontinence, DBS space

Same Call Points
 Needs growth, and VNS lead
 Sales force understands IPGs, Already sells to Ear, Nose, and Throat (ENT) specialists



Ischemic Stroke, Interest in Neurostim
 Already bought BSX Neurovascular, Concentric Medical
 Long held interest in neurostimulation space

Stroke Experience
 Call points with stroke docs
 Great device sales force



Large Neurostim with VNS Research
 \$550M in revenues
 Previous VNS research for cardiac

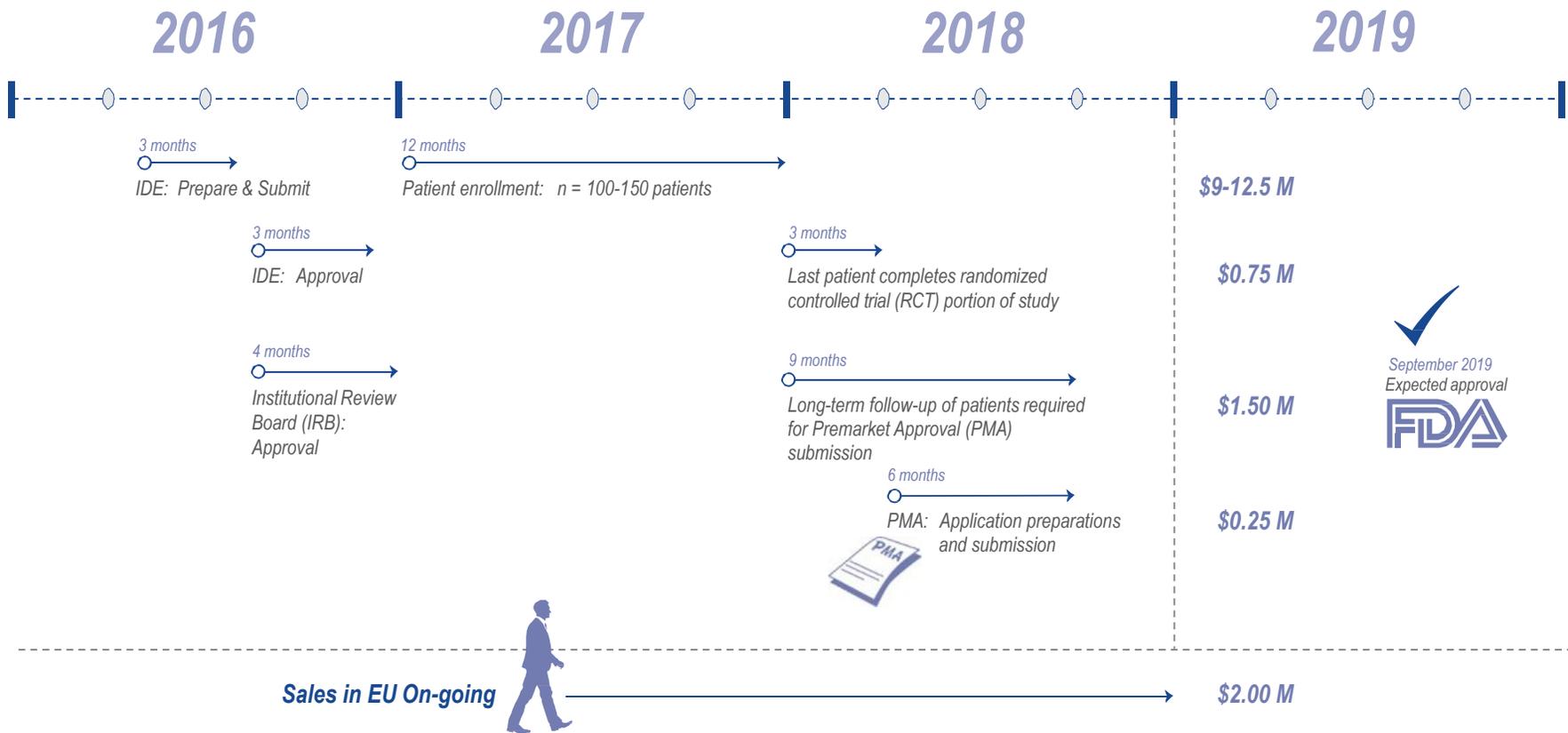
VNS Research
 Sales Reps familiar with IPG
 Expanding indications



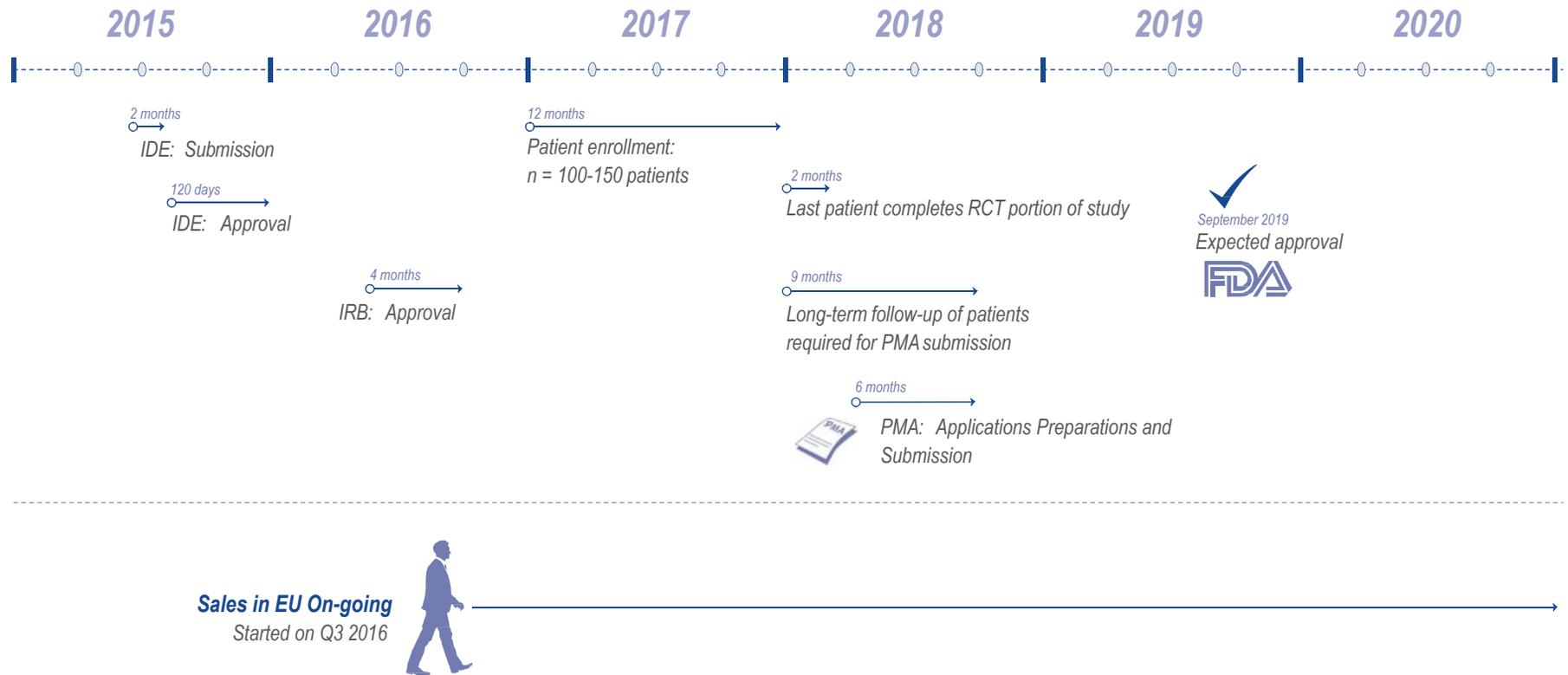
Largest VNS Company
 \$292M in revenues
 Recently expanded focus beyond epilepsy

VNS Expertise
 Easy tuck-in acquisition
 Sales force fully understands surgery

Pivotal Trial Timelines: Stroke (\$13.5 - \$17.0 M)



Tinnitus: Path to the U.S. Market



4. **U. T. System: Report and discussion on the initiatives of the Institute for Transformational Learning**

REPORT

Dr. Steven Mintz, Executive Director of the U. T. System Institute for Transformational Learning (ITL), and Dr. Marni Baker Stein, Chief Innovation Officer, will provide a report on the initiatives of the ITL.

A PowerPoint presentation is set forth on the following pages.

ITL Strategy and Progress Report

Dr. Marni Baker Stein, Chief Innovation Officer
Dr. Steven Mintz, Executive Director
Institute for Transformational Learning (ITL)

U. T. SYSTEM BOARD OF REGENTS' MEETING
TECHNOLOGY TRANSFER AND RESEARCH COMMITTEE | MAY 2016



THE NEW STUDENT PROFILE



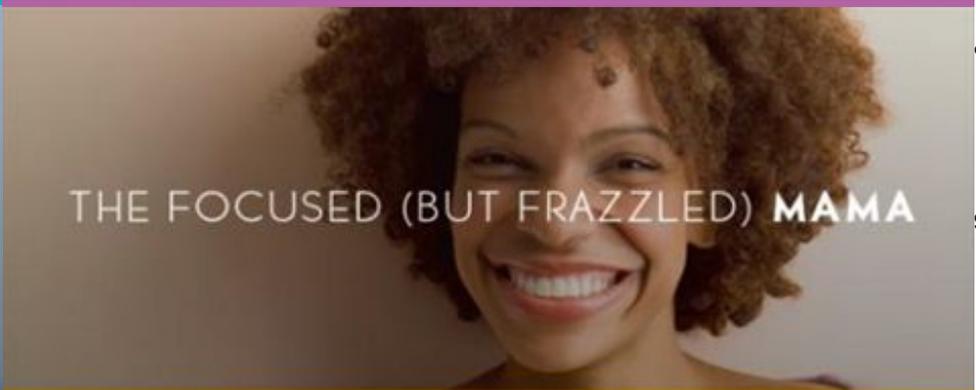
THE HESITANT **DREAMER**



SELF-ASSURED **CLIMBER**



THE RELUCTANT **COMPLETER**



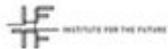
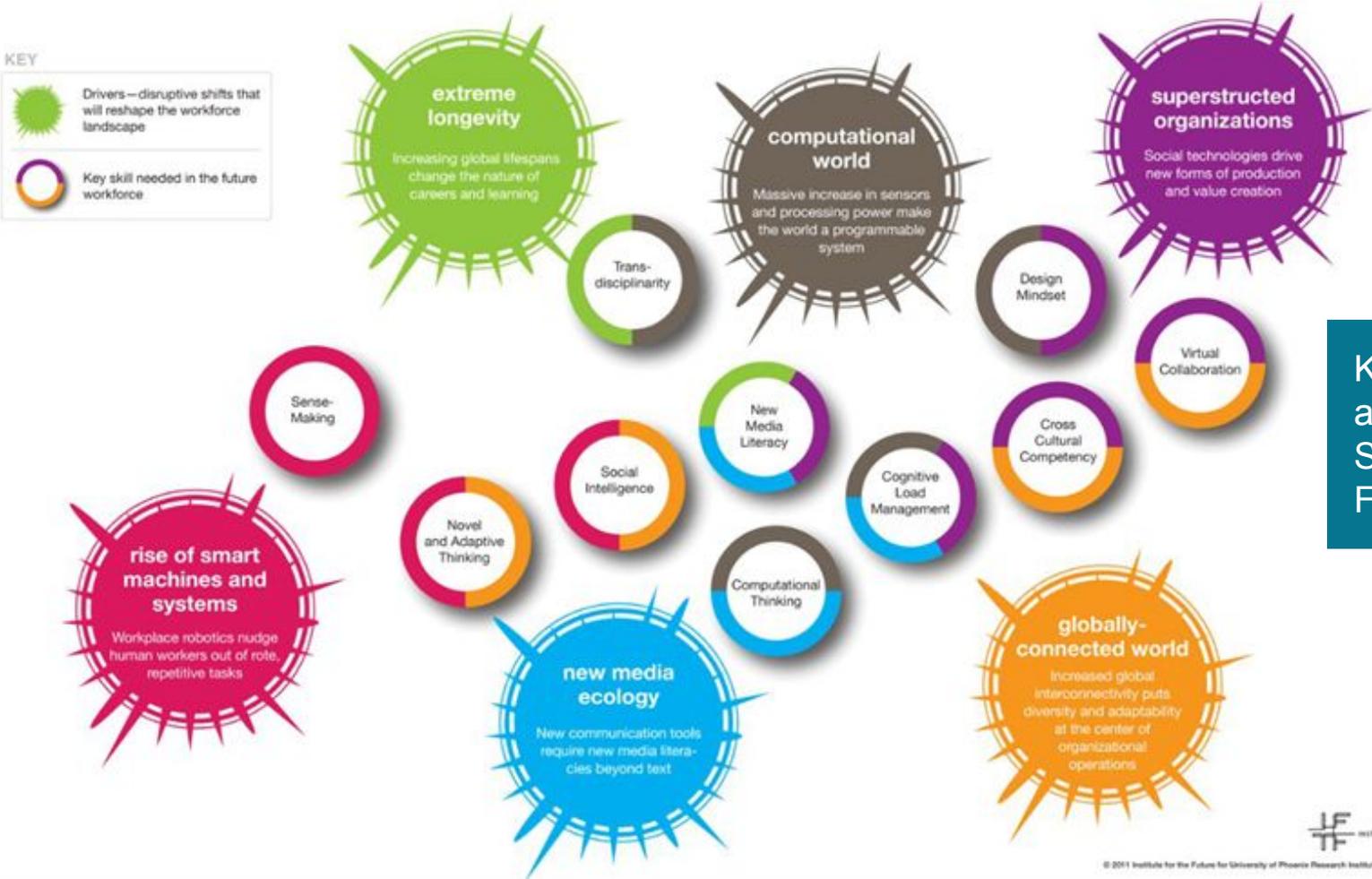
THE FOCUSED (BUT FRAZZLED) **MAMA**



IT'S A VUCA WORLD

Volatile
Uncertain
Complex
Ambiguous

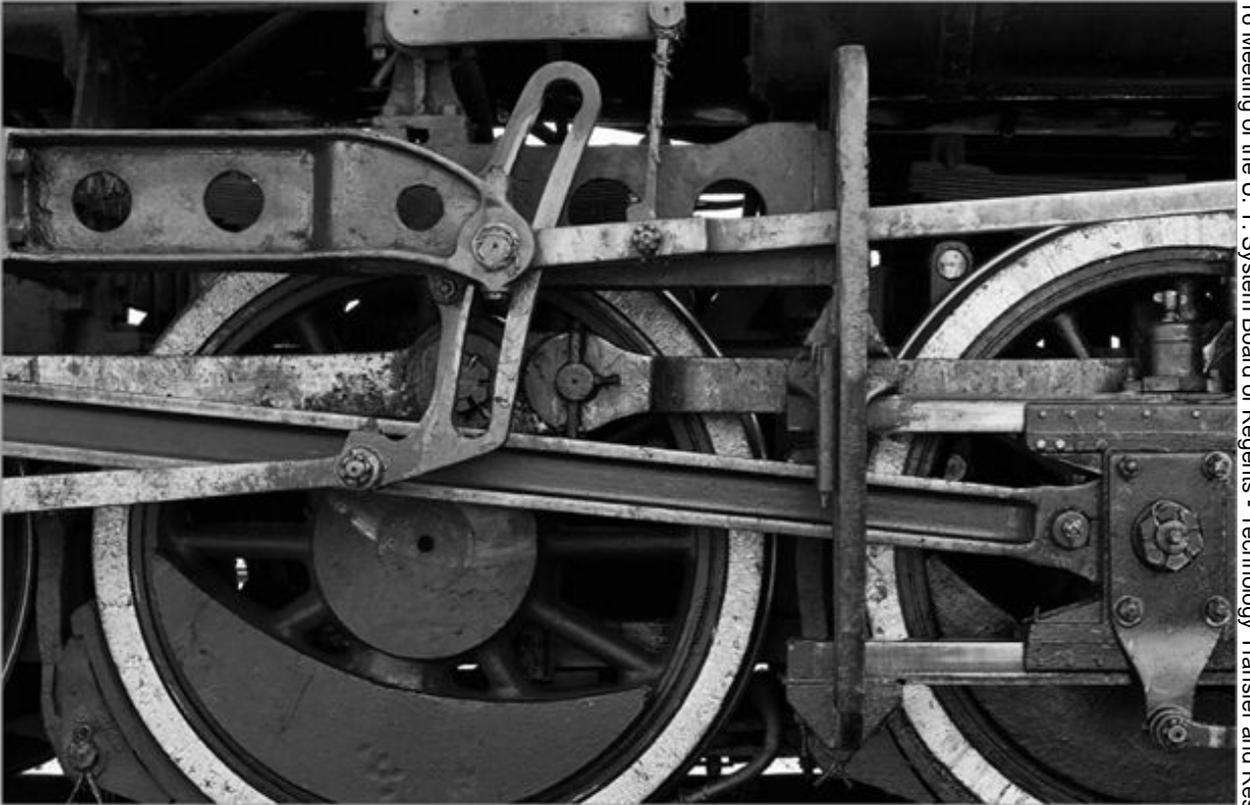
Key Drivers and Skills for Success in the Future of Work



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Why can't we get more learners
to this **bright** future?



“

**We are in a
new world,
using old
tools**

– Thomas Friedman,
“The World is Flat”

Design Requirements PART 1

CONTINUOUS | Programming pathways that begin as early as middle school and continue throughout a career

TRANSDISCIPLINARY | Programming pathways that encourage learners to move beyond disciplinary-specific approaches as they address critical problems and opportunities

INDUSTRY-ALIGNED | Programming pathways that are laser focused on the future of work and assume (by design) multiple entry and exit points across a life time

Hi-FIDELITY CONTENT | Curate and develop compelling content that delivers high impact pedagogical approaches (has to look good and work)



Design Requirements PART 2

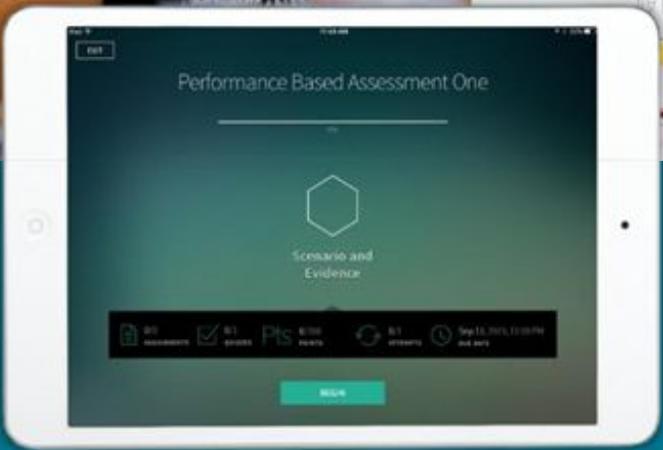
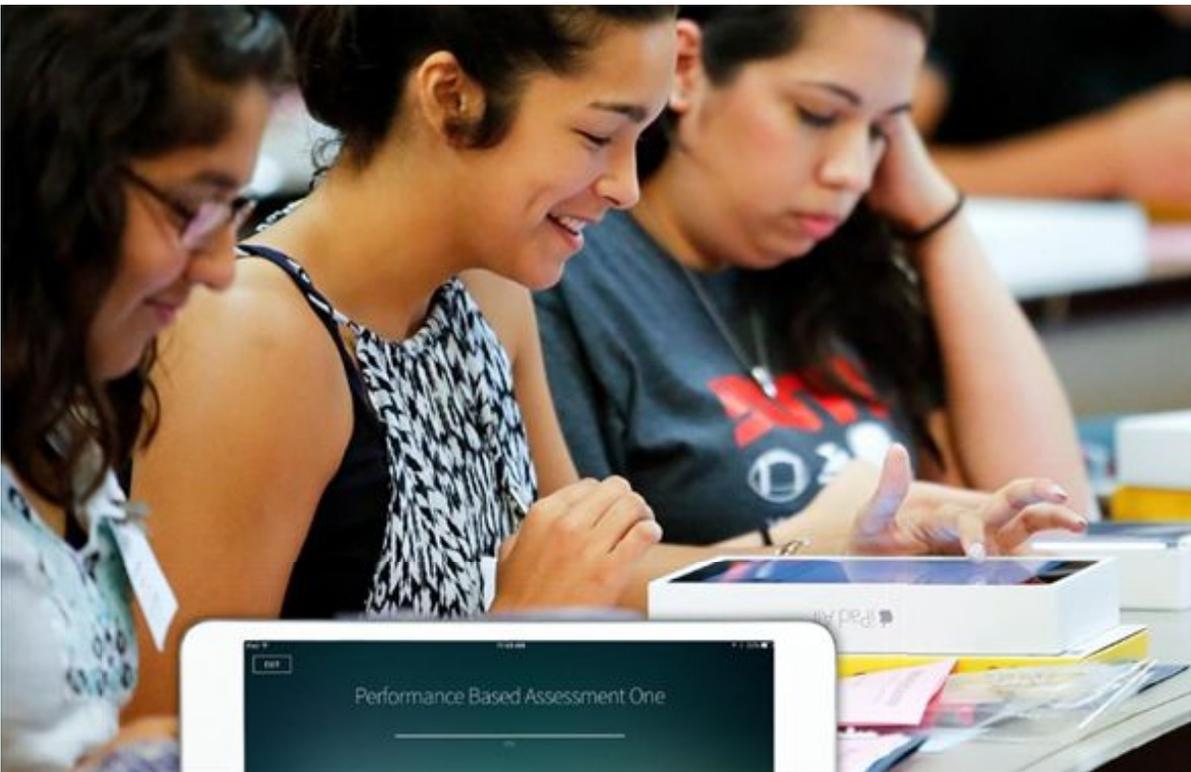
STUDENT-CENTERED and PERSONALIZED | Provide students persistent and progressive lifecycle management, learning, career, and content services

GAMIFIED | Offer learning activities designed for high engagement and tangible progression

SOCIAL | Encourage knowledge networking experiences that are contextualized and designed to drive value

ATOMIC | Modularized, stackable learning experiences that tag to a wide range of accomplishments from badge to competency to credit to certificate or degree (potentially across multiple institutions or kiosks)





TE_x

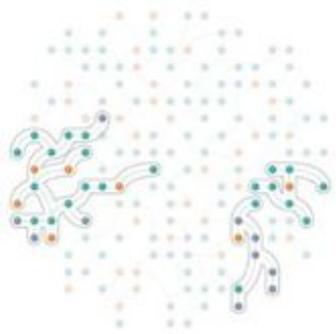
Total Educational Experience



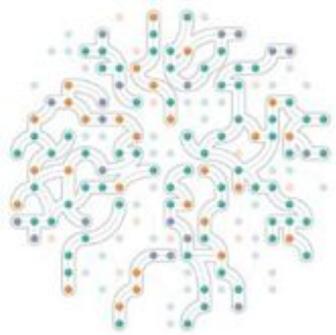
A Persistent Progressive Profile and Universal Transcript



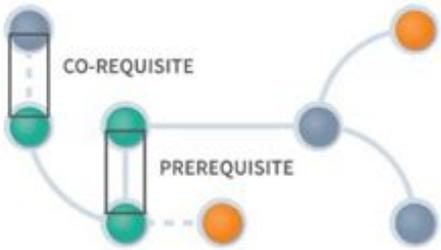
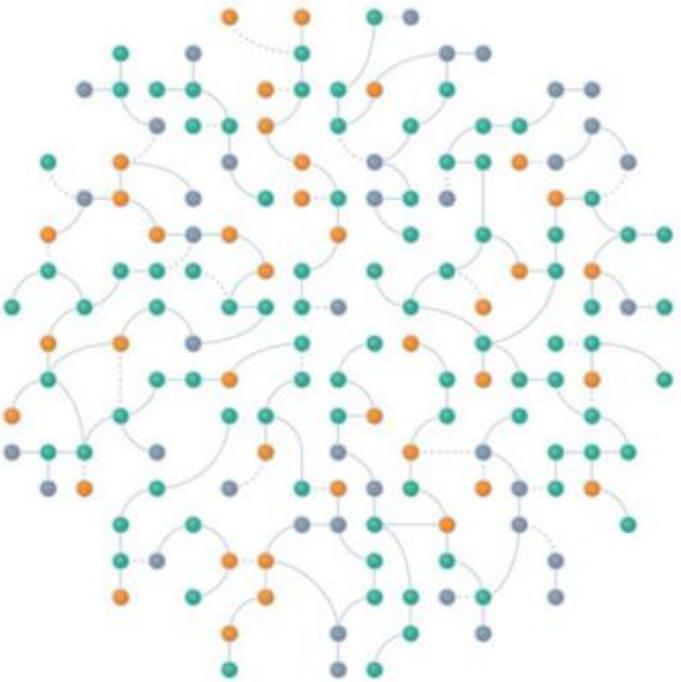
The Knowledge Graph



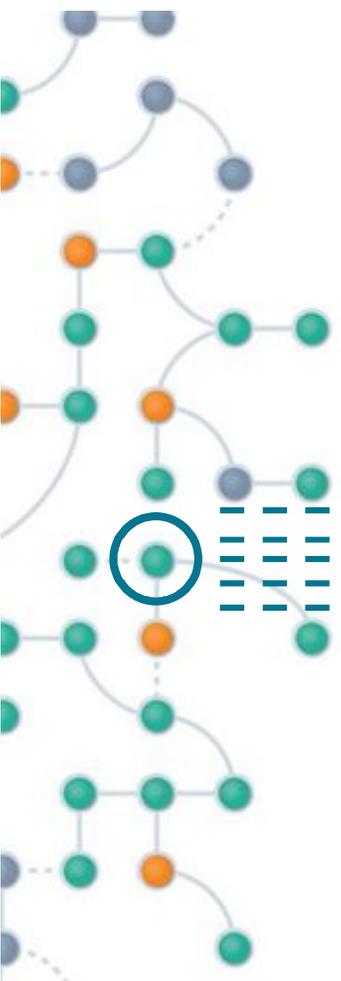
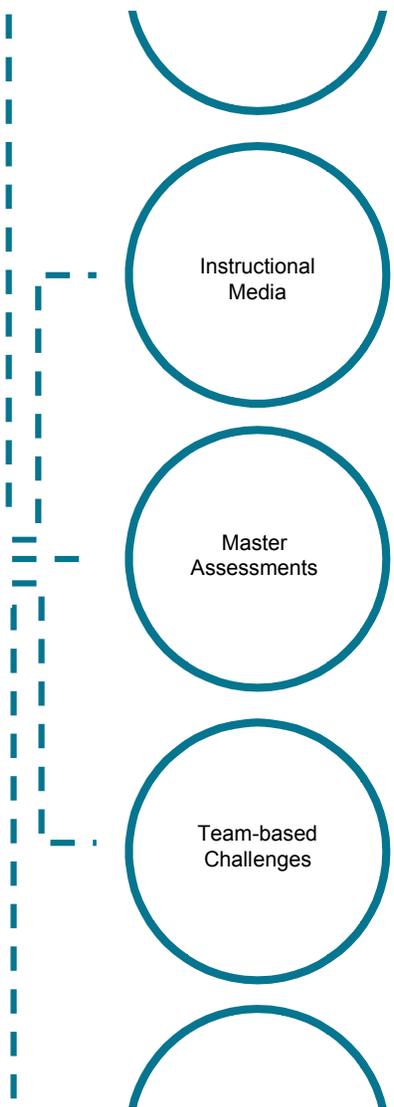
Certificate



Degree



The Learning Environment



The Marketplace





THE PROTOTYPE

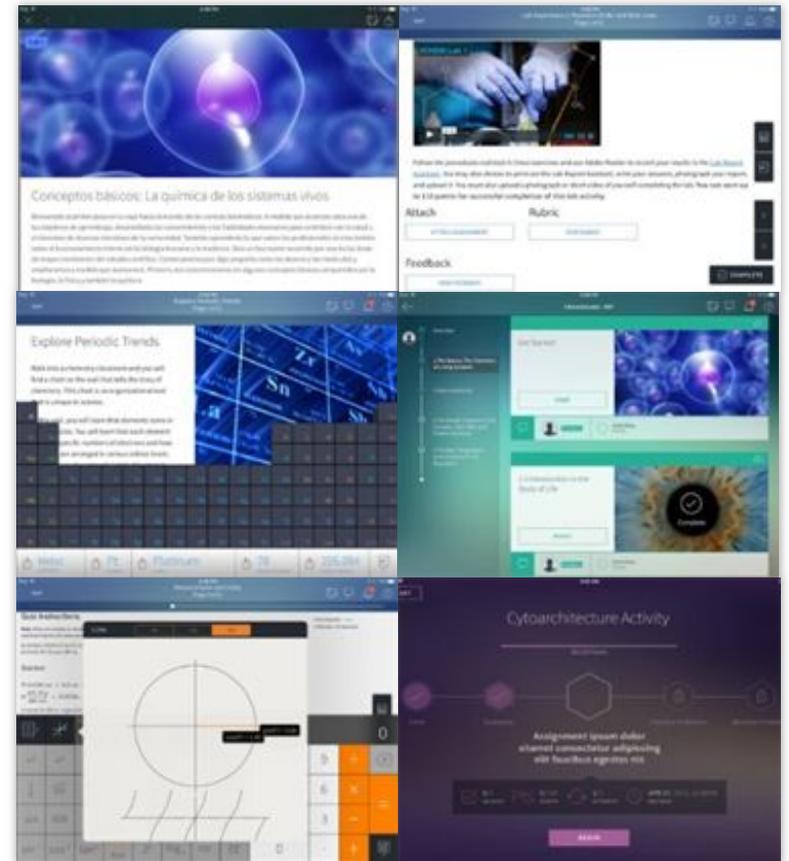
BS in Biomedical Sciences



THE PROTOTYPE : **BS IN BIOMEDICAL SCIENCES**

High fidelity content and learning experiences that engage students and compete for attention in a complex world:

- Mobile-first approach
- Pre-loaded content
- Bilingual content and Offline content
- High impact pedagogies and authentic assessment
- Distributed activity framework (atomic design)
- Points-driven progress indicators



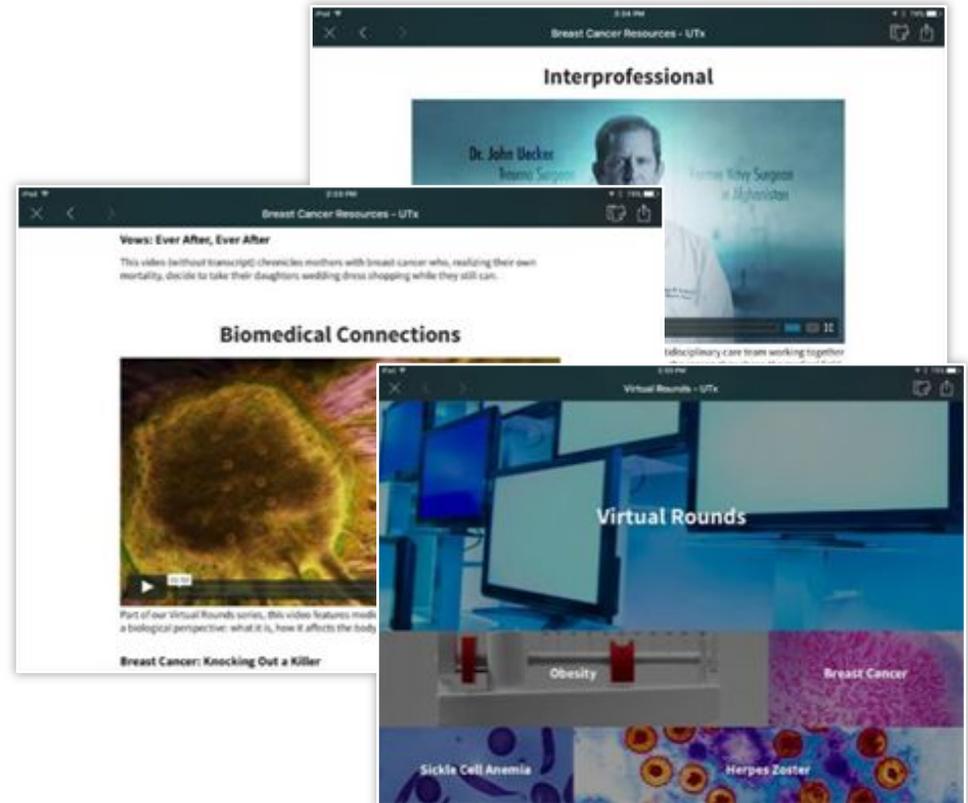
THE PROTOTYPE : **BS IN BIOMEDICAL SCIENCES**

Transdisciplinary insights and windows into the health professions:

Design across all 120 credit requirements

Synergistic learning pathways across each term

Virtual rounds as red thread across disciplinary domains



THE PROTOTYPE : **BS IN BIOMEDICAL SCIENCES**

A level of personalization to support a diverse audience of learners:

Personalization of pace (bounded)

Block scheduling

Pre-set pathways

and...



THE PROTOTYPE : **BS IN BIOMEDICAL SCIENCES**

A community of care acting on real time engagement and performance data (2.3 million events in term one):

- Faculty
- Longitudinal Instructional Facilitators
- Student Lifecycle Management
- Coaching (proactive and reactive)
- Dedicated Student Success Coach
- Tutoring Services

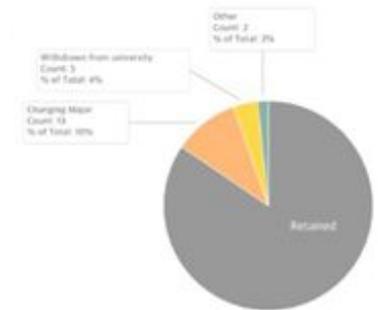
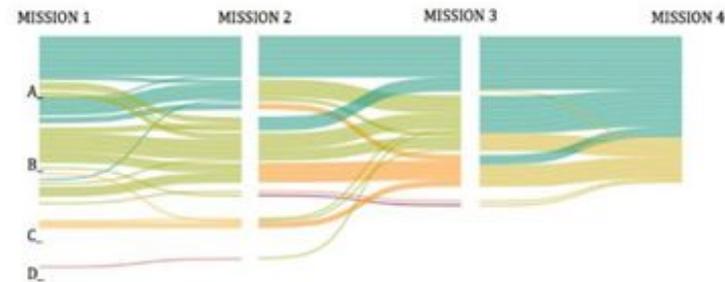


THE PROTOTYPE : **BS IN BIOMEDICAL SCIENCES**

A commitment to evidence-driven continuous improvement:

- Profile
- Engagement
- Self-efficacy
- Endurance
- Learning decay
- Intervention impacts
- Outcomes

Gender and Pace composition by retention category plotted along student ACT score.

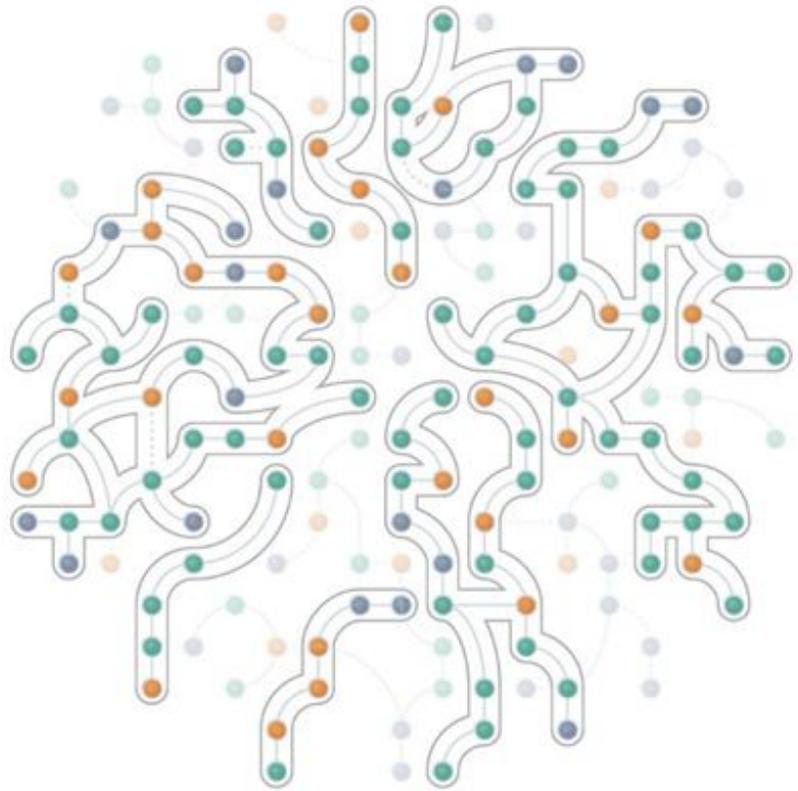


THE **EMERGING PARTNERSHIP** LANDSCAPE

The Gates Foundation
The Teagle Foundation
The Department of Education
Apple
Salesforce
PBS
Dell Corporate Giving



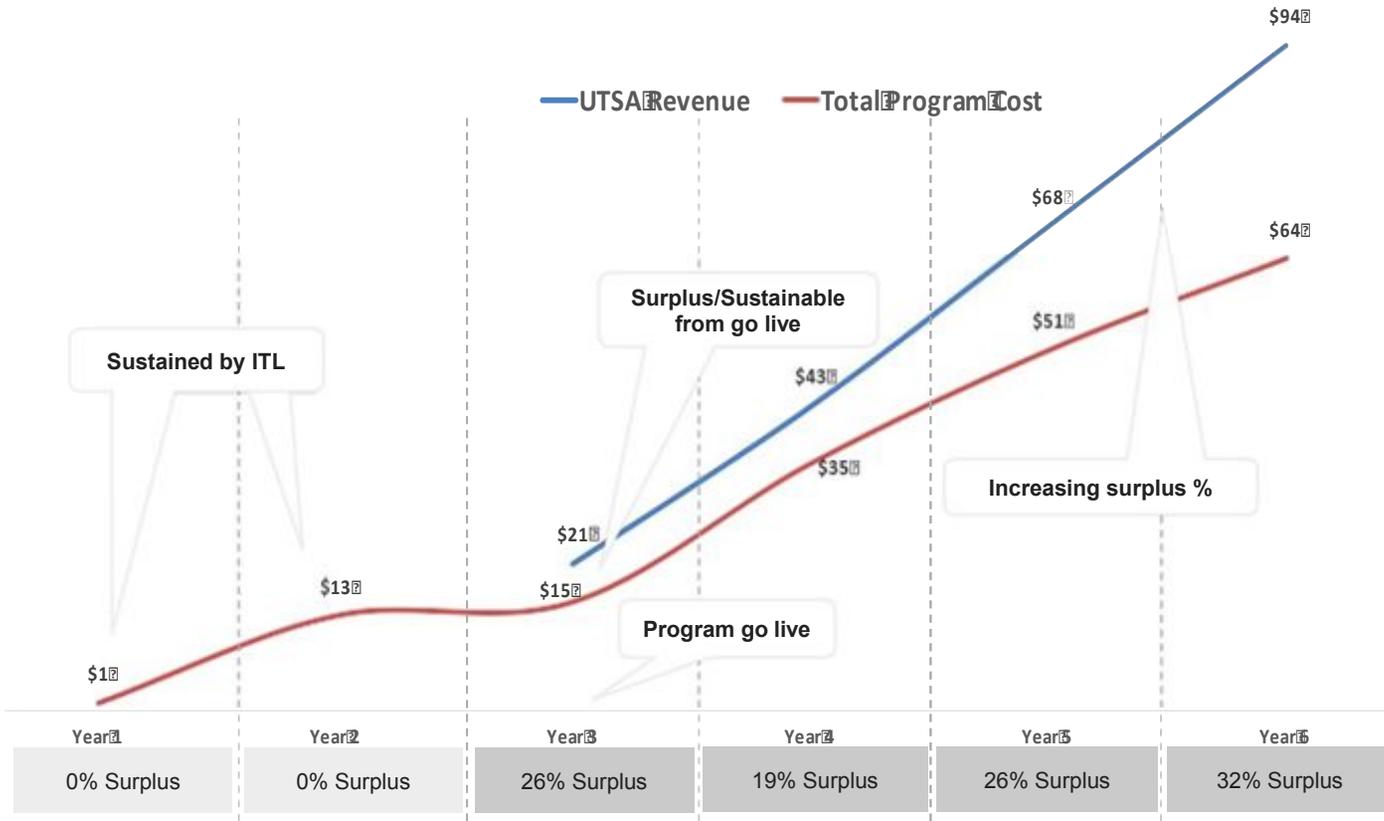
Is this model sustainable –
and can we get it to scale?



UTSA CYBER PORTFOLIO

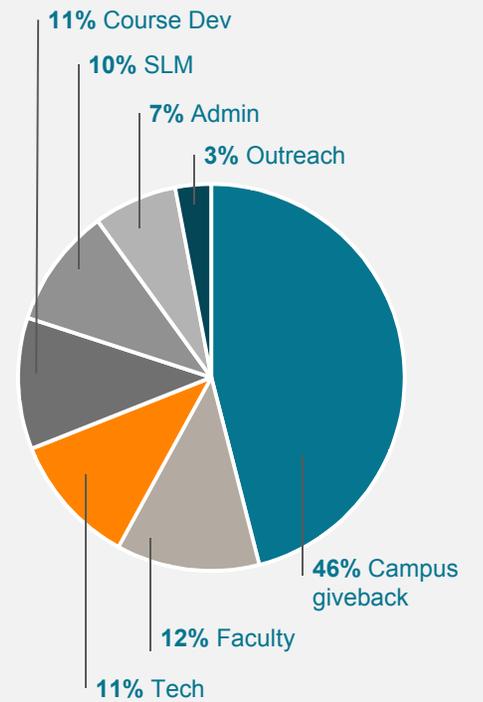
- BA in Computer Science
- BBA in Information Systems and Cyber Security
- Multiple Academic Certificates
- Multiple professional/non-credit Certificates
- Credit by exam

Total UTSA Program Revenue and Cost (\$m)

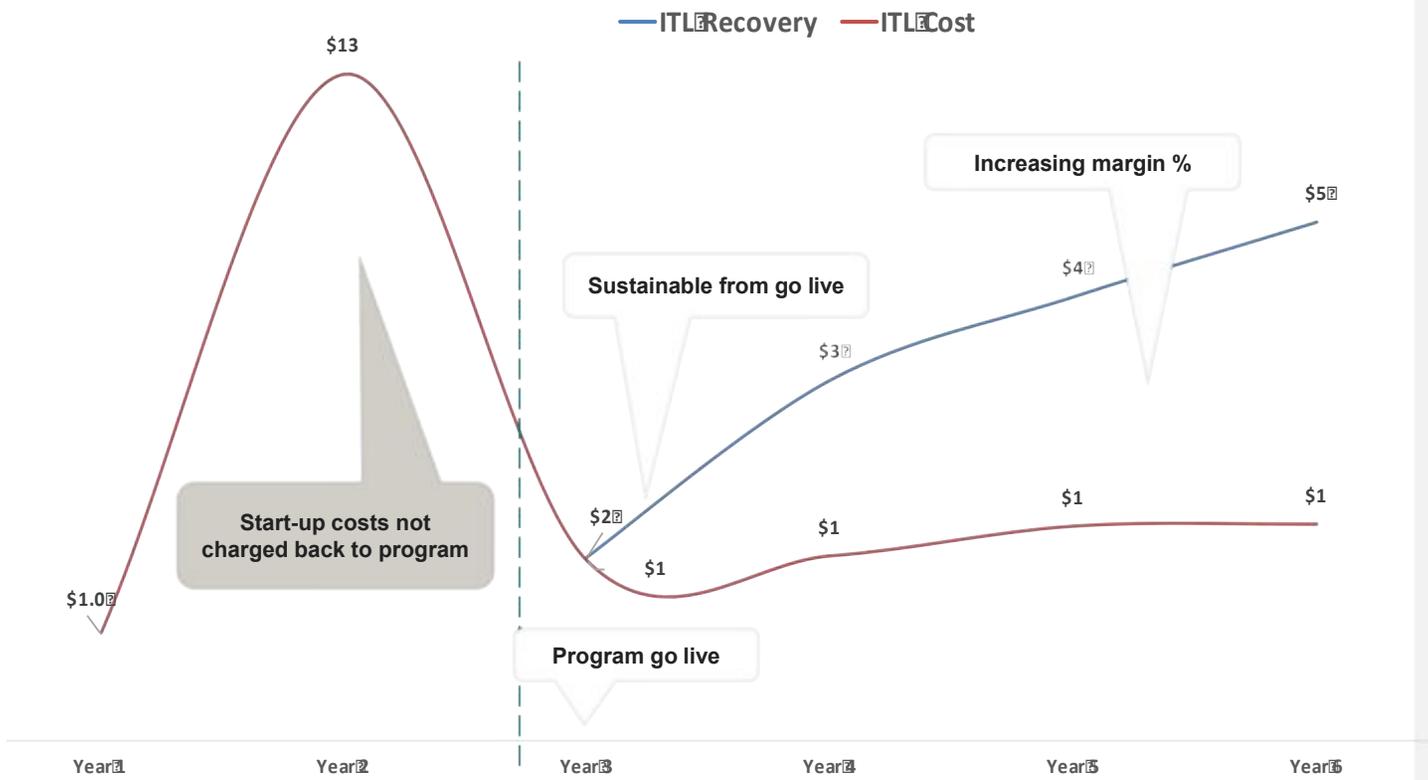


* Assumes no recovery for initial start-up funds incurred by ITL

Average Cost Breakdown by Type for Program



ITL Support for UTSA Program - Recovery and Cost (\$m)



- Assumes ITL will not chargeback any initial program creation costs
- Some recovery can be built into these surplus programs
- ITL costs relate to program are for headcount and program management
- All ITL related costs are recovered at go live



ITL PORTFOLIO

BUSINESS

- U. T. San Antonio - BBA Cyber Security and Early Business Core (Fall 2017)
- U. T. Tyler - BS Marketing (concept stage)

HEALTH PROFESSIONS

- U. T. Rio Grande Valley - BS in Biomedical Sciences (Live – Fall 2015)
- U. T. Health Science Center - Houston - Biostatistics Pathway (Fall 2016)
- TIME Initiative - Early Health Sciences Core (concept stage)
- U. T. M. D. Anderson Cancer Center - Cross Institutional UTxHealth Continuing and Professional Education Portfolio (Fall 2017)

COMPUTER SCIENCE

- U. T. San Antonio - BA Cyber Security and Early Computer Science Core (Fall 2017)

ENGINEERING

- U. T. El Paso - BS Electrical (concept stage)
- U. T. Permian Basin - BS Petroleum and Energy Technology (concept stage)
- U. T. Austin - Early Engineering (Fall 2017)

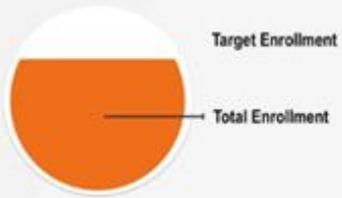
MEDICAL SCHOOL

- U. T. Rio Grande Valley - Competency Based Degree Mapping (complete – Fall 2015)
- U. T. Austin - Competency Based Degree Mapping (complete – Spring 2015)
- U. T. Austin - Value Based Care Metrics (Spring 2016)

What is the real measure of success?

Value Metrics

STUDENT ACCESS



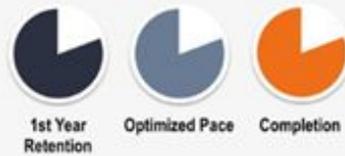
CROSS-INSTITUTIONAL IMPACT

Programs in Development Programs Live

STAKEHOLDER SATISFACTION



STUDENT SUCCESS



STAKEHOLDER VALUE

Annual Earnings to Partners Annual Earnings to ITL



Value Metrics

SCALABILITY

Program Growth	2015	2016
	Partner Partner	Partner

Affiliate Partners	2015	2016
	Partner Partner Partner	Partner Partner Partner Partner

INTELLECTUAL CAPITAL

Data Attributes

Research Initiatives

Publications

IP

DIFFUSION OF INNOVATION

Requests for New Program Development

Requests for Consultation

Proliferation of Models Beyond ITL

REPUTATIONAL CAPITAL

Foundation Partners	2015	2016
	Partner Partner	Partner Partner Partner

Industry Partners	2015	2016
	Partner Partner Partner	Partner Partner Partner Partner

Non-Profit Partners	2015	2016
	Partner Partner	Partner Partner



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Austin, Texas

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FACILITIES PLANNING AND CONSTRUCTION COMMITTEE

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TECHNOLOGY TRANSFER AND RESEARCH COMMITTEE

No items for Consent Agenda

MEETING OF THE BOARD

1. Minutes - U. T. System Board of Regents: Approval of Minutes of the regular meeting held on February 10-11, 2016; and the special called meeting held on February 29, 2016

2. Resolution - U. T. System Board of Regents: Adoption of resolution regarding the list of Key Management Personnel authorized to negotiate, execute, and administer classified government contracts (Managerial Group) to reflect appointment of Dr. Daniel T. Jaffe as Vice President for Research, The University of Texas at Austin, to replace Dr. Juan M. Sanchez

To comply with the Department of Defense National Industrial Security Program Operating Manual (NISPOM) requirements, it is recommended that the Board of Regents approve the revised resolution set forth below regarding the list of Key Management Personnel (KMP) authorized to negotiate, execute, and administer classified government contracts. The revision reflects the appointment of Dr. Daniel T. Jaffe as Vice President for Research, The University of Texas at Austin, to replace Dr. Juan M. Sanchez who is retiring.

A Resolution amending the Managerial Group list was last adopted by the Board of Regents on August 20, 2015.

NISPOM defines KMP as "officers, directors, partners, regents, or trustees." The Manual requires that the senior management official and the Facility Security Officer must always be designated as part of the Managerial Group and be cleared at the level of the Facility Clearance. Other officials or KMPs, as determined by the Defense Security Service, must be granted Personal Security Clearances or be formally excluded by name from access to classified material.

RESOLUTION

BE IT RESOLVED:

- a. That those persons occupying the following positions at The University of Texas System and The University of Texas at Austin shall be known as the Managerial Group, having the authority and responsibility for the negotiation, execution, and administration of Department of Defense (DoD) or User Agency contracts, as described in DoD 5220.22-M, "National Industrial Security Program Operating Manual" (NISPOM):

William H. McRaven, Chancellor, The University of Texas System
David E. Daniel, Ph.D., Deputy Chancellor, The University of Texas System
Gregory L. Fenves, Ph.D., President, The University of Texas at Austin
Daniel T. Jaffe, Ph.D., Vice President for Research, The University of
Texas at Austin
Francis J. Landry III, Facility Security Officer, The University of Texas System

The Chief Executive Officer (i.e., the Chancellor) and the members of the Managerial Group have been processed, or will be processed, for a personnel security clearance for access to classified information to the level of the facility security clearance granted to this institution, as provided for in the NISPOM.

The Managerial Group is hereby delegated all of the Board's duties and responsibilities pertaining to the protection of classified information under classified contracts of the DoD or User Agencies of the NISPOM awarded to U. T. System, including U. T. Austin.

- b. That the following named members of the U. T. System Board of Regents shall not require, shall not have, and can be effectively excluded from access to all classified information in the possession of U. T. System, including U. T. Austin, and do not occupy positions that would enable them to affect adversely the policies and practices of the U. T. System, including U. T. Austin, in the performance of classified contracts for the Department of Defense or User Agencies of the NISPOM awarded to the U. T. System, including U. T. Austin, and need not be processed for a personnel security clearance:

Members of the U. T. System Board of Regents:

Paul L. Foster, Chairman

R. Steven Hicks, Vice Chairman

Jeffery D. Hildebrand, Vice Chairman

Ernest Aliseda

David J. Beck

Alex M. Cranberg

Wallace L. Hall, Jr.

Brenda Pejovich

Sara Martinez Tucker

Justin Drake, Student Regent from July 2, 2015 to May 31, 2016 (nonvoting)

3. Employment Agreement - U. T. System: Appointment of former U. T. Brownsville President Juliet V. García, Ph.D., as Senior Advisor to the Chancellor for Community, National and Global Engagement

The employment agreement summarized below has been approved by the Chancellor and is recommended for approval by the U. T. System Board of Regents.

Item:	Senior Advisor to the Chancellor for Community, National and Global Engagement
Funds:	\$300,000
Period:	March 8, 2016 through February 28, 2017
Description:	Agreement for employment of Juliet García, Ph.D., as Senior Advisor to the Chancellor for Community, National and Global Engagement. The Senior Advisor to the Chancellor for Community, National and Global Engagement reports to and serves at the pleasure of the Vice Chancellor for External Relations. Monthly dues, professional memberships, continuing education allowances, and reasonable travel expenses related to U. T. System business will be reimbursed by U. T. System Administration up to \$26,000. The employment agreement is on the following pages.



THE UNIVERSITY of TEXAS SYSTEM
FOURTEEN INSTITUTIONS. UNLIMITED POSSIBILITIES.

Office of the Chancellor
601 Colorado Street
Austin, Texas 78701-2904
Phone: 512.499.4201
WWW.UTSYSTEM.EDU

REVISED

March 3, 2016

Dr. Juliet García



Dear Juliet:

It is my pleasure to offer you the position of Senior Advisor to the Chancellor for Community, National and Global Engagement. Your very unique qualifications in higher education and your national reputation as a strategic thinker and lecturer make you perfect for this job.

This appointment is effective March 8, 2016 through March 7, 2017. However, this appointment is subject to the successful completion of the required background checks, review, and approval by the Board of Regents. This position reports directly to the Vice Chancellor for External Relations.

As Senior Advisor to the Chancellor for Community, National and Global Engagement, you will be responsible for the items outlined in the attached job description.

Your annual salary rate during this appointment will be \$300,000. You will also continue to receive other state-paid fringe benefits accruing to other higher education employees, except as provided by law. The Vice Chancellor for External Relations and the Chancellor will conduct an annual evaluation to evaluate the continuance of this position.

The business-related travel and entertainment expenses budget for you during this appointment is \$26,000. All expenses shall be paid in accordance with UT System Administration rules, regulations and policies. As appropriate, reasonable expenses will be paid directly or reimbursed as appropriate. Also, appropriate and timely submission of documentation required for reimbursement of travel and entertainment expenditures is required.

Dr. Juliet Garcia
March 3, 2016
Page 2

Administrative and professional staff employed by the UT System may not be employed in any outside work or activity, or receive from an outside source any compensation, or serve on an outside board until a description of the nature and extent of the employment or activity and the range of any compensation has been approved by the Chancellor.

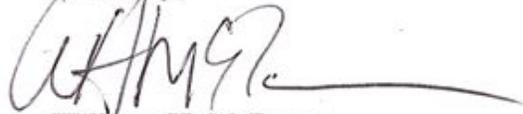
Payments to you by UT System shall be subject to all deductions required by state and federal law or regulations. You should consult your tax adviser as to the handling of business or other offsetting deductions.

When your appointment as Senior Advisor to the Chancellor ends, you may return to the UT Rio Grande Valley as a tenured faculty professor, consistent with Regents' Rule 31007, with compensation at your academic salary rate.

Please note that this appointment letter supersedes and replaces all prior agreements, including but not limited to the attached letter agreement dated September 15, 2014, between UT System and you.

Randa and I look forward to the opportunity to work with you in this new role. If you have any questions, please let me know.

Sincerely,



William H. McRaven
Chancellor

WHM:bc

Accepted: _____ Date: _____

4. Logo - U. T. System: New logo for University Lands

The following new logo has been approved by the Chancellor, the Executive Vice Chancellor for Business Affairs, and the Vice Chancellor for External Relations and is submitted for approval by the U. T. System Board of Regents in accordance with Regents' *Rules and Regulations*, Rule 40801.

University Lands requests the approval of The University of Texas System Board of Regents for a new logo to be used in marketing and branding. The new logo incorporates colors that represent both the U. T. System and the Texas A&M University System, as institutions across both Systems benefit from the Permanent University Fund. The artwork represents the subsurface and surface assets managed by University Lands and includes a "tree of knowledge" to tie into University Lands' focus on funding education. The tagline refers to University Lands' history and mission of generating revenue to support the U. T. System and the Texas A&M University System.

The logo colors are Pantone Matching System 209 and Adobe Illustrator CE6D28.



AUDIT, COMPLIANCE, AND MANAGEMENT REVIEW COMMITTEE

No items for Consent Agenda

FINANCE AND PLANNING COMMITTEE

5. Other Fiscal Matters - U. T. System Board of Regents: Approval of \$1,932,000 of supplemental capital project financing; and resolution regarding parity debt

The Executive Vice Chancellor for Business Affairs recommends approval of an additional \$1,932,000 Revenue Financing System (RFS) debt for U. T. Health Science Center - Houston to finance a parking lot expansion on the south campus to be repaid with auxiliary parking revenue. The institution requests that the Board resolve in accordance with Section 5 of the Amended and Restated Master Resolution Establishing The University of Texas System Revenue Financing System the findings stated below:

- parity debt shall be issued to fund all or a portion of the project, including any costs prior to the issuance of such parity debt;
- sufficient funds will be available to meet the financial obligations of the U. T. System, including sufficient Pledged Revenues as defined in the RFS Master Resolution to satisfy the Annual Debt Service Requirements of the Financing System, and to meet all financial obligations of the U. T. System Board of Regents relating to the Financing System;
- U. T. Health Science Center - Houston, which is a "Member" as such term is used in the RFS Master Resolution, possesses the financial capacity to satisfy its direct obligation as defined in the Master Resolution relating to the issuance by the U. T. System Board of Regents of parity debt in an aggregate amount of \$1,932,000; and
- this resolution satisfies the official intent requirements set forth in Section 1.150-2 of the *Code of Federal Regulations* that evidences the Board's intention to reimburse project expenditures with bond proceeds.

The parking lot expansion project cost is below the \$10,000,000 threshold requiring addition to the Capital Improvement Program.

6. Contract (funds going out) - U. T. System: Kaplan, Inc. to provide Medical College Admission Test (MCAT) preparation and test review services for the Joint Admission Medical Program

Agency: Kaplan, Inc.

Funds: Total cost is \$3,335,850 over an eight-year period, including an initial term of two years and three two-year renewal options

Source of Funds: State Sponsored Program Revenue

Period: March 1, 2016 through March 1, 2024

Description: Kaplan, Inc. will provide MCAT preparation and test review services for the Joint Admission Medical Program.

7. Contract (funds going out) - U. T. System: Huron Consulting Services, LLC to continue hosting online Effort Certification and Reporting Tool (ECRT) software

Agency: Huron Consulting Services, LLC

Funds: \$2,000,000

Source of Funds: Separate funding by each institution

Period: January 1, 2016 through December 31, 2016; with option to renew through December 31, 2017, subject to earlier termination

Description: On November 9, 2007, the U. T. System Board of Regents approved a contract with Huron to license the use of Huron's Effort Certification and Reporting Tool (ECRT) software by U. T. System institutions. The software supports compliance with U. T. System policy and federal regulations on reporting of time and effort expended by faculty and staff on research projects. The ECRT software was initially hosted internally at U. T. M. D. Anderson Cancer Center. In October 2011, U. T. System transferred ECRT hosting responsibilities to Huron. On May 14, 2015, the Board approved the expenditure of up to \$1,300,000 to pay aggregate fees from inception of the hosting agreement through Calendar Year 2015. The recommended extension of the agreement through Calendar Year 2016 (and, if necessary, through Calendar Year 2017) increases the aggregate fees to an amount not to exceed \$2,000,000.

The 2011 transfer of ECRT hosting responsibilities to Huron was based on a sole source justification. In the interim, other potential providers of ECRT hosting services were identified, and U. T. System conducted a competitive procurement for these services for 2016 and beyond. However, in the course of this procurement, it became apparent that, because of a change in federal regulations, an alternative means of complying with U. T. System policy and federal regulations pertaining to time and effort reporting is now available that could eliminate the need for the ECRT software at most U. T. System institutions. As a result, U. T. System plans to continue to use Huron for ECRT hosting and pursue adoption of the alternative means of compliance.

Huron has agreed to continue furnishing its hosting services on an interim basis for the fees described above, subject to earlier termination by U. T. System on 30 days' notice. Upon any such termination, U. T. System's fees would be limited to those actually earned by Huron to the date of termination.

8. Real Estate Report - U. T. System: Summary Report of Separately Invested Assets Managed by U. T. System

**THE UNIVERSITY OF TEXAS SYSTEM
SEPARATELY INVESTED ASSETS
Managed by U. T. System
Summary Report at February 29, 2016**

	FUND TYPE							
	Current Purpose Restricted		Endowment and Similar Funds		Annuity and Life Income Funds		TOTAL	
	Book	Market	Book	Market	Book	Market	Book	Market
Land and Buildings:								
Ending Value 11/30/2015	\$ 1,199,152	\$ 18,048,924	\$ 97,480,264	\$ 270,265,401	\$ 789,428	\$ 1,649,089	\$ 99,468,844	\$ 289,963,414
Increase or Decrease	1	1	(230,196)	(7,129,918)	8,564	8,564	(221,631)	(7,121,353)
Ending Value 02/29/2016	\$ 1,199,153	\$ 18,048,925	\$ 97,250,068	\$ 263,135,483	\$ 797,992	\$ 1,657,653	\$ 99,247,213	\$ 282,842,061
Other Real Estate:								
Ending Value 11/30/2015	\$ 1,005	\$ 1,005	\$ 8	\$ 8	\$ -	\$ -	\$ 1,013	\$ 1,013
Increase or Decrease	-	-	-	-	-	-	-	-
Ending Value 02/29/2016	\$ 1,005	\$ 1,005	\$ 8	\$ 8	\$ -	\$ -	\$ 1,013	\$ 1,013

Report prepared in accordance with Sec. 51.0032 of the *Texas Education Code*.
Details of individual assets by account furnished on request.

Note: Surface estates are managed by the U. T. System Real Estate Office. Mineral estates are managed by U. T. System University Lands. The royalty interests received from the Estate of John A. Jackson for the John A. and Katherine G. Jackson Endowed Fund in Geosciences are managed by the U. T. Austin Geology Foundation, with the assistance of the Bureau of Economic Geology.

9. Contract - U. T. System Health Institutions: Acquisition of equity interests in Vizient, Inc., a group purchasing organization, resulting from conversion of membership interests held in a predecessor entity

Agency: Vizient, Inc.

Funds: Participation would require no outlay of funds. The U. T. System health institutions (U. T. Southwestern Medical Center, U. T. Medical Branch - Galveston, U. T. Health Science Center - Houston, U. T. Health Science Center - San Antonio, U. T. M. D. Anderson Cancer Center, and U. T. Health Science Center - Tyler) would exchange prior membership interests in the University HealthSystem Consortium (UHC) for shares of common stock in a new entity, Vizient, Inc. (Vizient). Vizient, a group purchasing organization, was created by a merger of UHC and VHA, Inc. in 2015. The named institutions would be responsible for all ongoing costs, if any, associated with equity interests in Vizient.

Period: Indefinitely, for so long as the named institutions maintain their interests in Vizient. To avoid redemption of shares going forward, the institutions would need to participate at a reasonable level in the programs or services of Vizient, from time to time as determined by the Vizient board. The named institutions historically have purchased benchmarking data from UHC to permit tracking of performance against other academic medical institutions and improve patient services, and anticipate purchasing similar services from Vizient in the future. It is expected this would constitute adequate participation to avoid a share redemption.

Description: The equity that each named institution would acquire in Vizient is based on each institution's participation in UHC's services during Fiscal Years 2009 through 2015 and amounts to a "patronage dividend." The other entities receiving the patronage dividend and thus getting common stock in Vizient are generally nonprofit academic medical institutions from across the country. The proposed equity interests by the named institutions collectively would represent ownership in Vizient of 0.24%. Vizient has assigned a total value of about \$3,500,000 to the equity interests. Failure to acquire the equity would result in forfeiture of essentially the entire value of the previously owned membership interests in UHC. The institutions' agreements with Vizient to acquire the equity interests have been made subject to Board approval and would result in title to the interests being held in the name of the Board of Regents, for the benefit of the named institutions and under the institutions' management.

10. Contract (funds going out) - U. T. M. D. Anderson Cancer Center: Cognizant Technologies Solutions U.S. Corporation to provide technical and project management support services for various projects to enhance the functionality and end user experience with the PeopleSoft and Hyperion installations

Agency: Cognizant Technologies Solutions U.S. Corporation

Funds: The total cost of services under this agreement, including all renewals, will not exceed \$7,000,000.

Source of Funds: Hospital Patient Income

Period: The term of this agreement will be for an initial 36-month period, commencing on May 16, 2016, and continuing through May 15, 2019. The agreement includes the option for two 12-month renewals.

Description: Cognizant Technologies Solutions U.S. Corporation has expertise with Oracle PeopleSoft and Hyperion Enterprise Performance Management and will provide technical and project management support services for initiatives U. T. M. D. Anderson Cancer Center is launching to enhance the functionality and end user experience with these systems. This contract was competitively bid.

ACADEMIC AFFAIRS COMMITTEE

11. Contract (funds going out) - U. T. Arlington: Apogee Telecom, Inc. to provide residence hall networking services

Agency: Apogee Telecom, Inc.

Funds: \$5,191,492

Source of Funds: Auxiliary Enterprise Funds - residence hall and apartment rental income

Period: February 1, 2016 through January 31, 2021

Description: Apogee Telecom, Inc. will provide a comprehensive turnkey system to provide bulk-rate wired and wireless high-speed Internet access and bulk-rate digital and high-definition multichannel video services to the residence halls on the campus of U. T. Arlington.

12. Admissions Criteria - U. T. Arlington: Changes to Admission Criteria for Doctoral Nursing program

U. T. Arlington requests approval for minor changes to the criteria for admission into the Doctor of Philosophy in Nursing Program. The changes have been reviewed and administratively approved by the Executive Vice Chancellor for Academic Affairs and are recommended for approval by the U. T. System Board of Regents.

Summary of Changes to Admission Criteria

This request proposes to raise some of its admission requirements and demand stronger evidence of basic verbal, quantitative and analytic skills of entering students. It also plans to increase the required undergraduate/master's grade point average from 3.0 to 3.25 for unconditional admission. U. T. Arlington plans to increase the undergraduate/master's GPA requirement for conditional admission from 3.0 to a range of 3.0 through 3.49. Further, the institution will require higher scores on the written goal statement and oral interview. Additionally, as a good match between incoming students' and faculty members' research interests helps to assure students will be able to develop research skills and create meaningful research products in a timely manner, the institution proposes that faculty members interview prospective students to decide if there is a sufficient match in research interests for the faculty to be able to mentor and guide the student's research activities. The matching faculty member will likely become the student's advisor and dissertation chairperson. The institution proposes that an applicant will not be admitted if the student's research interests do not match those of an available faculty member. It is anticipated that implementing these measures will improve the caliber of Ph.D. students in the program and ultimately improve the students' dissertation research outcomes.

13. Request for Budget Change - U. T. Arlington: Transfer \$1,000,000 from Business Affairs Designated Reserve Fund to U. T. Arlington Plant Fund to apply toward the acquisition of the Kimberly and Monroe Apartments (RBC No. 7555) -- amendment to the 2015-2016 budget

Note: The related item to purchase the Kimberly and Monroe Apartments is submitted for approval in Item 15 of this Consent Agenda.

14. Request for Budget Change - U. T. Arlington: New Hires with Tenure -- amendment to the 2015-2016 budget

The following Requests for Budget Change (RBC) have been administratively approved by the Executive Vice Chancellor for Academic Affairs and are recommended for approval by the U. T. System Board of Regents:

Description	Effective Date	% Time	Full-time Salary		RBC #
			No. Mos.	Rate \$	
College of Education					
Curriculum and Instruction					
Associate Professor					
Joohee Lee (T)	9/1-8/31	100	09	77,770	7293
College of Education					
Educational Leadership and Policy Studies					
Interim Chair and Associate Professor					
Casey Brown (T)	9/1-8/31	100	12	115,360	7286

15. Purchase - U. T. Arlington: Authorization to purchase the Kimberly and Monroe Apartments located at 401-403 West Nedderman Drive, Arlington, Tarrant County, Texas, from Nedderman Drive, L.L.C., for future programmed campus expansion

Description: Purchase a total of 42 apartment units in two apartment complexes constructed in 1965 and located at Lot 4, Medlin Addition, a tract of land situated in the O. Medlin Survey, Abstract No. 1043, together with a portion of the abandoned West Nedderman Drive, Arlington, Tarrant County, Texas, commonly known as 401-403 West Nedderman Drive, and authorization for the Executive Director of Real Estate to execute all documents, instruments, and other agreements and to take all further actions deemed necessary or advisable to purchase these properties. The institution plans to demolish the improvements shortly after purchase.

Seller: Nedderman Drive, L.L.C., a Texas limited liability company

Purchase Price: \$1,780,000; plus appropriate due diligence and closing costs

Appraised Value: CBRE, Inc., \$1,990,000, December 2, 2015
Hanes Appraisal Company, LLC, \$1,650,000,
February 16, 2016

Source of Funds: Auxiliary Enterprise Funds

16. Contract (funds coming in) - U. T. Austin: Amendment to Computer Store Agreement with HiEd, Inc. to permit the sale of computer supplies through vending machine services at the Campus Computer Store

Agency: HiEd, Inc.

Funds: The vending machines are expected to yield \$3,000 per month in gross sales. U. T. Austin expects to receive approximately \$55 per month.

Period: September 1, 2016 through August 31, 2018

Description: This Sixth Amendment of the Computer Store Agreement will be for HiEd, Inc. to install vending machines in the Peter T. Flawn Academic Center (FAC) to sell computer accessory products. This Amendment also provides for the placement of more such vending machines at pre-approved U. T. Austin locations in addition to the FAC. Approval is sought in accordance with *Texas Government Code* Section 2203.005(a).

17. Contract (funds coming in) - U. T. Austin: Second Amendment to Interlocal Agreement between the Institute for Public School Initiatives and the El Paso Independent School District (ISD) to provide training to El Paso ISD educators

Agency: El Paso Independent School District

Funds: \$2,756,688

Period: August 14, 2014 through August 31, 2017

Description: The Institute of Public School Initiatives (IPSI) will provide continued support to El Paso ISD's educators through a program titled "Texas Literacy Initiative."

18. Contract (funds coming in) - U. T. Austin: Second Amendment to Interlocal Agreement between the Institute for Public School Initiatives and the San Antonio Independent School District (ISD) to provide training to San Antonio ISD educators

Agency: San Antonio Independent School District

Funds: \$1,121,071

Period: July 24, 2014 through August 31, 2017

Description: The Institute of Public School Initiatives (IPSI) will provide continued support to San Antonio ISD's educators through a program titled "Texas Literacy Initiative."

19. Contract (funds coming in) - U. T. Austin: Fiber Lease Agreement with Seton Family of Hospitals to provide network service for the Dell Seton Medical Center at The University of Texas and other medical services and facilities that will work with U. T. Austin Dell Medical School

Agency: Seton Family of Hospitals

Funds: Approximately \$206,195 (one-time construction costs); plus approximately \$10,019 annually

Period: 10-year period from May 12, 2016, with automatic 10-year renewal periods for so long as Seton is the owner or operator of Dell Seton Medical Center at The University of Texas

Description: Agreement between U. T. Austin, on behalf of the Dell Medical School, and Seton Family of Hospitals for lease of optical fiber strands from U. T. Austin network of communications cables and related conduit, tunnels, and pathways for the operation of the Dell Seton Medical Center at U. T. Austin and other collaborative interests between Seton and U. T. Austin related to the Dell Medical School. Funds are estimated, and depend on Seton's variable demand during the initial 10-year period. See related Infrastructure Reimbursement Agreement in the following item.

20. Contract (funds coming in) - U. T. Austin: Infrastructure Reimbursement Agreement with Seton Family of Hospitals related to the provision of network service for the Dell Seton Medical Center at The University of Texas and other medical services and facilities that will work with U. T. Austin Dell Medical School

Agency: Seton Family of Hospitals

Funds: \$780,000

Period: Through receipt of payment 60 days following receipt of U. T. Austin invoice to Seton

Description: Agreement between U. T. Austin, on behalf of the Dell Medical School, and Seton Family of Hospitals for Seton to reimburse U. T. Austin for a portion of the costs of construction, installation, and provision of the conduits and other infrastructure necessary for the Dell Seton Medical Center at The University of Texas and other collaborative interests between Seton and U. T. Austin related to Dell Medical School to utilize U. T. Austin's telecommunications network. See related Fiber Lease Agreement in the item above.

21. Contract (funds going out) - U. T. Austin: Deloitte Consulting LLP, a subsidiary of Deloitte LLP, will provide consultative advice on change management, management assessment and evaluation, risk and/or financial analysis and implementation of initiatives, or other management related services to the Dell Medical School

Agency: Deloitte Consulting LLP, a subsidiary of Deloitte LLP

Funds: \$1,500,000

Source of Funds: Unrestricted Gift Funds

Period: April 15, 2016 through January 15, 2021

Description: Deloitte will provide consulting services regarding faculty and staff management, changes in management, overall structure, procedures, and assessments including community involvement to implement the initiatives of the Medical School. The services will allow the Medical School to create plans with clear accountability and clear direction for the future of the Medical School.

During the term of work of Deloitte & Touche LLP (another subsidiary of Deloitte LLP) as the external audit firm for the U. T. System, each non-audit services project proposed under the Agreement will require review and approval by the Audit, Compliance, and Management Review Committee (ACMRC) under the provisions of Regents' Rule 20401, Section 2.1. The first phase of work (Project Integrating Physicians and Community with Dell Medical School) is included for ACMRC consideration at this meeting as Item 2 on [Page 30](#).

22. Contract (funds going out) - U. T. Austin: Nanometrics, Inc. will provide seismic equipment and installation for the Bureau of Economic Geology, Jackson School of Geosciences

Agency: Nanometrics, Inc., a Canadian corporation

Funds: \$1,996,520 for the initial term and renewal option

Source of Funds: Appropriated Funding, pursuant to House Bill 2, 84th Regular Session of the Texas Legislature

Period: March 31, 2016 through March 31, 2017; with one additional one-year renewal option

Description: During the 84th Regular Session, the Texas Legislature appropriated funds to U. T. Austin for the purchase and deployment of seismic equipment stations throughout the State of Texas. As a result, the U. T. Austin's Bureau of Economic Geology has procured this contract under which Nanometrics will provide and install these seismic stations. This contract resulted from U. T. Austin's issuance of a request for proposal under which Nanometrics was selected as the recommended contractor.

23. Interagency Agreement (funds coming in) - U. T. Austin: Agreement with the Texas Education Agency for the U. T. Austin OnRamps program to provide five dual enrollment courses to high schools throughout Texas

Agency: Texas Education Agency

Funds: The Texas Education Agency will reimburse U. T. Austin up to \$4,000,000.

Period: September 1, 2015 through August 31, 2017

Description: U. T. Austin's OnRamps program will provide five dual enrollment courses to high school students throughout Texas. The teachers will be provided professional learning by U. T. Austin to teach the dual enrollment courses.

24. Foreign Contract (funds coming in) - U. T. Austin: Institute of Geological and Nuclear Sciences Limited (GNS Science), Avalon, New Zealand, will receive services from U. T. Austin's Jackson School of Geosciences related to the deployment and recovery of instruments designed for seafloor pressure recording

Agency: Institute of Geological and Nuclear Sciences Limited (GNS Science), an agency of the New Zealand government

Funds: Approximately \$17,049 (USD)

Period: May 15, 2016 through July 31, 2016

Description: U. T. Austin, on behalf of the Jackson School of Geosciences, will provide the services of Professor Anatoly Mironov to GNS Science. Professor Mironov will oversee the deployment and recovery of seafloor pressure recorders offshore the North Island of New Zealand. U. T. Austin owns the pressure recording instruments and will retain ownership of the data collected. GNS Science is responsible for all necessary logistics, permits, and authorizations. U. T. Austin will provide GNS Science with access and a license to use the pressure recording data.

25. Foreign Contract (funds coming in) - U. T. Austin: University of Adelaide, Australia, Executive Education Program, will receive leadership training services from the Governor's Center for Management Development, LBJ School of Public Affairs

Agency: University of Adelaide, Australia

Funds: Approximately \$45,000 (USD)

Period: June 1, 2016 through February 1, 2017

Description: The Governor's Center for Management Development, a unit of the LBJ School of Public Affairs, will provide leadership training service and two keynote presentations to the University of Adelaide's Executive Education Program.

26. Request for Budget Change - U. T. Austin: Approval of Emeritus Titles

Thomas F. Staley, from Professor to Harry Hunt Ransom Chair Emeritus in Liberal Arts, Department of English in the College of Liberal Arts (RBC No. 7623) -- amendment to the 2013-2014 budget

Alan H. Cowley, from Professor to Robert A. Welch Chair Emeritus in Chemistry, Department of Chemistry in the College of Natural Sciences (RBC No. 7594) -- amendment to the 2015-2016 budget

Nancy B. Garrett, from Professor to Professor Emerita, Butler School of Music in the College of Fine Arts (RBC No. 7595) -- amendment to the 2015-2016 budget

George Sudarshan, from Professor to Professor Emeritus, Department of Physics in the College of Natural Sciences (RBC No. 7596) -- amendment to the 2015-2016 budget

Derek Wills, from Professor to Professor Emeritus, Department of Astronomy in the College of Natural Sciences (RBC No. 7597) -- amendment to the 2015-2016 budget

27. Employment Agreement - U. T. Austin: Approval of terms of Employment Agreement for new Assistant Football Coach Charlie Williams

The following Assistant Football Coach Employment Agreement has been approved by the Executive Vice Chancellor for Academic Affairs and is recommended for approval by the U. T. System Board of Regents. If the Agreement is approved, total compensation for the contract period for Charlie Williams will be in excess of \$1 million. Such employment under the Agreement is subject to the Constitution and Bylaws of the National Collegiate Athletic Association, any intercollegiate athletic conference of which The University of Texas at Austin is a member, the Regents' *Rules and Regulations*, and the policies of The University of Texas at Austin (Regents' *Rules and Regulations*, Rule 10501, Section 2.2.12, Athletic Employment Agreements; and Rule 20204, Section 3, Board Approval). Any violation of the provisions of such constitution, bylaws, rules, regulations, or policies shall be grounds for suspension without pay and/or dismissal.

Item: Assistant Football Coach Employment Agreement for Charlie Williams

Proposed: **Guaranteed compensation:**
Annual Base Salary: \$375,000
Automobile: Option of dealer car or \$7,500 annually
Social club membership: University of Texas Golf Club
Relocation Housing Search: \$2,000 one-time payment

Nonguaranteed compensation:

Performance Incentives (maximum incentive compensation of \$180,000 annually):

- (a) \$15,000 in any contract year in which the team wins the Big 12 championship.
- (b) \$10,000 in any contract year in which the team participates in a Bowl Game that is not a Major Bowl; and
- (c) an additional \$10,000 in any contract year in which the team wins in a Bowl Game that is not a Major Bowl.
- (d) \$30,000 in any contract year in which the team appears in one of the six (6) Major Bowls (Rose, Orange, Cotton, Sugar, Peach, and Fiesta) that is not a College Football Playoff Semi-Final game; and
- (e) an additional \$30,000 in any contract year in which the team wins such Major Bowl that is not a College Football Playoff Semi-Final game.
- (f) \$40,000 in any contract year in which the team appears in the College Football Playoff Semi-Final game, but team does not win the College Football Playoff National Championship game; and
- (g) an additional \$40,000 in any contract year in which the team wins the College Football Playoff Semi-Final game, but team does not win the College Football Playoff National Championship game.
- (h) \$140,000 in any contract year in which the team wins the College Football Playoff National Championship game. However, if the team wins the College Football Playoff National Championship, then the Assistant Coach is not entitled to receive any incentives listed above in (f) and (g) related to the College Football Playoff Semi-Final game.
- (i) \$17,000 in any contract year in which the team is ranked second through fifth in the final national ranking by the Associated Press; or
- (j) \$10,000 in any contract year in which the team is ranked sixth through tenth in the final national ranking by the Associated Press.
- (k) \$25,000 in any contract year in which the Assistant Coach wins the Broyles Assistant Coach of The Year.

Source of funds: Intercollegiate Athletics

Description: Agreement for employment of Assistant Football Coach Williams.

Period: February 15, 2016 through January 31, 2018

28. Employment Agreement - U. T. Austin: Approval of terms of Employment Agreement for new Assistant Football Coach Clay Jennings

The following Assistant Football Coach Employment Agreement has been approved by the Executive Vice Chancellor for Academic Affairs and is recommended for approval by the U. T. System Board of Regents. If the Agreement is approved, total compensation for the contract period for Clay Jennings will be in excess of \$1 million. Such employment under the Agreement is subject to the Constitution and Bylaws of the National Collegiate Athletic Association, any intercollegiate athletic conference of which The University of Texas at Austin is a member, the Regents' *Rules and Regulations*, and the policies of The University of Texas at Austin (Regents' *Rules and Regulations*, Rule 10501, Section 2.2.12, Athletic Employment Agreements; and Rule 20204, Section 3, Board Approval). Any violation of the provisions of such constitution, bylaws, rules, regulations, or policies shall be grounds for suspension without pay and/or dismissal.

Item: Assistant Football Coach Employment Agreement for Clay Jennings

Proposed:

Guaranteed compensation:

Annual Base Salary: \$400,000

Automobile: Option of dealer car or \$7,500 annually

Social club membership: University of Texas Golf Club

Relocation Housing Search: \$2,000 one-time

Nonguaranteed compensation:

Performance Incentives (maximum incentive compensation of \$180,000 annually):

- (a) \$15,000 in any contract year in which the team wins the Big 12 championship.
- (b) \$10,000 in any contract year in which the team participates in a Bowl Game that is not a Major Bowl; and
- (c) an additional \$10,000 in any contract year in which the team wins in a Bowl Game that is not a Major Bowl.
- (d) \$30,000 in any contract year in which the team appears in one of the six (6) Major Bowls (Rose, Orange, Cotton, Sugar, Peach, and Fiesta) that is not a College Football Playoff Semi-Final game; and
- (e) an additional \$30,000 in any contract year in which the team wins such Major Bowl that is not a College Football Playoff Semi-Final game.
- (f) \$40,000 in any contract year in which the team appears in the College Football Playoff Semi-Final game, but team does not win the College Football Playoff National Championship game; and
- (g) an additional \$40,000 in any contract year in which the team wins the College Football Playoff Semi-Final game, but team does not win the College Football Playoff National Championship game.
- (h) \$140,000 in any contract year in which the team wins the College Football Playoff National Championship game.

However, if the team wins the College Football Playoff National Championship, then the Assistant Coach is not entitled to receive any incentives listed above in (f) and (g) related to the College Football Playoff Semi-Final game.

- (i) \$17,000 in any contract year in which the team is ranked second through fifth in the final national ranking by the Associated Press; or
- (j) \$10,000 in any contract year in which the team is ranked sixth through tenth in the final national ranking by the Associated Press.
- (k) \$25,000 in any contract year in which the Assistant Coach wins the Broyles Assistant Coach of The Year.

Source of funds: Intercollegiate Athletics

Description: Agreement for employment of Assistant Football Coach Clay Jennings.

Period: February 15, 2016 through January 31, 2019

29. Employment Agreement - U. T. Austin: Approval of terms of Employment Agreement for new Assistant Football Coach Anthony Johnson

The following Assistant Football Coach Employment Agreement has been approved by the Executive Vice Chancellor for Academic Affairs and is recommended for approval by the U. T. System Board of Regents. If the Agreement is approved, total compensation for the contract period for Anthony Johnson will be in excess of \$1 million. Such employment under the Agreement is subject to the Constitution and Bylaws of the National Collegiate Athletic Association, any intercollegiate athletic conference of which The University of Texas at Austin is a member, the Regents' *Rules and Regulations*, and the policies of The University of Texas at Austin (Regents' *Rules and Regulations*, Rule 10501, Section 2.2.12, Athletic Employment Agreements; and Rule 20204, Section 3, Board Approval). Any violation of the provisions of such constitution, bylaws, rules, regulations, or policies shall be grounds for suspension without pay and/or dismissal.

Item: Assistant Football Coach Employment Agreement for Anthony Johnson

Proposed: **Guaranteed compensation:**
Annual Base Salary: \$325,000
Automobile: Option of a dealer vehicle or up \$7,500 annually
Social club membership: University of Texas Golf Club
Relocation Housing Search: \$2,000 one-time

Nonguaranteed compensation:

Performance Incentives (maximum incentive compensation of \$180,000 annually):

- (a) \$15,000 in any contract year in which the team wins the Big 12 championship.
- (b) \$10,000 in any contract year in which the team participates in a Bowl Game that is not a Major Bowl; and
- (c) an additional \$10,000 in any contract year in which the team wins in a Bowl Game that is not a Major Bowl.
- (d) \$30,000 in any contract year in which the team appears in one of the six (6) Major Bowls (Rose, Orange, Cotton, Sugar, Peach, and Fiesta) that is not a College Football Playoff Semi-Final game; and
- (e) an additional \$30,000 in any contract year in which the team wins such Major Bowl that is not a College Football Playoff Semi-Final game.
- (f) \$40,000 in any contract year in which the team appears in the College Football Playoff Semi-Final game, but team does not win the College Football Playoff National Championship game; and
- (g) an additional \$40,000 in any contract year in which the team wins the College Football Playoff Semi-Final game, but team does not win the College Football Playoff National Championship game.
- (h) \$140,000 in any contract year in which the team wins the College Football Playoff National Championship game. However, if the team wins the College Football Playoff National Championship, then the Assistant Coach is not entitled to receive any incentives listed above in (f) and (g) related to the College Football Playoff Semi-Final game.
- (i) \$17,000 in any contract year in which the team is ranked second through fifth in the final national ranking by the Associated Press; or
- (j) \$10,000 in any contract year in which the team is ranked sixth through tenth in the final national ranking by the Associated Press.
- (k) \$25,000 in any contract year in which the Assistant Coach wins the Broyles Assistant Coach of The Year.

Source of funds: Intercollegiate Athletics

Description: Agreement for employment of Assistant Football Coach Anthony Johnson.

Period: February 15, 2016 through January 31, 2018

30. Employment Agreement - U. T. Austin: Approval of amendments to terms of Employment Agreement for Assistant Football Coach Patrick Moorer

The following Assistant Football Coach Amendment No. 1 has been approved by the Executive Vice Chancellor for Academic Affairs and is recommended for approval by the U. T. System Board of Regents. If the Amendment is approved, total compensation for the contract period for Patrick Moorer may be in excess of \$1 million. Such employment under the Agreement, as amended by Amendment No. 1, is subject to the Constitution and Bylaws of the National Collegiate Athletic Association, any intercollegiate athletic conference of which The University of Texas at Austin is a member, the Regents' *Rules and Regulations*, and the policies of The University of Texas at Austin (Regents' *Rules and Regulations*, Rule 10501, Section 2.2.12, Athletic Employment Agreements; and Rule 20204, Section 3, Board Approval). Any violation of the provisions of such constitution, bylaws, rules, regulations, or policies shall be grounds for suspension without pay and/or dismissal.

Item: Proposed Amendment for Assistant Coach Agreement for Patrick Moorer

From: **Guaranteed compensation:**
Annual Salary: \$330,000
Automobile: option of one dealer car or \$7,500 annually
Social Club Membership: The University of Texas Golf Club

Nonguaranteed compensation:
Team performance incentives: maximum of \$155,000 annually

To: **Guaranteed compensation:**
Annual Salary: \$350,000
Automobile: option of one dealer car or \$7,500 annually
Social Club Membership: The University of Texas Golf Club

Nonguaranteed compensation:
Team performance incentives: maximum of \$155,000 annually

Guaranteed
Compensation
Percent Change: 6%

Nonguaranteed
Compensation
Change: 0%

Source of funds: Intercollegiate Athletics

Description: Amendment No. 1 to the Agreement for employment of Assistant Football Coach Patrick Moorer

Period: January 31, 2016 through January 31, 2018

31. Employment Agreement - U. T. Austin: Approval of amendments to terms of Employment Agreement for Assistant Football Coach Andrea Haley

The following Assistant Football Coach Amendment No. 1 has been approved by the Executive Vice Chancellor for Academic Affairs and is recommended for approval by the U. T. System Board of Regents. If the Amendment is approved, total compensation for the contract period for Andrea Haley may be in excess of \$1 million. Such employment under the Agreement, as amended by Amendment No. 1, is subject to the Constitution and Bylaws of the National Collegiate Athletic Association, any intercollegiate athletic conference of which The University of Texas at Austin is a member, the Regents' *Rules and Regulations*, and the policies of The University of Texas at Austin (Regents' *Rules and Regulations*, Rule 10501, Section 2.2.12, Athletic Employment Agreements; and Rule 20204, Section 3, Board Approval). Any violation of the provisions of such constitution, bylaws, rules, regulations, or policies shall be grounds for suspension without pay and/or dismissal.

Item: Proposed Amendment for Assistant Football Coach Agreement for Andrea Haley

From: **Guaranteed compensation:**
Annual Salary: \$235,000
Automobile: option of one dealer car or \$7,500 annually
Social Club Membership: The University of Texas Golf Club
Camp: \$10,000 annually
Speaking: \$5,000 annually

Nonguaranteed compensation:
Team performance incentives: maximum of \$180,000 annually

To: **Guaranteed compensation:**
Annual Base Salary: \$495,000, payable in 12 monthly installments.
In the third year (March 1, 2017 - February 28, 2018) of this Agreement, the Base Salary may increase by up to \$20,000, bringing the annual gross Base Salary to \$515,000.
Automobile: option of one dealer car or \$7,500 annually
Camp: \$0, included in the annual base salary
Social Club Membership: The University of Texas Golf Club
Speaking: \$0, included in the annual base salary

Nonguaranteed Compensation:
Performance Incentives (maximum incentive compensation of \$185,000 annually):
Change incentives under Section 6, (a), (b), (c), and (g).
(a) \$20,000 in any contract year in which the team wins the Big 12 championship.
(b) \$20,000 in any contract year in which the team participates in a Bowl Game that is not a Major Bowl; and
(c) an additional \$20,000 in any contract year in which the team wins in a Bowl Game that is not a Major Bowl.

- (g) an additional \$70,000 in any contract year in which the team wins the College Football Playoff Semi-Final game, but team does not win the College Football Playoff National Championship game.

Guaranteed
Compensation
Percent Change: 98%

Nonguaranteed
Compensation
Change: 0%

Source of funds: Intercollegiate Athletics

Description: Amendment No. 1 to the agreement for employment of Assistant Football Coach Andrea Haley

Period: January 31, 2016 through January 31, 2018

32. Employment Agreement - U. T. Austin: Approval of amendments to terms of Employment Agreement for Assistant Football Coach Jeffrey Traylor

The following Assistant Football Coach Amendment No. 1 has been approved by the Executive Vice Chancellor for Academic Affairs and is recommended for approval by the U. T. System Board of Regents. If the Amendment is approved, total compensation for the contract period for Jeffrey Traylor may be in excess of \$1 million. Such employment under the Agreement, as amended by Amendment No. 1, is subject to the Constitution and Bylaws of the National Collegiate Athletic Association, any intercollegiate athletic conference of which The University of Texas at Austin is a member, the Regents' *Rules and Regulations*, and the policies of The University of Texas at Austin (Regents' *Rules and Regulations*, Rule 10501, Section 2.2.12, Athletic Employment Agreements; and Rule 20204, Section 3, Board Approval). Any violation of the provisions of such constitution, bylaws, rules, regulations, or policies shall be grounds for suspension without pay and/or dismissal.

Item: Proposed Amendment for Assistant Football Coach Agreement for Jeffrey Traylor

From: **Guaranteed compensation:**
Annual Salary: \$245,000
Automobile: option of one dealer car or \$7,500 annually
Camp: \$10,000 annually
Social Club Membership: The University of Texas Golf Club
Speaking: \$5,000 annually

Nonguaranteed compensation:

Team performance incentives: maximum of \$180,000 annually

To:

Guaranteed compensation:

Annual Salary: \$350,000

Automobile: option of one dealer car or \$7,500 annually

Camp: \$0, included in the annual base salary

Social Club Membership: The University of Texas Golf Club

Speaking: \$0, included in the annual base salary

Nonguaranteed compensation:

Team performance incentives: maximum of \$180,000 annually

Guaranteed

Compensation

Percent Change: 42.8%

Nonguaranteed

Compensation

Change: 0%

Source of funds: Intercollegiate Athletics

Description: Amendment No. 1 to the Agreement for employment of Assistant Coach Jeffrey Traylor

Period: January 31, 2016 through January 31, 2018

33. Purchase - U. T. Austin: Authorization to purchase and leaseback approximately 0.2904 acres of improved land located at 1603 Manor Road, Austin, Travis County, Texas, from The Texas Girls' Coaches Association, Inc., for future campus expansion

Description: Purchase of approximately 0.2904 acres of improved land within the approved boundaries of the U. T. Austin Campus Master Plan, located at 1603 Manor Road, Austin, Travis County, Texas, being more particularly described at Lot 2, Seven Eleven Subdivision, together with all rights and interests appurtenant thereto, and authorization for the Executive Director of Real Estate to execute all documents, instruments and other agreements and to take all further actions deemed necessary or advisable to purchase the property.

Seller: The Texas Girls' Coaches Association, Inc., a Texas nonprofit corporation

Purchase Price: Not to exceed fair market value as determined by an independent appraisal performed by Sayers and Associates, Inc; appraisal confidential pursuant to *Texas Education Code* Section 51.951.

Leaseback: Seller shall have the option to lease the property back from buyer after closing for an initial term of six months, at a rental rate not less than fair market value. Seller shall be responsible for all operating expenses during the lease term, including real property taxes.

Source of Funds: Investment Funds

34. Admissions Criteria - U. T. Dallas: Changes to Admission Criteria for Graduate Economics Program

U. T. Dallas requests approval for changes to the criteria for admission into the Economics graduate programs with respect to Graduate Record Examination (GRE) scores following an external peer review of the program. The changes have been reviewed and administratively approved by the Executive Vice Chancellor for Academic Affairs and are recommended for approval by the U. T. System Board of Regents.

Summary of Changes to Admission Criteria

Following the recommendation of the peer review team, U. T. Dallas conducted the analysis and benchmarked its minimum required GRE score with other Economics programs nationally. Based on the results of that analysis, U. T. Dallas now wishes to raise the minimum quantitative score to 158 (71st percentile) from 148 for admission into the doctoral program and 150 (40th percentile) from 146 for admission into the master's program.

35. Employment Agreement - U. T. Dallas: Approval of terms of Employment Agreement with Richard C. Benson, Ph.D., as President of U. T. Dallas

The following agreement has been approved by the Chancellor and the Executive Vice Chancellor for Academic Affairs, has been signed by Dr. Benson, and is recommended for approval by the U. T. System Board of Regents. Terms of employment under this agreement are subject to Regents' *Rules and Regulations*, Rules 10501 and 20201, and *Texas Education Code* Section 51.948.

Item:	President
Funds:	\$525,000 annually
Period:	Beginning July 15, 2016
Description:	Agreement for employment of Dr. Richard C. Benson as President of The University of Texas at Dallas. The President reports to the Chancellor and the Executive Vice Chancellor for Academic Affairs and shall hold office without fixed term, subject to the pleasure of the Executive Vice Chancellor for Academic Affairs and approval by the Chancellor and Board of Regents. During his Presidency, Dr. Benson will hold an appointment as Professor, with tenure, in the Department of Mechanical Engineering at U. T. Dallas without compensation. The employment agreement is set out on the following pages.

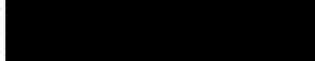


THE UNIVERSITY OF TEXAS SYSTEM
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Office of Academic Affairs
601 Colorado Street, 3rd Floor
Austin, Texas 78701-2980
512-499-4233
WWW.UTSYSTEM.EDU

February 29, 2016

Dr. Richard C. Benson



Dear Richard:

On behalf of the Board of Regents of The University of Texas System, it is my pleasure to offer you the position of President of The University of Texas at Dallas, effective July 15, 2016. Your annual salary rate as of that date will be \$525,000. You are entitled to other State-paid fringe benefits required to be provided to higher education employees by State law and for which you are eligible. Among these benefits are State-paid OASI, longevity pay, workers' compensation liability, insurance coverage, retirement, insurance premium sharing, and paid leave.

Pursuant to the Regents' Rules and Regulations, Rule 20201, the presidents of The University of Texas academic institutions are selected by the Board of Regents of The University of Texas System and serve without fixed term, subject to the pleasure of the Executive Vice Chancellor for Academic Affairs and approval by the Chancellor and the Board of Regents. Also, your performance as President of U. T. Dallas will be evaluated in accordance with Rule 20201 and you will be asked to make a presentation to the Board on your vision and goals for U. T. Dallas within 12 to 18 months of taking office.

Additional elements of your compensation package are:

- a. You are eligible to participate in the Board of Regents' "Incentive Plan for The University of Texas System Presidents and System Administration Executive Officers" subject to the requirements and terms specified therein. The compensation earned from this incentive plan will vary depending on your attainment of certain specific performance goals.
- b. The cost of club membership(s) approved by the Executive Vice Chancellor for Academic Affairs will be paid, including initial fees for joining the club and all properly documented and reasonable business-related expenses. All personal expenses, including the portion of dues related to personal use, is calculated based on the personal expenses for that month as a percent of total expenses. That percentage is applied to the dues owed to determine the personal amount.
- c. Appointment as Professor, with tenure, in the Department of Mechanical Engineering at U. T. Dallas, with a beginning academic rate of \$238,105. During your presidency, you will not be paid your salary as Professor. When your administrative appointment as President ends you will be entitled to return to your tenured faculty position as professor, consistent with Regents' Rule 31007, which governs tenured appointments at U. T. Dallas, and to be compensated at your

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academic salary rate. *Texas Education Code*, Section 51.948, states that if a university administrator is reassigned to a faculty or other position at the institution, the institution cannot pay that person a salary that exceeds the salary of other persons with similar qualifications performing similar duties.

- d. State law allows the granting of a development leave at the individual's academic salary rate for one academic year to a faculty member who has held an administrative position at the institution for more than four years. An administrator who receives development leave must return to work (as a faculty member) at a U.T. System institution for an amount of time equal to the amount of time the administrator received development leave or repay the institution for all the costs of the development leave. To the extent required by law, these provisions will apply in your situation. The specific length of the development leave will be negotiated dependent on your term of service as President and other pertinent considerations should you leave the presidency and return to the faculty.

Please note that the benefits described in (c) may, at the discretion of the Board, be denied should you be terminated from the position of President for good cause, as determined by the Board.

Business-related travel and entertainment expenses shall be in accordance with the Regents' Rules 20205, and with current travel and entertainment budgets of the institution. Reasonable expenses will be paid directly or reimbursed as appropriate.

Appropriate and timely submission of documentation required for reimbursement of travel and entertainment expenditures is required by Regents' Rules 20205. Quarterly reports on travel and entertainment shall be filed with the Executive Vice Chancellor for Academic Affairs.

Full-time faculty and administrative and professional staff employed by the U. T. System may not be employed in any outside work or activity or receive from an outside source any compensation or serve on an outside board until a description of the nature and extent of the employment or activity and the range of any compensation has been approved by the Chancellor.

Your base salary is all inclusive and there are no additional allowances provided for housing or automobile consistent with the Regents' *Rules and Regulations* on compensation for chief administrative officers. Reimbursement will be made for mileage associated with business use of a vehicle in accordance with the latest published Internal Revenue Service guidelines, the State Travel Regulations Act (*Texas Government Code*, Chapter 660) and applicable institutional policies. There is no separate provision for the use of a cellular telephone or other wireless device. Such use shall follow the policy for wireless devices at your institution.

The University of Texas System will also make direct payments for the actual costs of reasonable expenses related to moving and storage, if needed, of household, personal, and professional possessions from Blacksburg, Virginia to Richardson, Texas. Please contact Terry Pankratz, V.P. for Budget and Finance at U. T. Dallas before making any arrangements related to relocation.

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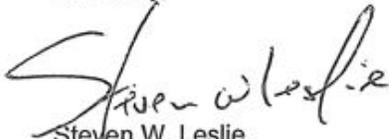
The University will reimburse you for commuting costs incurred by you and your wife including airfare, accommodations, and meals associated with your transition, consistent with IRS guidelines. The University will compensate you for temporary housing costs during the first year of your Presidency prior to the arrival of your family to establish permanent residence.

Information about benefits including health insurance, disability, life insurance and retirement has been transmitted under separate cover.

Some elements in this compensation package will be subject to federal income tax and as such will be subject to withholding and reported on the W-2 form along with base salary paid. You should consult your tax adviser as to the handling of business or other offsetting deductions.

I look forward to the opportunity to work with you as President of The University of Texas at Dallas. If you have any questions, please let me know.

Sincerely,



Steven W. Leslie
Executive Vice Chancellor for Academic Affairs

Accepted: Richard C. Brown Date: March 2, 2016

SWL/smr

cc: Chancellor William H. McRaven
Randy Wallace, Associate Vice Chancellor – Controller and Chief Budget Officer
Terry Pankratz, V.P. for Budget and Finance
Calvin Jamison, V.P. for Administration
Francie Frederick, General Counsel to the Board of Regents

36. Lease - U. T. El Paso: Authorization to ground lease approximately 35,000 square feet of land located at the southwest corner of Mesa Street and Blanchard Avenue, El Paso, El Paso County, Texas, to Raising Cane's Restaurants, LLC, for the development, construction, and operation of a commercial restaurant

Description: Ground lease of approximately 35,000 square feet of land located at the southwest corner of Mesa Street and Blanchard Avenue, El Paso, El Paso County, Texas, for development, construction, and operation of a commercial restaurant. The lease will require that lessee construct a fast food restaurant with a drive-through and associated parking.

Lessee: Raising Cane's Restaurants, LLC, a Louisiana limited liability company

Term: Approximately 15 years, estimated to commence Summer 2016. Lessee will also have five five-year extension options; the fourth extension option will be exercisable by lessee provided the institution does not then have a campus need for the property.

Lease Income: Approximately \$2,995,550 in base rent over the initial 15-year term. The value of the five extension options is estimated to be \$8,490,731. Lessee will be responsible for all operating costs of the property and all costs of developing and constructing the improvements on the property. Lessee will be required to provide a construction guaranty or obtain payment and performance bonds to ensure the completion of construction.

37. Admissions Criteria - U. T. Permian Basin: Changes to Graduate Program Admission Criteria and Standards for the Master of Public Accountancy, Master of Business Administration, and Education Leadership Programs

U. T. Permian Basin requests to change the graduate admission standards. The changes have been reviewed and administratively approved by the Executive Vice Chancellor for Academic Affairs and are recommended for approval by the U. T. System Board of Regents.

Summary of Changes to Admission Criteria

U. T. Permian Basin requests to waive the GMAT for Master of Public Accountancy (MPA) and Master of Business Administration (MBA) applicants who hold grade point averages (GPAs) of at least 3.25 on a 4.0 scale.

The institution also requests to add a graduate admission requirement to the Education Leadership (EDLD) program of at least one year of teaching experience for acceptance into the program. Currently, no teaching experience is required for admission into the program.

38. Contract - U. T. Rio Grande Valley: Mutual Trademark License Agreement with Doctors Hospital at Renaissance, Ltd. in connection with the U. T. Rio Grande Valley residency and graduate medical education programs

Agency: Doctors Hospital at Renaissance, Ltd.

Funds: No exchange of funds

Period: Effective date is March 17, 2016, with an initial term of 10 years, after which it will automatically renew for one-year terms unless otherwise terminated.

Description: U. T. Rio Grande Valley and Doctors Hospital at Renaissance, Ltd. have entered into a license agreement where U. T. Rio Grande Valley is the sponsoring institution for graduate medical education programs at Doctors Hospital at Renaissance, Ltd., a teaching hospital and major participating site for U. T. Rio Grande Valley's residency programs. This license agreement is part of the ongoing residency education collaboration between these institutions. Each party desires to allow the other to use its trademarks worldwide in connection only with the U. T. Rio Grande Valley residency programs. During the term of this license agreement and subject to the terms and conditions of the license agreement, each party grants the other nontransferable, royalty-free, nonexclusive, revocable, limited license to use of their respective Marks.

39. Request for Budget Change - U. T. Rio Grande Valley: New Hires with Tenure -- amendment to the 2015-2016 budget

The following Requests for Budget Change (RBC) have been administratively approved by the Executive Vice Chancellor for Academic Affairs and are recommended for approval by the U. T. System Board of Regents:

Description	Effective Date	% Time	Full-time Salary		RBC #
			No. Mos.	Rate \$	
College of Fine Arts					
School of Music					
Professor and Dean					
Steven Block (T)	3/1-8/31	100	12	165,000	7539
College of Liberal Arts					
Political Science					
Associate Professor					
Monica Clua-Losada (T)	1/16-8/31	100	9	70,000	7584

40. Purchase - U. T. Rio Grande Valley: Authorization to purchase approximately 3.06 acres of unimproved land located at the northwest corner of East Polk Street and Tyler Street, Brownsville, Cameron County, Texas, from Julio Gonzalez for future programmed campus expansion; and resolution regarding parity debt

Description: Purchase of approximately 3.06 acres of land consisting of Unit 3, Lot 3, Block 3, University Park Subdivision, located at the northwest corner of East Polk Street and Tyler Street, Brownsville, Cameron County, Texas, and authorization for the Executive Director of Real Estate to execute all documents, instruments, and other agreements and to take all further actions deemed necessary or advisable to purchase these properties. These lots are unimproved. The property will be used for future campus expansion.

Seller: Julio Gonzalez

Purchase Price: Not to exceed fair market value as determined by an independent appraisal performed by Aguirre & Patterson Inc.; appraisal confidential pursuant to *Texas Education Code* Section 51.951

Source of Funds: Revenue Financing System bonds repaid out of Designated Tuition. The institution's debt service coverage ratio is expected to be at least 1.8 times and to average 2.2 times during the period from Fiscal Year 2016 through Fiscal Year 2021. In approving this item, the Board will be making the findings required under Section 5 of the Amended and Restated Master Resolution establishing the Revenue Financing System relating to the issuance of parity debt on behalf of U. T. Rio Grande Valley in an aggregate amount not to exceed fair market value as established by independent appraisals, and this action satisfies the official intent requirements set forth in Section 1.150-2 of the *Code of Federal Regulations*.

41. Purchase - U. T. Rio Grande Valley: Authorization to purchase approximately 3.603 acres of improved land located along the south side of Ringgold Road, west of University Boulevard, Brownsville, Cameron County, Texas, from the City of Brownsville, for future programmed campus expansion; and resolution regarding parity debt

Description: Purchase of approximately 3.603 acres of land located within the Motor Transport Area, which is part of a 241.06 acre tract in Fort Brown Military Reservation in the Espiritu Santo Grant located along the south side of Ringgold Road, west of University Boulevard, Brownsville, Cameron County, Texas, and improvements and authorization for the Executive Director of Real Estate to execute all documents, instruments, and other agreements and to take all further actions deemed necessary or advisable to purchase the property. The improvements consist of three masonry buildings totaling approximately 20,351 square feet. The property will be used for future programmed campus expansion.

Seller: The City of Brownsville, a Texas home rule municipality

Purchase Price: Not to exceed fair market value as determined by an independent appraisal performed by Aguirre & Patterson Inc.; appraisal confidential pursuant to *Texas Education Code* Section 51.951

Source of Funds: Revenue Financing System bonds repaid out of Designated Tuition. The institution's debt service coverage ratio is expected to be at least 1.8 times and to average 2.2 times during the period from Fiscal Year 2016 through Fiscal Year 2021. In approving this item, the Board will be making the findings required under Section 5 of the Amended and Restated Master Resolution establishing the Revenue Financing System relating to the issuance of parity debt on behalf of U. T. Rio Grande Valley in an aggregate amount not to exceed fair market value as established by independent appraisals, and this action satisfies the official intent requirements set forth in Section 1.150-2 of the *Code of Federal Regulations*.

42. Purchase - U. T. Rio Grande Valley: Authorization to purchase approximately 0.826 acre of improved land located at 1301 and 1325 East Madison Street, Brownsville, Cameron County, Texas, from the City of Brownsville, for office use; and resolution regarding parity debt

Description: Purchase of approximately 0.826 acre of improved land consisting of Lots 7, 8, 9, 10, 11 and 12, Block 119, Brownsville Original Townsite, Cameron County, Texas, commonly known as 1301 and 1325 East Madison Street, Brownsville, Cameron County, Texas, and authorization for the Executive Director of Real Estate to execute all documents, instruments, and other agreements and to take all further actions deemed necessary or advisable to purchase the property. The improvements consist of two historically designated buildings totaling 8,542 square feet of gross building area, built in the 1840s. The property is currently being licensed by U. T. Rio Grande Valley for office use.

Seller: The City of Brownsville, a Texas home rule municipality

Purchase Price: Not to exceed fair market value as determined by an independent appraisal performed by Duffy & Barnard, L.L.P.; appraisal confidential pursuant to *Texas Education Code* Section 51.951

Source of Funds: Revenue Financing System bonds repaid out of Designated Tuition. The institution's debt service coverage ratio is expected to be at least 1.8 times and to average 2.2 times during the period from Fiscal Year 2016 through Fiscal Year 2021. In approving this item, the Board will be making the findings required under Section 5 of the Amended and Restated Master Resolution establishing the Revenue Financing System relating to the issuance of parity debt on behalf of U. T. Rio Grande Valley in an aggregate amount not to exceed fair market value as established by independent appraisals, and this action satisfies the official intent requirements set forth in Section 1.150-2 of the *Code of Federal Regulations*.

43. Purchase - U. T. Rio Grande Valley: Authorization to purchase approximately 1.4 acres of improved land located at 2395 University Boulevard, Brownsville, Cameron County, Texas, from Eugenio Padilla Aldrete and Claudia Lorena Pineda Ayala, for future programmed campus expansion; and resolution regarding parity debt

Description: Purchase of approximately 1.4 acres of improved land consisting of Lot 2, Block 3, University Park Subdivision Unit 2, Brownsville, Cameron County, Texas, commonly known as 2395 University Boulevard, and authorization for the Executive Director of Real Estate to execute all documents, instruments, and other agreements and to take all further actions deemed necessary or advisable to purchase the property. The improvements consist of a retail strip mall with 8,053 square feet of gross building area. The property will be used for future campus expansion.

Seller: Eugenio Padilla Aldrete and Claudia Lorena Pineda Ayala

Purchase Price: Not to exceed fair market value as determined by an independent appraisal performed by Aguirre & Patterson, Inc.; appraisal confidential pursuant to *Texas Education Code* Section 51.951.

Source of Funds: Revenue Financing System bonds repaid out of Designated Tuition. The institution's debt service coverage ratio is expected to be at least 1.8 times and to average 2.2 times during the period from Fiscal Year 2016 through Fiscal Year 2021. In approving this item, the Board will be making the findings required under Section 5 of the Amended and Restated Master Resolution establishing the Revenue Financing System relating to the issuance of parity debt on behalf of U. T. Rio Grande Valley in an aggregate amount not to exceed fair market value as established by independent appraisals, and this action satisfies the official intent requirements set forth in Section 1.150-2 of the *Code of Federal Regulations*.

44. Request for Budget Change - U. T. San Antonio: New Hires with Tenure -- amendment to the 2015-2016 budget

The following Requests for Budget Change (RBC) have been administratively approved by the Executive Vice Chancellor for Academic Affairs and are recommended for approval by the U. T. System Board of Regents:

Description	Effective Date	% Time	Full-time Salary		RBC #
			No. Mos.	Rate \$	
College of Business					
Department of Information System and Cyber Security					
Associate Professor					
Kim-Kwang Raymond Choo (T)	8/15-5/31	100	09	150,000	7590
College of Science					
Department of Chemistry					
Professor					
Kirk Schanze (T)	8/1-5/31	100	09	230,000	7589

45. Contract (funds going out) - U. T. Tyler: AHI Facility Services, Inc. to provide custodial services for U. T. Tyler, including satellite campuses in Palestine and Longview

Agency: AHI Facility Services, Inc.

Funds: Base expenditure \$3,626,061 over the 43-month initial term, and \$1,011,924 for each optional one-year renewal term

Source of Funds: Local Funds

Period: February 1, 2016 through August 31, 2019; with option to renew for up to three additional one-year terms

Description: AHI Facility Services, Inc. will provide custodial services for U. T. Tyler, including satellite campuses in Palestine and Longview, Texas. This contract was competitively bid.

46. Admissions Criteria - U. T. Tyler: Changes to Admission Criteria for the M.Ed. in Educational Leadership, the Standard Principal Certification Program, and the Superintendent Certificate Program

U. T. Tyler requests approval for changes to the criteria for admission for the M.Ed. in Educational Leadership and certificate program for school principals and changes to criteria for admission to the certification program for superintendents as described below.

The changes have been reviewed and administratively approved by the Executive Vice Chancellor for Academic Affairs and are recommended for approval by the U. T. System Board of Regents.

Summary of Changes to Admission Criteria

Currently, a satisfactory score on the Graduate Record Examination (GRE) is required for all applicants for the M.Ed. in Educational Leadership and the principal certification program. In the future, U. T. Tyler would like to require the GRE only when an applicant has a cumulative grade point average (GPA) of less than 3.25 for the last 60 hours of undergraduate work. This change is being requested after studying the predictive value of GPA on performance on the TExES exam for principals. U. T. Tyler would also like to replace letters of recommendation with a reference form.

In addition, U. T. Tyler requests approval to allow for “three creditable years of managerial experience in a public school district” to be accepted in place of a Standard Principal, Mid-Management, or other Texas Administrator Certificate. The proposed change is in response to a recent amendment to *Texas Administrative Code* 19, Chapter 242 for Superintendent Certification.

47. Request for Budget Change - U. T. Tyler: Transfer \$1,000,000 from Masterplan Rec Field to Student Service Fees (RBC No. 7457) -- amendment to the 2015-2016 budget

Note: Project cancelled and funds moved back to Student Service Fees. Project was initially funded in FY 2014 - 2015.

48. Request for Budget Change - U. T. Tyler: Transfer \$578,384 from PATSS to University Christian Church acquisition (RBC No. 7561) -- amendment to the 2015-2016 budget

Note: Request to transfer remaining PUF funds from Patriots Applying Technology for Success and Savings (PATSS) for University Christian Church acquisition. University operational funds will be utilized to fund PATSS operations.

HEALTH AFFAIRS COMMITTEE

49. Contract (funds coming in) - U. T. Southwestern Medical Center: Amendment to agreement to provide professional pediatric anesthesiologist services to Anesthesiologists for Children

Agency: Anesthesiologists for Children, a Texas nonprofit organization

Funds: \$15,897,460

Period: September 1, 2015 through August 31, 2016

Description: U. T. Southwestern Medical Center will provide professional pediatric anesthesiologist services.

50. Contract (funds coming in) - U. T. Southwestern Medical Center: To provide surgical and program management services for Seton Family of Hospitals

Agency: Seton Family of Hospitals dba Dell Children's Medical Center

Funds: \$2,560,500

Period: July 1, 2016 through June 30, 2020

Description: U. T. Southwestern Medical Center will provide surgical and program management services. This agreement establishes a program dedicated to the cardiovascular surgical treatment of infants and children with congenital heart defects.

51. Contract (funds going out) - U. T. Southwestern Medical Center: Allscripts Healthcare, LLC will provide dbMotion software

Agency: Allscripts Healthcare, LLC

Funds: \$6,619,165

Source of Funds: Designated Funds - Hospital Patient Income

Period: December 1, 2015 through November 30, 2020

Description: Allscripts Healthcare, LLC will provide dbMotion software. This contract is designed to implement and integrate health information exchange data. The aggregated data is used to provide a longitudinal patient record to faculty, clinically affiliated physicians, and staff. This contract was competitively bid.

52. Purchase Order - U. T. Southwestern Medical Center: Purchase high performance digital spectrometer from Bruker BioSpin Corporation

Agency: Bruker BioSpin Corporation

Funds: \$3,000,000

Source of Funds: Restricted Funds - Gifts and Grants
Designated Funds - MSRDP/DSRDP/PRS practice plan professional fees

Description: Bruker BioSpin Corporation will provide a high performance digital spectrometer.

53. Request for Budget Change - U. T. Southwestern Medical Center: Approval of Emeritus Titles

Gordon Green, from Professor to Professor Emeritus, U. T. Southwestern School of Health Professions (RBC No. 7624) -- amendment to the 2015-2016 budget

David Hemsell, from Professor to Professor Emeritus, Department of Obstetrics and Gynecology in the Medical School (RBC No. 7656) -- amendment to the 2015-2016 budget

54. Lease - U. T. Southwestern Medical Center: Authorization to expand the leased space located at 8150 and 8200 Brookriver Drive, Dallas, Dallas County, Texas, from Brookriver Executive Center, JV, for office use

Description: Addition of approximately 75,000 rentable square feet to the leased space located at 8150 and 8200 Brookriver Drive, Dallas, Dallas County, Texas, for general office use. The institution currently leases 112,480 square feet of space in the project and the expansion will increase the total leased space to 187,480 square feet.

Lessor: Brookriver Executive Center, JV, a Texas joint venture

Term: Approximately 10 years, commencing approximately June 1, 2016, and continuing through May 31, 2026

Lease Cost: Approximately \$9,956,250 in base rent for the total leased space over the 10-year term, plus estimated increases in annual operating expenses. The initial rental rate will be approximately \$13 per square foot. Landlord will provide a turnkey build-out.

Source of Funds: Clinical Operating Funds

55. Lease - U. T. Southwestern Medical Center: Authorization to expand the leased space located at 701 Tuscan Drive, Irving, Dallas County, Texas, from Tuscan OB/GYN Associates, LLP, for clinical use

Description: Addition of approximately 18,481 square feet to the leased space located at 701 Tuscan Drive, Irving, Dallas County, Texas, for clinical use. The institution currently leases approximately 6,451 square feet of space in the building, increasing the total lease space to 24,932 square feet.

Lessor: Tuscan OB/GYN Associates, LLP, a Texas limited liability partnership

Term: Approximately 64 months, commencing on or about July 1, 2016, and expiring on or about December 31, 2023

Lease Cost: U. T. Southwestern Medical Center will pay approximately \$4,000,866 in base rent for the total leased space, plus operating expenses over the 64-month term. The initial base rental rate is \$22 per square foot; the initial operating expenses are \$13.89 per square foot. Landlord will provide a tenant improvement allowance of \$369,620 (\$20 per square foot) and the institution will fund additional improvements estimated to be \$184,810 (\$10 per square foot).

Source of Funds: Clinical Operating Funds

56. Lease - U. T. Southwestern Medical Center: Authorization to amend the lease of space in the McDermott Lecture Hall Building D located at 5323 Harry Hines Boulevard, Dallas, Dallas County, Texas, for U. T. Austin's McCombs School of Business Executive MBA program

Description: Lease of space located in Building D, 5323 Harry Hines Boulevard, Dallas, Dallas County, Texas, for classroom lecture hall and office space for U. T. Austin's McCombs School of Business Executive MBA program

Lessee: U. T. Austin

Term: The term of the amendment to lease will be for three years commencing August 1, 2016, with three one-year extension options.

Lease Income: Rent during the extension will be approximately \$225,000 with annual increases of up to 3%. U. T. Southwestern Medical Center will undertake to construct improvements at an estimated total cost of \$315,000 to refinish the space for the two institutions' use and, of this amount, U. T. Austin will contribute an estimated \$126,000. The space will be used by both parties for classroom, lecture hall, and office space.

57. Purchase - U. T. Southwestern Medical Center: Authorization to acquire by purchase or exchange, approximately 1.948 acres of improved land located at the southeast corner of South Jennings Avenue and West Magnolia Avenue, Fort Worth, Tarrant County, Texas, from Donald L. Hudgins, Jr., and/or assigns, for future programmed campus expansion

Description: Purchase of approximately 1.948 acres located at the southeast corner of South Jennings Avenue and West Magnolia Avenue, Fort Worth, Tarrant County, Texas, and improvements located thereon, and authorization for the Executive Director of Real Estate to execute all documents, instruments, and other agreements and to take all further actions deemed necessary or advisable to purchase the property. The improvements consist of older, industrial buildings.

Seller: Donald L. Hudgins, Jr., and/or assigns

Purchase Price: The purchase price is approximately \$4,000,395 as determined by independent appraisals. The institution may elect to exchange approximately 1.6996 acres adjacent to the subject property and owned by the institution.

The adjacent property is valued at approximately \$3,490,750, and if exchanged will be credited as partial payment for the purchase of the subject property. The institution may then pay the difference in value of approximately \$509,644 to the seller.

Appraisals: Appraisal Source, Inc., March 11, 2016, \$4,070,000;
J. Wynn Tucker, March 14, 2016, \$4,010,000

Lease: Seller is currently under contract to purchase the property from City Vending, Inc., and as part of seller's acquisition of the property, seller has agreed to grant City Vending, Inc., a one-year lease of the property and City Vending, Inc. will pay operating expenses only. The institution must assume this one-year lease when it closes on the acquisition of the property from seller. The lease is considered by the appraisers in fixing property's appraised value.

Source of Funds: Unrestricted Interest Income

58. Contract (funds going out) - U. T. Medical Branch - Galveston: Navigant Consulting, Inc. to provide consulting services for revenue cycle optimization

Agency: Navigant Consulting, Inc.

Funds: The cumulative amount of all payments made to Navigant Consulting, Inc. under this agreement, including fixed fees, contingent fees, and travel and living expenses, will not exceed \$12,000,000.

Source of Funds: Hospital patient funds

Period: Two-year agreement, starting October 1, 2015, and ending on the earlier of September 30, 2017; or completion and invoicing of work performed under the agreement

Description: Navigant Consulting, Inc. will provide consulting services for revenue cycle optimization. U. T. Medical Branch - Galveston has significant opportunity to improve the operating margin, drive operational efficiencies to both hospital and professional billing, and increase patient satisfaction through a revenue cycle redesign. The redesign will focus on streamlining the organizational/management structure, establishing a revenue integrity department, and targeting initiatives to drive margin improvement and process optimization. This contract was competitively bid.

59. Interagency Agreement (funds coming in) - U. T. Medical Branch - Galveston: Interlocal Agreement with the County of Comal to provide health care services for inmates of Comal County jail

Agency: Comal County, Texas

Funds: \$3,220,000 for base term and optional renewal periods

Period: May 1, 2016 through April 30, 2018; the initial two-year base contract with option to renew for three additional one-year terms through April 30, 2021

Description: U. T. Medical Branch - Galveston to provide primary care services and required hospital services to inmates of Comal County jail.

60. Request for Budget Change - U. T. Medical Branch - Galveston: Approval of Emeritus Titles

Richard Jennings, from Clinical Professor to Professor Emeritus, Department of Preventive Medicine and Community Health, in the School of Medicine (RBC No. 7529) -- amendment to the 2015-2016 budget

John Fraser, Jr., Professor to Professor Emeritus, Department of Preventive Medicine and Community Health, in the School of Medicine (RBC No. 7528) -- amendment to the 2015-2016 budget

61. Foreign Contract (funds coming in) - U. T. Health Science Center - Houston: Cultural Division of the Embassy of the United Arab Emirates to provide funds for a three-year fellowship program

Agency: Cultural Division of the Embassy of the United Arab Emirates

Funds: \$233,040

Period: July 1, 2016 through June 30, 2019

Description: The Cultural Division of the Embassy of the United Arab Emirates will fund the cost of a three-year fellowship program at U. T. Health Science Center - Houston for Ahmed Al Hammadi, M.D. The cost includes salary, benefits, professional liability, and administrative overhead.

62. Request for Budget Change - U. T. Health Science Center - Houston: Approval of Emeritus Titles

John C. Ribble, from Dean to Dean Emeritus, McGovern Medical School (RBC No. 7612) -- amendment to the 2015-2016 budget

James K. Stoops, from Professor to Professor Emeritus, Department of Pathology and Laboratory Medicine in the McGovern Medical School (RBC No. 7613) -- amendment to the 2015-2016 budget

63. Lease - U. T. Health Science Center - Houston: Authorization to extend the lease of approximately 2,274 rentable square feet of space located at 6410 Fannin Street, Houston, Harris County, Texas, to Memorial Hermann Hospital System for clinical, lab, and office use

Description: Lease extension of approximately 2,274 square feet of space located at 6410 Fannin Street, Suite 143, Houston, Harris County, Texas, for clinic, lab, and administrative space.

Lessee: Memorial Hermann Hospital System, a Texas nonprofit corporation

Term: The term of the lease is for an additional five years commencing June 1, 2016, and expiring on May 31, 2021.

Lease Income: Lessee will pay lessor \$30 per rentable square foot annually. Lessee will also pay operating expenses above a 2016 base year. Lessor is also providing lessee with a \$3 per square foot refurbishing allowance. The lease has been in effect since 2009 and with this amendment the total base rent, additional rent, and tenant improvement expenditures are estimated to be \$1,007,097.

64. Lease - U. T. Health Science Center - Houston: Authorization to lease approximately 5,650 square feet of space located at 6560 Fannin Street, Houston, Harris County, Texas, from TMH Medical Office Buildings, for clinical use

Description: Lease of approximately 5,650 square feet located at 6560 Fannin Street, Houston, Harris County, Texas, for clinical use

Lessor: TMH Medical Office Buildings, a Texas nonprofit corporation

Term: Approximately 10 years, commencing approximately August 1, 2016, continuing through July 31, 2026

Lease Cost: Approximately \$1,943,775 in base rent over the 10-year term. The initial rental rate is \$30.01 per usable square foot and will adjust annually based on changes to CPI. Lessor will provide a tenant improvement allowance of \$282,500 (\$50 per square foot). U. T. Health Science Center - Houston will fund the additional cost of improvements, currently estimated to be \$367,250 (\$65 per square foot).

Source of Funds: Dental Service Research and Development Plan Funds

65. Lease - U. T. Health Science Center - Houston: Authorization to lease approximately 3,314 square feet of space located at 19333 Clay Road, Katy, Harris County, Texas, from Spring Branch Community Health Center, for a Women, Infants, and Children (WIC) program clinic

Description: Lease of approximately 3,314 rentable square feet located at 19333 Clay Road, Katy, Harris County, Texas, for a Women, Infants, and Children (WIC) program clinic

Lessor: Spring Branch Community Health Center, a Texas nonprofit corporation

Term: Approximately 84 months commencing approximately December 1, 2016, and continuing through November 30, 2023; plus two five-year extension options

Lease Cost: Approximately \$661,143 in rent during the initial term; the initial rental rate is \$26.43 per square foot and increases annually by 2.5 percent. The rent for the two extension options will be at the then-current fair market value rental, currently estimated to total \$1,199,366.

Source of Funds: Texas Department of State Health Services contract funds (originating from USDA)

66. Lease - U. T. Health Science Center - Houston: Authorization to extend the lease of approximately 3,157 square feet of space located at 6015 Hillcroft Avenue, Houston, Harris County, Texas, from 6015 Hillcroft, L. P., for a Women, Infants, and Children (WIC) program clinic

Description: Extension of lease of approximately 3,157 square feet of space located at 6015 Hillcroft Avenue, Houston, Harris County, Texas, for a Women, Infants, and Children (WIC) program clinic.

Lessor: 6015 Hillcroft, L. P., a Texas limited partnership

Term: The initial lease commenced on February 1, 2008. The term of the lease is extended for a five-year period commencing on February 1, 2016, and will expire on January 31, 2021. The institution will have one additional five-year extension option.

Lease Cost: The rental rate during the extension term is \$22 per square foot. Approximately \$1,794,901 in combined base rent and estimated operating expenses for the initial term, the extension term, and the extension option.

Source of Funds: Texas Department of State Health Services contract funds (originating from USDA)

67. Contract (funds coming in) - U. T. Health Science Center - San Antonio: Pepsi Beverages Company to provide carbonated and non-carbonated vending services

Agency: Pepsi Beverages Company

Funds: Estimated royalties at \$50,000 per year

Period: June 1, 2016 through August 31, 2021; which may be extended for five additional periods, with each not exceeding one year in length

Description: The Pepsi Beverages Company will provide vending services to the Main and North Campus facilities and various satellite campus sites. In accordance with *Texas Education Code* Section 51.945, students were provided an opportunity to comment prior to determination that this food services and vending services provider should be selected by the institution.

68. Request for Budget Change - U. T. Health Science Center - San Antonio: New Hire with Tenure -- amendment to the 2014-2015 budget

The following Request for Budget Change (RBC) has been administratively approved by the Executive Vice Chancellor for Health Affairs and is recommended for approval by the U. T. System Board of Regents:

Description	Effective Date	% Time	Full-time Salary		RBC #
			No. Mos.	Rate \$	
School of Nursing					
Family and Community Health Systems					
Associate Professor					
Cynthia O'Neal (T)	8/31-8/31	100	12	130,000	7599

69. Request for Budget Change - U. T. Health Science Center - San Antonio: New Hires with Tenure -- amendment to the 2015-2016 budget

The following Requests for Budget Change (RBC) have been administratively approved by the Executive Vice Chancellor for Health Affairs and are recommended for approval by the U. T. System Board of Regents:

Description	Effective Date	% Time	Full-time Salary		RBC #
			No. Mos.	Rate \$	
School of Medicine					
Molecular Medicine					
Associate Professor					
Mengwei Zang (T)	3/1-8/31	100	12	166,000	7604
School of Dentistry					
Comprehensive Dentistry					
Professor and Chair					
Robert M Taft (T)	7/11-8/31	100	12	290,000	7605

70. Request for Budget Change - U. T. Health Science Center - San Antonio: Approval of Emeritus Titles

Brenda Jackson, from Professor to Professor Emeritus, Department of Health Restoration and Care Systems Management in the School of Nursing (RBC No. 7458) -- amendment to the 2015-2016 budget

David J. Jones, from Professor to Professor Emeritus, Department of Anesthesiology in the School of Medicine (RBC No. 7598) -- amendment to the 2015-2016 budget

71. Lease - U. T. Health Science Center - San Antonio: Authorization to extend the lease of approximately 4,663 rentable square feet of space located at 8122 Datapoint Drive, San Antonio, Bexar County, Texas, from U.S. Bank National Association, for medical office and clinical use

Description: Extension of lease of approximately 4,663 rentable square feet of space at 8122 Datapoint Drive, Bexar County, San Antonio, Texas, for medical office and clinic use. The original lease commenced in 2010 and did not require Board of Regents' approval. The initial term was extended through five subsequent amendments; the value of these five amendments, together with the current sixth amendment, exceeds \$1,000,000.

Lessor: U.S. Bank National Association, as trustee for the registered holders of Bear Stearns Commercial Mortgage Securities Inc., Commercial Mortgage Pass-Through Certificates, Series 2007-Pwr16

Term: The extension will be retroactively effective to commence on February 1, 2016. The term is for a period of 36 months.

Lease Cost: Approximately \$1,514,976 in rent accrued and paid to date since the lease commencement and becoming due and payable during the proposed extension term. The initial rental rate for the current extension term is \$25.50 per square foot annually. The value of the two additional extension terms is approximately \$240,146. The institution will also pay annual increases in operating expenses.

Source of Funds: Federal (NIH) Grants

72. Sale - U. T. Health Science Center - San Antonio: Authorization to market and sell approximately 30.4 acres of land and improvements comprising the Barshop Institute for Longevity and Aging Studies and approximately 150.9 acres of adjacent undeveloped land located in the Texas Research Park and having addresses of 15355 Lambda Drive and 14980 Omicron Drive, San Antonio ETJ, Bexar County and Medina County, Texas, to a to-be-determined purchaser or purchasers

Description: Marketing and sale of approximately 30.4 acres of land and improvements comprising the Barshop Institute for Longevity and Aging Studies (Barshop Institute) and approximately 150.9 acres of adjacent undeveloped land located in the Texas Research Park (TRP) and having addresses of 15355 Lambda Drive and 14980 Omicron Drive, San Antonio ETJ, Bexar County and Medina County, Texas, to a to-be-determined purchaser or purchasers, and authorization for the Executive Director of Real Estate to execute all documents, instruments, and other agreements and to take all further actions deemed necessary or advisable to market and sell the property.

The Barshop Institute is located in the TRP and consists of six buildings totaling approximately 255,018 square feet of gross building area, parking areas, and associated improvements. General details of the six buildings are provided in Exhibit A following this item. The 150.9 acres of adjacent land are largely undeveloped, but do contain recreational improvements including a multipurpose sports field and a pavilion.

Formed in 1991 by the Texas Research & Technology Foundation (TRTF), the Texas Research Park originally encompassed 1,236 acres and was envisioned to be a collaborative biosciences hub that would promote the creation of a biosciences research community and cultivate collaboration among research and industry. The Barshop Institute was established in the TRP based on this initial vision; this vision, however, failed to materialize and the Barshop Institute is the only research facility in the TRP. As a result, the TRTF has sold outlying parcels and is now planning to sell its remaining land as the area becomes suburban. The State of Texas recently sold 257 adjacent acres for residential development; Microsoft Corporation has purchased land for data centers; and others have developed single-family and multi-family housing nearby, for residential development.

The TRP is located 23 miles away from the institution's main campus and approximately seven miles outside of West Loop 1604. Although the Barshop Institute has earned notable success, the TRP's remote location relative to San Antonio proper has consistently presented challenges to U. T. Health Science Center - San Antonio's efforts to recruit top researchers to the Barshop Institute and has impeded collaboration between the Barshop Institute and researchers, educators, and clinical staff located at the institution's main campus.

U. T. Health Science Center - San Antonio has determined that relocating the Barshop Institute to a to-be-constructed facility on the institution's Greehey Academic and Research Campus will result in more successful recruiting of top researchers and increased and improved collaboration between the Barshop Institute and affiliated programs at the Health Science Center. The institution considers both benefits as crucial to the continuing success of the Barshop Institute. Proceeds from the sale of the property will be used to retire Revenue Financing System (RFS) short-term debt issued to construct the new facility.

Purchaser(s): To be determined through marketing the property. A likely scenario is the sale of portions of the property to different entities for different uses.

Sale Price: To be negotiated. There are federal grants that were awarded for construction of parts of the Barshop Aging Center building (\$2,368,998 in August 2000) and the Centers for Biology in Medicine building (\$999,836 in September 1996); each of these grants required the conveyance of an interest in the real property to the federal government. If the federally-funded improvements are sold, or if the use of such improvements is changed, then U. T. Health Science Center - San Antonio may be required to reimburse the unamortized balance of the grant amounts. The institution is exploring whether it can transfer the programs subject to the grant to the to-be-constructed facility without a requirement to reimburse the federal government for the award(s).

Exhibit A

General Building Details

IMPROVEMENT DESCRIPTION

Overall Property							
Name	UTHSC- Texas Research Park	Institute of Biotechnology (Hayden Head)	Centers for Biology in Medicine	Barshop Aging Center	ATT Center	Cafeteria	Institute for Drug Development (McDermott Bldg.)
Property Type	Office/Research	75% Research/ 25% Office	Research/ Office	Research/ Office	Tele-conference	Restaurant/ Cafeteria	80%Research/ 20% Office
Sub-Type	Research & Development	Wet Lab, Vivarium, Office	Wet Lab, Office	Wet Lab, Vivarium, Office	Auditorium	Food Service	Wet Lab, Vivarium, Office
No. of Bldgs.	6	1	1	1	1	1	1
No. of Stories		3, including basement	3, plus sub-level mechanical	3, plus sub-level mechanical	1	1	2
Gross Bldg. Area (SF)*	255,018	56,656	78,498	45,000	7,092	4,224	63,548
Year Built	1991 - 2003	1991	1998	2003	2001	2000	1992
Construction Class	A/ C	A	A	A	C	C	A
Construction Type	Steel Frame	Steel Frame	Steel Frame	Steel Frame	Steel Frame	Steel Frame	Steel Frame
Construction Quality	Good	Good	Good	Good	Good	Good	Good
Condition	Avg to Good	Avg. to Good	Avg. to Good	Good	Avg.	Avg.	Avg (Vacant)

73. Other Matters - U. T. Health Science Center - San Antonio: Approval of new "doing business as" (dba) name – UT Health San Antonio, and the opportunity to develop a new logo and brand identity

The Chancellor concurs in the recommendation of the Executive Vice Chancellor for Health Affairs, the Vice Chancellor for External Relations, the Vice Chancellor and General Counsel, and President Henrich that the U. T. System Board of Regents approve a new "doing business as" (dba) name – UT Health San Antonio, and the opportunity to develop a new logo and brand identity for U. T. Health Science Center - San Antonio.

Based on the future growth strategies of U. T. Health Science Center - San Antonio, increasing competitive pressures in the market, and the results of both internal and external brand feedback that reflect a distinct lack of awareness for its name and services, U. T. Health Science Center - San Antonio is embarking on a rebranding initiative to ensure its strategic framework is supported by a clear, incisive, succinct brand platform. The rebranding initiative and creative development will occur over the next three to four months with an anticipated launch in Fall 2016. Board approval to brand as UT Health San Antonio is recommended as an important first step in this process.

U. T. Health Science Center - San Antonio proposes a new identity system to be used prominently and consistently in all forms of communication representing the University, such as publications, letterhead, signage, business cards, web, and advertising in an effort to create a uniform communication standard and to enhance a better public understanding of the institution's role.

74. Contract (funds going out) - U. T. M. D. Anderson Cancer Center: Varian Medical Systems, Inc. for support and upgrades of radiation oncology equipment

Agency:	Varian Medical Systems, Inc.
Funds:	The total cost of services under this agreement, including all renewals, will not exceed \$31,000,000.
Source of Funds:	Hospital Patient Income
Period:	The term of this agreement will be for a period of 36 months, commencing on April 1, 2016, and continuing through March 31, 2019. The agreement includes the option for two 12-month renewals.
Description:	This agreement is for parts and service needed for support and upgrade of existing Varian Medical Systems equipment. Due to the specialized nature of the equipment, the precision can be compromised if nonstandard parts are used when maintenance is performed. As such, this agreement was sole sourced.

75. Request for Budget Change - U. T. M. D. Anderson Cancer Center: New Hire with Tenure -- amendment to the 2015-2016 budget

The following Request for Budget Change (RBC) has been administratively approved by the Executive Vice Chancellor for Health Affairs and is recommended for approval by the U. T. System Board of Regents:

Description	Effective Date	% Time	Full-time Salary		RBC #
			No. Mos.	Rate \$	
Cancer Biology and Genitourinary Medical Oncology (Joint Appointment)					
Professor					
Filippo Giancotti (T)	3/1-8/31	100	12	350,000	7575

76. Lease - U. T. M. D. Anderson Cancer Center: Authorization to extend the lease of approximately 2,021 rentable square feet of space at 7900 Fannin Street, Houston, Harris County, Texas, from HTA Fannin, LLC, for medical office use

Description: Lease extension of 2,021 rentable square feet located at 7900 Fannin Street, Houston, Harris County, Texas, for medical office use.

Lessor: HTA Fannin, LLC, a Delaware limited liability company

Term: The extension term is for a 39-month period commencing on December 15, 2015, and continuing through March 14, 2019.

Lease Cost: Annual base rent for the extension term will be \$34 per square foot with three percent annual escalations for a total of \$212,387, which includes three months of abated rent. Total operating expenses over the extension term will be approximately \$3,359. The total transaction value over the entire term of the lease will be \$835,695 in base rent and an estimated \$178,604 in operating expenses for a total transaction value estimated to be \$1,014,299.

Source of Funds: Hospital Patient Income

77. Approval of Dual Position of Honor, Trust, or Profit - U. T. M. D. Anderson Cancer Center: Appointment by the National Cancer Institute of James P. Allison, Ph.D., Chair, Department of Immunology; and Wai-Kwan Alfred Yung, M.D., Professor, Department of Neuro-Oncology, as members of the National Cancer Institute's Blue Ribbon Panel for the National Cancer Moonshot Initiative

The following item has been approved by the Executive Vice Chancellor for Health Affairs in accordance with the Regents' *Rules and Regulations*, Rule 30103.

It has been determined that the holding of these positions is of benefit to the State of Texas and U. T. M. D. Anderson Cancer Center, and there is no conflict between holding these positions and the appointments with the University.

The Board is also asked to find that holding these positions is of benefit to the State of Texas and the University, and that there is no conflict between the positions and the University.

Names: James P. Allison, Ph.D., Chair, Department of Immunology; Director, Immunotherapy Platform; Deputy Director, David H. Koch Center for Applied Research of Genitourinary Cancers; and Vivian L. Smith Distinguished Chair in Immunology

Wai-Kwan Alfred Yung, M.D., Professor, Department of Neuro-Oncology and Margaret & Ben Love Chair in Clinical Cancer in Honor of Dr. Charles A. LeMaistre

Position: Members, National Cancer Institute's Blue Ribbon Panel for National Cancer Moonshot Initiative

Period: Beginning April 4, 2016

Compensation: None

Description: Drs. Allison and Yung have been appointed to the National Cancer Institute's Blue Ribbon Panel for the National Cancer Moonshot Initiative. The Blue Ribbon Panel, which is comprised of scientific experts, cancer leaders, and patient advocates, will serve as a working group of the National Cancer Advisory Board and will inform the direction and goals of the National Cancer Moonshot Initiative.

78. Contract (funds coming in) - U. T. Health Science Center - Tyler: To provide and evaluate hospital and clinic-based interventions for Texas Department of Family and Protective Services

Agency: Texas Department of Family and Protective Services

Funds: Will not exceed \$5,800,000 for the 53-month contract period

Period: April 1, 2016 through August 31, 2020

Description: U. T. Health Science Center - Tyler will provide and evaluate hospital and clinic-based interventions designed to prevent maltreatment, especially abusive head trauma, in the first year of life.

79. Contract (funds going out) - U. T. Health Science Center - Tyler: To procure full-service food and nonalcoholic beverage services from Morrison's Management Specialists, Inc., dba Morrison Health Care, Inc.

Agency: Morrison's Management Specialists, Inc., dba Morrison Health Care, Inc.

Funds: \$7,875,000 (estimated amount includes initial term and optional extension)

Source of Funds: General Revenue and Health Related Patient Revenue

Period: March 1, 2016 through February 29, 2020; with optional two-year extension through February 28, 2022

Description: Morrison's will provide full-service food and nonalcoholic beverage services, including vending services, at U. T. Health Science Center - Tyler. This contract was competitively bid. In accordance with *Texas Education Code* Section 51.945, students were provided an opportunity to comment prior to determination that this food services and vending services provider should be selected by the institution.

FACILITIES PLANNING AND CONSTRUCTION COMMITTEE

80. Request for Budget Change - U. T. Austin: Transfer a total of \$7,000,000 from VPBA-UBC Commitments – Designated Funds and Auxiliary Funds, East Side Relocations to UTIL-CAM – East Campus Utility Infrastructure, All Expenses to fund the East Campus Utilities (Tennis, Housing, and Parking) project (RBC No. 7580) -- amendment to the 2015-2016 budget

The following Request for Budget Change has been administratively approved by the Executive Vice Chancellor for Academic Affairs and is recommended for approval by the U. T. System Board of Regents:

Description	\$ Amount	RBC #
VPBA-UBC Commitments		
Amount of Transfer:	7,000,000	7580
From: VPBA-UBC Commitments – Designated Funds, East Side Relocations	5,242,524	
VPBA-UBC Commitments – Auxiliary Funds, East Side Relocations	1,757,475	
To: UTIL-CAM – East Campus Utility Infrastructure, All Expenses	7,000,000	

81. Request for Budget Change - U. T. Austin: Transfer \$8,000,000 from VPBA-UBC Commitments – Designated Funds, Library Storage to VPEC-PROJ 102-841 High-Density Storage Addition, Allocated for Budget to fund the High-Density Storage Addition/Library Storage at the Pickle Research Center (RBC No. 7593) -- amendment to the 2015-2016 budget

TECHNOLOGY TRANSFER AND RESEARCH COMMITTEE

No items for Consent Agenda

**The University of Texas System
System Administration Policy**

Policy: INT184

1. Title

Carrying of Handguns on a U. T. System Administration Campus

2. Policy

Sec. 1 Purpose. This policy governs the carrying of Handguns on a campus of U. T. System Administration as authorized by law, including *Texas Government Code* Section 411.2031, also known as the “Campus Carry Law.”

Sec. 2 Policy Statement. This policy of U. T. System Administration is to comply with Texas and Federal laws governing the carrying of Handguns on a System Administration campus and to maintain a safe and secure work environment in which employees can focus on work with minimal distraction.

Sec. 3 Possession of a Handgun on a System Administration Campus.

3.1 A person may not carry a Handgun on a System Administration campus unless the person holds a valid Handgun License.

3.2 Subject to compliance with this policy and law, a License Holder may carry a Concealed Handgun on a System Administration Campus except in an Exclusion Zone or where otherwise prohibited by state or federal law.

3.3 A License Holder is responsible for safeguarding the person’s Handgun at all time and shall take all necessary precautions to ensure that the Handgun is secured in a manner that is most likely to prevent theft, loss, damage, or misuse.

3.4 A License Holder shall carry the person’s Handgun in a holster that completely covers the trigger and the entire trigger guard

**The University of Texas System
System Administration Policy**

Policy: INT184

area. The holster must have sufficient tension or grip on the Handgun to retain it in the holster even when subjected to unexpected jostling.

3.5 Except for a Handgun stored in a vehicle as permitted by state law, a License Holder shall keep the Handgun on or about their person at all times. "On or about their person" means the Handgun (and any item such as a purse or backpack in which the Handgun is concealed) must be close at hand and within such distance that, without materially changing position, the License Holder can place a hand on it.

3.6 A License Holder shall conceal the Handgun at all times so that it is not partially or wholly visible to another person. The only exception is that the Handgun may be momentarily visible when in transition from the License Holder's person to storage in the License Holder's vehicle.

Sec. 4 Exclusion Zones. Enforcement of an Exclusion Zone requires effective notice to the License Holder. For that purpose, an Exclusion Zone should be demarcated with legally sufficient signage. If appropriate signage is not provided, persons on the premises may instead be provided with a legally sufficient written communication. The text of such a sign or written communication is prescribed by under *Texas Penal Code §30.06*.

Each of the following is an Exclusion Zone:

4.1 Leased Space – Any premises leased to a third party (Lessee) by System Administration (Lessor) from which the Lessee prohibits Handguns as permitted by law.

**The University of Texas System
System Administration Policy**

Policy: INT184

Any premises leased to System Administration (Lessee) by a third party (Lessor) from which the Lessor has excluded Concealed Handguns as permitted by law.

4.2 U. T. System Aircraft – Any aircraft owned or leased by System Administration that is used for passenger transportation.

Sec. 5 Reporting. A person who observes any violation of this policy should report the violation to The U. T. System Office of the Director of Police (ODOP). A License Holder whose Handgun is lost or stolen on a System Administration Campus shall immediately report the loss or theft to ODOP.

Sec. 6 Disciplinary Action. A System Administration employee who carries a Handgun in violation of this policy is subject to disciplinary action including removal, probation, suspension, dismissal, or termination.

3. Definitions

U.T. System Administration Campus –All land and buildings owned or leased by System for use by System Administration. The term does not include land or buildings governed by the Campus Carry rules of a U. T. System academic or health-related institution.

Concealed Handgun – A Handgun the presence of which is not openly discernible to the ordinary observation of a reasonable person.

Exclusion Zone – Premises of a System Administration Campus where a License Holder may not carry a Concealed Handgun.

Handgun – A firearm that is designed, made, or adapted to be fired with one hand.

**The University of Texas System
System Administration Policy**

Policy: INT184

Handgun License – A license to carry a Handgun issued under *Texas Government Code* Chapter 411.

License Holder – Anyone with a Handgun License.

Premises – A building or portion of a building. The term does not include any public or private driveway, street, sidewalk or walkway, parking lot, parking garage, or other parking area.

4. Relevant Federal and State Statutes

[Texas Government Code § 411.2031, Carrying of Handguns by License Holders on Certain Campuses](#)

[Texas Penal Code § 30.06, Trespass by License Holder with a Concealed Handgun](#)

[Texas Penal Code § 30.07, Trespass by License Holder with an Openly Carried Handgun](#)

[Texas Penal Code § 46.03, Places Weapons Prohibited](#)

[Texas Penal Code § 46.035, Unlawful Carrying of Handguns by License Holder](#)

5. Relevant System Policies, Procedures, and Forms

none

6. System Administration Offices Responsible for Policy

Office of Risk Management

7. Dates Approved or Amended

8. Contact Information

Questions or comments about this policy should be directed to:

- bor@utsystem.edu



THE UNIVERSITY of TEXAS SYSTEM
FOURTEEN INSTITUTIONS. UNLIMITED POSSIBILITIES.

Office of the Chancellor
601 Colorado Street
Austin, Texas 78701
512-499-4201
WWW.UTSYSTEM.EDU

January 11, 2015

MEMORANDUM

To: Chancellor William H. McRaven
Presidents, The University of Texas System

Dr. Vistasp M. Karbhari

Dr. Gregory L. Fenves

Dr. B. Hobson Wildenthal, *ad interim*

Dr. Diana S. Natalicio

Dr. W. David Watts

Dr. Guy Bailey

Dr. Ricardo Romo

Dr. Rodney H. Mabry

Daniel K. Podolsky, M.D.

David L. Callender, M.D.

Giuseppe N. Colasurdo, M.D.

William L. Henrich, M.D.

Ronald A. DePinho, M.D.

Kirk A Calhoun, M.D.

From: Concealed Carry Working Group

Subject: Recommendations Regarding Concealed Carry on Campuses

Executive Summary

The Texas Legislature recently passed Senate Bill 11, which permits license holders to carry concealed handguns in public university buildings beginning August 1, 2016. License holders must be at least 21 years old (unless active duty military or a veteran) and meet certain requirements. The law allows institution presidents to “establish reasonable rules” and to evaluate “the nature of the student population, specific safety considerations, and the uniqueness of the campus environment.” However, a president “may not establish provisions that generally prohibit or have the effect of generally prohibiting license holders from carrying concealed handguns on the campus of the institution.” The provisions established by the president take effect unless amended by the institution’s Board of Regents by not less than a two-thirds vote. Presidents may over time amend an institution’s rules, although amendments are subject to the same potential action by the Board of Regents.

Chancellor William H. McRaven
Presidents, The University of Texas System
January 11, 2016

To assist in the implementation process, a working group was formed that consists of at least one representative from each institution and representatives of U. T. System administration. The charge was to discuss the law and its interpretation, share information and perspectives, and develop recommendations for facilities common to many campuses. The guiding principles used by the working group were:

1. Follow the law.
2. Focus on safety.
3. Strive for a campus environment in which students, staff, and faculty can focus on their studies, research, and work with minimal distraction.

Decisions about rules, regulations, and exclusion zones are the responsibility of an institution's president. This report is advisory to presidents. The working group's objective was to facilitate discussion and to assist campus consultative groups and presidents as they evaluate their campus requirements. Recommendations are not intended to constitute a complete list of exclusion zones or areas to be considered by an institution's president.

Existing state laws exclude certain areas from concealed carry including schools, collegiate sporting events, and premises on which school sponsored activities are taking place. No further action appears to be necessary to exclude these from U. T. System campuses. The working group recommends that presidents consider, as a starting point, the following exclusion zones:

1. Areas for which state or federal law, licensing requirements, or contracts require exclusion exclusively at the discretion of state or federal government, or in which handguns are prohibited by an accrediting authority, such as child-care facilities.
2. Patient care areas, including those where mental health care services are provided.
3. Premises in or on which a ticketed sporting event is taking place.
4. Areas in which discharge of a handgun might cause widespread harm, such as laboratories with extremely dangerous chemicals, biologic agents, or explosive agents, or areas with equipment that is incompatible with metallic objects such as magnetic resonance imagining machines.

Chancellor William H. McRaven
Presidents, The University of Texas System
January 11, 2016

5. Animal care areas and vivaria in which protocols increase the risk of discharge or contamination of a concealed handgun, or its unanticipated separation from the license holder.

The working group offers comments on other issues that were discussed:

- Mixed use buildings. Buildings may have some excludable space. The decision about whether to exclude the entire building rather than just certain areas should be made on a case-by-case basis considering the practicality of limiting only certain areas.
- Notification. Notification that concealed handguns are not permitted in a building or area must be provided in writing, orally, or with prominent signage. The working group believes that the law ordinarily requires signage at the entrances to areas excluded by an institution (buildings or areas within a building). The working group noted that signage all over the place could create a false impression that concealed carry is common on campus and run counter to the goal of continuing the work of the university with as little distraction as possible.
- Handgun storage. The law does not require institutions to have handgun storage facilities – the law simply requires that a license holder be permitted to carry their handgun on or about their person. Institutions should take note that handgun storage facilities are not required elsewhere in Texas.
- Residential facilities. The law provides that institutions may establish rules for storage of handguns in dormitories or other residential facilities. Some people believe that this language reinforces an opinion that the intent of the law is to permit concealed carry in residential facilities. Others believe that the law empowers presidents to exclude concealed carry without limitation, other than the requirement that the president “may not establish provisions that generally prohibit or have the effect of generally prohibiting license holders from carrying concealed handguns on the campus of the institution.”
- Classrooms. Some people believe that the intent of the law is to allow concealed carry in classrooms (consistent with making concealed carry generally available on a campus). Others believe that the law authorizes presidents to exclude handguns from classrooms based on consideration of “the nature of the student population ... and the uniqueness of the campus environment.” The working group had a range of opinion about these differing perspectives and did not reach consensus.

Chancellor William H. McRaven
Presidents, The University of Texas System
January 11, 2016

Introduction

In the 84th Regular Legislative Session, the Texas Legislature passed Senate Bill 11, which allows licensed individuals to carry concealed handguns on public university campuses, effective August 1, 2016. The law defines “campus” as all land and buildings owned or leased by an institution of higher education. By law, a license holder must be at least 21 years old (or be active military or a veteran), be a resident of Texas, meet other requirements such as no record of felony conviction, and be fully qualified under federal and state law to purchase a handgun. Per 18 USC § 922(g), aliens admitted to the U.S. under a nonimmigrant visa are prohibited from possessing, shipping, transporting, or receiving any firearm or ammunition. International students attending universities are typically in the U.S. with non-immigrant visas and therefore ineligible under federal law to possess any firearm or ammunition.

Senate Bill 11 permits institution presidents to exclude certain zones as follows:

“After consulting with students, staff, and faculty of the institution regarding the nature of the student population, specific safety considerations, and the uniqueness of the campus environment, the president or other chief executive officer of an institution of higher education in this state shall establish reasonable rules, regulations, or other provisions regarding the carrying of concealed handguns by license holders on the campus of the institution or on premises located on the campus of the institution. The president or officer may not establish provisions that generally prohibit or have the effect of generally prohibiting license holders from carrying concealed handguns on the campus of the institution. The president or officer may amend the provisions as necessary for campus safety. The provisions take effect as determined by the president or officer unless subsequently amended by the board of regents or other governing board.”

To facilitate the establishment of rules by presidents of U. T. System institutions, a working group was formed consisting of at least one representative from each institution and representatives of U. T. System administration (Appendix A). The charge to the working group was to discuss the law and its interpretation, share information and perspectives, and develop recommendations for facilities common to many campuses.

Chancellor William H. McRaven
Presidents, The University of Texas System
January 11, 2016

Each institution has unique programs, facilities, and operations. The observations and recommendations presented herein are advisory and are not intended to constitute a complete list of exclusion zones or areas to be considered for exclusion by an institution's president. The working group's objective was to facilitate discussion and to assist presidents as they evaluate their campus requirements.

Decisions about establishment of rules and exclusion zones are the responsibility of institution presidents. Senate Bill 11 requires that an institution's Board of Regents review the provisions established by a president within 90 days of establishment. The institution's Board of Regents may by not less than a two-thirds vote to amend those provisions. Over time, a president may revise rules; such revisions are also subject to amendment by the Board of Regents by not less than a two-thirds vote.

Coordinated and consistent policies, where appropriate, facilitate consistency in enforcement, which benefits both the campuses and holders of licenses to carry a concealed handgun.

Guiding Principles

Three over-arching principles guided the working group:

1. Follow the law.
2. Focus on safety.
3. Strive for a campus environment in which students, staff, and faculty can focus on their studies, research, and work with minimal distraction.

Chancellor William H. McRaven
Presidents, The University of Texas System
January 11, 2016

Locations Excluded by Law or Rule

The Texas Penal Code does not permit firearms and other specific weapons at the following locations relevant to issues of carrying concealed handguns on campuses:

- “on the physical premises of a school ..., any grounds or building on which an activity sponsored by a school ... is being conducted” (46.03(a)(1). The law does not define “school” for these purposes but the working group believes that a reasonable interpretation is pre-K through 12 as the meaning of “school.”
- “on the premises of any government court or offices utilized by the court” (46.03(a)(3)).
- “on the premises where a high school, collegiate, or professional sporting event or interscholastic event is taking place” (46.035(b)(2)).
- “on the premises of a hospital licensed under Chapter 241, Health and Safety Code” (46.035(b)(4)).
- “at any meeting of a government entity” (46.035(c)) “if the meeting is an open meeting subject to Chapter 551, Government Code, and the entity provided notice as required by that chapter” (amendment per HB 910).

The working group believes that because law excludes concealed carry from schools, collegiate sporting events, and school-sponsored activities, no further action is needed to exclude concealed handguns from these already-excluded locations.

As a matter of information, the working group understands that U. T. System hospitals are not licensed under Chapter 241 of the Health and Safety Code. Thus, the exclusion provided by the Texas Penal Code for hospitals is not directly applicable to U. T. System institutions, although by analogy and extension, U. T. System institutions might exclude their hospitals and other patient treatment locations.

There may be certain areas on some campuses where handguns are prohibited by federal law or other licensing requirements. It seems reasonable to provide an exclusion where required by law, licensing rules, or an accrediting authority.

Chancellor William H. McRaven
Presidents, The University of Texas System
January 11, 2016

Recommended Exclusion Zones

The responsibility for establishing exclusion zones rests with an institution's president. The campus advisory groups, U. T. System Administration, and this report are advisory to presidents.

Certain areas are already excluded by law, e.g., schools and activities sponsored by schools, and, thus, apparently need not be specifically identified as exclusion zones by campus presidents. The working group did not attempt to develop a complete list of exclusion zones that institutions might want to consider. The working group recommends that presidents consider the following exclusion zones among others that might be unique to a particular campus:

1. **Areas for which state or federal law, licensing requirements, or contracts require exclusion exclusively at the discretion of the state or federal government, or in which handguns are prohibited by an accrediting authority.** The working group has not attempted to identify all such areas. Certain licensing agencies prohibit handguns, such as for nuclear research reactors. Certain contracts- required for hosting the administration of a college entrance examination- might require no handguns. Perhaps the main example of a type of facility in this category is:
 - **Child-Care Facilities.** Rules of the Texas Department of Family and Protective Services prohibit the possession of firearms on the premises of licensed facilities with before- or after-school care and for licensed child-care centers (40 TAC Secs. 744.2607 and 746.3707). However, because there is no enforcement mechanism in the Penal Code other than possibly suspension or revocation of the child-care center's license, the law may be viewed as not specifically prohibiting the carrying of concealed handguns in such locations. The working group believes that the exclusion of concealed carry on the premises of child-care facilities at U. T. System institutions is sensible and recommends that presidents consider excluding them.

Chancellor William H. McRaven
Presidents, The University of Texas System
January 11, 2016

2. **Areas analogous to state law requirements that prohibit concealed handguns:**
 - **Patient care areas.** Section 46.035(b)(4) of the Penal Code excludes hospitals licensed under Chapter 241 – by analogy and extension, the working group recommends that patient care areas be excluded including hospitals, clinics, and mental health treatment areas. The working group suggests that “patient care area” could be restricted to patients for whom a formal record of treatment is maintained.
 - **Premises in or on which a ticketed sporting event is taking place.** Section 46.035(b)(2) of the Penal Code prohibits concealed handguns at collegiate sporting events. The working group recommends that by analogy and extension, any ticketed sporting event be excluded on U. T. System campuses, whether an intercollegiate event or not.
3. **Areas where discharge of a handgun might cause widespread harm, such as laboratories with extremely dangerous chemicals, biologic agents, or explosive agents, or equipment that is incompatible with metallic objects such as magnetic resonance imaging machines.** Training of concealed handgun license holders on safe use of handguns in such facilities is not practical. Accidental or purposeful discharge of a handgun in such areas could cause grave and catastrophic harm. Handguns are inappropriate in the vicinity of some types of equipment, e.g., magnetic resonance imaging equipment because of the very strong magnetic field present. Exclusion may be appropriate for these areas to ensure campus safety.
4. **Animal care areas and vivaria in which protocols increase the risk of discharge or contamination of a concealed handgun, or its unanticipated separation from the licensed holder.** Some animal care facilities have strict protocols for entering and exiting the facility, including requirements for protective clothing and sterile gloves. Animals may carry viruses and bacteria that can be pathogenic to humans, which may require careful control over objects that are brought into or leave a facility. In large animal care facilities, primates, if present, have the ability to grab and manipulate objects. The many safety risks associated with such facilities give cause to recommend that concealed handguns be excluded.

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Discussion of Various Topics

Working group discussions touched on many topics of interest. Key elements from these discussions are summarized as follows.

Mixed-Use Buildings. Many buildings have mixed use in terms of potential exclusion zones. The question is: when is the proportion of excluded area sufficiently large to warrant exclusion of the whole building? The working group offers the following observations:

- If a small number of rooms or a small fraction of assignable space in a building is subject to exclusion, only the rooms or areas that qualify for exclusion could be excluded. Appropriate notice such as signage needs to be provided for those rooms or areas that are excluded.
- If a significant fraction of the building in terms of number of rooms or assignable space is subject to exclusion, or if the excludable space is not separable from other space, then as a matter of practicality, the whole building could be excluded. Appropriate notice such as through signage must be provided for the building.
- There is a “gray area” in which the excludable space within a building is neither minimal nor obviously dominant. The decision of whether to exclude the entire building should be made on a case-by-case basis, based on how practical it is to exclude only certain rooms or areas of the building.

Some spaces have mixed use in terms of when space is used for certain purposes. For example, some areas are excluded only when a ticketed sporting event is taking place. Thus, signage indicating exclusion may need to be temporary and removable in some cases.

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Requirement for Holster. Senate Bill 11 authorizes presidents to establish reasonable rules. One concern expressed within the working group is the potential for a handgun to discharge accidentally when carried in a purse or backpack. One requirement that UT Austin is considering that the working group recommends for consideration by all presidents is a safety requirement that could something similar to the following:

- “A license holder who carries a handgun on campus must carry it in a holster that completely covers the trigger and the entire trigger guard area. The holster must have sufficient tension or grip on the handgun to retain it in the holster even when subjected to unexpected jostling.”

The working group believes that SB 11 provides authority for presidents to establish such a requirement, which would minimize the potential for accidental discharge if the handgun is jostled about or if the purse or backpack is dropped.

Notification and Signage. Section 30.06 of the Texas Penal Code describes general requirements for notification where concealed carry is not permitted. The law states that, “a person receives notice if the owner of the property or someone with apparent authority to act for the owner provides notice to the person by oral or written communication.” The written communication must state the following:

- "Pursuant to Section 30.06, Penal Code (trespass by holder of license to carry a concealed handgun), a person licensed under Subchapter H, Chapter 411, Government Code (concealed handgun law), may not enter this property with a concealed handgun".

Written communication may be conveyed in one of two ways:

- A card or other written document that contains the language above, or
- Signage in both Spanish and English displayed in a conspicuous manner clearly visible to the public, with contrasting colors and block letters at least one inch in height.

The best option for informing the public of exclusion at ticketed events such as sporting events might be the printing of exclusion information on the back of the ticket. In situations where an individual must review and sign a form (such as a consent form signed by parents for their children), written notification might be provided on the form.

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No notification is required by statute for schools or school-sponsored activities. License holders are expected to know that these areas are excluded without specific notification.

Oral notification is a permitted means of notification under the law. Although oral notification may be impractical in regards to entry to many areas, there may be certain areas or situations in which oral notification is effective. Moreover, Section 30.06 also criminalizes remaining on property after being advised that concealed handguns are not permitted; that is an example of a situation when oral notice becomes practical.

The working group addressed the question of whether signage is needed on each excluded building or excluded area, or whether a few generic signs at strategic locations would suffice. The prevailing legal opinion is that signage must be on each building or area within a building, in large part because the law requires the signage to state, "... may not enter this property with a concealed handgun." If there were only a few generic signs on campus, "this property" would seem to imply the whole campus. Even if "this property" pointed to specific buildings or areas within a building, the requirements of the law for signage displayed in a "conspicuous manner" with large-block letters seems to require signage at the entrance to excluded buildings or areas within a building.

Residential Facilities. Senate Bill 11 provides that, "An institution of higher education ... may establish rules, regulations, or other provisions concerning the storage of handguns in dormitories or other residential facilities that are owned or leased and operated by the institution and located on the campus of the institution."

On-campus housing takes many forms across U. T. System institutions, including residences in which one or more people live in a single room, multi-room units that may have a common living room area and several bedrooms, and apartments. Students are the dominant occupants of residential facilities, but in some cases faculty and staff members live in university owned or leased residential facilities. Some U. T. System institutions host summer camps for children and house the children in residence halls.

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The working group addressed the question of whether the law permits exclusion of concealed carry in residential facilities. Two opinions were expressed. One opinion is that most legislators who supported SB 11 intended for concealed handguns to be permitted in dormitories and residential facilities, and that the language of the statute allowing storage in residential settings reinforces this view. However, the law also says that presidents may take into account “the nature of the student population, specific safety considerations, and the uniqueness of the campus environment”; thus, if a campus has residential facilities for which exclusion is judged by a president to be appropriate, one might opine that the law permits exclusion.

Because residential facilities cover such a broad spectrum of circumstances across U. T. System institutions, no across-the-board recommendations are offered.

The working group discussed whether an individual could request a roommate who is not a license holder for residential facilities that permit concealed carry. Such a request is problematic because a license holder is not compelled to respond to an inquiry about status as a licensed carrier except when the query comes from a law enforcement officer. The working group suggests that campuses that wish to provide an opportunity for residents to self-select a non-license holder as a roommate be asked to indicate on the residential application form something along the lines of, “I voluntarily disclose that I am not licensed to carry a concealed handgun, and I request a roommate who has made this same voluntary disclosure.”

Handgun Storage Facilities. The law permits license holders to carry their concealed handgun on or about their person, but it does not compel institutions to provide or allow storage of handguns when the licensees do not have the handgun on or about their person. The working group could not identify any legal requirement to provide storage, nor could it identify any restriction on an institution’s authority to prohibit storage. Should an institution choose to permit storage of handguns within university buildings, the establishment of minimum storage requirements is reasonable. If a particular campus chooses to provide for handgun storage, the working group notes that issues of safety, security, accessibility, and privacy will likely need to be addressed.

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Classrooms. The working group discussed the subject of excluding concealed handguns from classrooms. Different schools of thought were expressed. One opinion is that the law intends if not outright requires allowance of concealed carry in classrooms through the requirement that rules may not “have the effect of generally prohibiting license holders from carrying concealed handguns on the campus of the institution.” Meeting this requirement might be a challenge especially if the classroom exclusion is coupled with the exclusion of concealed handguns broadly or in numerous buildings. In addition, several legislators have said that the intent of the law is to allow concealed carry in classrooms.

Some members of the working group expressed the opinion that a president has the latitude and authority to establish rules that prohibit concealed handguns from classrooms. The law allows presidents to establish reasonable rules taking into consideration “the nature of the student population, specific safety considerations, and the uniqueness of the campus environment.” From this point of view, a decision to exclude concealed handguns from classrooms appears to be allowable under the law. Some legislators have expressed the opinion that institution presidents have ultimate authority over campus carry policy, including decisions about classrooms.

The working group members discussed the varying points of view, but did not reach consensus. Ultimately, the decision is up to each institution president, subject to potential amendment by the U. T. System Board of Regents.

The working group members agree that excluding concealed handguns from classrooms would introduce complicating questions such as:

- Is it feasible to exclude classrooms without excluding the entire building that contains classrooms?
- Would exclusion of classrooms have the effect of generally prohibiting license holders from carrying concealed handguns on the campus?
- If classrooms are excluded, must one consider handgun storage lockers for times when students are in class?
- Would the signage that might be required give people the false impression that concealed handguns are widespread on campus and run counter to the objective of striving for a campus environment in which students, staff, and faculty can focus on their studies, research, and work with minimal distraction?

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Leased Space. Senate Bill 11 permits the carry of concealed handguns on the premises of campuses, and defines campus as “all land and buildings owned or leased by an institution of higher education.” The law does not expressly distinguish between property “leased” as a lessor (in which the higher education institution owns the property but leases it to a third party for other uses) or “leased” as a lessee (in which the higher education institution does not own the property but leases it for university use). The common meaning of “campus” would include only the geographic boundaries of the buildings and grounds used for university purposes, and there is no indication of a legislative intent to include property outside those boundaries that is owned by the university but leased to a third party for other uses.

For property within the boundaries of a campus, such as campus bookstore, a lessee may have property rights under the lease. However, in some cases a private entity may not have private property rights, such as might be the case for a food-service provider in a dining hall, because they are an agent of the university providing a service that the university could provide.

There is no indication that the legislature intended the campus carry legislation to override private property rights. In fact, the Senate author of the legislation made the point during debate that private institutions of higher education were given an opt-out because of respect for private property rights. Even for property within the geographic boundaries of a campus, it seems reasonable to conclude that private property rights are paramount. Section 30.06 of the Penal Code allows an entity (other than a governmental entity) to exclude concealed carry by giving notice, usually through signage. In addition, 411.203, Government Code, provides that the licensing statute does not limit the right of a private employer to prohibit concealed carry license holders from carrying on the premises of the business. AG Op. No. DM-363 determined that an employer could restrict the carrying of concealed handguns on property it controls by posting notice.

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The power of the lessee on the campus to exclude concealed handguns is, of course, subject to the terms of the lease. Assuming that the lease is silent on the subject, the working group believes the lessee may have the power to exclude concealed handguns without regard to the exclusion zones adopted by the campus, provided adequate notice is given, for example, via signage. By this same token, if the university leases space from a private entity and that entity wishes concealed carry to be excluded, the president of an institution appears to be on solid ground in establishing the leased space as an exclusion zone on the basis of the preference of the private property owner.

Parking areas are expressly different. Section 46.035 of the Penal Code, by means of defining "premises," has always permitted licensed concealed carry on public or private parking lots, garages, or other parking areas. Parking facilities are especially important to a licensee because the licensee's handgun may be stored in a locked vehicle. It appears that SB 11 does not change that, so the private operator of a parking facility on space leased from a university likely lacks the authority to exclude concealed handguns under any circumstances. However, some parking garages have retail space, often leased to a private entity that has nothing to do with parking, such food service. In such situations, where there is no parked car in the leased space, it may be possible to consider exclusion.

Formal Hearing Areas. Section 46.03(a)(3) of the Penal Code excludes "any government court or offices utilized by the court." By analogy and extension, an institution could exclude any facility used as a hearing room that operates similar to a court, i.e., where an individual or panel is designated under institutional policy to adjudicate the rights or privileges of a student or an employee of the institution. This does not cover a non-hearing environment such as processing of forms or claims. There are many nuances and complications regarding such interpretation, such as what constitutes a formal hearing. The working group recommends that this potential type of exclusion be handled at the institutional level, considering the unique circumstances at each campus. It may help to clarify in an institution's rules which hearings are excluded for concealed carry.

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Areas in which Sponsored Activities Are Conducted for Persons under 18 years of Age Who Are Not Enrolled at the Institution. Section 46.03(a)(1) of the Penal Code prohibits the carrying of handguns on “any grounds or building on which an activity sponsored by a school ... is being conducted.” All U. T. System institutions host events for school-age children. If the activity is sponsored by a school, the carrying of handguns is automatically excluded per 46.03(a)(1) of the Penal Code. Nothing further is required from an institution to exclude concealed carry for activities sponsored by a school. No specific notification is required under the law, although an institution might consider signage stating something to the effect of, “School-Sponsored Activity in Progress.”

Numerous events occur on campuses that are not sponsored by a school but, rather, are sponsored by the higher education institution or by others. By analogy and extension, events for children sponsored by or conducted in coordination with the institution could be considered for exclusion of concealed handguns. However, institutional discretion will likely be needed to determine which sponsored events rise to a level appropriate for exclusion. Institutions may choose to exclude concealed carry for parents, employees, or volunteers working with the children on a sponsored event while on campus. Exclusion may not be practical for everyone on campus during the transit of children from one location on campus to another, or for informal gatherings such as lunch in a cafeteria.

Sponsored events cover such a broad array of potential activities that no blanket recommendations are appropriate, except as required by law for school sponsored events. The working group recommends that each institution consider possible exclusion for times and locations when non-school-sponsored events are taking place for persons under the age of 18 who are not enrolled on campus.

Temporal Exclusions. Senate Bill 11 allows presidents to establish rules, and these could be for certain times. For example, an area might be excluded only when there is a ticked sporting event. The working group did not discuss broader temporal exclusions, such as during final exam week and would note the significance of notification requirements.

The group briefly discussed the special case of a situation in which a student is not allowed to bring anything into the classroom besides a pencil (no purses, backpacks, hats, etc.) when a test is given. The question raised is: may the institution exclude concealed handguns? No definitive answer was provided, but the issue raised reinforces the unique circumstances of college campuses.

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Reporting Requirements. Senate Bill 11 requires a report to the legislature each even-numbered year that “describes [the institution’s] rules, regulations, or other provisions regarding the carrying of concealed handguns on the campus of the institution; and ... explains the reasons the institution has established those provisions.” The reporting requirements to the legislature appear not to require a building-by-building accounting but, rather, a more general description.

For institutional reports to the Board of Regents regarding exclusion zones, campuses are expected to explain which areas have been excluded and why, and are not expected to provide a building-by-building explanation. Further consideration will be given to guidelines for institutions in reporting to the Board of Regents.

Violations. The working group discussed how to handle violations of concealed carry provisions. If a person is believed to have improperly displayed a handgun or carried a handgun into a location where concealed carry is not permitted, the campus police should be contacted. The question of what further penalties might be permissible was discussed and the following guidance developed:

- The licensing statute, Chapter 411, Government Code, effectively requires that the handgun be “concealed,” meaning that the gun’s presence is “not openly discernable to the ordinary observation of a reasonable person.” Similarly, Section 46.035, Penal Code, on unlawful carry by a licensed holder, prohibits intentional display of the handgun in plain view of another person in a public place as well as possession, whether or not concealed, in specific areas.
- Sections 46.03 and 46.035 address which violations are criminal. Both sections include “state of mind” requirements, and these state of mind requirements vary. Under section 46.03, it is a crime to “intentionally, knowingly, or recklessly” carry a handgun into in a place designated by that section as an exclusion zone (e.g., a pre-K through 12 school). Under section 46.035, however, it is a crime for a license holder to “intentionally” carry a concealed handgun into an area excluded under the rules and regulations established by a university, provided proper notice is given. With regard to the open display of a handgun on campus, section 46.035 makes it a crime for a license holder to “intentionally or knowingly” display the handgun in plain view of another person on a university campus. Texas Penal Code § 6.03 assigns particular meaning to the terms “intentionally,” “knowingly,” and “recklessly.” Under section 46.035, however, license holders do not commit a crime if they mistakenly bring a concealed handgun into an exclusion zone –

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(e.g., if the holder forgot they had the gun with them). As explained below, however, individual institutions may provide that any violation of their concealed carry rules and regulations constitutes a sanctionable offense under their institutional rules.

- Section 411.2031, Government Code, as added by SB 11, expressly authorizes an institution “to establish reasonable rules ... regarding the carrying of concealed handguns by license holders on the campus,” with specific regard to “safety considerations” and “campus safety.” Violations of campus rules about how to carry or store handguns may be acts subject to disciplinary action by an institution.
- Under Section 46.02, Penal Code, which prohibits the carrying of certain weapons “on or about” a person, Texas courts have held that “on or about” includes “the area nearby, close at hand, convenient of access, and within such distance of the party so that, without materially changing his position, the party could get his hand on it” and to include a portfolio or purse [Contreras v. State, 853 S.W. 2d 694 (Tex. App. Houston (1st Dist.), 1993)] The working group was not able to identify any cases under Sec. 46.035, Penal Code, that have specifically considered the meaning of “on or about” in the context of that Penal Code provision governing unlawful carry by a concealed handgun holder.
- The following language is offered to campuses for consideration as they establish rules:
 - "License holders bear the responsibility for safeguarding their handguns at all times, and must take all necessary precautions to ensure their handguns are secured in a manner that is most likely to prevent theft, loss, damage or misuse. License holders affiliated with [institution] who fail to use reasonable care in securing their handguns or acts negligently are subject to disciplinary action, up to and including termination or non-renewal of appointment, or dismissal from [institution]."

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- "A license holder fails to use reasonable care when he/she does not exercise the care which a reasonable or prudent person would exercise in similar circumstances, or takes action which a reasonable or prudent person would not take. Failing to secure or control a backpack or purse with a handgun at all times on the [institution's] campus would be considered a failure to use reasonable care."

Institutional Rules. Institutions may wish to modify certain institutional rules and procedures in an effort to minimize any ambiguity regarding concealed carry. For example, an institution might exclude concealed carry from a room in which a formal hearing under a specific provision in the code of student discipline and conduct. Such specific provisions might remove any possible ambiguity about whether a certain type of hearing is meant to constitute a formal proceeding for which concealed carry is not allowed.

Communications and Training. Once campus rules are established, there may be an important role for the U. T. System and the campuses to play in sharing information related to communicating to campus communities and training of individuals.

Concluding Remarks

The members of the concealed carry working group benefited from the sharing of information, discussion of the law and its interpretation, and sharing of best practices taking place at each campus. In developing these recommendations, the working group recognized that the law empowers each institution president to make appropriate provisions. The working group hopes that these observations and recommendations will assist campus presidents.

The working group stands ready to assist the System and institution presidents as needed or desired, and will be happy to answer questions or address additional issues.

DED/jlb

cc: Raymond S. Greenberg, M.D., Ph.D.
Dr. Steven W. Leslie

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January 11, 2016

APPENDIX A

Members of the Concealed Carry Working Group

Institutions

- U. T. Arlington: John Hall, Vice President for Administration
- U. T. Austin: Steven Goode, JD, Professor, Law
- U. T. Dallas: Alex Piquero, Professor, Criminology
- U. T. El Paso: Gary Edens, Vice President for Student Affairs
- U. T. Permian Basin: Teresa Sewell, Senior Associate Vice President for Student Affairs
- U. T. Rio Grande Valley: Ben Reyna, Associate Vice President for Security and Campus Affairs
- U. T. San Antonio: Kathy Funk-Baxter, Vice President for Business Affairs, and also Steve Barrera, Chief of Police
- U. T. Tyler: Tammy Cowart, JD, Associate Professor, Business Law
- U. T. Health – Houston: George Stancel, Executive Vice President for Academic and Research Affairs
- U. T. Medical Branch – Galveston: Michael Ainsworth, MD, Vice Dean for Academic Affairs, School of Medicine
- U. T. M. D. Anderson – Max Weber, JD, Associate Vice President and Deputy Chief Compliance Officer
- U. T. San Antonio HSC – Michael Parks, Chief of Police, and Dr. Jacqueline Lee Mok, Vice President for Academic, Faculty, and Student Affairs
- U. T. Southwestern – Charles Ginsburg, MD, Senior Associate Dean
- U. T. Tyler HSC – Robert Cromley, Chief of Police

U. T. System Administration

- Committee Chair: David E. Daniel, Deputy Chancellor
- Office of the Chancellor: Jana Pankratz, Executive Director
- Director of Police: Michael Heidingsfield
- Government Relations: Barry McBee, JD, Vice Chancellor and Chief Governmental Relations Officer, and Steve Collins, JD, Associate Vice Chancellor for Government and Special Counsel for Governmental Relations and Special Counsel to the Office of General Counsel
- Board of Regents: Kristy Orr, JD, Associate General Counsel
- Office of General Counsel: Tamra English, JD, Assistant General Counsel
- Office of Academic Affairs: Wanda Mercer, Associate Vice Chancellor
- Office of Health Affairs: Patrick Francis, Associate Vice Chancellor
- Risk Management: Patrick Durbin, Assistant Director, Risk Control
- External Relations: John Morton, Senior Communications Writer



Draft Policy 12-500

CONCEALED CARRY AND WEAPONS ON CAMPUS

Contents

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I. **Title**

Concealed Carry and Weapons on Campus

II. **Policy**

A. The University of Texas at Arlington is committed to providing a safe and secure learning, working, and living environment. To this end, the University prohibits the use, possession, display or storage of any firearms, other lethal or non-lethal weapons, simulated weapons, explosives, or fireworks on the University campus. Individuals who observe a violation of this policy are required to report the incident immediately to the University of Texas at Arlington Police Department ("UTAPD") so that it can be documented and properly investigated. UTAPD can be contacted at (817) 272-3003 for emergencies and (817) 272-3381 for non-emergencies. UTAPD will forward potential violations of this policy to the Office of Human Resources or Office of Student Conduct as applicable.

B. Exceptions:

1. Licensed peace officers may carry authorized weapons on the University Campus.
2. Within Scope of University Employment or Work. This Policy permits lawful possession, use and storage of Other Lethal and Non-Lethal Weapons, and Fireworks to the extent such possession falls within the scope of a person's employment at the University. For example, this Policy does not prohibit the authorized possession, use and storage of radioactive materials or rockets in connection with laboratory research, or the authorized possession, use and storage of knives in connection with food preparation or consumption; or the

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authorized use, possession and storage of saws or shearing devices by Facilities Management employees or contractors.

3. Public Ceremonies, Parades and Theatrical Performances. This Policy permits the lawful possession, use and storage of Simulated Firearms or Other Lethal or Non-lethal Weapons by participants in any University-sponsored public ceremony, parade, athletic program, ROTC training, Color Guard Ceremonies or theatrical performance (e.g., stage props), provided that previous permission has been obtained from University Police.
4. Pursuant to Subchapter H, Chapter 411 of the Texas Government Code, individuals licensed by the state to carry a concealed handgun (License Holder) may carry a concealed handgun in approved areas on the University Campus beginning August 1, 2016. The following restrictions will apply:
 - a. This exception applies only to handguns; therefore, rifles and other weapons are still prohibited on the University Campus with the exception of weapons permitted under Section B.1.
 - b. The University will not provide storage for handguns on the University Campus.
 - c. License Holders in University housing must safely secure or store their firearms to prevent tampering or theft in accordance with University housing requirements. Roommates in University Housing may select a preference for a roommate who agrees not to possess a firearm on the University Campus; however, the University will make no guarantee to fulfill any request.
5. Exclusionary areas are set forth in Appendix A. Subchapter H, Chapter 411 of the Texas Government Code gives the presidents of public universities the ability to designate gun-free zones on their campuses. The University has identified exclusionary areas based on applicable laws and regulations, the general makeup of the campus population in those areas, safety considerations, and unique and material characteristics of those areas. The Texas Government Code 411.2031(d-1) and the Texas Penal code 30.06 require notice to be given regarding areas where license holders may not carry. Signage will be provided for these areas, using required wording and format.

C. Training and Awareness

1. In order to improve general campus safety regarding weapons on campus, the University will:
 - a. Maintain a website providing information on the use and prohibition of weapons on campus, including concealed carrying of handguns.

- b. Provide educational materials for students, staff, and faculty regarding active shooter situations.
- c. Post in a number of prominent locations a concise compilation of campus-carry information and FAQs.

D. Violations and Penalties

1. Suspected violations of law are required to be reported to the UTAPD or other law enforcement agencies and may result in criminal penalties. A license holder is bound by state and federal law and may face criminal or civil penalties for violation of those statutes. Violations of law will be investigated by the UTAPD.
2. Reports of staff or faculty violating this policy will be investigated by the Office of Human Resources and individuals subject to discipline, which may include disciplinary actions up to and including termination of employment for employees under the University's Human Resources policies and procedures.
3. Reports of students violating this policy will be investigated by the Office of Student Conduct and individuals subject to discipline under the Code of Student Conduct which may include disciplinary actions up to and including expulsion.
- 4.
5. Violations include, but are not limited to:
 - a. Carrying any weapon on the University Campus, unless specifically allowed by state or federal law or under this policy.
 - b. In compliance with Texas Penal Code 46.035(a-1), a license holder may not carry a partially or wholly visible handgun on the University Campus. Handguns must be carried on the person. Handguns must be in holsters that completely cover the trigger guard area and that can withstand any unexpected movement from jostling, falling, etc.
 - c. Causing an intentional, inadvertent or accidental discharge of a firearm that is not justified in the use of force or deadly force.
 - d. Using, or threatening to use, a weapon in a threatening or hostile manner.
6. Penalties: A person who fails to comply with the rules and the regulations prescribed by the University may be subject to University discipline and may also be in violation of the Texas Penal Code. Alleged violations of the Texas Penal Code will be investigated by UTAPD. Investigations of policy violations and criminal violations may run concurrently or separately. The lack of any finding of criminal activity does not preclude the University from finding a violation of policy.

Persons in violation of any lawful order to remove a weapon or to leave the University Campus are subject to arrest by UTAPD.

- E. Reporting: Not later than September 1st of each even-numbered year, the University shall submit a report to the legislature and appropriate committees that describe all provisions regarding the carrying of concealed handguns on the campus of the institution. The report will contain the rationale for the University's current provisions.

III. Definitions

Firearm: Any device, regardless of its name, that is designed to expel a projectile by the action of an explosion, expansion of gas or escape of gas; any imitation, facsimile, or replica firearm; and any firearm that has been rendered inoperative.

Fireworks: Include, but are not limited, to firecrackers, skyrockets, rockets, roman candles, cherry bombs, sparklers, toy cannons or toy guns in which explosive materials are used, fire balloons, or other devices containing any combustible or explosive substance used to propel another object.

Handgun: Any firearm that is designed made or adapted to be fired with one hand.

License Holder: An individual in possession of a current license issued or recognized by the State of Texas to carry a concealed handgun.

Simulated Weapon: Any object made in resemblance of or as a substitute for a Handgun, Firearm, or Other Lethal or Non-Lethal Weapon. Easily identifiable toys, such as brightly colored or clear water guns, are excepted from this Policy. Possession of imitation or replica Firearms on University premises or at University activities or events are prohibited except as specifically authorized by University Police.

Summer Camp: A university sanctioned program with specific objectives designated for middle school and/or high school students and which utilizes university facilities that may include housing, dining, classroom, meeting and recreation space.

University Campus: All land and buildings owned or leased by the University.

Other Lethal or Non-Lethal Weapon: Any object or substance that ordinarily could result in or are readily capable of inflicting a wound, causing injury or incapacitation, including without limitation all firearms, BB guns, air guns, pellet guns, zip guns, paint guns, flare guns, stun guns, clubs, slingshots, blackjacks, artificial knuckles, swords, sabers, daggers, machetes, hatchets, crossbows, longbows, knives of all types (except for knives used as common eating utensils and knives with a blade five and one-half inches or less and designed for personal use) ice picks, nunchucks, throwing stars, batons, nightsticks, billy clubs, and imitations, facsimiles or replicas of all of the above, chemicals such as mace, tear gas, or oleoresin capsicum, but excluding normally available over-the-counter self-defense repellents.

IV. Relevant Federal and State Statutes

Texas Government Code Chapter 411.

Texas Penal Code Chapter 46

V. **Relevant UT System and Institutional Policies, Procedures, and Forms**

HOP Policy 9 Student Conduct and Discipline

University Procedure 3-27 Discipline and Discharge Policy

University Campus Carry FAQs (pending)

University of Texas at Arlington Police Department Policy and Procedure (pending)

VI. **Who Should now**

All members of the campus community, including students, staff, faculty, visitors, contractors, prospective students, and the parents of students and prospective students.

VII. **UT Arlington Officers Responsible for Policy**

The Office of Compliance and Legal Affairs

VIII. **Dates Approved or Amended**

Pending

IX. **Contact Information**

All questions regarding this policy should be directed to the Office of Compliance and Legal Affairs.

APPENDIX A

Areas of the UTA where carrying a concealed handgun is prohibited include the following exclusion zones:

- a. Locations providing counseling and health services, which include the Social Work Building B, Counseling and Psychological Services on the third floor of Ransom Hall, and the Student Health Services Building.
- b. Locations providing childcare for minor children, which include the Transforming Lives Child Development Center.
- c. Locations primarily used for NCAA, UIL or professional sports events, which include the College Park Center, Maverick Stadium, Allan Saxe Field, and Clay Gould Ballpark.
- d. The Testing Services Office located in University Hall.
- e. The Maverick Activities Center (MAC).
- f. The Physical Education Building (PEB)
- g. University-owned residence halls when being used for summer camps.
- h. Designated locations where formal disciplinary and grievance actions are conducted. Specific locations will be identified and designated for these disciplinary and grievance hearings.
- i. Other exclusionary areas may include leased spaces on the University Campus as determined by the lessee, including Centennial Court Apartments, Follet Bookstore, and restaurants/shops/office space in the College Park District.
- j. When a polling place for public elections is located on University premises, the area will be restricted during Election Day and while early voting is in progress.
- k. On a case-by-case basis, any location, or specific time period the University President determines creates a unique situation that poses a threat to the safety of the campus community.

The University of Texas at Arlington Senate Bill 11 - Campus Carry Draft Recommendations

Specific Building/Location	Information/Background	Applicable Laws and Regulations	Makeup of Campus Population	Safety Considerations	Unique Environment
General Policy Recommendations					
No on-Campus Storage for Weapons Will be Provided by UTA	Senate Bill 11 does not require a university to provide storage for firearms. The Texas Government Code requires a handgun be stored in a manner such that a child would not be at risk of accidental injury. The Texas Penal Code provides guidance on acceptable methods to secure a firearm.	Senate Bill 11	All license holders must meet requirements established by the Texas Government Code to obtain their licenses, including training on how to safely store and secure their firearms.	Providing storage increases the time and frequency spent handling a firearm, thus increasing the risk of accidental discharges, ability for others to identify license holders, and possibility of theft of firearms, as storage locations would be generally well-known.	The 1995 Concealed Handgun License legislation and the new open carry legislation provide the foundation for Senate Bill 11. They do not require that storage be provided for license holders.
Recommended as an Exclusionary Area: Locations Providing Counseling and Health Services					
Social Work Building—Complex B	The Center for Clinical Social Work provides behavioral and mental health services/interventions for vulnerable children and adults (including veterans) who are often suffering from PTSD, ADHD, and other mental health challenges. The Center conducts research-based intervention services and diagnosis, and provides individual/group mental health and substance abuse counseling.	The Texas Penal Code provides an exclusion for the carrying of firearms on the premises of hospitals licensed under Chapter 241 of the Texas Health and Safety Code. The Penal Code also prohibits firearms on any grounds or building in which a school-sponsored activity is being conducted.	Services are provided to the public, many of whom are school-age children as the Center contracts with many local independent school districts to serve their students and the students' families. Social workers and social work students provide these services.	Services are provided to children and adults who are experiencing mental and behavioral health problems including stress, anxiety, PTSD, depression, anger issues, ADHD, and substance abuse. Clients can exhibit anger, aggression, abuse, and violence toward the social workers, students, themselves, or others, thus creating concerns for the health and safety of all involved.	Client treatment areas in this location are equivalent to those excluded in the referenced legislation.
Ransom Hall—Third Floor	Counseling and Psychological Services (CAPS) provides services to address mental and behavioral health problems. CAPS also provides counseling and psychotherapy, psychological evaluation and assessment, and other services.	The Texas Penal Code provides an exclusion for the carrying of firearms on the premises of hospitals licensed under Chapter 241 of the Texas Health and Safety Code.	Services are available to all students currently enrolled at UTA and are provided by licensed counselors, social workers, and psychologists.	Services are provided to students who are experiencing mental and behavioral health problems including stress, anxiety, depression, anger issues, and suicidal thoughts. Patients can exhibit anger, aggression, abuse, and violence toward staff or themselves, creating concerns for the health and safety of students, faculty, and staff.	Patient treatment areas in this location are equivalent to those excluded in the referenced legislation.

**The University of Texas at Arlington
Senate Bill 11 - Campus Carry Draft Recommendations**

Specific Building/Location	Information/Background	Applicable Laws and Regulations	Makeup of Campus Population	Safety Considerations	Unique Environment
Health Services Building	Health Services is an ambulatory health care facility that provides primary health care and includes a general medicine clinic, pharmacy, laboratory, radiology department, counseling and psychology services (psychiatry), women's health clinic, immunization clinic, and a health promotion and substance abuse prevention office. Emergency evaluations by psychiatric staff, counseling, and psychological services are also provided.	The Texas Penal Code provides an exclusion for the carrying of firearms on the premises of hospitals licensed under Chapter 241 of the Texas Health and Safety Code.	Services are available to all students currently enrolled at UTA and are provided by psychiatrists, psychiatric nurse practitioners, and psychiatry residents.	Services are provided to students who are experiencing mental and behavioral health problems including stress, anxiety, depression, anger issues, and suicidal thoughts. Patients can exhibit anger, aggression, abuse, and violence toward staff or themselves, creating concerns for the health and safety of students, faculty, and staff.	This location is equivalent to those excluded in the referenced legislation.
Recommended as an Exclusionary Area: Location Providing Childcare for Minor Children					
Transforming Lives Child Development Center	This space is owned by UTA and leased to the Center for Transforming Lives. The Center provides child care services, to include before- and after-school care, at this facility.	The Texas Department of Family and Protective Services prohibits the possession of firearms at licensed child care centers and at facilities with before- or after-school care.	This facility serves small children, from infants to school-age children. The Center serves the public, including children of students, faculty, and staff.	Given the population served, there are significant concerns about a child having the ability to access a firearm.	This location is a state-licensed child care center. Firearms are prohibited by law at this facility.

The University of Texas at Arlington Senate Bill 11 - Campus Carry Draft Recommendations

Specific Building/Location	Information/Background	Applicable Laws and Regulations	Makeup of Campus Population	Safety Considerations	Unique Environment
Recommended as an Exclusionary Area: Locations Primarily Used for NCAA, UIL or Professional Sports Events					
College Park Center (CPC)	This facility is home to NCAA women's volleyball, women's basketball, and men's basketball teams; the WNBA Team—Dallas Wings; and several UIL State spirit competitions.	The Texas Penal Code prohibits a firearm on the premises where a high school, collegiate, or professional sporting event or interscholastic event is taking place.	UTA students, faculty, and staff attend or participate in events and practices, as does the general public.	For safety purposes, the Penal Code, NCAA, WNBA, and UIL all prohibit the possession of weapons at facilities hosting designated events. The majority of events held at this location are NCAA, WNBA, or UIL events or practices.	Large capacity NCAA, professional sports, and UIL events are hosted at this venue. These events require safety and security plans to be in place to provide a safe environment. UTA Police are onsite for most events.
Maverick Stadium	This location is home to NCAA men's and women's track and field teams; hosts numerous UIL football, track and field, and marching band events each year; and is the host site for Texas State Special Olympic Summer Games.	The Texas Penal Code prohibits a firearm on the premises where a high school, collegiate, or professional sporting event or interscholastic event is taking place.	UTA students, faculty, and staff attend or participate in events and practices at the venue, as does the general public. Many high school and other school district students also attend or participate in practices and events.	For safety purposes, the Penal Code, NCAA, and UIL all prohibit the possession of weapons at facilities hosting designated events. The majority of events held at this location are NCAA or UIL events or practices.	Large capacity NCAA and UIL events are hosted at this venue. These events require safety and security plans to be in place to provide a safe environment. UTA Police are onsite for most events.
Allan Saxe Field and Clay Gould Ballpark	These locations are home to NCAA baseball and softball teams. Numerous UIL baseball and softball games also take place here.	The Texas Penal Code prohibits a firearm on the premises where a high school, collegiate, or professional sporting event or interscholastic event is taking place.	UTA students, faculty and staff attend or participate in events and practices at the venue, as does the general public. Many high school and other school district students also attend or participate in practices and events.	For safety purposes, the Penal Code, NCAA, and UIL all prohibit the possession of weapons at facilities hosting designated events. The majority of events held at this location are NCAA or UIL events or practices.	Large capacity NCAA and UIL events are hosted at this venue. These events require safety and security plans to be in place to provide a safe environment. UTA Police are onsite for most events.

The University of Texas at Arlington Senate Bill 11 - Campus Carry Draft Recommendations

Specific Building/Location	Information/Background	Applicable Laws and Regulations	Makeup of Campus Population	Safety Considerations	Unique Environment
Recommended as an Exclusionary Area: Locations with Special or Unique Uses					
Maverick Activities Center (MAC) and Physical Education Building (PEB)	The MAC houses a gymnasium, indoor/outdoor basketball/volleyball courts, raquetball/badminton courts, gameroom, table tennis, climbing wall, locker rooms, classrooms, and offices. Over 1800 intramural sport and sport club competitions, intercollegiate wheelchair basketball competitions, and youth sporting competitions are held at the MAC. The indoor/outdoor swimming pools and classrooms are located in the PEB. High school swim teams regularly use the pools during their season for practices/events.	The Texas Penal Code prohibits a firearm on the premises where a high school, collegiate, or professional sporting event or interscholastic event is taking place. The Government Code requires that license holders carry their firearms on or about their person, and that they cannot display their firearms in plain view of the public.	The locations are available to all UTA students, faculty, and staff. They are also available to the public and are utilized by independent school districts and other organizations that host K-12 events.	For safety purposes, the Penal Code and UIL prohibit the possession of weapons at facilities hosting designated events. Most participants in the various athletic activities would have a difficult time complying with Government Code requirements to keep their firearm on or about their person and concealed from view. Changing in locker rooms would complicate this further and result in exposure of the firearm. It would also require additional handling of the firearm, increasing the possibility of an accidental discharge.	These locations are multi-use facilities, host a range of activities, and serve a diverse population. Many events or activities are governed by the Penal Code, while others are not. It would be impossible to effectively communicate to license holders all situations where they would be in violation of the law, placing them in a position of incurring criminal or administrative sanctions.
Summer Camps (May - August) in University-Owned Residence Halls	Approximately 5,000-6,000 summer camp participants and 250 UTA students reside in on-campus, UTA-owned residence halls during the summer, with many of the summer campers being in grades K-12.	Senate Bill 11 allows a university to establish rules, regulations, or other provisions concerning the storage of handguns in dormitories or other residential facilities that are owned/leased and operated by the institution and located on the campus. The Texas Penal Code prohibits a firearm on the premises where a high school or interscholastic event is taking place.	The majority of summer camp participants are in grades K-12.	During fall and spring semesters, license holders in university-owned housing must have their firearms on or about their person, or they must safely secure or store their firearms to prevent tampering or theft. During the summer semesters, the majority of housing occupants are K-12 students. This population and the activities these minors participate in are the same or similar to those governed by the Penal Code.	UTA Housing and Residence Life will provide UTA summer students residing in a university-owned residence hall housing options where campus carry is allowed.

The University of Texas at Arlington Senate Bill 11 - Campus Carry Draft Recommendations

Specific Building/Location	Information/Background	Applicable Laws and Regulations	Makeup of Campus Population	Safety Considerations	Unique Environment
Single Location (TBD)—Formal Hearings—student conduct, employee disciplinary, faculty and student grievance and appeal	These hearings can be controversial and generate a range of emotions including anger, frustration, irritation, disappointment, resentment, bitterness, and hostility.	Senate Bill 11 allows the University President to identify areas where concealed handguns are prohibited. The Texas Penal Code prohibits firearms on the premises of any government court. Formal disciplinary hearings and grievance actions can be similar to court proceedings.	UTA faculty, staff and students will utilize this location for formal hearings.	Removal or elimination of handguns in an environment that has the potential to become emotionally charged.	Hearing proceedings are not unlike those associated with court hearings, in which handguns are not allowed in accordance with the Texas Penal Code.
The Testing Center—Davis Hall	Testing for admissions, credit by exam, licensing, proficiency, petition for credit, and Texas Success Initiatives. Requirements and policies of many of the testing companies prohibit personal items and/or specifically prohibit weapons in the Testing Center.	Senate Bill 11 allows the University President to identify areas where concealed handguns are prohibited.	Testing is available to UTA students, non-UTA college students, K-12 students, and the general public.	Contractual requirements of the testing companies prohibit personal items and/or specifically prohibit weapons in the Testing Center are the foundation for this recommendation.	Research will continue to explore the policies and requirements of the testing companies. Final recommendations will be made in the near future.

Exemption Process for Unique Situations—Senate Bill 11 allows the University President to identify areas where concealed handguns are prohibited. New dynamics or changes in facility usage, a specific event, temporary circumstances, unique or unforeseen circumstances, case law, and other subtleties may pose a safety threat to students, faculty, staff, or visitors. The University will develop a policy to address these situations or circumstances.

Leased Spaces—The UT System Office of General Counsel's interpretation of "leased spaces" includes leases on the main campus to external parties. Examples include Centennial Court Apartments (CLV), Follett Bookstore, and Shops at College Park. SB 11 defines a campus as all land and buildings owned and leased by an institution of higher education. The Penal Code allows an entity (other than a government agency) to exclude concealed carry by giving sufficient notice. UTA's legal counsel will review current leases to determine the rights afforded to each party.

A roommate selection process for UTA students seeking a non-license holder roommate will be developed for UTA-owned and -operated, on-campus residence halls.

Handbook of Operating Procedures
The University of Texas at Austin
(Draft)



Policy Number: 8-1060

Policy Title: Campus Concealed Carry

Policy Contents:

- Policy Statement
- Reason for Policy
- Scope & Audience
- Definitions
- Website (for policy)
- Contacts
- Responsibilities & Procedures
- Forms & Tools
- FAQs
- Related Information
- History

Effective Date: August 1, 2016

Responsible Executive Sponsor:
Vice President for University Operations

Responsible Policy Owner:
Associate Vice President for Campus Safety and Security

Campus Concealed Carry

I. Policy Statement

The University of Texas at Austin ("University") is committed to providing a safe environment for students, employees, University affiliates, and visitors, and to respecting the right of individuals licensed to carry a handgun in the state of Texas. Individuals licensed to carry may do so on campus except in locations and at activities prohibited by law or by this policy. The carrying of any handgun by an unlicensed person or the open carry of a handgun is not permitted in any place at the University.

II. Reason for Policy

Texas Government Code, [Section 411.2031](#) entitles license holders (individuals licensed to carry a handgun) to carry concealed handguns on the campus of an institution of higher education. Texas Government Code Section 411.2031 also authorizes the president of a university to enact reasonable rules and regulations regarding the concealed carry of handguns on campus, so long as the rules do not generally prohibit or have the effect of generally prohibiting license holders from carrying concealed handguns on campus. This policy memorializes the rules and regulations enacted by the president regarding the carrying of concealed handguns by license holders on campus or University owned property.

Texas Penal Code, [Section 46.03](#), strictly forbids the open carry of a handgun at institutions of higher education.

III. Scope & Audience

This policy applies to all students, employees, University affiliates, and visitors of the University while on campus or University owned property. This policy does not apply to commissioned peace officers as defined in Texas Code of Criminal Procedures, [Article 2.12](#).

IV. Definitions

Campus: All land and buildings owned or leased by the University.

Concealed Carry: The Texas Department of Public Safety defines a concealed handgun as a handgun not openly discernable to the ordinary observation of a reasonable person.

Handgun or Pistol: A handgun is any firearm that is designed, made, or adapted to be fired with one hand. This characteristic differentiates handguns as a general class of firearms from long guns such as rifles and shotguns (which usually can be braced against the shoulder). The most common types of handguns carried by license holders are semi-automatic pistols and to a lesser degree revolvers.

Formal Hearing: A formal hearing is a meeting or other proceeding in which a party is pursuing a complaint, charge, grievance, appeal or other administrative process, and the other party is responding to the complaint, charge, grievance, appeal or other administrative process. A hearing officer, administrative officer, committee, hearing panel, or similar administrative body, either during or subsequent to the hearing, decides the outcome or makes recommendations through an official process as outlined in the *Handbook of Operating Procedures*, Institutional Rules on Student Services and Activities, or Regents' Rules.

License Holder: A person licensed to carry a handgun under Chapter 411 of the Texas Government Code.

"On or about their person": Means a person licensed to carry a handgun must carry a handgun in a manner that the handgun is close enough to the license holder that he or she can reach it without materially changing position.

Revolver: A revolver is a repeating handgun which has a revolving cylinder containing multiple chambers and at least one barrel for firing.

Semi-automatic pistol: A semi-automatic pistol uses the energy of the fired cartridge to cycle the action of the firearm and advance the next available cartridge into position for firing. One round fires each time the trigger of a semi-automatic pistol is pulled, and it uses a magazine to store and feed rounds into the chamber.

V. Website (specific to this policy)

<http://www.policies.utexas.edu/policies/hop/8-1060>

VI. Contacts

Department	Phone Number	Website
Campus Safety and Security	512-471-5767	http://www.utexas.edu/campus-life/safety-and-security

VII. Responsibilities & Procedures

A. General Safety

1. Texas Penal Code, [Section 46.035\(a-1\)](#) provides that a license holder may not carry a partially or wholly visible handgun on campus premises or on any University driveway, street, sidewalk or walkway, parking lot, parking garage, or other parking area. License holders who carry a handgun on campus must carry it concealed and on or about their person at all times or secure their handgun in a locked, privately-owned, or leased motor vehicle. The only exception to this policy is for license holders who reside in University Apartments or staff whose employment responsibilities require them to reside in University housing. Only these license holders may store their handgun in a gun safe that meets the requirements set forth in this policy.
2. A license holder who carries a handgun on campus must carry it in a holster that completely covers the trigger and entire trigger guard area. The holster must have sufficient tension or grip on the handgun to retain it in the holster even when subjected to unexpected jostling.
3. A license holder who carries a semi-automatic handgun on campus must carry it without a chambered round of ammunition.
4. Where permitted by this policy, a gun safe used by a license holder must:
 - a. be large enough to fully contain all firearms placed in it and provide for secure storage;
 - b. have exterior walls constructed of a minimum 16-gauge steel;
 - c. have a high-strength locking system consisting of a mechanical or electronic combination or biometric lock, and not a key lock; and
 - d. be physically secured inside the license holder’s residence in a manner which conforms to Division of Housing and Food Service policy.

B. Housing

1. The following rules apply to the concealed carry of handguns in University housing.
 - a. with three exceptions, the concealed carry of handguns is prohibited in all on-campus residence halls except for University Apartments. Those exceptions are as follows:
 - i. For on-campus residence halls, the carrying of a concealed handgun by a license holder is permitted in common areas such as lounges, dining areas, and study areas.

- ii. A resident's family member who is a license holder may carry on or about their person while visiting. Residents are also responsible for ensuring their guests comply with all rules and regulations contained in this policy.
 - iii. Staff members whose employment responsibilities require them to be in University housing and are license holders are permitted to carry a concealed handgun on or about their person while present in University housing for business purposes.
- b. License holders who reside in University Apartments or staff whose employment responsibilities require them to reside in University housing must store their handguns either in a locked, privately-owned or leased motor vehicle, or in a gun safe in the residence that meets the requirements set forth in Section VII(A)(4) of this policy. License holders are also responsible for ensuring their guests comply with all rules and regulations.
2. Housing contracts of the Division of Housing and Food Service provide that a violation of any University rules regarding the carrying or storage of firearms is grounds for termination of the housing contract.

C. Offices

1. The occupant of an office to which the occupant has been solely assigned and is not generally open to the public is permitted, at the occupant's discretion, to prohibit the concealed carry of a handgun in that office. An occupant who chooses to exercise this discretion must provide oral notice that the concealed carry of a handgun in the occupant's office is prohibited. In addition, if the occupant's duties ordinarily entail meeting people who may be license holders, the occupant will make reasonable arrangements to meet them in another location.
2. The concealed carry of handguns is prohibited in areas in which formal hearings are being conducted pursuant to the *General Information Catalog* ("GIC"), Chapter 11, Student Discipline and Conduct; Board of Regents' *Rules and Regulations*, Rule 31008 Termination of a Faculty Member; and the Handbook of Operating Procedures ("HOP") HOP 2-2310, Faculty Grievance Procedure, or HOP 5-2420, Policies and Procedures for Discipline and Dismissal of Employees. Notice conforming to Texas Penal Code, [Section 30.06](#) will be provided.
3. To the extent possible, office space within areas where concealed carry is not permitted pursuant to state law or another provision of this policy will be made available on a scheduled basis to faculty and staff who do not have offices to which they are solely assigned. These spaces can be used by faculty or staff preferring to conduct these conferences in a gun-exclusion zone.

D. Events

1. The University is often the site of pre-K-12 school-sponsored activities, such as field trips. When a pre-K-12 school-sponsored activities is conducted at a particular locations, the carrying of handguns is prohibited. A sign reading "Pre-K-12 school-sponsored activity in progress" will be posted during these activities.
2. Texas Penal Code, [Section 46.0135\(b\)\(2\)](#) excludes license holders of handguns from carrying a handgun where a high school, collegiate, or professional sporting event or inter-scholastic event is taking place, unless the license holder is a participant in an event where a handgun will be used. Notice will be given for all collegiate sporting events. If possible, for ticketed sporting events this notice will be given by means of a written communication on the back of, or appended to, the ticket. Vendors and others who are permitted to enter the premises without a ticket will be provided written notice through other means.
3. The concealed carry of handguns is prohibited on premises in which a ticketed sporting event is taking place. Notice conforming to Texas Penal Code, Section 30.06 will be provided.
4. Designated individuals who work in a campus program for minors must, as a condition of their participation, agree not to carry a concealed handgun on the grounds or in buildings where the program is conducted. Parents of attendees must also agree, as a condition of their child's participation, not to carry a concealed handgun on the grounds or in buildings where the program is conducted. "Campus Program for Minors" is defined in [HOP 3-1710](#), Youth Protection Program. Notice conforming to Texas Penal Code, Section 30.06 will be provided.

E. Patient Care

The concealed carry of handguns is prohibited in patient-care areas, including those areas in which professional mental health services are provided. This prohibition includes not only traditional patient care facilities, but also research labs and other research areas where and when, as part of a research program, patient care is delivered by or under the supervision or direction of a licensed health care provider. Notice conforming to Texas Penal Code, Section 30.06, will be provided.

F. Laboratories

1. The concealed carry of handguns is prohibited in areas where the discharge of a firearm might cause great harm, such as laboratories with extremely dangerous chemicals, biologic agents, or explosive agents, and areas with equipment that are incompatible with metallic objects, such as magnetic resonance imaging machines.
2. The concealed carry of handguns is prohibited in animal-research facilities and other animal-care and animal-use locations in which protocols regulating entrance and exit ways create a risk that a concealed handgun will accidentally discharge, be

contaminated, or be separated from a license holder. Notice conforming to Texas Penal Code, Section 30.06 will be provided.

G. Other Exclusion Zones

1. Texas Penal Code, Section 46.03(a)(2) excludes license holders from carrying a handgun on the premises of a polling place on the day of an election or while early voting is in progress. A sign will be posted at any polling place located on campus from the commencement of early voting through Election Day that reads either "Polling Place" or "Vote Here".
2. Texas Penal Code, Section 46.03(a)(3) excludes license holders from carrying a handgun on the premises of any government court or offices utilized by the court, unless pursuant to written regulations or written authorization of the court. A sign will be posted at the entrance to any courtroom and associated offices on campus whenever in use by a federal, state, or local judge for official business.
3. Texas Penal Code, Section 46.035(b)(1) excludes license holders from carrying a handgun in the premise of a business permitted or licensed under designated chapters of the Texas Alcoholic Beverage Code, [Section 104.06](#), if the business derives 51 percent or more of its income from the sale or service of alcoholic beverages for on-premises consumption. Any premise on campus meeting the requirements of Texas Penal Code, Section 46.035(b)(1) will provide notice in accordance with Texas Government Code, Section 411.204.
4. The concealed carry of handguns by license holders is prohibited in areas for which state or federal law, licensing requirements, or contracts require exclusion solely at the discretion of the state or federal government, or are required by a campus accrediting authority. Where appropriate, signage will conform to the overriding federal or state law requirements. Otherwise, notice conforming to Texas Penal Code, Section 30.06 will be provided.
5. The carrying of any firearm, including a concealed handgun carried by a license holder, is prohibited on the UT Tower observation deck and in those immediate areas secured by The University of Texas at Austin Police Department ("UTPD") in advance of any public or private tour. Notice conforming to Texas Penal Code, Section 30.06 will be provided.
6. Gun-exclusion zones created by state law as well as those created by this policy may sometimes comprise only a portion of a building. In some instances, it may not be feasible to exclude concealed handguns only from the designated exclusion zones. The following factors and principles will govern the implementation of these rules and regulations in those buildings in which some, but not all parts are designated as exclusion zones.

Governing factors:

- The percentage of assignable space or rooms in a building that are designated as exclusion zones.
- The extent to which the area(s) designated as exclusion zones are segregable from other areas of the building.
- The extent to which use of the building, and hence its status as an exclusion zone, varies from day-to-day or week-to-week.

Governing principles:

- If a small number of rooms or a small fraction of assignable space in a building is subject to exclusion, only the rooms or areas that qualify for exclusion should be excluded. Appropriate signage must be posted for excluded rooms or areas.
- If a significant fraction of the total building in terms of number of rooms or assignable space is subject to exclusion, or if the excludable space is not segregable from other space, then as a matter of practicality, the whole building will be excluded. Appropriate signage must be posted for any such building.

H. Discipline

1. The University has amended the *General Information Catalog*, Appendix C, [Section 11-404\(a\)](#), General Misconduct of the Institutional Rules on Student Services and Activities; and [HOP 8-1010](#), Prohibition of Campus Violence to provide that causing the accidental discharge of a firearm is conduct subject to disciplinary action.

I. Education

1. The University has developed and posted a Campus Concealed Carry FAQ (refer to Sec. IX).
2. The University has developed training materials particular to the University on responding to an active shooter situation. The material is covered in Compliance Training Module: CW 122: A Safe Workplace; employees and University affiliates are encouraged to complete this module. Students are encouraged to complete training on how to respond to an active shooter situation.
3. The University has developed materials to educate and inform parents of University students and prospective students about campus carry and implementation.

J. Process and Appeal

A student, or a member of the faculty or staff of the University may appeal a decision regarding the implementation of a policy contained herein to the Campus Safety and Security Committee/associate vice president for campus safety and security for consideration. A further appeal of the decision of the Campus Safety and Security Committee/associate vice president for campus safety and security may be made to the

vice president for university operations. The vice president for university operations may approve, reject, or modify the decision in question, or may submit the issue to the Campus Safety and Security Committee/associate vice president for campus safety and security for reconsideration. The decision of the vice president for university operations to approve, reject, or modify a decision is final.

Additional policies or exclusion areas not provided for in this policy will not be the subject of or considered as a matter of appeal. In accordance with Texas Government Code, Section [411.2031](#), the president is authorized to enact reasonable rules and regulations regarding the concealed carry of handguns on campus.

VIII. Forms & Tools

None

IX. Frequently Asked Questions

<https://campuscarry.utexas.edu/faq>

X. Related Information

Texas Government Code, [Section 411.204](#)

Texas Government Code, [Section 411.2031](#) (Senate Bill 11)

Texas Penal Code, [Section 30.06](#)

Texas Penal Code, [Section 46.03\(a\)\(2\)](#)

Texas Penal Code, [Section 46.03\(a\)\(3\)](#)

Texas Penal Code, [Section 46.035\(a-1\)](#)

Texas Penal Code, [Section 46.035\(b\)\(1\)](#)

Texas Penal Code, [Section 46.035\(2\)](#)

Texas Code of Criminal Procedures, [Article 2.12](#)

Board of Regents' [Rule 31008](#) - Termination of a Faculty Member

[HOP 2-2310](#) – Faculty Grievance Procedure

[HOP 3-1710](#) – Youth Protection Program

[HOP 5-2420](#) – Policies and Procedures for Discipline and Dismissal of Employees

[HOP 8-1010](#) – Prohibition of Campus Violence

[General Information Catalog, Appendix C: Institutional Rules on Student Services and Activities](#)

[General Information Catalog, Appendix C, Chapter 11 – Student Discipline and Conduct](#)

[General Information Catalog, Appendix C, Chapter 11, Section 11-404\(a\) – General Misconduct Compliance](#)

Training Module: CW 122: A Safe Workplace

XI. History

Last review date: Month 2016

Next scheduled review date: Month, Year



The University of Texas at Austin

Campus Carry Policies and Implementation Strategies

February 17, 2016

CAMPUS CARRY POLICIES

POLICY STATEMENT | NO. 1

License holders who carry a handgun on campus must carry it on or about their person at all times or secure their handgun in a locked, privately-owned or leased motor vehicle. The only exception to this is that license holders who reside in University Apartments or who are staff whose employment responsibilities require them to reside in University housing may store their handguns in a gun safe in accordance with the requirements set forth in Policy Statement No. 17. In compliance with Texas Penal Code §46.035(a-1), a license holder may not carry a partially or wholly visible handgun on campus premises or on any university driveway, street, sidewalk or walkway, parking lot, parking garage, or other parking area.

Finding: This policy is reasonable and intended to increase safety by those carrying handguns. This policy does not have the effect of generally prohibiting license holders from carrying concealed handguns on campus. The adoption of this policy is consistent with current law and is within the authority granted to university presidents.

Implementation Strategy and Criteria: The university will implement this policy by providing informational postings in catalogs, advisories, and in training. The university will include this policy in appropriate informational and recruitment materials and websites. Consistent with Policy Statement No. 19, this policy will be included in the Handbook of Operating Procedures and Rules on Student Services and Activities (General Information Catalog).

The meaning of *on or about their person* means that a person may carry a handgun in a manner that the handgun must be close enough to the license holder that he or she can grasp it without materially changing position. This definition will be clearly articulated in all informational postings, advisories, and training.

POLICY STATEMENT | NO. 2

A license holder who carries a handgun on campus must carry it in a holster that completely covers the trigger and the entire trigger guard area. The holster must have sufficient tension or grip on the handgun to retain it in the holster even when subjected to unexpected jostling.

Finding: This policy is reasonable and intended to increase safety by those carrying handguns and to reduce the possibility of accidental discharges. This policy does not have the effect of generally prohibiting license holders from carrying concealed handguns on campus. The adoption of this policy is within the authority granted to university presidents.

Implementation Strategy and Criteria: The university will implement this policy by providing informational postings in catalogs, advisories, and in training. The university will include this policy in appropriate informational and recruitment materials and websites. Consistent with Policy Statement No. 19, this policy will be included in the Handbook of Operating Procedures and Rules on Student Services and Activities (General Information Catalog).

POLICY STATEMENT | NO. 3

A license holder who carries a semiautomatic handgun on campus must carry it without a chambered round of ammunition.

Finding: This policy is reasonable and designed to reduce the possibility of accidental discharges. Although training and safety features in many semiautomatic handguns may greatly reduce the potential of accidental discharges, there are no requirements in the law that semiautomatic handguns contain these features, and there is no assurance that semiautomatic handguns carried on campus will have these features. This policy, therefore, further reduces the possibility of an accidental discharge while on campus.

Texas Government Code §411.2031(d-1) provides that the president of an institution can adopt reasonable rules, regulations, or other provisions to address specific safety considerations after consultation with the university community. This policy is reasonably designed to address the specific safety concerns related to the accidental discharge of semiautomatic handguns, regardless of the safety features, which were identified by the Campus Carry Policy Working Group. Moreover, this policy does not have the effect of generally prohibiting license holders from carrying concealed handguns on campus. The adoption of this policy is within the authority granted to university presidents.

CAMPUS CARRY POLICIES

Implementation Strategy and Criteria: The university will implement this policy by providing informational postings in catalogs, advisories, and in training. The university will include this policy in appropriate informational and recruitment materials and websites. Consistent with Policy Statement No. 19, this policy will be included in the Handbook of Operating Procedures and Rules on Student Services and Activities (General Information Catalog).

POLICY STATEMENT | NO. 4

In its website posting of rules and regulations regarding campus carry, the University should post a list of places that are commonly the site of pre-K-12 school-sponsored activities. When a pre-K-12 school-sponsored activity is being conducted at a particular location, a sign reading "Pre-K-12 school-sponsored activity in progress" should be posted there.

Finding: This policy is reasonable and designed to ensure that handguns are not in areas where children's activities are taking place. This policy does not have the effect of generally prohibiting license holders from carrying concealed handguns on campus. The adoption of this policy is consistent with Texas Penal Code §46.03(a)(1) and is within the authority granted to university presidents.

Implementation Strategy and Criteria: Pre-K-12 school-sponsored programs will be surveyed throughout campus to determine existence of these activities and to ensure appropriate signage at each facility is provided. Each program will ensure appropriate notice is posted and included in websites and other appropriate informational materials.

POLICY STATEMENT | NO. 5

Texas Penal Code §46.03(a)(2) excludes license holders of handguns from carrying a handgun on premises of a polling place on the day of an election or while early voting is in progress. A sign should be posted at any polling place located on campus from the commencement of early voting through Election Day that reads either "Polling Place" or "Vote Here."

Finding: This policy is reasonable and designed to ensure that handguns are not in areas where polling is taking place. This policy does not have the effect of generally prohibiting license holders from carrying concealed handguns on campus. The adoption of this policy is consistent with Texas Penal Code §46.03(a)(2) and is within the authority granted to university presidents.

Implementation Strategy and Criteria: UT Austin maintains one polling place approved by the Travis County Clerk where these activities take place. The university will post appropriate notice and include statements on the website and in other appropriate informational materials.

POLICY STATEMENT | NO. 6

Texas Penal Code §46.03(a)(3) excludes license holders of handguns from carrying a handgun on the premises of any government court or offices utilized by the court, unless pursuant to written regulations or written authorization of the court. A sign should be posted at the entrance to a courtroom and associated offices whenever they are being used by a federal, state, or local judge for official business.

Finding: This policy is reasonable and designed to ensure that handguns are not in areas where federal, state, or local judges are conducting official business. This policy does not have the effect of generally prohibiting license holders from carrying concealed handguns on campus. The adoption of this policy is consistent with Texas Penal Code §46.03(a)(3) and is within the authority granted to university presidents.

Implementation Strategy and Criteria: UT School of Law maintains one courtroom with associated chambers and offices in the Connally Center for Justice. The university will post appropriate notice and include statements on the website and in other appropriate informational materials.

POLICY STATEMENT | NO. 7

Texas Penal Code §46.035(b)(1) excludes license holders of handguns from carrying a handgun in the premise of a business that has a permit or license

CAMPUS CARRY POLICIES

issued under designated chapters of the Texas Alcoholic Beverage Code, if the business derives 51 percent or more of its income from the sale or service of alcoholic beverages for on-premises consumption. Any premises on campus that meets the requirements of TPC §46.035(b)(1) must provide notice in accordance with Texas Gov't Code §411.204.

Finding: The carrying of handguns in areas where alcohol is consumed presents a significant safety risk. This policy is reasonable and implements the existing law regarding certain areas where alcohol is consumed. This policy does not have the effect of generally prohibiting license holders from carrying concealed handguns on campus. The adoption of this policy is consistent with Texas Penal Code §46.035(b)(1) and is within the authority granted to university presidents.

Implementation Strategy and Criteria: There are currently several locations on campus where alcohol is sold and consumed. The university will identify these existing and future locations and provide the required notice.

POLICY STATEMENT | NO. 8

Texas Penal Code §46.035(b)(2) excludes license holders of handguns from carrying a handgun where a high school, collegiate, or professional sporting event or interscholastic event is taking place, unless the license holder is a participant in the event and a handgun is used in the event. Notice should be given for all collegiate sporting events. If possible, for ticketed sporting events this notice should be given by means of a written communication on the back of, or appended to, the ticket. Vendors and others who are permitted to enter the premises without a ticket should be provided written notice through other means.

Finding: Campus locations where sporting events take place are unique environments that require specific safety considerations. This policy is already recognized under Texas Penal Code §46.035(b)(2). This policy is reasonable and designed to ensure the safety in these unique environments where often large crowds gather and, in certain venues, the sale of alcohol may be approved. This policy does not have the effect of generally prohibiting license holders from carrying

concealed handguns on campus. The adoption of this policy is consistent with existing law and is within the authority granted to university presidents.

Implementation Strategy and Criteria: There are many locations on campus where sporting events are undertaken. The university will identify these locations and activities and work with the Athletics Department to provide the required notice. The university will include information and notice in appropriate informational materials and websites.

POLICY STATEMENT | NO. 9

The concealed carry of handguns should be prohibited in areas for which state or federal law, licensing requirements, or contracts require exclusion exclusively at the discretion of the state or federal government, or are required by a campus accrediting authority. Where appropriate, signage must conform to the overriding federal or state law requirements. Otherwise, notice conforming to Texas Penal Code §30.06 must be provided.

Finding: There are locations on campus where other law, licensing requirements, or contracts under state or federal authority prohibit license holders from carrying a handgun in these locations. These policies are reasonably designed to minimize risk by considering safety, security and other special circumstances unique to those locations. This campus policy does not have the effect of generally prohibiting license holders from carrying concealed handguns on campus. The adoption of this campus policy is consistent with existing state and federal law and is within the authority granted to university presidents.

Implementation Strategy and Criteria: There are locations and programs on campus where these activities are undertaken. The university will identify these locations and activities, and provide the required notice. The university will include information and notice in appropriate informational materials and websites.

POLICY STATEMENT | NO. 10

The concealed carry of handguns should be prohibited in patient-care areas, including those in which professional mental health services are provided.

CAMPUS CARRY POLICIES

Finding: The carrying of handguns in patient-care areas, including those in which professional mental health services are provided, presents a significant safety risk to health care providers, patients and others in those patient areas. Campus locations where these activities take place are unique environments that require specific safety considerations. This policy is already recognized under Texas Penal Code §46.035(b)(4) for hospitals licensed under Chapter 241 of the Texas Health and Safety Code. Similarly, this policy is extended to those areas on campus and is reasonable and designed to ensure safety in these similarly unique environments. This policy does not have the effect of generally prohibiting license holders from carrying concealed handguns on campus. The adoption of this policy is within the authority granted to university presidents.

Implementation Strategy and Criteria: There are locations on campus where these activities are undertaken. "Patient-care area" under this policy means those locations that involve patients for whom a formal record of treatment is maintained. The campus will identify these locations and activities and provide the required notice. The university will include information and notice in appropriate informational materials and websites.

POLICY STATEMENT | NO. 11

The concealed carry of handguns should be prohibited on premises in which a ticketed sporting event is taking place.

Finding: Texas Penal Code §46.035(b)(2) prohibits the possession of handguns at high school, collegiate, and professional sporting events. However, the university hosts ticketed sporting events that are collegiate sporting events. Sporting events are unique environments that require specific safety considerations. Similarly, this policy is reasonable and necessary to ensure safety in these unique environments. This policy does not have the effect of generally prohibiting license holders from carrying concealed handguns on campus. The adoption of this policy is within the authority granted to university presidents.

Implementation Strategy and Criteria: There are many locations on campus where these ticketed sporting

events take place. The campus will identify these locations and activities, and work to provide the required notice. The campus will include information and notice in appropriate informational materials and websites.

POLICY STATEMENT | NO. 12

The concealed carry of handguns should be prohibited in areas in which formal hearings are being conducted pursuant to Chapter 11 (Student Discipline and Conduct) of the Institutional Rules on Student Services and Activities (General Information Catalog, App. C); Regents Rule 31008 (Termination of a Faculty Member); Handbook of Operating Procedure 2-2310 (Faculty Grievance Procedure); or Handbook of Operating Procedure 5-2420 (Policies and Procedures for Discipline and Dismissal and Grievance of Employees).

Finding: Texas Penal Code §46.03(a)(3) prohibits the concealed carry of handguns "on the premises of any government court or office utilized by the court." Existing law designates areas where rights and privileges are being heard and adjudicated as unique environments that require specific safety considerations. Similarly, this policy is reasonable and necessary to ensure safety in these unique environments. This policy does not have the effect of generally prohibiting license holders from carrying concealed handguns on campus. The adoption of this policy is within the authority granted to university presidents.

Implementation Strategy and Criteria: There are several locations on campus where these activities are undertaken. The campus will identify activities covered under Chapter 11 (Student Discipline and Conduct) of the Institutional Rules on Student Services and Activities (General Information Catalog, App. C); Regents Rule 31008 (Termination of a Faculty Member); Handbook of Operating Procedure 2-2310 (Faculty Grievance Procedure); or Handbook of Operating Procedure 5-2420 (Policies and Procedures for Discipline and Dismissal and Grievance of Employees) to indicate that such hearings are areas where license holders are prohibited from carrying concealed handguns. The campus will include information and notice in formal hearing notices, appropriate informational materials and websites.

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POLICY STATEMENT | NO. 13

The concealed carry of handguns should be prohibited in areas where the discharge of a firearm might cause great harm, such as laboratories with extremely dangerous chemicals, biologic agents, or explosive agents, and areas with equipment that is incompatible with metallic objects, such as magnetic resonance imaging machines.

Finding: Handgun license holders are generally not trained on the safe use of weapons in areas where an accidental or intentional discharge might cause great harm such as laboratories with hazardous chemicals, gases, or biological agents. Such a discharge of a weapon could have grave and catastrophic consequences. Moreover, handguns are inappropriate in the vicinity of some types of equipment, such as equipment containing powerful magnets. This policy is reasonable and addresses specific safety considerations necessary to ensure safety in these unique environments. This policy does not have the effect of generally prohibiting license holders from carrying concealed handguns on campus. The adoption of this policy is within the authority granted to university presidents.

Implementation Strategy and Criteria: There are many locations and activities on campus that require these specific safety considerations. The campus will identify and prohibit the carrying of concealed handguns from these areas. The campus will provide the required notice along with information in appropriate materials and websites.

POLICY STATEMENT | NO. 14

The concealed carry of handguns should be prohibited in animal-research facilities and other animal-care and animal-use locations in which protocols regulating ingress and egress create a risk that a concealed handgun will accidentally discharge, be contaminated, or be separated from a license holder.

Finding: Because of the unique and particularly sensitive environments under which research involving animals is undertaken, animal research, care and use areas have strict protocols for entering and exiting these facilities, including clothing and other protective requirements. Compliance with these protocols may

increase risks of weapon discharge, contamination or unanticipated separation of a handgun from the license holder. As such, handguns are inappropriate in these specialized facilities. This policy is reasonable and addresses specific safety considerations necessary to ensure safety in these unique environments. This policy does not have the effect of generally prohibiting license holders from carrying concealed handguns on campus. The adoption of this policy is within the authority granted to university presidents.

Implementation Strategy and Criteria: There are several animal-research locations on campus that require these specific safety considerations. The campus will identify and prohibit the carrying of concealed handguns from these areas. The campus will provide the required notice along with information in appropriate materials and websites.

POLICY STATEMENT | NO. 15

Counselors, staff, and volunteers who work in a campus program for minors must, as a condition of their participation, agree not to carry a concealed handgun on the grounds or in buildings where the program is conducted. Parents of attendees must also agree, as a condition of their child's participation, not to carry a concealed handgun on the grounds or in buildings where the program is conducted. "Campus program for minors" is defined in HOP 3-1710.

Finding: Texas Penal Code §46.03(a)(1) prohibits the concealed carry of handguns in pre-K-12 schools and on the grounds or in buildings where a school-sponsored activity is being conducted. The carrying of handguns in areas where children under the age of 18 participate in a campus program for minors presents similar safety concerns. Because of this, campus locations where these activities take place are unique environments that require specific safety considerations. This policy is extended to those areas on campus, is reasonable and designed to ensure safety in these unique environments. This policy does not have the effect of generally prohibiting license holders from carrying concealed handguns on campus. The adoption of this policy is within the authority granted to university presidents.

Implementation Strategy and Criteria: Because of the nature of the locations where each of these

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activities is undertaken, signage providing notice may be impractical. The campus will work with the Office Compliance Services Youth Protection Program Manager to identify these programs and notice be accomplished by means of contractual arrangements with persons most likely to be in contact with the minor participants. HOP 3-1710 defines "campus program for minors" as "[a]ny program or camp held on University premises that offers recreational, athletic, religious, or educational activities to minors, or one that is University sponsored. This excludes programs for University-enrolled students under the age of 18." The campus will include information and notice in appropriate informational materials and websites.

POLICY STATEMENT | NO. 16

The following rules should apply to the concealed carry of handguns in University housing.

- (a) With three exceptions, the concealed carry of handguns should be prohibited in all on-campus residence halls. The concealed carry of handguns should, however, be permitted in University Apartments.
- (b) The first exception for on-campus residence halls is that the concealed carry of handguns should be permitted in common areas such as lounges, dining areas, and study areas. The second exception is that a resident's family members should be permitted to carry a concealed handgun on or about their person while visiting. The third exception is that staff members whose employment responsibilities require them to be in University housing should be permitted to carry a concealed handgun on or about their person while present in University housing for business purposes.
- (c) License holders who reside in University Apartments or who are staff whose employment responsibilities require them to reside in University housing must store their handguns either in a locked, privately-owned or leased motor vehicle or in a gun safe that meets the requirements set forth in Policy Statement No. 17. License holders are also responsible for ensuring that their guests comply with all such rules and regulations.

Finding: UT Austin's residence halls are unique with a large number of students under the age of 21 residing in shared space. The characteristic that all of on-campus residence halls share bedrooms presents a special danger that accidental loss, theft, or misuse by roommates or others that is an unacceptably high risk. The nature of the student population in residence halls requires specific safety consideration for on-campus residence halls. An overwhelming majority of students reside in off-campus facilities, especially those who are at least 21 years old, the minimum age for a person to obtain a license to carry.

Texas Gov't Code §411.2031(3)(d) allows universities to establish rules regarding the storage of handguns in university residence halls and housing. This policy is such a rule in that it regulates where and how handguns may be stored in some housing and prohibits storage in other housing. The policy provides for exceptions that permit license holders to carry or store handguns in certain housing, such as University Apartments. Because most students do not reside in on-campus residence halls and these broad exceptions, this policy does not have the effect of generally prohibiting license holders from carrying concealed handguns on campus. The adoption of this policy is within the authority granted to university presidents.

Implementation Strategy and Criteria: The campus will work with the Division of Housing and Food Service to identify the on-campus residence hall areas to which this policy applies and define the public areas and circumstances where license holders will be permitted to carry a concealed handgun. The campus will include information and notice in appropriate informational materials and websites and update housing contracts to reflect these policies.

POLICY STATEMENT | NO. 17

A gun safe that is used by a license holder must:

- (a) be large enough to fully contain all firearms placed in it and provide for secure storage;
- (b) have exterior walls constructed of a minimum 16-gauge steel;
- (c) have a high-strength locking system consisting of a mechanical or electronic combination or biometric lock, and not a key lock; and

CAMPUS CARRY POLICIES

(d) be physically secured inside the license holder's residence in a manner that conforms to Division of Housing and Food Service policy.

Finding: Properly securing handguns is critical to campus safety and security. This policy is designed to ensure that an unattended handgun is securely stored. Texas Gov't Code §411.2031(3)(d) allows universities to establish rules regarding the storage of handguns in university residence halls and housing, and this policy is such a regulation.

This policy does not have the effect of generally prohibiting license holders from carrying concealed handguns on campus. The adoption of this policy is consistent with existing law and is within the authority granted to university presidents.

Implementation Strategy and Criteria: The campus will work with the Division of Housing and Food Services to develop policies for the acquisition and use of gun safes consistent with this policy. The campus will include information and notice in appropriate informational materials and websites and update housing contracts to reflect these policies.

POLICY STATEMENT | NO. 18

The occupant of an office to which the occupant has been solely assigned and that is not generally open to the public should be permitted, at the occupant's discretion, to prohibit the concealed carry of a handgun in that office. An occupant who chooses to exercise this discretion must provide oral notice that the concealed carry of a handgun in the occupant's office is prohibited. In addition, if the occupant's duties ordinarily entail meeting people who may be license holders, the occupant must make reasonable arrangements to meet them in another location at a convenient time.

Finding: Traditionally, the occupant of an office is vested with substantial control over his or her space if the occupant has been solely assigned to that office and the space is not generally open to the public. This situation already exists in many offices on campus, so it is appropriate to continue this practice for faculty members, students and staffers solely assigned an office.

This policy is designed to preserve the uniqueness of the campus environment and to address specific safety considerations expressed by faculty members, staffers and students. Faculty, staff and student offices have traditionally been places where members of the university community can have open dialogue on academic, research, performance or disciplinary matters. With the introduction of handguns into these environments, many faculty members, students and staffers have expressed concerns that permitting the carrying of handguns in offices will inhibit open dialogue especially when discussing difficult and sensitive issues with peers, students and others. Others have expressed the belief that introducing handguns into environments where matters of performance or discipline are discussed may heighten the risk of gun violence. Permitting the occupant with sole control over an office to limit access will preserve what many consider crucial to the uniqueness of the campus environment.

This policy does not have the effect of generally prohibiting license holders from carrying concealed handguns on campus. The adoption of this policy is consistent with existing law and is within the authority granted to university presidents.

Implementation Strategy and Criteria: The campus will develop a practice guide for the implementation of this policy. The guide will include that for an occupant of an office who elects to exclude a person with a concealed handgun from that office, notice be given verbally and reasonable arrangements made to meet the license holder in another location.

POLICY STATEMENT | NO. 19

The University should amend Sec. 11-404(a) (Student Discipline and Conduct – General Misconduct) of the Institutional Rules on Student Services and Activities (General Information Catalog, App. C); Handbook of Operating Procedure 5-2420(III)(B) (Policies and Procedures for Discipline and Dismissal and Grievance of Employees – Conduct Which is Subject to Disciplinary Action); and Handbook of Operating Procedure 8-1010(I) (B) (Prohibition of Campus Violence) to provide that causing the accidental discharge of a firearm is conduct subject to disciplinary action.

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Finding: The foregoing policy statements are designed to comply with the law while also providing for campus safety and security by reducing the possibility of accidental discharge. The purpose of this policy is that anyone who causes the accidental discharge of a handgun may be subject to university discipline.

This policy does not have the effect of generally prohibiting license holders from carrying concealed handguns on campus. The adoption of this policy is consistent with existing law and is within the authority granted to university presidents.

Implementation Strategy and Criteria: The campus will amend codes of conduct, catalogs, and operating procedures consistent with this policy. The campus will provide information and notice in appropriate informational materials and websites.

POLICY STATEMENT | NO. 20

The Division of Housing and Food Service should include in its housing contracts that violation of any University rules regarding the carrying or storage of firearms in University housing is grounds for terminating the housing contract.

Finding: Compliance with housing policies will further provide for safety and security in university housing. This policy is designed to make certain that a housing contract holder's violation of any rule regarding the carrying or storing of a firearm in university housing may result in termination of the housing contract.

This policy does not have the effect of generally prohibiting license holders from carrying concealed handguns on campus. The adoption of this policy is consistent with existing law and is within the authority granted to university presidents.

Implementation Strategy and Criteria: The campus will work with the Division of Housing and Food Service to amend housing contracts to reflect this policy. The campus will provide information and notice in appropriate informational materials and websites.

POLICY STATEMENT | NO. 21

Exclusion zones created by TPC §§46.03 and 46.035 as well as by the rules and regulations enacted under S.B. 11 may sometimes comprise only a portion of a building. In some instances it may not be feasible to exclude concealed handguns only from the designated exclusion zones. The following factors and principles should govern the implementation of these rules and regulations in those buildings in which some, but not all parts are designated as exclusion zones.

Governing factors:

- The percentage of assignable space or rooms in a building that are designated as exclusion zones.
- The extent to which the area (or areas) designated as exclusion zones are segregable from other areas of the building.
- The extent to which use of the building, and hence its status as an exclusion zone, varies from day-to-day or week-to-week.

Governing principles:

- If a small number of rooms or a small fraction of assignable space in a building is subject to exclusion, only the rooms or areas that qualify for exclusion should be excluded. Appropriate signage needs to be posted for rooms or areas that are excluded.
- If a significant fraction of the total building in terms of number of rooms or assignable space is subject to exclusion, or if the excludable space is not segregable from other space, then as a matter of practicality, the whole building should be excluded. Appropriate signage needs to be posted for any such building.

Finding: The campus consists of many mixed-use facilities with complex functions and use patterns. Developing a formulaic or categorical policy could not ensure compliance with the law and take full account of the uniqueness of the campus environment. This policy is designed to provide a practical approach to determine exclusion policies in mixed-use facilities.

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This policy does not have the effect of generally prohibiting license holders from carrying concealed handguns on campus. The adoption of this policy is consistent with existing law and is within the authority granted to university presidents.

Implementation Strategy and Criteria: The campus will consider mixed-use buildings on a case-by-case basis, but under no circumstances will the implementation of a rule or regulation have the general effect of prohibiting license holders from carrying concealed handguns on campus. The campus will provide information and notice in appropriate informational materials and websites.

POLICY STATEMENT | NO. 22

The University should develop training materials particular to UT Austin on how to respond to an active shooter situation. These should be incorporated in the CW 122: A Safe Workplace training module, and all faculty and staff should be required to complete this module. All students should also be required to complete training on how to respond to an active shooter situation.

Finding: While gun violence in schools and college campuses occurs at an alarming frequency, the introduction of handguns to university buildings further complicates active shooter situations and especially a law enforcement response. This policy is designed to provide students, faculty members and staffers with training on how to respond to an active shooter situation.

This policy does not have the effect of generally prohibiting license holders from carrying concealed handguns on campus. The adoption of this policy is consistent with existing law and is within the authority granted to university presidents.

Implementation Strategy and Criteria: The campus will work with the UT Police Department and others to develop training materials and procedures particular to UT Austin on how to respond to an active shooter situation. All faculty members, staffers and students will be required to complete the training.

The UT Police Department, in collaboration with other law enforcement agencies, will review its active shooter

response protocols to take into account the possibility that license holders of concealed handguns may be present in university buildings during active situations.

POLICY STATEMENT | NO. 23

The University should develop and post in a prominent place a detailed Campus Carry FAQ.

Finding: The change in law permitting license holders to carry a concealed handgun in university buildings poses many questions that will face members of the university community. Detailed, comprehensive and accurate information is important for those in the university community to understand the law and how it affects daily activities on campus. This policy is intended to provide students, faculty members and staffers access to information about the relevant law and campus policies and procedures.

This policy does not have the effect of generally prohibiting license holders from carrying concealed handguns on campus. The adoption of this policy is consistent with existing law and is within the authority granted to university presidents.

Implementation Strategy and Criteria: The campus will develop and make readily available a detailed list of frequently asked questions (FAQ) about campus carry. The FAQ will be posted on campus websites and updated as necessary to ensure relevancy.

POLICY STATEMENT | NO. 24

The University should develop materials to educate and inform parents of UT students and prospective students about campus carry and how it is being implemented.

Finding: The change in law permitting license holders to carry a concealed handgun in university buildings is of great interest to parents of students and prospective students. This policy is intended to provide parents and prospective students with information about campus carry and how it is being implemented on the UT Austin campus.

This policy does not have the effect of generally prohibiting license holders from carrying concealed

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handguns on campus. The adoption of this policy is consistent with existing law and is within the authority granted to university presidents.

Implementation Strategy and Criteria: The campus will work with the UT Office of Admissions and the Texas Parents Association to develop these materials. These materials will be made available in appropriate informational materials and websites.

POLICY STATEMENT | NO. 25

To the extent possible, office space within gun-exclusion zones should be made available on a scheduled basis to faculty and staff who do not have offices to which they are solely assigned. These spaces can be used for conferences that faculty or staff would prefer to conduct in a gun-exclusion zone.

Finding: Faculty members and staffers often are faced with conducting difficult and sensitive meetings with peers, students and others. The presence of a handgun in those situations may exacerbate anxieties and tensions for those involved in those meetings. This policy addresses significant concerns raised by faculty members and staffers through the Working Group process by making suitable office space, in an already designated gun-exclusion area, available to faculty members and staffers not having offices to which they are solely assigned.

This policy does not have the effect of generally prohibiting license holders from carrying concealed handguns on campus. The adoption of this policy is consistent with existing law and is within the authority granted to university presidents.

Implementation Strategy and Criteria: The campus will work to identify locations on campus where handguns are excluded by other law or policy where office space is suitable and available for use for this purpose. The campus will develop use policies and scheduling procedures to ensure usage is temporary and limited. A location identified for this purpose cannot be the primary basis for a gun-exclusion zone. These materials will be made available in appropriate informational materials and websites.

UT DALLAS POLICY

Title: Carry of Concealed Handguns

Policy

Sec. 1 General Policy Statement

Senate Bill 11 (SB 11) of the 84th Texas Legislature permits individuals holding concealed handgun licenses to carry their weapons onto the campus and into the general buildings of the publicly governed institutions of higher education in Texas. The fundamental goals of this policy are to ensure compliance with the spirit and letter of SB 11 in a manner consistent with other relevant laws, and to ensure the continuation of the current calm, constructive and positive social and intellectual environment that characterizes UT Dallas.

Sec. 2 Applicability

This policy applies to all persons on property owned or leased by UT Dallas and goes into effect on August 1, 2016.

Sec. 3 Responsibilities of Individuals Carrying Concealed Handguns

Sec. 3.1 **Concealment.** The licensed carrier of a handgun must ensure that a casual observer be unable to notice or detect that a handgun is being carried. This is a fundamental aspect of the law and of our recommended policy. Individuals who violate the condition of concealment at any time, either by deliberately or inadvertently allowing their handgun to be seen or by letting its presence be noticeable by inadequate concealment, aka “printing,” are in violation of this policy and may be subject to discipline.

Sec. 3.2 **Security.** The licensed carrier is responsible for maintaining complete practical control of the handgun at all times, so that it is either on the licensee’s person or is immediately accessible from a purse or other analogous personal accessory that is continually under the direct control of the licensee. The only alternative to these conditions is that a gun be stored appropriately, as required in Section 3.4.

Sec. 3.3 **Safety.** The handgun must be carried in a holster that completely covers the trigger and trigger guard area and provides sufficient adhesion on the gun so that the gun will not fall out of the holster when the gun or the carrier is subject to abrupt motions or impacts.

Sec. 3.4 **Storage.** The university will make no provisions for storage of handguns. Licensed carriers must have their weapons on their persons constantly or have them stored in a securely locked personal vehicle or, if they are resident in a university-owned apartment, stored in an approved storage locker.

Sec. 4 Consistency with other Laws

The operations of the university are dynamic and fluid. In most instances, buildings and rooms have different utilizations at different hours, days, weeks and semesters. It is incumbent upon

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licensed carriers to be informed and cognizant about the many restrictions and limitations that various laws impose upon the unrestricted carrying of concealed weapons in various situations, and that licensed carriers rigorously adhere to these conditions without being guided constantly by impractical or intrusive warnings. Some of the most important of these restrictions include:

- a. Presence of School Children. The nature of our university is that school children can and will appear at unscheduled times in any almost any location, whether in groups of escorted tours, as subjects of study or treatment, in transit to childcare areas, or as visitors, alone or with families. There is no practical way to warn about or to demark areas of campus where such occurrences will or will not happen. Licensed carriers should avoid areas in which school children are present as prescribed by their training and existing law.
- b. Sporting Events. The carrying of concealed weapons is prohibited at intermural, intramural, and club sporting events held on UT Dallas premises, whether held under the sponsorship of UT Dallas or of some external organization, and whether the event is ticketed or not. This prohibition is in accord with the Texas Penal Code §46.035(b)(2). This prohibition will be noted on the tickets for ticketed events.
- c. Patient Care Facilities. Various rooms and areas of university buildings function as facilities for consulting with and treating patients. Consistent with Texas Penal Code §46.035(b)(4), these areas will be marked clearly to designate their functions, and individuals carrying concealed weapons will be excluded from them.
- d. Hearing Facilities. Various rooms and areas of university buildings function from time to time as facilities for disciplinary hearings or for mediation sessions. When so used, these areas will be marked clearly to designate their functions, and it is incumbent upon the individuals carrying concealed weapons not to enter these areas.

Sec. 5 Exclusion Zones

The essential core facilities of the university, including classrooms, multi-person administrative offices, individual faculty offices, libraries, and advisement and testing facilities, will all be accessible to individuals carrying concealed weapons in accord with their licenses and the law.

Various laws pertaining to concealed handguns make their presence in some university facilities intrinsically inappropriate or illegal. These facilities shall be designated as “exclusion zones.” For these buildings, entry will be forbidden to students, employees, and visitors who are carrying concealed handguns. Notice conforming to Texas Penal Code §30.06 will be provided for these “exclusion zones” as appropriate.

- a. Callier Center-Dallas and Callier Center-Richardson. The predominant mission of the Callier Center is to assist and improve the functioning of pre-school and school-age children with challenges of speech, hearing, and behavior. Since licensed concealed handguns are not permitted in the vicinity of school children, and also in treatment facilities, the buildings and enclosed grounds of both facilities are to be designated as exclusion zones.

UT DALLAS POLICY

- b. Center for BrainHealth. Along with research activities in neuroscience and psychology, the staff of the Center also treat patients, from school children to military veterans to the elderly. Entry into the building is by card access and is monitored by security personnel during working hours. Accordingly, the Center for BrainHealth building is to be designated as an exclusion zone.
- c. Natural Science and Engineering Research Laboratory (NSERL)/Bioengineering and Sciences Building (BSB). A variety of regulations restrict the presence of firearms in the vicinities of biological agents, research animals, volatile and/or explosive agents, and elaborate, highly sensitive, large equipment installations. UT Dallas operates a large science and engineering research complex, the individual components of which are known as the Natural Sciences and Engineering Research Laboratory (NSERL) and the Bioengineering and Sciences Building (BSB). These buildings are contiguous and interconnected and feature badge-only access monitored by security personnel. The activities that are carried out in this complex involve one or more of the above features that are acknowledged as inimical to the discharge of a firearm. Hence, the entire, integrated, secure facility known as NSERL and BSB is to be designated as an exclusion zone.
- d. Housing. UT Dallas operates two types of housing facilities for its students:

Dormitories. These buildings house freshman students, whose ages range almost exclusively from 17 to 19. Other than military veterans, individuals under the age of 21 cannot be licensed handgun carriers. In keeping with this law, and to maintain equity between our under-age students and visitors, the five freshman dormitory buildings and the contiguous dining and recreational facilities are to be designated as exclusion zones.

Apartments. Apartment units provide housing from one to several individuals and are occupied by upper-level undergraduate students and graduate students. Licensed handgun carriers living in these apartments are permitted to possess their handguns in the premises of their individual housing units, subject to the general restrictions on concealed carry in public areas and subject to the condition that the handguns be stored in an approved safe, personally provided by the owner when not carried on the person of the owner.

Sec. 6 Noncompliance

Failure to comply with this policy may result in criminal prosecution and discipline in accordance with applicable procedures up to and including termination of employment.

Sec. 7 Amendment of Provisions as Necessary for Campus Safety

As provided by law, the President may, at his/her discretion, amend the provisions of this policy as necessary for campus safety, which shall take effect as determined by the President unless subsequently amended by the Board of Regents.

The University of Texas at Dallas SB 11 – Campus Carry Draft Recommendations

Background

Senate Bill 11 (SB 11) permits individuals holding concealed handgun licenses to carry their weapons onto the campus and into the general buildings of the publicly governed institutions of higher education in Texas. Passed by the 84th Texas Legislature and signed into law by Governor Abbott, SB 11 takes effect on August 1, 2016. Recognizing the complex nature of the operations of institutions of higher education and the extensive network of other laws pertaining to handguns, SB 11 also permits presidents of these publically governed institutions to propose to their governing boards policies that would implement SB 11 while complying with the many other laws and public policies that pertain to carrying handguns in Texas.

This document presents the recommendation of the president of The University of Texas at Dallas to The Board of Regents of The University of Texas System for the policy under which the University (UT Dallas) will comply with SB 11. The details of this policy are based on an intensive process of consultation and discussion carried out during the autumn of 2015 under the leadership of Dr. Alex Piquero, Ashbel Smith Professor of Criminology at UT Dallas. That process included weekly meetings of a campus working group representing students, staff, faculty, security personnel, and administrators, as well as a large campus-wide town hall meeting and inputs through a campus carry email address.

The policy recommendations that emerged from this process have two fundamental goals. One goal is to ensure compliance with the spirit and letter of SB 11 in a manner consistent with other relevant laws. The second goal, equally important, is to ensure the continuation of the current calm, constructive and positive social and intellectual environment that characterizes UT Dallas.

Our studies and deliberations on this issue provided very considerable evidence that the fundamental material facts regarding the presence of handguns on campus will not change markedly as a result of implementing policies in compliance with SB 11. Only a fraction of the general population currently is licensed to carry concealed handguns (approximately 4%), and large contingents of the university community are not eligible, because of age or national origin, to be so licensed. In addition, given the substantial practical difficulties of participating in university activities while adhering to the rigorous constraints governing the carrying of a concealed handgun, it is quite plausible that many licensed carriers will not choose to carry handguns to campus.

Since our analysis is that the substantive changes in the material facts regarding the presence of handguns in the normal functioning of UT Dallas activities will be minor, our policy is formulated so as to minimize those elements of compliance that would create perceptions to the contrary. University life can and will continue in its traditional free and open spirit of inquiry and debate, and the policy developed to comply with SB 11 has been formulated to maintain this environment.

Fundamental Policy Elements

1. Responsibilities of individuals carrying concealed handguns in and on university premises

- a. **Concealment:** The relevant law, consistent with avoiding the intimidation, incitement, and harassment that can result from the open carrying of handguns, stipulates that the licensed carrier of a handgun must ensure that a casual observer be unable to notice or detect that a handgun is being carried. This is a fundamental aspect of the law and of our recommended policy. Individuals who violate the condition of concealment at any time, either by deliberately or inadvertently allowing their handgun to be seen or by letting its presence be noticeable by inadequate concealment, aka “printing,” is in violation of our recommended policy and will be subject to university discipline.
- b. **Security:** The licensed carrier is responsible for maintaining complete practical control of the handgun at all times, so that it is either on the licensee’s person or is immediately accessible from a purse or other analogous personal accessory that is continually under the direct control of the licensee. This stipulation is a fundamental aspect of our recommendations and is, of course, intended to preclude the danger of the licensee losing control of the weapon. The only alternative to these conditions is that a gun be stored appropriately. There are two storage options. Individuals driving to campus may store their guns out of sight in their locked vehicles. Residents of university apartments can store their guns in personally provided, legally approved, storage lockers in their rooms, conditional upon adherence to the concealment requirements.
- c. **Safety:** The handgun must be carried in a holster that completely covers the trigger and trigger guard area and provides sufficient adhesion on the gun so that the gun will not fall out of the holster when the gun or the carrier is subject to abrupt motions or impacts. As with the security condition, this condition is obviously intended to minimize the threat of the licensee losing control of the gun because of it being dislodged.
- d. **Storage:** The university will make no provisions for storage of handguns. Licensed carriers must have their weapons on their persons constantly or have them stored in a securely locked personal vehicle or, if they are resident in a university-owned apartment, stored in an approved storage locker. The concept of university-provided common storage facilities on campus is contradictory to the principle of concealed carry, given that it is impossible to transfer a weapon from one’s person to a storage facility without revealing the presence of the weapon.

2. Consistency with other pertinent laws

Our interpretation of the spirit and letter of SB 11 is that the members of the university community who desire to exercise their rights as conveyed in this law should be able to do so with minimal constraints while they pursue the essentials of their education or their employment. As such, our recommendations are formulated to ensure that the typical university citizen who wishes to exercise the right of carrying a concealed handgun on campus be able to do so while

also being able to function fully in the role of employee or student, or, in appropriate circumstances, visitor. Hence, the essential core facilities of the university, including classrooms, multi-person administrative offices, individual faculty offices, libraries, and advisement and testing facilities, will all be accessible to individuals carrying concealed weapons in accord with their licenses and the law.

At the same time, the recommended UT Dallas policy imposes serious obligations upon these individuals. Our policy is based on the assumption that licensed handgun carriers are informed and cognizant about the many restrictions and limitations that various laws impose upon the unrestricted carrying of concealed weapons in various situations, that they rigorously adhere to these conditions, and that they be subject to university disciplinary procedures when violations occur.

The operations of the university are dynamic and fluid. In most instances, buildings and rooms have different utilizations at different hours, days, weeks and semesters. Hence, our policy makes it incumbent upon licensed carriers to avoid violating these restrictions without being guided constantly by impractical or intrusive warnings. Some of the most important of these restrictions include:

- a. Presence of school children: The nature of our type of university is that school children can and will appear at unscheduled times in almost any location, whether in groups of escorted tours, as subjects of study or treatment, in transit to childcare areas, or as visitors, alone or with families. There is no practical way to warn about or to demark areas of campus where such occurrences will or will not happen. Hence, it is incumbent upon the individual carrying a concealed weapon to avoid areas in which school children are present.
- b. Sporting events: The carrying of concealed weapons is prohibited at intermural, intramural, and club sporting events held on UT Dallas premises, whether held under the sponsorship of UT Dallas or of some external organization. This prohibition is in accord with the Texas Penal Code §46.035(b)(2). This prohibition will be noted on the tickets for ticketed events, and university policy will state clearly that the prohibition extends to non-ticketed events as well. The law and our policy are based on the general recognition that competitive sporting events can generate volatile emotions and antagonisms.
- c. Patient care facilities: Various rooms and areas of university buildings function as facilities for consulting with and treating patients. Consistent with Texas Penal Code §46.035(b)(4), these areas will be marked clearly to designate their functions, and individuals carrying concealed weapons will be excluded from them.
- d. Hearing facilities: Various rooms and areas of university buildings function from time to time as facilities for disciplinary hearings or for mediation sessions. When so used, these areas will be marked clearly to designate their functions, and it is incumbent upon the individuals carrying concealed weapons not to enter these areas.

3. Exclusion Zones

Various laws pertaining to concealed handguns make their presence in some university facilities intrinsically inappropriate or illegal. These facilities shall be designated as “exclusion zones.” For these buildings, entry will be forbidden to students, employees, and visitors who are carrying concealed handguns.

- a. Callier Center-Dallas and Callier Center-Richardson: The predominant mission of the Callier Center is to assist and improve the functioning of pre-school and school-age children with challenges of speech, hearing and behavior. Since licensed concealed handguns are not permitted in the vicinity of school children, and also in treatment facilities, the buildings and enclosed grounds of both facilities are to be designated as exclusion zones.
- b. Center for BrainHealth: Along with research activities in neuroscience and psychology, the staff of the center also treat patients, from school children to military veterans to the elderly. Entry into the building is by card access and is monitored by security personnel during working hours. Accordingly, the Center for BrainHealth building is to be designated as an exclusion zone.
- c. Natural Science and Engineering Research Laboratory/Bioengineering and Sciences Building: A variety of regulations restrict the presence of firearms in the vicinities of biological agents, research animals, volatile and/or explosive agents, and elaborate, highly sensitive, large equipment installations. UT Dallas operates a large science and engineering research complex, the individual components of which are known as the Natural Sciences and Engineering Research Laboratory (NSERL) and the Bioengineering and Sciences Building (BSB). These buildings are contiguous and interconnected and feature badge-only access monitored by security personnel. The activities that are carried out in this complex involve one or more of the above features that are acknowledged as inimical to the discharge of a firearm. Hence, the entire, integrated, secure facility known as NSERL and BSB is to be designated as an exclusion zone.
- d. UT Dallas operates two types of housing facilities for its students:

Dormitories: Approximately 2,200 beds are available in five dormitory buildings. These buildings house freshman students, whose ages range almost exclusively from 17 to 19. Other than military veterans, individuals under the age of 21 cannot be licensed handgun carriers. In keeping with this law, and to maintain equity between our under-age students and visitors, the five freshman dormitory buildings and the contiguous dining and recreational facilities are to be designated as exclusion zones.

Apartments: Approximately 2,800 beds are available in apartment units that provide housing from one to several individuals and are occupied by upper-level undergraduate students and graduate students. Licensed handgun carriers living in these apartments are permitted to possess their handguns in the premises of their individual housing units, subject to the general restrictions on concealed carry in public areas and subject to the

condition that the handguns be stored in an approved safe, personally provided by the owner, when not carried on the person of the owner.

- e. The President of The University of Texas at Dallas may amend the university's policy as necessary for campus safety so as to include specific times and/or other locations where the carrying of concealed handguns is prohibited.

Concealed Handguns and Weapons Policy

Section: IX: Environmental Health and Safety

Chapter: 10

Date Updated:

10.1 Policy

10.1.1 Pursuant to Subchapter H, Chapter 411 of the Texas Government Code, individuals licensed by the State to carry a concealed handgun (License Holder) may carry a concealed handgun in approved areas on the University Campus beginning August 1, 2016.

10.1.2 The use, possession, display or storage of all other weapons, simulated weapons, explosives, or fireworks on the University Campus is prohibited and subject to appropriate disciplinary and/or criminal sanctions.

10.1.3 Individuals who observe a violation of this policy are required to report the incident immediately to the University Police Department (UTEPPD) at 915-747-5611 or 911. UTEPPD will investigate the incident and when applicable forward potential violations to the University's Office of Human Resources or Office of Student Conduct and Conflict Resolution (OSCCR).

10.2 Exceptions

10.2.1 Licensed peace officers may carry authorized weapons on the University Campus.

10.2.2 License holder, as defined by Subchapter H, Chapter 411 of the Texas Government Code, may carry a concealed handgun in approved areas on the University Campus beginning August 1, 2016. The following restrictions will apply:

10.2.2.1 Exclusion Areas are set forth in Appendix A. In accordance with Subchapter H, Chapter 411 of the Texas Government Code, the University has identified exclusionary areas based on applicable laws and regulations, the general makeup of the University population in those areas, safety considerations, and any unique and material characteristics of those areas. The Texas Government Code Section 411.2031(d-1) and the Texas Penal Code Section 30.06 require notice to be given regarding the areas where license holders may not carry. Signage will be provided for these areas, using the required wording and format.

10.2.2.2 Certain events throughout the year where concealed handguns are prohibited due to the unique nature of the activity. Events must be approved by the President and must fully comply with the reporting and notification requirements set forth in Texas Government Code Section 411.2031(d-1).

10.3 Storage

10.3.1 The University will not provide storage for handguns on the University Campus.

10.3.2. At all times, the responsibility of maintaining ownership and control of a concealed handgun rests with the license holder.

10.3.3. Individuals are responsible for knowing where their handguns are at all times and which areas on campus are exclusion zones.

10.3.3. Existing lockers, cabinets, closets and furnishings are property of UTEP and shall not be used to store a handgun.

10.3.4. When not in the direct possession of the license holder, handguns must be concealed and locked in the owner's private automobile or safely stored by other means.

10.3.5. To prevent tampering or theft, licensed holders with private dormitory rooms in Miner Village are required to store handguns in personally owned gun lockers or in their private automobiles.

10.4 Communication and Training

10.4.1 In order to improve general campus safety regarding weapons on campus, the University will:

- a. Provide educational materials for students, staff, and faculty regarding active shooter situations.
- b. Post in prominent locations a concise compilation of campus-carry policy information and FAQs.
- c. Provide awareness of available mental health assistance through an enhanced web presence and other types of publicity.
- d. Provide faculty and staff with additional training and professional development in the areas of conflict resolution, student conduct and classroom management.

10.5 Violations and Penalties

10.5.1 Reports of staff or faculty violating this policy will be forwarded to the Office of Human Resource for review. Employees who have violated this policy may be subject to discipline, up to and including dismissal, under the University's applicable policies and procedures.

10.5.2 Reports of students violating this policy will be forwarded to OSCCR for review. Students who have violated this policy may be subject to discipline, up to and including suspension and/or expulsion, under the Student Code of Conduct.

10.5.3 Relevant University policies and procedures will include provisions to address these violations as necessary. Violations include but are not limited to:

- a. Carrying any weapon on the University Campus, unless specifically allowed by state or federal law or this policy;
- b. Carrying a partially or wholly visible handgun on the University Campus;

- c. Causing an intentional, inadvertent or the accidental discharge of a firearm that is not justified in the use of force or deadly force.;
- d. Using, or threatening to use, a weapon in a threatening or hostile manner.

10.5.4 A person who fails to comply with the policies, rules and regulations prescribed by the University may be subject to University discipline and may also be in violation of the Texas Penal Code.

10.5.5 Alleged violations of the Texas Penal Code will be investigated by UTEPPD. Investigations of University policy violations and criminal violations may run concurrently or separately. The lack of finding of criminal activity does not preclude the University from finding a violation of University policy.

10.6 Reporting

No later than September 1 of each even-numbered year, the University shall submit a report to the legislature and appropriate committees that describes all provisions regarding the carrying of concealed handguns on the University Campus. The report will contain the rationale for the University's current provisions.

10.7 Definitions

10.7.1 Handgun: Any firearm that is designed, made or adapted to be fired with one hand.

10.7.2 Concealed Handgun: A handgun, the presence of which is not openly discernable to the ordinary observation of a reasonable person.

10.7.3 License Holder: An individual in possession of a current license issued or recognized by the State of Texas to carry a concealed handgun.

10.7.4 University Campus: All land and buildings owned or leased by the University.

10.7.5 Weapon: Any object or substance designed to inflict a wound, cause injury, or incapacitate, including without limitation all firearms, BB guns, air guns, pellet guns, zip gun, switchblade knives, knives with a blade over five and one-half inches and other illegal knives or clubs prohibited by statute. Chemicals such as mace, tear gas, or oleoresin capsicum, but excluding normally available over-the-counter self-defense repellents. Possession of chemical repellants labeled for police use only or for law enforcement use only are restricted to law enforcement.

10.7.5 Exclusionary Areas: Areas for which policy, rule, law or regulation requires exclusion of Weapons or in which any Weapons are prohibited.

APPENDIX A

EXCLUSIONARY AREAS

Areas of the University Campus where carrying a concealed handgun is prohibited include the following:

EXCLUSION AREAS	UTEP LOCATION
Areas for which federal law requires exclusion or in which weapons are prohibited by an accrediting authority.	<ul style="list-style-type: none"> • University Heights Early Learning Center, 315 W. Schuster • On-campus voting locations organized by governmental agencies
Patient care areas, including those where mental health care services are provided. Texas Penal Code 46.035(b)(2) excludes hospitals licensed under Chapter 241 – by analogy and extension.	<ul style="list-style-type: none"> • Student Health Center, Union East Building • University Counseling Center, Union West Building • College of Health Sciences Clinics <ul style="list-style-type: none"> – Speech, Language and Hearing Clinics (lab and waiting room) – Community Rehabilitation Program Clinics (lab and waiting room)
Area where a high school, collegiate, professional sporting event or interscholastic event is taking place.	Facilities impacted while an event is taking place include, but are not limited to the following: <ul style="list-style-type: none"> • Sun Bowl Stadium • Don Haskins Center • Kidd Field • Memorial Gym • University Field • Helen of Troy Softball Complex
Animal-care areas where protocols regulating ingress and egress create a risk that a concealed handgun will accidentally discharge, contaminate or separate from a license holder.	<ul style="list-style-type: none"> • Biosciences Building Vivarium • Psychology Building, Animal Holding Area • Biology Building, Animal Holding Area
Laboratories required to comply with NFPA 45 (National Fire Protection Association, Code 45) regulations that are hazard risk classification 2 or higher. Other areas to be excluded include rooms with NMR units, rooms with natural gas lines 2 inches of diameter or greater AND with an open flame, and areas where compressed gas cylinders are used or stored in support of campus operations.	Laboratories within the following buildings that meet exclusion zone criteria: <ul style="list-style-type: none"> • Biology Building • Bioscience Research Building • Campbell Building • Chemistry and Computer Science Building • Engineering Building • Fox Fine Arts Center • Geological Sciences Building • Health Sciences and Nursing Building • Physical Sciences Building • Psychology Building

<p>Laboratories or research facilities where interaction with the body makes it difficult for individuals to conceal a handgun or where wearing a handgun would disrupt physical exertion or the study of the body.</p>	<p>Laboratories or research facilities within the following buildings that meet exclusion zone criteria:</p> <ul style="list-style-type: none"> • Campbell Building • Health Sciences Building • Larry K. Durham Center • Ross Moore Building
<p>Student Assessment Areas and Testing Centers when nationally sanctioned tests (e.g. ACT, SAT, TOEFL, CLEP, THEA IBT, TExES) are being administered that prohibit the carrying of a firearm under the rules of the testing organization.</p>	<ul style="list-style-type: none"> • Student Assessment and Testing examination rooms, Academic Advising Center • Other rooms or facilities on campus when national testing is taking place
<p>A room in which a formal hearing and/or tribunal is held in accordance with the following UTEP Handbook of Operating Procedures:</p> <ol style="list-style-type: none"> 1) Section II. Student Affairs, Chapter 1. Student Discipline and Conduct, 1.5 Hearing Process; 2) Section III. Academic Affairs, Chapter 4. Academic Policies and Faculty Personnel Matters, 4.15 Grade Review Process; 3) Section III. Academic Affairs, Chapter 4. Academic Policies and Faculty Personnel Matters, 4.4.2. Termination. <p>Allowed under Texas Penal Code 46.03 as a conflict resolution area.</p>	<p>Room would be identified in writing in official summons and/or correspondence with impacted parties and would only be in effect during the time of the hearing.</p>
<p>Special events produced by external agents, whose contracts specifically ban handguns or weapons in the arena during a performance. Notification of concealed carry limitations must be communicated during the time of ticket purchase.</p>	<p>Facilities impacted while an event is taking place include, but are not limited to the following:</p> <ul style="list-style-type: none"> • Sun Bowl Stadium • Don Haskins Center • Magoffin Auditorium • Memorial Gymnasium • Union Cinema
<p>Properties owned or leased by the University which have existing tenant leases prohibiting weapons.</p>	<ul style="list-style-type: none"> • UTEP Graduate Business Center, Chase Building,
<p>Student housing where individuals live in shared space.</p>	<ul style="list-style-type: none"> • Miner Heights Student Housing Complex • Miner Canyon Student Housing Complex
<p>A mixed-use residence where the authorized resident resides.</p>	<ul style="list-style-type: none"> • The Hoover House



THE UNIVERSITY OF TEXAS AT EL PASO

Campus Concealed Carry Task Force Report

BACKGROUND

On June 13, 2015, Governor Greg Abbott signed into law a bill (S.B. 11) allowing license holders to carry concealed handguns on public university campuses in the state of Texas. The legislation, which goes into effect August 1, 2016, provides an opportunity for individual campuses to develop rules, policies and practices associated with the law's implementation. Included in the law is the opportunity for campuses to identify exclusion areas where concealed carry will not be allowed.

At UTEP, a Campus Concealed Carry Task Force was convened during the fall 2015 semester. Membership on the Task Force, presented below, included a broad campus cross-section of students, faculty and staff. Compared with task forces at other UT System institutions, UTEP's is the largest and has the largest faculty representation.

Chair:

Gary Edens, Student Affairs

Faculty/Administrative Representatives:

Vince Burke, College of Liberal Arts
Marc Cox, College of Science
Jim Holcomb, College of Business Administration
Mark Lusk, College of Health Sciences
Elias Provencio-Vasquez, School of Nursing
Bess Sirmon Taylor, Graduate School
Michael Smith, College of Liberal Arts
John Wiebe, Provost's Office
Patricia Witherspoon, College of Liberal Arts

Staff Representatives:

Ben Carnevale, Staff Council
Ryan Holmes, Office of Student Conduct and
Conflict Resolution
Catie McCorry Andalis, Office of Student Life
Greg McNicol, Facilities Services
Arizve Ochoa-Retana, Human Resources
Jorge Vazquez, Special Events
Cliff Walsh, University Police

Student Representatives:

Roberto Dominguez
Diamond Martinez
Hector Quintero
Nadia Rivas
Sheryl Rodriguez

Parent Representative:

Isabel Sanchez, UTEP Parent Association

Ex-Officio Representatives:

Richard Adatao, President's Office
Lee Ann Koehler, Legal Affairs
Robert Moss, Environmental Health and Safety

The Task Force was charged with identifying campus spaces where concealed handguns should be excluded; developing policies and communication strategies associated with educating the campus and visitors about the new law; and recommending safety and enforcement practices that will ensure the rights of all campus constituents.

Throughout the process, the Task Force made every effort to be transparent, inclusive and diligent. A website, www.utep.edu/campuscarry, was launched in September 2015 and to date has been accessed more than 2,169 times. Feedback was also solicited through campuscarry@utep.edu which has garnered 144 emails from students, faculty and staff. Three campus forums were held at which more than 250 members of the campus community contributed suggestions and raised concerns. Additional conversations and presentations were conducted for the Administrative Forum (comprising all department chairs, directors and executive leadership), Faculty Senate, Residence Life, Student Government Association, Deans, Assistant & Associate Deans and the College of Liberal Arts. All suggestions, recommendations and concerns were compiled and shared with Task Force members on a weekly basis. Media coverage was extensive and helped promote the open forums while simultaneously informing the local community about the law's purpose and parameters.

CONCEALED CARRY

The Texas Department of Public Safety defines a concealed handgun as a handgun, the presence of which is not openly discernable to the ordinary observation of a reasonable person. This same definition will be utilized at UTEP. At all times, the handgun must be on or about the license holder's body or secured and concealed safely.

EXCLUSION ZONES

A large part of the Task Force's work involved the identification of concealed carry exclusion zones on the UTEP campus. Whenever applicable, existing legislative requirements, penal code or accreditation standards were utilized to identify exclusion zones. Feedback from the campus community also yielded a significant number of recommendations, all of which were considered by the Task Force.

Given the passionate arguments on both sides of the concealed carry debate, it is not surprising that strong opinions were heard from both ends of the exclusion zone spectrum. Many individuals expressed heartfelt concerns related to the addition of concealed handguns in classrooms, laboratories and campus study spaces. Their recommendation was to make them exclusion zones. On the other end, many individuals wanted no restrictions associated with their right to carry a concealed handgun and asked the Task Force to limit the number of exclusion zones, and to allow concealed carry in classrooms. Final recommendations by the Task Force took into account community feedback and, as we interpreted them, the parameters set forth in the legislation.

As stated in the law, the university President has the authorization to enact "reasonable rules and regulations" but the rules may not either "generally prohibit" or "have the effect of generally prohibiting" license holders from carrying concealed handguns on campus. Led by Greg McNicol, Associate Vice President for Business Affairs, the Facilities and Security sub-committee followed these guidelines and reviewed all likely candidates for exclusion areas in developing the following recommendations.

EXCLUSION ZONE RECOMMENDATION	UTEP LOCATION
<p>Areas for which federal law requires exclusion or in which weapons are prohibited by an accrediting authority.</p>	<ul style="list-style-type: none"> • University Heights Early Learning Center, 315 W. Schuster • On-campus voting locations organized by governmental agencies
<p>Patient care areas, including those where mental health care services are provided. Texas Penal Code 46.035(b)(2) excludes hospitals licensed under Chapter 241 – by analogy and extension; it is recommended that all patient care areas be excluded.</p>	<ul style="list-style-type: none"> • Student Health Center, Union East Building • University Counseling Center, Union West Building • College of Health Sciences Clinics <ul style="list-style-type: none"> - Speech, Language and Hearing Clinics (lab and waiting room) - Community Rehabilitation Program Clinics (lab and waiting room)
<p>Premises where a high school, collegiate, professional sporting event or interscholastic event is taking place. Effective notice will be given as described in Section 30.06.</p>	<p>Facilities impacted while an event is taking place include, but are not limited to the following:</p> <ul style="list-style-type: none"> • Sun Bowl Stadium • Don Haskins Center • Kidd Field • Memorial Gym • University Field • Helen of Troy Softball Complex
<p>Animal-care areas where protocols regulating ingress and egress create a risk that a concealed handgun will accidentally discharge, contaminate or separate from a license holder.</p>	<ul style="list-style-type: none"> • Biosciences Building Vivarium • Psychology Building, Animal Holding Area • Biology Building, Animal Holding Area

<p>Laboratories required to comply with NFPA 45 (National Fire Protection Association, Code 45) regulations that are hazard risk classification 2 or higher. Other areas to be excluded include rooms with NMR units, rooms with natural gas lines 2 inches of diameter or greater AND with an open flame, and areas where compressed gas cylinders are used or stored in support of campus operations.</p>	<p>Laboratories within the following buildings that meet exclusion zone criteria:</p> <ul style="list-style-type: none">• Biology Building• Bioscience Research Building• Campbell Building• Chemistry and Computer Science Building• Engineering Building• Fox Fine Arts Center• Geological Sciences Building• Health Sciences and Nursing Building• Physical Sciences Building• Psychology Building
<p>Laboratories or research facilities where interaction with the body makes it difficult for individuals to conceal a handgun or where wearing a handgun would disrupt physical exertion or the study of the body.</p>	<p>Laboratories or research facilities within the following buildings that meet exclusion zone criteria:</p> <ul style="list-style-type: none">• Campbell Building• Health Sciences Building• Larry K. Durham Center• Ross Moore Building
<p>Student Assessment and Testing Centers when nationally sanctioned tests (e.g. ACT, SAT, TOEFL, CLEP, THEA IBT, TExES) are being administered that prohibit the carrying of a firearm under the rules of the testing organization. Effective notice will be given under Section 30.06 and as needed.</p>	<ul style="list-style-type: none">• Student Assessment and Testing examination rooms, Academic Advising Center• Other rooms or facilities on campus when national testing is taking place
<p>A room in which a formal hearing and/or tribunal is held in accordance with the UTEP Handbook of Operating Procedures: 1) Section II. Student Affairs, Chapter 1., Student Discipline and Conduct, 1.5 Hearing Process; 2) Section III. Academic Affairs, Chapter 4. Academic Policies and Faculty Personnel Matters, 4.15 Grade Review Process; 3) Section III. Academic Affairs, Chapter 4. Academic Policies and Faculty Personnel Matters, 4.4.2. Termination. Allowed under Texas Penal Code 46.03 as a conflict resolution area.</p>	<p>Room would be identified in writing in official summons and/or correspondence with impacted parties and would only be in effect during the time of the hearing.</p>

<p>Special events produced by external agents, whose contracts specifically ban handguns or weapons in the arena during a performance. Notification of concealed carry limitations must be communicated during the time of ticket purchase.</p>	<p>Facilities impacted while an event is taking place include, but are not limited to the following:</p> <ul style="list-style-type: none">• Sun Bowl Stadium• Don Haskins Center• Magoffin Auditorium• Memorial Gymnasium• Union Cinema
<p>Properties located off campus, which have existing tenant leases prohibiting weapons.</p>	<ul style="list-style-type: none">• UTEP Graduate Business Center, Chase Building,
<p>Student housing where individuals live in shared space. Miner Heights and Miner Canyon consist of bedroom apartments where the majority of the rooms are shared. Miner Village has private, lockable bedrooms and would not be an exclusion zone.</p>	<ul style="list-style-type: none">• Miner Heights Student Housing Complex• Miner Canyon Student Housing Complex
<p>A mixed-use residence where the authorized resident resides. The determination of the Hoover House as an exclusion zone lies with the resident, to include the Hoover House curtilage and the entire house.</p>	<ul style="list-style-type: none">• The Hoover House

The president reserves the right to identify certain events throughout the year where concealed handguns are prohibited due to the unique nature of the activity. For these events, which will be limited in number, UTEP will fully comply with the reporting and notification requirements set forth in §411.2031.

Where possible and practical, signage will be displayed, in both English and Spanish, to inform the campus community of an area where concealed carry is impermissible. The law further requires that signage be displayed in a conspicuous manner clearly visible to the public, with block letters in contrasting colors and at least one inch in height. Though not specifically stated in the law, the Task Force assumes that the intent of the law is for signage to be placed at the entrances of a building for which concealed carry is not permitted, or the entrance to a room or area if excluded.

STORAGE LOCKERS

The concealed carry law does not require institutions, nor does it make funds available, to provide storage units or other similar accommodations to license holders. Existing lockers, cabinets, closets and furnishings are property of UTEP and do not meet the definition of providing safe storage and cannot therefore be used to safely store a handgun. At all times, the responsibility for maintaining ownership and control of a concealed handgun rests with the holder. When not in the direct possession of the license holder, handguns must be concealed and locked in the owner's private automobile or safely and securely stored by other means. Concealed carriers with private dormitory rooms in Miner Village are required to store handguns in personally owned gun lockers or in their private automobiles. Individuals are responsible for knowing where their handguns are at all times and which areas on campus are exclusion zones. Individuals must also make appropriate decisions relating to gun storage when the handgun is not "on or about their body" and in their direct control.

COMMUNICATION, TRAINING AND EDUCATIONAL OPPORTUNITIES

Knowledge of the campus concealed carry law's pending implementation among campus constituents is high. Media coverage has been extensive and faculty, staff and student groups have been considering the implications of the law for most of the fall 2015 semester. The specifics of the law, however, can be easily misunderstood and are often misrepresented or factually incorrect.

The Task Force recommends that by the end of the Spring 2016 semester a comprehensive communication plan be in place which outlines specific efforts to educate individuals on the law, identifies approved exclusion areas, and provides resources associated with the law's implementation. Different campus constituents will require targeted information and resources that take into consideration their unique circumstances and responsibilities. A recommendation that was consistently expressed at the campus open forums was that faculty and staff members be provided with additional training and professional development in the areas of conflict management, student conduct and classroom management.

Members of the staff also raised concerns regarding safety precautions and have asked for additional training on how to manage difficult customer service situations. Students are worried about handguns in student housing and at organizational events, and they are confused as to what will and will not be allowed on campus. Many of these issues cut across all campus populations and therefore must be addressed directly and in a manner that is accurate, consistent, and easily accessible.

A good start would be an expansion of the current campus carry website. Launched in September 2015, the www.utep.edu/campuscarry website has served as a centralized location for information dissemination and constituent feedback. The website's focus can easily be expanded to include additional and existing resources

and is an excellent repository for the latest information on exclusion areas and policy changes. We recommend that it remain in operation and be institutionalized as the go-to location for campus carry information.

The Communication and Training sub-committee, led by Catie McCorry Andalis, Associate Vice President and Dean of Students, will continue to meet throughout the spring 2016 semester to develop training opportunities for the campus community. A number of faculty and staff members have expressed a strong interest in supporting educational workshops and symposia relating to campus carry and related topics. Where appropriate and feasible, the sub-committee will work to facilitate this on-going dialogue on campus.

SAFETY AND ENFORCEMENT

The University of Texas at El Paso is committed to ensure the safety of everyone on our campus. Any violations of the new concealed carry law will be adjudicated through the campus conduct process and/or the legal system. University Police already receive extensive training in the use of force, de-escalation, problem solving, cultural diversity and sensitivity and community-oriented policing. This training is being reviewed within the new campus-carry context and will be adjusted and enhanced over the next several months as a lead-up to the law's enactment in August. Members of the university's police force will be actively involved in the development and presentation of training and educational programs for faculty and staff. An information card will be designed and distributed to all campus employees outlining emergency response protocol and contact information.

POLICY RULES AND REGULATIONS

Although it is anticipated that the UT System will develop model policies relating to concealed carry, members of the UTEP Task Force wanted to get an early start on drafting internal recommendations that could inform the policy discussion. Working from UTEP's current Handbook of Operating Procedures (HoOP), Ryan Holmes, Assistant Vice President for Student Support, and his Legal and Conduct sub-committee identified areas where the addition of new language would be required. The policy language recommendations outlined in Appendix A reflect the overall campus mindset and support the criteria outlined in the law.

APPENDIX A

Recommended Changes to UTEP's Handbook of Operating Procedures (HoOP)

STUDENT AFFAIRS

Student Affairs Policy Addition(s):

Section II. Student Affairs. Chapter 1. Student Conduct and Discipline

add:

1.2.2.13 Unauthorized Carrying/Possession or Display of Concealed Handgun

Carrying or possession of a concealed handgun, as defined in Section 46.01 Texas Penal Code, in an excluded area where specifically prohibited on campus or where specifically prohibited on any property or in a building or facility owned or controlled by the University and/ or intentionally or knowingly displaying a handgun in plain view of another person(s) while on campus or any property or in any building or facility owned or controlled by the University is subject to discipline.

Section II. Student Affairs. Chapter 4. Student Organizations

add:

4.8.3.14 Unauthorized Carrying/Possession or Display of Concealed Handgun

Carrying or possession of a concealed handgun in an excluded area where specifically prohibited on campus or where specifically prohibited on any property or in a building or facility owned or controlled by the University and/or intentionally or knowingly displaying a handgun in plain view of another person(s) while on campus or any property or in any building or facility owned or controlled by the University.

Additional Student Affairs Recommendation(s):

In Section II: Student Affairs, Chapter 1, 1.2.2.2. Drugs, it is stated that “the minimum sanction assessed shall be suspension from the university for a specified period of time and/or suspension of rights and privileges.” It is believed that such language should also be suggested for violations of the concealed carry law and added to the recommended 1.2.2.13 above.

In Section II, Student Affairs, Chapter 1, 1.9.1 it states that “Pursuant to the federal law, alleged victims of violent crime are entitled to know the results of campus student disciplinary proceedings concerning the alleged perpetrators.” After consulting with UTEP PD it is believed that “violent crime” refers to any kind of assault to include simple assault.

ACADEMIC AFFAIRS

In Section III, Academic Affairs, Chapter 4, 4.4.2. Termination. As this section and chapter of the HoOP includes hearing procedures, it is suggested that the following language be taken under consideration to be referenced in this portion or any other portion regarding formal hearings and tribunals:

Concealed Handgun Exclusion Areas for The University of Texas at El Paso

The room in which a formal hearing and/ or tribunal is held in accordance with the UTEP Handbook of Operating Procedures: 1) Section II. Student Affairs, Chapter 1., Student Discipline and Conduct, 1.5 Hearing Process; 2) Section III. Academic Affairs, Chapter 4. Academic Policies and Faculty Personnel Matters, 4.15 Grade Review Process; 3) Section III. Academic Affairs, Chapter 4. Academic Policies and Faculty Personnel Matters, 4.4.2 Termination.

Justification: Allowed under state law (Texas Penal Code 46.03(3)) as a conflict resolution area.

HUMAN RESOURCES

Section V. Human Resources. Chapter 6. Discipline and Dismissal of Staff Employees

6.3.2 Conduct Subject to Disciplinary Action.

b. Unacceptable Conduct

add:

19. Carrying or possession of a concealed handgun in an excluded area where specifically prohibited on campus or where specifically prohibited on any property or in a building or facility owned or controlled by the University and/or intentionally or knowingly displaying a handgun in plain view of another person(s) while on campus or any property or in any building or facility owned or controlled by the University; or

In Section V: Human Resources, Chapter 6, 6.3.3. Discipline Procedures, it is recommended that there be an addition created stating that firearms are prohibited in settings of formal or informal dispute resolution.

In Section V: Human Resources, Chapter 8, 8.3. Protection from Retaliation, it is recommended that there be an addition created that states if an act of retaliation occurs and a firearm is in plain view during such a situation that increased penalties may occur.

OTHER HoOP SECTIONS

In Section VI: Equal Opportunity, Chapter 1, 1.4.3, it is recommended that there be an addition created stating that firearms are prohibited in settings of formal complaint investigation.

In Section IX: Environmental Health and Safety, Chapter 5, it is recommended that there be an addition created stating that concealed weapons are prohibited in exclusion zones identified in UTEP's HoOP.

In a Section to be determined: The Campus Carry Policy may be amended from time to time after consulting with students, staff and faculty of the institution establishing reasonable rules, regulations or other provisions regarding the carrying of concealed handguns by license holders on the campus of The University of Texas at El Paso. The President or officers may not establish provisions that generally prohibit or have the effect of generally prohibiting license holders from carrying concealed handguns on the campus of The University of Texas at El Paso.

- x.3.1. Not later than the 90th day after the date that the rules, regulations or provisions are established, The University of Texas Board of Regents shall review the provisions
- x.3.2. By September 1 of each even numbered year, a report to the legislature and to the standing committee of the legislature with jurisdiction over the implementation and continuation of this section that:
 - x.3.2.1 Describes its rules, regulations and other provisions regarding the carrying of concealed handguns on the campus
 - x.3.2.2. Presents the reasons the institution has established those provisions
- x.3.3. The University of Texas at El Paso shall distribute the rules, regulations or other provisions
 - x.3.3.1 This regulation is contained and posted on the UTEP website in the Handbook of Operating Procedures

The University of Texas of the Permian Basin

Prohibited Weapons Policy

Contents

- I. Title
- II. Policy
- III. Definitions
- IV. Relevant Federal and State Statutes
- V. Relevant UT System Policies, Procedures and Forms
- VI. Who Should Know
- VII. UT Permian Basin Officer(s) Responsible for Policy
- VIII. Dates Approved or Amended
- IX. Contact Information

- I. Title

Prohibited Weapons

- II. Policy

A. Prohibition of Weapons: Except as authorized pursuant to this policy, the use, possession, display or storage of any weapons, simulated weapons, explosives or fireworks on the University campus is prohibited. Individuals who observe a weapon being displayed are required to report the incident immediately to The University of Texas of the Permian Basin Police Department so it can be documented and properly investigated. UTPB Police can be contacted at (432) 552-2911 and (432) 552-2786 for non-emergencies. UTPB Police will refer potential violations of this policy to the Office of Human Resources or the Student Conduct professional as applicable.

B. Exceptions:

- 1. Licensed peace officers may carry authorized weapons on the campus.
- 2. Pursuant to Subchapter H, Chapter 411 of the Texas Government Code, individuals licensed by the state to carry a concealed handgun (License Holder) may carry a concealed handgun in approved areas on the University Campus Beginning August 1, 2016. The following restrictions will apply:
 - a. This exceptions applies only to handguns; therefore, rifles and other weapons are prohibited on the University Campus with the exception of weapons permitted under Section B. 1.
 - b. The University will not provide storage for handguns on the University Campus.

- c. License Holders who reside in University housing areas which are not exclusion zones must safely secure or store their firearms to prevent tampering or theft as required by the University police Department.
3. Exclusion Zones are set forth the in Appendix A. Subchapter H, Chapter 411 of the Texas Government Code gives the presidents of public universities the ability to designate gun-free zones on their campuses. The University has identified exclusion zones based on applicable laws and regulations, the general constituency of the campus population in those areas, safety considerations and any unique and material characteristics of those areas. The Texas Government Code 411.2031(d-1) and the Texas Penal Code 30.06 require notice to be give regarding areas where license holders may not carry concealed guns. Signage will be provided for these areas, using the required wording and format.

C. Training and Awareness

1. In order to improve general campus safety regarding weapons on campus, the University will:
 - a. Provide educational materials for students, faculty and staff regarding active shooter situations.
 - b. Post in a number of prominent locations a concise compilation of campus-carry information and FAQs.
 - c. Promote awareness of available mental health assistance through websites and other types of publicity.

D. Violations and Penalties

1. Reports of faculty or staff violating this policy will be investigated by the Office of Human Resources and individuals will be subject to discipline under the University's Human Resources policies and procedures which may include disciplinary actions up to and including termination of employment for employees.
2. Reports of students violating this policy will be investigated by a University Student Conduct professional and individuals will be subject to discipline under the Student Conduct policy which may include disciplinary actions up to and including expulsion.
3. Suspected violations of law will be reported to the UTPB Police Department or other law enforcement agencies and may result in criminal penalties. A license holder is bound by state and federal law and may face criminal or civil penalties for violation of those statutes.
4. Violations include, but are not limited to:
 - a. Carrying any weapon on the University campus, unless specifically permitted state or federal law or this policy.
 - b. In compliance with Texas Penal code 46.035(a-1), a license holder may not carry a partially or wholly visible handgun on the University campus. Handguns must be carried on or about the person. Handguns must be

in holsters that completely cover the trigger guard area and that can withstand any movement from jostling, falling, etc.

- c. Causing an intentional, inadvertent or accidental discharge of a firearm that is not justified in the use of force or deadly force.
 - d. Using, or threatening to use, a weapon in a threatening or hostile manner.
 - e. Taking action which a prudent person would not take in regard to the care or use of the firearm.
5. Penalties: A person who fails to comply with the rules and the regulations prescribed by the University may be subject to University discipline and may also be in violation of the Texas Penal Code. Alleged violations of the Texas Penal Code will be investigated by UTPB Police Department. Investigations of policy violations and criminal violations may run concurrently or separately. The lack of any finding of criminal activity does not preclude the University from finding a violation of policy. Persons in violation of any lawful order to remove a weapon or to leave the University campus are subject to arrest by UTPB Police Department.

- E. Reporting: Not later than September 1st of each even-numbered year, the University shall submit a report to the legislature and appropriate committees that describes all provisions regarding the carrying of concealed handguns on the University campus. The report will contain the rationale for the University's current provisions.

III. Definitions

Handgun: Any firearm that is designed, made or adapted to be fired with one hand.

License Holder: An individual in possession of a current license issued or recognized by the State of Texas to carry a concealed handgun.

Summer Camp: A University sanctioned program with specific objectives designated for high school students or younger and which utilizes facilities that may include housing, dining, classroom, meeting and recreation space.

University Campus: All land and buildings owned or leased by the University.

Weapon: Any object or substance designed to inflict a wound, cause injury, or incapacitate, including without limitation to firearms, BB guns, air guns, pellet guns, zip guns, switchblade knives, knives with a blade over five and one-half inches and other illegal knives or clubs prohibited by statute. Chemicals such as mace, tear gas, or oleoresin capsicum, but excluding normally available over-the-counter self-defense repellants. Possession of chemical repellants labeled for police use only or for law enforcement use only are restricted to law enforcement.

IV. Relevant Federal and State Statutes

Texas Government Code Chapter 411

Texas Penal Code Chapter 46

V. Relevant UT System and Institutional Policies, Procedure and Forms

HOP, Part 5, Section 1 – Student Conduct Code

HOP, Part 3, Section 19 – Discipline and Dismissal of Classified Employees

Campus Carry FAQs

The University of Texas of the Permian Basin Police Department Policy and Procedure
(pending)

VI. Who Should Know

All member of the campus community, including students, staff, faculty, visitors,
contractors, prospective students, and the parent of students and prospective students.

VII. UTPB Officer(s) Responsible for Policy

President

Provost and Vice President for Academic Affairs

Vice President for Business Affairs

Senior Associate Vice President for Student Services

VIII. Dates Approved or Amended

Pending

IX. Contact Information

All questions regarding this policy should be directed to the Senior Associate Vice President
for Student Services.

APPENDIX A

Areas of the UTPB campus where carrying a concealed handgun is prohibited include the following exclusion zones:

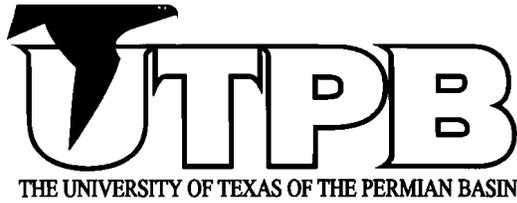
- a. Locations providing counseling services, which includes the Counseling Center in the Founders' Building.
- b. Locations providing childcare for minor children, which includes the Child Care Center located in the Student Activity Center.
- c. Locations providing education for K-12, which includes STEM Academy and Early College High School.
- d. Locations primarily used for NCAA, UIL or other ticketed sporting events.
- e. Locations administering contracted testing, which includes the Student Testing and Accommodation Center.
- f. University housing in rooms that are shared by two or more persons.
- g. Locations used for camps and programs for minors, which includes University housing when used for summer camps.
- h. Research labs where highly toxic and volatile chemicals are present.
- i. On a case-by-case basis, any location, or specific time period the University President determines creates a unique situation that poses a threat to the safety of the campus community.

**The University of Texas of The Permian Basin Campus Carry
Exclusion Zones, Rules and Violations**

Exclusion Zones	Justification
STEM Academy	Texas Penal Code 46.03(a) (1) “on the physical premises of a school or educational institution, any grounds or building on which an activity sponsored by a school or educational institution is being conducted”
Early College High School (ECHS) Classrooms & those dedicated to ECHS and others while in use by ECHS. Early College High School wings A and B of the Founders Building.	Texas Penal Code 46.03(a) (1) “on the physical premises of a school or educational institution, any grounds or building on which an activity sponsored by a school or educational institution is being conducted”
The Counseling Center- which resides in wing C of the Founders’ Building.	Texas Penal Code 46.035(b) (4) “on the premises of a hospital licensed under Chapter 241, Health and Safety Code” Analogous to patient care areas
Child Care Center	Texas Administrative Code Chapter 40, Sections 744.2607 and 746.3707. Although the center is located inside the Student Activity Center (SAC), there is no traffic between two facilities and therefore the SAC is not an exclusion zone.
Ticketed sporting events- Ticketed sporting events, collegiate or otherwise.	Texas Penal Code 46.35(b) (2) “on the premises where high school, collegiate, or professional sporting event or interscholastic event is taking place.”
Research Labs containing Volatile Chemicals	Research labs are high risks areas with periodic inspections by university safety officers and difficult enough to manage without adding factors that may increase risk. Spillage of chemicals could result in very dangerous conditions, requiring multiple experts to remediate chemical spills, fires, corrosive substances, and cancer causing organics in addition to EMT’s for personal physical injury.
UTPB Student Housing- rooms that have shared bedrooms.	Presents special danger of accidental loss, theft or misuse by roommates or others that is an unacceptable high risk.
Student Testing and Accommodations Center.	Contractual agreements exclude guns from testing areas. For example, Educational Testing Services (ETS) mandates that weapons of any kind are prohibited from testing centers. Students taking other tests do so concurrently with students taking standardized tests. Rather that disrupt testing availability for students, the center should be designated as an exclusion zone.

**The University of Texas of The Permian Basin Campus Carry
Exclusion Zones, Rules and Violations**

Rules	Justification
Holster	Eliminate accidental discharge if the handgun is jostled about or if the purse or backpack is dropped.
Gun safe in Student Housing	Licensed Residents in Student Housing who have a gun in apartment are required to store the weapon as required by the UTPB Police Department.
Employees	Employees who have an office assigned solely to them may lock their gun in their office but must have two methods of doing such.
Violations	
A person is believed to have improperly displayed a handgun or carried a handgun into a location where concealed carry is not permitted, the campus police should be contacted.	
Violations of campus rules about how to carry or store handguns may be acts subject to disciplinary action by an institution.	
License holder's bear the responsibility for safeguarding their handguns at all times, and must take all necessary precautions to ensure their handguns are secured in a manner that is most likely to prevent theft, loss, damage or misuse. License holders affiliated with UTPB who fail to use reasonable care in securing their handguns or act negligently are subject to disciplinary action, up to and including termination or nonrenewal of appointment, or dismissal from UTPB."	
A license holder fails to use reasonable care when he/she does not exercise the care which a reasonable or prudent person would exercise in similar circumstances, or takes action which a reasonable or prudent person would not take. Failing to secure or control a backpack or purse with a handgun at all times on the UTPB campus would be considered a failure to use reasonable care".	



Senior Associate Vice President

Date: April 1, 2016
To: Dr. W. David Watts, President
From: Campus Carry Committee
Subject: Concealed Handgun Carry on Campus

In the 84th Regular Legislative Session, the Texas Legislature passed Senate Bill 11, which allows licensed individuals to carry concealed handguns on public university campuses, effective August 1, 2016. The law allows institution presidents to “establish reasonable rules” and to evaluate “the nature of the student population, specific safety considerations, and the uniqueness of the campus environment.” However, a president “may not establish provisions that generally prohibit or have the effect of generally prohibiting license holders from carrying concealed handguns on the campus of the institution.” The provisions established by the president take effect unless amended by the Board of Regents by not less than a two-thirds vote. Presidents may over time amend an institution’s rules, although amendments are subject to the same potential action by the Board of Regents.

Pursuant to SB11, institution presidents may establish exclusion zones as follows:

“After consulting with students, staff, and faculty of the institution regarding the nature of the student population, specific safety considerations, and the uniqueness of the campus environment, the president or other chief executive officer of an institution of higher education in this state shall establish reasonable rules, regulations, or other provisions regarding the carrying of concealed handguns by license holders on the campus of the institution or on premises located on the campus of the institution. The president or officer may

not establish provisions that generally prohibit or have the effect of generally prohibiting license holders from carrying concealed handguns on the campus of the institution. The president or officer may amend the provisions as necessary for campus safety. The provisions take effect as determined by the president or officer unless subsequently amended by the board of regents or other governing board.”

The law defines “campus” as all land and buildings owned or leased by an institution of higher education. By law, a license holder must, including but not limited to, be at least 21 years old (or be active military or a veteran), be a resident of Texas, meet other requirements such as no record of felony conviction, and be fully qualified under federal and state law to purchase a handgun. Per 18 USC § 922(g), aliens admitted to the U.S. under a nonimmigrant visa are prohibited from possessing, shipping, transporting, or receiving any firearm or ammunition. International students attending universities are typically in the U.S. with non-immigrant visas and therefore ineligible under federal law to possess any firearm or ammunition.

A committee, consisting of faculty, staff and students, was established to consider the interpretation and ramifications of the legislation and to provide a proposal to you, the president of the University. This committee met weekly for approximately 3 months. The committee considered implementation of the legislation from three angles, existing law or statute, the safety of the campus, and individual rights. An informational webpage was published. Two open forums were held to enable the community to voice concerns. Additionally, an online survey was posted and announced to the campus community. Input from all these means of student, faculty and staff were considered by the committee. A draft version of the committee’s final proposal was presented to the Student Senate on December 4, 2015.

Teresa Sewell, committee chair, or her designee, joined the UT System working group in weekly telephonic meetings. The guiding principles used by the working group were: 1) Follow the law, 2) Focus on safety and 3) Strive for a campus environment in which students, staff, and faculty can focus on their studies, research, and work with minimal distraction. The UTPB Campus Carry Committee recommendations are attached.

The University of Texas of the Permian Basin
Campus Carry Committee Recommendations

March 2016

Existing Law and Statutes

Texas Penal Code does not permit firearms and other specific weapons at the following locations relevant to campuses:

- “on the physical premises of a school ..., any grounds or building on which an activity sponsored by a school ... is being conducted” (46.03(a)(1)). The law does not define “school” for these purposes but the working group and the campus committee believe that a reasonable interpretation is pre-K through 12 as the meaning of “school.”
- “on the premises of any government court or offices utilized by the court” (46.03(a)(3)).
- “on the premises where a high school, collegiate, or professional sporting event or interscholastic event is taking place” (46.035(b)(2)).
- “at any meeting of a government entity” (46.035(c)) “if the meeting is an open meeting subject to Chapter 551, Government Code, and the entity provided notice as required by that chapter” (amendment per HB 910) .
- Child-Care Facilities. Rules of the Texas Department of Family and Protective Services prohibit the possession of firearms on the premises of licensed facilities with before- or after-school care and for licensed child-care centers (40 TAC Secs. 744.2607 and 746.3707). The UT System working group and the campus committee believe that the exclusion of concealed carry on the premises of child- care facilities at UT System institutions is sensible and recommends that the president consider excluding them.

Areas analogous to state law requirements that prohibit concealed handguns:

- Patient care areas. Section 46.035(b)(4) of the Penal Code excludes hospitals licensed under Chapter 241 – by analogy and extension, the committee recommends that

- mental health treatment areas be designated exclusion zones.
- Premises in or on which a ticketed sporting event is taking place. Section 46.035(b)(2) of the Penal Code prohibits concealed handguns at collegiate sporting events.
 - Areas where discharge of a handgun might cause widespread harm, such as laboratories with extremely dangerous chemicals, biologic agents, or explosive agents, or equipment that is incompatible with metallic objects such as magnetic resonance imaging machines. Training of concealed handgun license holders on safe use of handguns in such facilities is not practical. Accidental or purposeful discharge of a handgun in such areas could cause grave and catastrophic harm. Handguns are inappropriate in the vicinity of some types of equipment, e.g., magnetic resonance imaging equipment because of the very strong magnetic field present. Exclusion may be appropriate for these areas to ensure campus safety.

Recommended Exclusion Zones

The responsibility for establishing exclusion zones rests with an institution's president. The UTPB Campus Carry Committee which was established as an advisory group to the president recommends the following areas be established as exclusion zones.

Based on Penal Code and analogous assumptions mentioned above, the committee recommends the following areas to be designated as exclusion zones:

1. UTPB STEM Academy
2. The presence of the Early College High School (ECHS) in wings A and B of the Founders Building will automatically be designated as an exclusion zone based on law.
3. The Counseling Center, which resides in wing C of the Founders' Building, is recommended to be declared an exclusion zone based on analogous outside entities.
4. Based on licensing regulations by the State, the committee recommends that the Child Care Center be designated as an exclusion zone. Although the center is located inside the Student Activity Center (SAC), there is no traffic between two facilities and therefore the SAC is not included in this recommendation.
5. Ticketed collegiate sporting events are excluded by law. Therefore, the

committee recommends that all locations of sporting events, collegiate or otherwise be designated as exclusion zones.

6. Research labs containing volatile chemicals are considered to be high risk in the risk management arena. The students using the labs have little to no supervision while they are in the labs. Spillage of chemicals could result in very dangerous conditions, requiring multiple experts to remediate chemical spills, fires, corrosive substances, and cancer causing organics in addition to EMT's for personal physical injury. Some labs have chemicals that are highly corrosive and/or that could present serious inhalation dangers. Research labs containing volatile chemicals are high risk areas with periodic inspections by university safety officers and difficult enough to manage without adding factors. Research labs with volatile chemical should be designated as exclusion zones.
7. All chemistry and biology labs may not have volatile substances within them; however, the risk in this area is considered to be minimal. If the teaching science labs are used by the ECHS students, they will become an exclusion zone while in use by those students. Temporary signage should suffice as notice.
8. The committee respects the rights of individuals to protect their property such as their domicile. However, some of the UTPB student housing units include shared rooms and therefore these rooms that are shared are recommended to be exclusions zones. Family members of housing residents should be permitted to carry a concealed weapon while visiting. Also, staff members whose job responsibilities require them to enter student housing should be permitted to carry a gun on or about their person while present in student housing for business purposes.

Students who choose to carry their gun in student housing must provide a combination or biometric gun safe, acceptable to UTPB Police, in which the gun shall be stored when it is not on or about the person. Buildings utilized by minors attending camps should become exclusion zones during the times they are used by students of minor age. The committee highly recommends education for students which addresses accidental discharge, particularly while cleaning a gun, in addition to safe and prudent handling of a firearm. Student

Housing contracts should include a statement that a violation of the concealed carry license or of the university storage requirements could result in termination of the student's contract.

9. The Student Testing and Accommodations Center is recommended to be an exclusion zone due to the stipulations established by certain testing agencies. For example, Educational Testing Service (ETS) mandates that weapons of any kind are prohibited from testing centers. Students taking other tests do so concurrently with students taking standardized tests. Rather than disrupt testing availability for students, the center should be designated as an exclusion zone.

Notification and Signage

Section 30.06 of the Texas Penal Code describes general requirements for notification where concealed carry is not permitted. The law states that, "a person receives notice if the owner of the property or someone with apparent authority to act for the owner provides notice to the person by oral or written communication." The written communication must state the following:

- "Pursuant to Section 30.06, Penal Code (trespass by holder of license to carry a concealed handgun), a person licensed under Subchapter H, Chapter 411, Government Code (concealed handgun law), may not enter this property with a concealed handgun".

Written communication may be conveyed in one of two ways:

- A card or other written document that contains the language above, or
- Signage in both Spanish and English displayed in a conspicuous manner clearly visible to the public, with contrasting colors and block letters at least one inch in height.

One option for informing the public of exclusion at ticketed events such as sporting events (football) might be the printing of exclusion information on the back of the ticket. Vendors and others who are permitted to enter the premises without a ticket should be provided written notice through other means.

Although temporary signage is welcome, no notification is required by statute for K-12 schools or school-sponsored activities. License holders are expected to know that these areas are excluded without specific notification. However, temporary signage could be displayed. Numerous events occur on campuses that are not sponsored by a school but, rather, are sponsored by the higher education institution or by others. By analogy and extension, events for children sponsored by or conducted in coordination with the institution should be considered for exclusion of concealed handguns. It is recommended that UTPB exclude concealed carry for parents, employees, or volunteers working with the children on a sponsored event while on campus.

Items for Consideration

- Requirement for Holster. Senate Bill 11 authorizes presidents to establish reasonable rules. Section 411.2031, Government Code, as added by SB 11, expressly authorizes an institution “to establish reasonable rules ... regarding the carrying of concealed handguns by license holders on the campus,” with specific regard to “safety considerations” and “campus safety.” Violations of campus rules about how to carry or store handguns may be acts subject to disciplinary action by an institution. There is concern regarding the potential for a handgun to discharge accidentally when carried in a purse or backpack. Therefore, the committee recommends that “a license holder who carries a handgun on campus must carry it in a holster that completely covers the trigger and the entire trigger guard area. The holster must have sufficient tension or grip on the handgun to retain it in the holster even when subjected to unexpected jostling.” The committee believes that SB 11 provides authority for presidents to establish such a requirement, which would minimize the potential for accidental discharge if the handgun is jostled about or if the purse or backpack is dropped.
- Handgun Storage Facilities. The law does not require campuses to provide handgun storage facilities. In general, license holders are responsible for safe storage such as by locking their handgun in their automobile or leaving their handgun at home. The committee believes it reasonable for campuses to function like other places in the

state, which typically do not have storage lockers for handguns.

Some staff and faculty members who work at a university have licenses to carry. When the law takes effect, they may wish to bring their concealed handgun to work. The committee recommends that persons who have an office assigned solely to them may lock their gun in their office but must have two methods of doing such. One method is to lock their office door. A second method, to be determined by the CHL licensee, can be to provide their own gun safe in their office or locking their gun in a desk drawer or filing cabinet.

- Reporting Requirements. Senate Bill 11 requires a report to the legislature each even-numbered year that “describes UTPB’s rules, regulations, or other provisions regarding the carrying of concealed handguns on the campus of the institution; and ... explains the reasons the institution has established those provisions.” Institutional reports to the UT System Board of Regents regarding exclusion zones should include a description of the areas which have been excluded and provide justification for those areas.
- Violations. If a person is believed to have improperly displayed a handgun or carried a handgun into a location where concealed carry is not permitted, the campus police should be contacted. The following guidance was provided regarding further penalties that might be considered:
 - The licensing statute, Chapter 411, Government Code, effectively requires that the handgun be “concealed,” meaning that the gun’s presence is “not openly discernable to the ordinary observation of a reasonable person.” Similarly, Section 46.035, Penal Code, on unlawful carry by a licensed holder, prohibits intentional display of the handgun in plain view of another person in a public place as well as possession, whether or not concealed, in specific areas.
 - Sections 46.03 and 46.035 address which violations are criminal. Both sections include “state of mind” requirements, and these state of mind requirements vary. Under section 46.03, it is a crime to “intentionally, knowingly, or recklessly” carry a handgun into in a place designated by that section as an exclusion zone (e.g., a pre-K through 12 school). Under section 46.035, however, it is a crime for a license holder to “intentionally” carry a concealed handgun into an area excluded under

the rules and regulations established by a university, provided proper notice is given. With regard to the open display of a handgun on campus, section 46.035 makes it a crime for a license holder to “intentionally or knowingly” display the handgun in plain view of another person on a university campus. Texas Penal Code § 6.03 assigns particular meaning to the terms “intentionally,” “knowingly,” and “recklessly.” Under section 46.035, however, license holders do not commit a crime if they mistakenly bring a concealed handgun into an exclusion zone – (e.g., if the holder forgot they had the gun with them). As explained below, however, individual institutions may provide that any violation of their concealed carry rules and regulations constitutes a sanction-able offense under their institutional rules.

- Section 411.2031, Government Code, as added by SB 11, expressly authorizes an institution “to establish reasonable rules ... regarding the carrying of concealed handguns by license holders on the campus,” with specific regard to “safety considerations” and “campus safety.” Violations of campus rules about how to carry or store handguns may be acts subject to disciplinary action by an institution and should be included in employee and student standards of conduct policies.
- Definition of “on or about.” Under Section 46.02, Penal Code, which prohibits the carrying of certain weapons “on or about” a person. Texas courts have held that “on or about” includes “the area nearby, close at hand, convenient of access, and within such distance of the party so that, without materially changing his position, the party could get his hand on it” and to include a portfolio or purse [Contreras v. State, 853 S.W. 2d 694 (Tex. App. Houston (1st Dist.), 1993)]
- The UTPB Campus Carry Committee suggests that the following language be used:
 - "License holders bear the responsibility for safeguarding their handguns at all times, and must take all necessary precautions to ensure their handguns are secured in a manner that is most likely to prevent theft, loss, damage or misuse. License holders affiliated with UTPB who fail to use reasonable care in securing their handguns or act negligently are subject to disciplinary action, up to and including termination or non-renewal of appointment, or dismissal from UTPB."

- “A license holder fails to use reasonable care when he/she does not exercise the care which a reasonable or prudent person would exercise in similar circumstances, or takes action which a reasonable or prudent person would not take. Failing to secure or control a backpack or purse with a handgun at all times on the UTPB campus would be considered a failure to use reasonable care.”
- Communications and Training. Once campus rules are established, communication and training will be crucial in order for all parties to understand the rules for CHL, how to respond to an inappropriate gun or display of a gun, and locations that have been designated as exclusion zones. Additionally, training regarding appropriate response in the case of an active shooter should be provided to campus community members every semester.

Concluding Remarks

In developing these recommendations, the committee recognized that the law empowers each institution president to make appropriate provisions. The committee hopes that these observations and recommendations will assist you in your decisions.

APPENDIX A

Members of the UTPB Campus Carry Committee

- Jaston Brink, Student Senator
- Dr. James Eldridge, Professor of Kinesiology, President of Faculty Senate
- Lail Grant, Safety Coordinator I, Environmental Health & Safety
- Tom Hain, Chief of Police
- Timothy Herrick, Operations Manager, Physical Plant
- Kelly Lease, Student Senator
- Erin Nance, Academic Advisor, Academic Advising
- Dr. Prakash Pai, Associate Professor of Finance, Faculty Senator
- John Roeber, Student Senator
- Teresa Sewell, Senior Associate Vice President, Student Services
- Dr. Jessica Staib, Professor of Educational Leadership and Counseling, College of Education

APPENDIX B

Members of the UT System Concealed Carry Working Group

Institutions

- U. T. Arlington: John Hall, Vice President for Administration
- U. T. Austin: Steven Goode, JD, Professor, Law
- U. T. Dallas: Alex Piquero, Professor, Criminology
- U. T. El Paso: Gary Edens, Vice President for Student Affairs
- U. T. Permian Basin: Teresa Sewell, Senior Associate Vice President for Student Affairs
- U. T. Rio Grande Valley: Ben Reyna, Associate Vice President for Security and Campus Affairs
- U. T. San Antonio: Kathy Funk-Baxter, Vice President for Business Affairs, and also Steve Barrera, Chief of Police
- U. T. Tyler: Tammy Cowart, JD, Associate Professor, Business Law
- U. T. Health – Houston: George Stancel, Executive Vice President for Academic and Research Affairs
- U. T. Medical Branch – Galveston: Michael Ainsworth, MD, Vice Dean for Academic Affairs, School of Medicine
- U. T. M. D. Anderson – Max Weber, JD, Associate Vice President and Deputy Chief Compliance Officer
- U. T. San Antonio HSC – Michael Parks, Chief of Police, and Dr. Jacqueline Lee Mok, Vice President for Academic, Faculty, and Student Affairs
- U. T. Southwestern – Charles Ginsburg, MD, Senior Associate Dean
- U. T. Tyler HSC – Robert Cromley, Chief of Police

U. T. System Administration

- Committee Chair: David E. Daniel, Deputy Chancellor
- Office of the Chancellor: Jana Pankratz, Executive Director
- Director of Police: Michael Heidingsfield
- Government Relations: Barry McBee, JD, Vice Chancellor and Chief Governmental Relations Officer, and Steve Collins, JD, Associate Vice Chancellor for Government and Special Counsel for Governmental Relations and Special Counsel to the Office of General Counsel
- Board of Regents: Kristy Orr, JD, Associate General Counsel
- Office of General Counsel: Tamra English, JD, Assistant General Counsel
- Office of Academic Affairs: Wanda Mercer, Associate Vice Chancellor
- Office of Health Affairs: Patrick Francis, Associate Vice Chancellor
- Risk Management: Patrick Durbin, Assistant Director, Risk Control
- External Relations: John Morton, Senior Communications Writer

CONCEALED HANDGUNS AND OTHER WEAPONS ON CAMPUS

A. Purpose

The purpose of this policy is to ensure compliance with Section 411.0231 of the Texas Government Code (also known as the “campus carry law”) while maintaining The University of Texas Rio Grande Valley’s commitment to providing a safe environment for its students, faculty, staff, and visitors.

B. Persons Affected

This policy applies to all individuals who may work, attend classes, conduct business, or visit any campus of The University of Texas Rio Grande Valley (UTRGV), including without limitation employees, faculty, trainees, students, patients, visitors, volunteers, contractors, commercial tenants, or vendors. This policy does not apply to peace officers as defined in Article 2.12 of the Texas Code of Criminal Procedure.

C. Definitions

Campus -- means all buildings, land, or portions of buildings owned or leased by the Board of Regents of The University of Texas System for and on behalf of UTRGV, wherever located.

Concealed Handgun – a handgun, the presence of which is not openly discernable to the ordinary observation of a reasonable person.

Exclusion Zone – means the area(s) of any UTRGV campus where license holders may not carry a concealed handgun.

Handgun – any firearm that is designed, made, or adapted to be fired with one hand.

License Holder – any individual licensed to carry a handgun under Subchapter H, Chapter 411 of the Texas Government Code.

Store – means to take steps that a reasonable person would take to prevent access to a firearm, including without limitation to place a firearm in a locked container. For purposes of this policy, a firearm that is temporarily rendered inoperable by use of a trigger lock or other means is not stored.

Weapon – includes a firearm, explosive weapon, machine gun, short-barrel firearm, firearm silencer, knuckles, armor-piercing ammunition, zip gun, chemical dispensing device (other than a small chemical dispenser sold commercially for personal protection), tire deflation device, illegal knife, switchblade knife, or club.

Work area – means the place or places an individual performs assigned duties as an employee of UTRGV.

D. Policy

1. Weapons, Open Carry of Handguns Prohibited

- a. Except for license holders who may carry a concealed handgun or store their handguns in personal vehicles in compliance with this policy and applicable state and federal law, the possession of a weapon by an individual anywhere on any UTRGV campus is strictly prohibited. All persons, including license holders, are prohibited from openly carrying a handgun or other firearm on any UTRGV campus. The prohibition on weapons and the open carry of firearms includes any public driveway, street, sidewalk or walkway, parking lot, parking garage, or other parking area on any UTRGV campus.
- b. Reserve Officer Training or Color Guard firearms or weapons may be used on UTRGV campuses in connection with ROTC/Color Guard related programs, events, or activities.

2. Permissible Carrying of a Concealed Handgun by a License Holder

- a. License Holder Right to Carry a Concealed Handgun. Unless otherwise prohibited by law or this policy, a license holder may carry a concealed handgun while on any UTRGV campus (including public driveways, streets, sidewalks, or walkways, parking lots, parking garages, and other parking areas). License holders are expected to and must strictly comply with this policy and applicable law. While UTRGV will employ all reasonable means to delineate exclusion zones in accordance with Texas law, it is the responsibility of the license holder to know, understand, and follow this policy and applicable law while on any UTRGV campus.
- b. Concealed Handguns on or about Person. When carrying their concealed handguns on any UTRGV campus, license holders must carry their handguns on or about their person. For purposes of this policy and subject to the requirements of Texas law, "on or about their person" means close at hand and within such distance of the license holder so that, without materially changing position, the license holder can place his or her hand on the handgun.
- c. Intoxication. A license holder may not carry a concealed handgun while intoxicated.
- d. Display of a Handgun. Except as necessary to properly store a handgun, a license holder may not carry a partially or wholly visible handgun, or intentionally or knowingly display a handgun in plain view of another person (even if holstered) on any UTRGV campus, including public driveways, streets, sidewalks, or walkways, parking lots, parking garages, and other parking areas.
- e. Carrying of Concealed Handguns by Employees. An employee who is a license holder may carry a concealed handgun into his or her work area, unless prohibited under this policy, but is not authorized by UTRGV to use the handgun in the course and scope of performing his or her duties. Any employee whose possession, storage, or use of a handgun results in personal injury or property damage is personally liable for the injury or damage, and is

not entitled to immunity under Section 411.208 of the Texas Government Code or any other immunity the individual may otherwise be entitled as a UTRGV employee.

- f. Safeguarding of Handguns. License holders are responsible for safeguarding their handguns at all times, and must take all necessary precautions to ensure their handguns are secured in a manner that is most likely to prevent theft, loss, damage or misuse. License holders affiliated with UTRGV who fail to use reasonable care in securing their handguns are subject to disciplinary action, up to and including termination or non-renewal of appointment, dismissal from UTRGV, or termination of a business relationship. A license holder fails to use reasonable care when he or she does not exercise the care which a reasonable or prudent person would exercise in similar circumstances, or takes action which a reasonable or prudent person would not take. Examples of license holder failures to use reasonable care include without limitation:
- i. Failing to keep or maintain backpack, purse, or other similar bag or carryall with a handgun on or about their person at all times while on any UTRGV campus;
 - ii. Failing to carry a handgun in a holder or holster that completely covers the trigger and trigger guard area;
 - iii. Failing to use a holder or holster which has sufficient tension or grip to retain it in the holder or holster even when subjected to unexpected jostling; or
 - iv. Failing to immediately report the loss or theft of their handgun on campus to the UTRGV Police Department.

3. *Requirement to Disclose License Holder Status*

- a. License holders are not required to disclose their status as a license holder to anyone other than a law enforcement officer. UTRGV employees, faculty, trainees, or students (other than a law enforcement officer) may not require individuals to disclose their license status.
- b. UTRGV will not maintain a list of license holders, as this information is not considered public information.

4. *Handgun Storage*

- a. UTRGV will not provide handgun storage for license holders. Except for storing a handgun in a vehicle as permitted under Texas law or as otherwise permitted in Sections D.4 or E.2, license holders must not leave a handgun unattended anywhere on a UTRGV campus, regardless of whether stored in a desk drawer, cabinet, office, backpack, purse, or other similar bag or carryall.
- b. License holders or resident staff domiciled in UTRGV residential facilities that permit the carrying and storage of handguns as discussed in Section E.2 below may store their handguns in their private residential facility provided they provide, at their own expense, a gun safe meeting UTRGV specifications. Gun safes must be specifically designed for the safe and secure storage of handguns, must be locked at all times, and be physically

attached to the residential area under the control of the resident. The manner by which to most effectively attach the handgun safe within the residence will be determined by the Office of Facilities.

- c. License holders who otherwise lawfully possess a handgun or ammunition will not violate this policy if the license holder transports or stores the handgun or ammunition in a locked, privately owned motor vehicle on any driveway, street, parking lot, parking garage, or parking area provided by or under the control of UTRGV. A license holder may not intentionally or knowingly display a handgun in plain view of another person except as necessary to properly store the handgun in a privately owned motor vehicle.

5. *Authority to Designate Exclusion Zones*

Only the President of UTRGV has the authority to declare additional, specific premises or areas as exclusion zones. Except as provided in this policy, without the express written consent of the President, no UTRGV faculty member, employee, student, or faculty, employee, or student group may declare a specific premises or area as an exclusion zone.

6. *Violations of Policy*

Any individual who violates this policy may be subject to disciplinary action, up to and including termination of employment or non-renewal of appointment, dismissal from an educational program, or termination of a business relationship, and may also be subject to criminal prosecution.

E. Exclusion Zones Where Concealed Handguns are Prohibited

1. *Exclusion Zones.* A license holder is prohibited from carrying a concealed handgun onto a UTRGV campus premises or area where prohibited by law and or by this policy based on the nature of the premises or area, facilities, student population, event or activity, safety concerns, and uniqueness of the UTRGV campus environment. Concealed handguns are prohibited at the following designated locations:

- a. Child-Care Facilities – locations where services to minors are provided, including the Counseling and Assessment and Preparation Clinic – Edinburg Campus; the Child Development Center – Edinburg Campus; and University Head Start Program, College of Education and P-16 Initiatives, Education Building – Edinburg Campus.
- b. Patient Care Areas – locations where health care, counseling (including counseling provided by non-professional victim advocacy counselors or advocates), or mental health services are provided, including the John Austin Pena Primary Care and Substance Abuse Treatment Facility in Edinburg; the Health Services Clinics at the Edinburg and Brownsville campuses; the Counseling Centers at the Edinburg and Brownsville campuses; and the Office of Victim Advocacy and Violence Prevention.

- c. UTRGV Mathematics and Science Academy (MSA) – concealed handguns are statutorily prohibited at discrete physical locations associated with the Math and Science Academy, a program for high school-aged students who are gifted in math and science. To the extent MSA students take UTRGV classes with UTRGV students in UTRGV classrooms, these students would be treated like UTRGV students. Discrete physical locations of the MSA include facilities on the Brownsville and Edinburg campuses.
- d. Laboratories – locations where the discharge of a handgun might cause significant harm, such as laboratories with dangerous chemicals, biologic, or explosive agents, and in areas with equipment incompatible with metallic objects (e.g., magnetic resonance imaging machines).
- e. Animal Care Areas and Vivaria – animal facilities in which protocols regulating ingress and egress create a risk that a concealed handgun will accidentally discharge, be contaminated, or be separated from a license holder, including the BNSB Building in Edinburg, the ERAHC (including its BSL-3 facility), and the Bio Medical Building Vivarium.
- f. Watercraft – concealed handguns are prohibited onboard all vessels and watercraft owned, leased or operated by UTRGV.
- g. By Contract – where state or federal law or contract, at the sole discretion of the state or federal government or organization with whom the contract is entered, requires exclusion of a handgun in a specific location and the exclusion does not generally prohibit or have the effect of prohibiting license holders from carrying concealed handguns on a UTRGV campus.
- h. Religious Worship – at a location used as a church, synagogue, or other established place of religious worship as prohibited under Section 46.035(b)(6) of the Texas Penal Code.
- i. Polling Place – at a location designated as a polling place on the day of a federal, state, or local election, including while early voting is in progress as set out in Section 46.03(a)(2) of the Texas Penal Code.
- j. University Police Department – in a non-public, secure portion of a University Police Department facility used to conduct official business as prohibited under Section 411.207(b) of the Texas Government Code.
- k. Intercollegiate/Athletic Events – at a location where a high school, collegiate, or professional sporting event or interscholastic event is taking place as prohibited under Section 46.035(b)(2) of the Texas Penal Code, or where a sports club or intramural athletic competition is taking place.
- l. Programs or Camps for Minors – locations where programs or camps for children under the age of 18 sponsored, facilitated, or coordinated by UTRGV.

m. Disciplinary, Grievance, or Similar Hearings – locations in which a formal hearing is being conducted in accordance with established procedures (except for hearings involving commissioned peace officers under the policies of The University of Texas System Police). Written notice conforming to Texas Penal Code Section 30.06 must be provided in letters or emails notifying individuals of the hearing.

2. *Residential Facilities, Exclusion Zones, and Storage of Handguns*

- a. Concealed handguns *are prohibited* in areas of UTRGV residential facilities that do not provide sufficient space solely under a resident's control to permit the safe storage of a handgun (such as dormitories designed for shared living in the same room), including Unity Hall, Heritage Hall, and Troxell Hall in Edinburg; and double-occupancy rooms designed for shared living at the Casa Bella Apartments in Brownsville.
 - b. Concealed handguns *are permitted* in areas of UTRGV residential facilities that allow for sufficient space solely under a resident's control to permit the safe storage of handguns, including single private rooms at the Casa Bella Apartments in Brownsville and at the Village Apartments in Edinburg.
 - c. A license holder who resides in a UTRGV residential facility may carry a concealed handgun into the facility and may store the weapon in his or her private residential room, except when the facility hosts summer camps attended by minors. A license holder who brings a concealed handgun into a UTRGV residential facility pursuant to this policy must carry the handgun on his or her person at all times or store the handgun in his or her private residential room.
 - d. A license holder who is a resident in a UTRGV residential facility may not intentionally or knowingly display a handgun in plain view of another person in campus housing except as necessary to properly store the handgun in his or her private residential room.
 - e. Residents in residential facilities permitting concealed handguns are required to provide their own handgun safe meeting or exceeding UTRGV specifications at their own expense, and to abide by any other safety requirements established as a condition of living in UTRGV residential facilities. License holders who reside in residential facilities where safe storage is allowed or who are staff whose employment responsibilities require them to reside in university housing must store their handguns either in a locked, privately owned or leased motor vehicle or in a gun safe that meets the requirements determined by UTRGV. Resident license holders are also responsible for ensuring that their guests comply with all rules and regulations.
3. *Additional Designation of Exclusion Zones.* In order to promote campus safety, the President may prohibit the carrying of concealed handguns at other campus locations from time to time. Any prohibition will be based on specific safety considerations, the nature of the student population, and the uniqueness of the campus environment.

F. Communication

1. UTRGV will provide notice at all locations or activities where concealed handguns are prohibited under this policy and may post notice where handguns are expressly prohibited but where notice is not required to be posted by law. The language of the notice, which is set out in Section 30.06 of the Texas Penal Code, shall not be altered in any way unless revised by state law.
2. Any individual who, without authorization, tampers with, defaces, modifies or removes a notice provided under this policy is subject to disciplinary action or termination of a business relationship, and may be subject to criminal prosecution.
3. UTRGV will widely distribute this policy and all related rules, regulations, and procedures to person affected by this policy, including publishing this policy and related rules, regulations, and procedures on UTRGV's internet and intranet sites.

G. Reporting to Texas Legislature

UTRGV will prepare a report for the Texas Legislature describing the campus rules adopted to regulate the carrying of concealed handguns on campus and the reasons for the rules no later than September 1, 2016 and every even-numbered year thereafter. This report will be submitted to The University of Texas System prior to submission to the Texas Legislature.

H. Relevant Federal and/or State Statute(s), Board of Regents' Rule(s), UTS Policy(ies), or Coordinating Board Rules

Texas Government Code, Chapter 411
Texas Labor Code, Chapter 52
Texas Penal Code, Chapter 30
Texas Penal Code, Chapter 46
Texas Code of Criminal Procedure, Article 2.12

9.48 Carrying of Concealed Handguns on Campus (Campus Carry)

I. POLICY STATEMENT

The University of Texas at San Antonio (UTSA) is committed to providing a safe environment for students, faculty, staff, and visitors. At the same time, UTSA also recognizes and respects the rights of individuals licensed to carry Concealed Handguns pursuant to Subchapter H, Chapter 411 of the Texas Government Code. This policy was written after consultation with campus constituencies regarding a number of factors including the nature of the student population, specific safety considerations and the uniqueness of the UTSA campus environment.

This policy applies only to the concealed carrying of handguns. It does not authorize the Open Carrying of handguns or the carrying (concealed or open) of any other firearms.

II. RATIONALE

This policy establishes guidelines and procedures for the implementation of Texas State Senate Bill No. 11, popularly known as the “campus carry” law. This policy will become effective August 1, 2016 and is intended to be in conformity with the requirements of Texas Government Code Section 411.2031.

III. SCOPE

This policy applies to all faculty, staff, students, visitors, volunteers, contractors, prospective students and parents of prospective students, individuals and organizations doing business on behalf of UTSA on Campus Premises.

This policy does not apply to licensed peace officers of the UTSA Police Department, other commissioned police officers as defined in article 2.12 of the Texas Code of Criminal Procedures, and federal law enforcement officers.

IV. WEBSITE ADDRESS FOR THIS POLICY

<http://www.utsa.edu/hop/chapter9/9-48.html>

V. RELATED STATUTES, POLICIES, REQUIREMENTS OR STANDARDS

UTSA or UT System Policies or the Board of Regents' Rules & Regulations

- A. [UTSA Campus Carry website](#)
- B. [HOP 3.03](#), Discipline and Dismissal of Classified Employees
- C. [HOP 3.04](#), Grievances of Non-Faculty Employees

- D. [HOP 2.34](#), Faculty Grievance Procedures
- E. Student Code of Conduct

Other Policies & Standards

- F. [Senate Bill 11](#)
- G. [Texas Government Code Chapter 411, Subchapter H](#)
- H. [Texas Penal Code 46 \(46.01, 46.03\)](#)
- I. [Texas Department of Public Safety Handgun Licensing](#)

VI. CONTACTS

If you have any questions about HOP policy, Campus Carry, contact the following offices:

UTSA Police Department
210-458-4242

Office of the Vice President for Business Affairs
210-458-4201

VII. DEFINITIONS

Campus: (as defined by SB 11) is all land and buildings owned or leased by UTSA.

Campus Carry Law: Section 411.2031 of the Texas Government Code, permitting the carrying of Concealed Handguns by duly licensed holders on UTSA campuses in accordance with this policy.

Concealed Handgun: a Handgun, the presence of which is not openly discernable to the ordinary observation of a reasonable person.

Concealed Handgun License (CHL): A valid and current Concealed Handgun License issued by the Texas Department of Public Safety under Chapter 411, Subchapter H of the Texas Government Code (“License to Carry a Concealed Handgun”)

Concealed Handgun License Holder: Anyone appearing on UTSA Campuses with a valid, current CHL.

Disciplinary Action: Sanctions by UTSA, up to and including removal, probation, suspension, dismissal, and termination or expulsion.

Display: The act of intentionally displaying a Handgun, in whole or in part, in plain view of another person in a public place.

Exclusion Zones: An area of the UTSA Campuses in which the possession of a Handgun is prohibited as provided in Appendix A of this policy.

Handgun: Any firearm that is designed, made, or adapted to be fired with one hand.

Open Carry: Visible possession of a Handgun in public.

Premises: Consistent with Section 46.035(f) (s) of the Texas Penal Code, means a building or a particular portion of a UTSA Campus building. For purposes of this policy and UTSA Campuses, a Premises comprises all of the contiguous space dedicated to a particular institutional function or activity and extends to the functional or physical boundary of that function or activity. A Premises may be a floor, departmental suite, hallway, walkway, throughway, laboratory, cafeteria, or any other space, depending on the circumstances.

Weapon: Any object or substance designed to inflict a wound, cause injury, or incapacitate, including without limitation all firearms, BB guns, air guns, pellet guns, zip gun, switchblade knives, knives with a blade over five and one-half inches and other illegal knives or clubs prohibited by statute. Chemicals such as mace, tear gas, or oleoresin capsicum, but excluding normally available over-the-counter self-defense repellents. Possession of chemical repellents labeled for police use only or for law enforcement use only are restricted to law enforcement.

VIII. RESPONSIBILITIES

A. Concealed Handgun License Holder

1. While UTSA will employ all reasonable means to clearly delineate Exclusionary Zones by signage in accord with Texas statutory law, it is the responsibility of the Concealed Handgun License Holder to know, understand, and follow these rules and applicable laws while on UTSA Campuses. Holders of such permits are bound by state and federal law and may face criminal or civil penalties for violation of those statutes.
2. Conceals the Handgun so that it is not partially or wholly visible to another person.
3. Safeguards at all times the Handgun.
4. Must not leave the Handgun unattended or unsecured.

B. President

1. Designates gun-free zones on campuses in accordance with Senate Bill 11.
2. Submits a report to the Texas Legislature no later than September 1 of even-numbered years that describes all provisions regarding the carrying of concealed handguns on UTSA Campuses.

C. UTSA Police Department

1. Maintains a list of all Handgun related incidents and provides an annual report to the President.
2. Reviews Exclusion Zone requests for the temporary prohibition of Concealed Handguns on UTSA Campuses.

D. Vice President for Business Affairs

1. Maintains a complete and up-to-date listing of Exclusion Zones.

IX. PROCEDURES

A. General Provisions

1. Subject to applicable Texas or federal laws and rules adopted by UTSA, individuals holding a valid CHL or the new state designation for the same, License to Carry (LTC), will be allowed to carry their Handgun, concealed on their person on the Campuses of UTSA, except in areas designated as Exclusion Zones as outlined in Appendix A.
2. Pursuant to Texas Penal Code Sections 46.035 and 30.07, the open display of a Handgun in plain view of another person on UTSA Campuses is never allowed except by authorized individuals, such as licensed peace officers of the UTSA Police Department, or other commissioned peace officers.
3. While UTSA will employ all reasonable means to clearly delineate Exclusion Zones by signage in accord with Texas law, it is the responsibility of the Concealed Handgun License Holder to know, understand, and follow these rules and applicable laws while on UTSA Campuses. Holders of such permits are bound by state and federal law and may face criminal or civil penalties for violation of those statutes.
4. Concealed Carry License Status. Authorized CHL Holders are not required to disclose their status to anyone other than a law enforcement officer. UTSA will not maintain a list of Concealed Carry License Holders. This information is not a matter of public records. UTSA employees (other than law enforcement officers) may not, under any circumstances, require students or employees to disclose their Concealed Carry License status.
5. UTSA will not provide gun storage on campuses. CHL Holders must keep their Concealed Handguns in a secure location or on or about their person at all times. For the purposes of this policy and subject to the requirements of Texas law, “on or about one’s person” means close at hand and within such distance of the CHL Holder so that, without materially changing his/her position, the CHL Holder could get his/her hand on it. UTSA recommends the use of holsters or protective covers.

6. CHL Holders are responsible for safeguarding their Handguns at all times and must take all necessary precautions to ensure their Handguns are secured in a manner that is most likely to prevent theft, loss, damage, misuse, or accidental discharge. CHL Holders are liable for any and all damage, injury, liability, loss, cost, or expense, directly or indirectly resulting from or arising out of an accidental or inadvertent discharge of their Concealed Handgun or their violation of this policy.

B. Specific Exclusions to Concealed Carry of a Handgun

1. UTSA's President is the only person with the authority to declare a specific area as an Exclusion Zone. Therefore, except as provided herein, without the express written consent of the President, no faculty member, staff member, student, or student groups may designate an area as an Exclusion Zone.
2. Exclusion Zones set forth in Appendix A. Subchapter H, Chapter 411 of the Texas Government Code gives the presidents of public universities the ability to designate gun-free zones on their campuses. UTSA has identified Exclusion Zones based on applicable laws and regulations, the general makeup of the campus population in those areas, safety considerations, and any unique material characteristics of the areas. The Texas Government Code 411.2031(d-1) and the Texas Penal Code 30.06 require notice to be given regarding areas where CHL Holders may not carry. Signage will be provided for these areas, using the required wording.

C. Training and Awareness

Training regarding information about the Campus Carry Law and procedures for responding to violations or associated emergencies will be provided to:

1. Students by the Office of the Vice President for Student Affairs
2. Faculty and Staff by the Office of the Vice President for Business Affairs

D. Compliance, Violations and Enforcement

1. Compliance is the responsibility of all persons on UTSA Campuses. It is the responsibility of the CHL Holder to know, understand, and follow this policy and applicable laws while on UTSA Campuses. CHL Holders are bound by state and federal law and may face criminal or civil penalties for violation of those statutes.
2. Any violation of this policy by members of the UTSA community will be dealt with in accordance with applicable university policies and procedures, which may include disciplinary actions up to and including termination of employment for employees or expulsion for students.
3. Violations of this policy by anyone not a member of the UTSA community will result in removal from UTSA property. Suspected violations of law will be reported to the UTSA Police Department or other law enforcement agencies and may result in criminal penalties.

4. Individuals should report noncompliance to UTSAPD who will forward potential violations to the Office of Institutional Compliance and/or Office of Human Resources or Office of Student Conduct and Community Services.

X. SPECIAL INSTRUCTIONS FOR INITIAL IMPLEMENTATION

None

XI. FORMS AND TOOLS/ONLINE PROCESSES

None

XII. APPENDIX

- A. List of Exclusion Zones
- B. [UTSA Campus Carry website](#)
- C. [Campus Carry FAQs](#)

UTSA[®]

The University of Texas at San Antonio[™]

Campus Carry Task Force

December 9, 2015

Campus Carry Task Force Update

- 17 member task force including faculty, staff, and student representatives
- Seven in-person task force meetings
- Numerous forums held to seek feedback from faculty, staff and students
- UTSA PD and Task Force members provided additional information at various campus meetings
- Received over 230 emails to campus carry inbox
- President Romo provided with regular updates

Task Force Recommendations

GENERAL POLICY

- No gun storage provided by University (standards will be established for acceptable storage to be used in housing).
- If a building has significant exclusion zones within it, recommend entire building become an exclusion zone.
- Select personnel holding positions that require significant physical activity and entry into exclusion zones (i.e., maintenance staff, Facilities personnel, PSO & Safety positions) would not be allowed to carry concealed handguns.

Task Force Recommendations

GENERAL POLICY

- Requirement that students review newly developed online campus carry and violation reporting overview materials at least once.
- Requirement that faculty and staff participate in newly developed mandatory training on campus carry and violation reporting policies and procedures.

Preliminary Exclusion Zones Recommendations

LAW/LEGISLATION JUSTIFICATION OR REFERENCE

- Premises providing services to minor children, including
 - Child Development & TEAM centers
 - Schools' sponsored activities and university sponsored camps
 - Institute of Texan Cultures
- Patient care – health and counseling centers
- High school, collegiate or professional sporting events
- Interscholastic events
- On-campus voting sites
- Formal Proceeding/Hearing Rooms
 - Faculty or staff grievance
 - Faculty appeals of tenure
 - Student grievance
 - Disciplinary or dismissal
 - Student Conduct & Community Standards
 - Fitness to practice review
 - Research misconduct
- Public governmental meetings subject to Chapter 551 Govt Code

Preliminary Exclusion Zones Recommendations

SAFETY/HAZARDS JUSTIFICATION OR REFERENCE

- Labs with combustible, flammable or other dangerous materials
 - Includes entire Biosciences Building
- Biosafety level 2 and 3, and vivarium labs requiring restrictive entry and/or protective clothing
- Recreation Wellness Center, Intramural events and club sports
- Athletic and kinesiology weight, locker, testing and treatment facilities
- Campus locations serving alcohol
- Library Special Collections section
- Central Receiving building
- University Police restricted access offices and investigative rooms

Preliminary Exclusion Zones Recommendations

OTHER JUSTIFICATION OR REFERENCE

- Testing Center
- Student residential housing deemed not a private unit
- Residential units during summer camps and Orientation use

Next Steps

- Consider any additional locations/events
- Finalize/present recommendations to Dr. Romo in early 2016
- President Romo approves UTSA policy
- Review by UT Regents in spring 2016
- Await Attorney General opinion for campus exclusion zones
- Update university policies to conform to law
- Create the training materials for students and employees
- Signage purchase and placement
- Create process for event management and special signage
- Update university-wide materials and websites
- Report to legislature filed September 2016



2.10.1 Concealed Handguns on Campus

A. Purpose

The purpose of this policy is to establish the policies and procedures surrounding the concealed carry of handguns on the UT Tyler campus.

B. Persons Affected

This policy is applicable to students, faculty, employees, and visitors of UT Tyler.

C. Policies and Procedures

1. Exclusion Zones: As provided by law, handgun license holders may carry concealed weapons on The University of Texas at Tyler campuses, except for the excluded areas as indicated below.
 - Exclusions Required by Other Entities. Areas for which state or federal law, licensing requirements, or contracts require exclusion exclusively at the discretion of the state or federal government, or in which handguns are prohibited by an accrediting authority. Examples of facilities in this category include childcare facilities, vivaria, and animal care areas. Therefore, the University excludes concealed handguns in the following locations:
 - Innovation Academy, Tyler
 - Innovation Academy, Longview
 - Innovation Academy, Palestine
 - Patient Care Areas. Section 46.035(b)(4) of the Penal Code excludes hospitals licensed under Chapter 241. By analogy and extension, patient care areas will be excluded, including hospitals, clinics, and mental health treatment areas. A “patient care area” is restricted to patient care areas for which a formal record of treatment is maintained. Therefore, the University excludes concealed handguns in the following locations:
 - University Center Student Counseling Center (UC 3150, 3152, 3154, 3155, 3156, 3170, 3171, 3172, 3173, 3174, 3175, 3176, 3177, 3178)
 - Herrington Patriot Center (HPC) Athletic Training Area (HPC 1045, 1045A, 1047, 1260)
 - Biology, Education, and Psychology Building (BEP) – Handguns should be excluded in 2nd floor rooms where patient counseling sessions are conducted. (BEP 218, 220B, 220G, 229 suites, 231 suites, 232, 248 suites)
 - University Health Clinic
 - Premises in or on which a Ticketed Sporting Event is Taking Place. Section 46.035(b)(2) of the Penal Code prohibits concealed handguns at high school, collegiate, and professional sporting events. By analogy and extension, any

ticketed sporting event is excluded on UT Tyler campuses, whether an intercollegiate event or not. The following would be exclusion zones during high school, collegiate, and professional sporting events:

- Louise Herrington Patriot Center
 - Citizens 1st Bank-Perkins Soccer Complex
 - Irwin Field
 - Suddenlink Field
 - Summers Tennis Center
- Areas Where Discharge of a Handgun Might Cause Widespread Harm. Laboratories and instructional areas in BEP, HPR, RBS, and ARC with extremely dangerous chemicals, biologic agents, explosive agents, or equipment that is incompatible with metallic objects, such as magnetic resonance imaging machines are excluded areas. These rooms include:
- ARC 167
 - BEP 118, 121, 122, 124, 126, 131, 138
 - HPR 140, 141, 145, 148, 153
 - RBS 1034, 3015
- Formal Hearing Areas. Section 46.03(a)(3) of the Penal Code excludes “any government court or offices utilized by the court.” Any facility used as a hearing room that operates similar to a court, i.e., where an individual or panel is designated under institutional policy to adjudicate the rights or privileges of a student or an employee of the institution may be excluded. Therefore, the University excludes concealed handguns in the following locations:
- University Center 3rd Floor Conference Room during student judicial hearings (UC 3322)
 - Human Resources Conference Room during hearings (ADM 113, 114)
- Areas in which Sponsored Activities Are Conducted for Persons Under 18 Years of Age Who are Not Enrolled at the Institution. Section 46.03(a)(1) of the Penal Code prohibits the carrying of handguns on “any grounds or building on which an activity sponsored by a school ... is being conducted.”
2. Review of Exclusion Zones: Future proposals and recommendations for exclusion zones will be reviewed annually by the Research Council, Environmental Health & Safety, and the Space Committee. Each person proposing an exclusion zone is responsible for providing the justification for the exclusion zone based on a provision within Section 1 of this policy. Exclusion zone recommendations should be presented to the President by the end of each academic year.
3. Temporary Exclusion Zones: With the exception of athletic events, the Office of Scheduling & Conference Services will maintain signs for temporary exclusion zones. Any UT Tyler representative organizing an event that constitutes a temporary exclusion zone will need to coordinate with the Office of Scheduling & Conference Services to

ensure proper signage is present at the event and is responsible for removing the signage following the event.

Intercollegiate Athletics and Rec Sports will be responsible for maintaining and placing signs for athletic events that constitute temporary exclusion zones.

4. Training: Additional training will be provided by the University for those interested in more in-depth information about campus carry and related issues. Responsibility for campus carry training rests with Campus Police and the Emergency Management Coordinator.
5. Residential Facilities: Student Housing is responsible for developing storage policies for on campus residents and roommate selection clauses for students who do not wish to room with licensees. The residential housing application will include an opportunity for residents to self-select a non-license holder as a roommate.
6. Requirement for Holster: A license holder who carries a handgun on campus must carry it in a holster or other secure weapon case that completely covers the trigger and the entire trigger guard area. The holster must have sufficient tension or grip on the handgun to retain it in the holster even when subjected to unexpected jostling.
7. Inclusion in Syllabi: The following statement should be included in all syllabi, “We respect the right and privacy of students who are duly licensed to carry concealed weapons in this class. License holders are expected to behave responsibly and keep a handgun secure and concealed. More information is available at <http://www.uttyler.edu/about/campus-carry/index.php>.”
8. Enforcement: If a person is believed to have improperly displayed a handgun or carried a handgun into a location where concealed carry is not permitted, the campus police should be contacted.
9. License Holder Responsibilities: License holders bear the responsibility for safeguarding their handguns at all times, and must take all necessary precautions to ensure their handguns are secured in a manner that is most likely to prevent theft, loss, damage or misuse. Failure to secure a handgun or control a backpack or purse with a handgun at all times on the UT Tyler campus would be considered a failure to use reasonable care. License holders affiliated with UT Tyler who fail to use reasonable care in securing their handguns or act negligently are subject to disciplinary action, up to and including suspension, termination or non-renewal of appointment, or dismissal.

D. Review

This policy shall be reviewed every year by the President in consultation with the Vice Presidents.

UT SOUTHWESTERN POLICY HANDBOOK

SEC-155: WEAPONS ON CAMPUS

CHAPTER 4: CAMPUS SECURITY AND PREPAREDNESS

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ADMINISTRATIVE INFORMATION

Responsible Office: Office of Business Affairs
Executive Sponsor: Executive Vice President of Business
Affairs
Effective Date: August 1, 2016
Next Scheduled Review: August 1, 2021
Contact: policyoffice@utsouthwestern.edu

POLICY RATIONALE AND TEXT

UT Southwestern follows all federal and state laws that pertain to weapons—including handguns—on its campus, while striving to provide a campus environment in which students, post-graduate trainees, staff, faculty, vendors, patients, and visitors can focus on their studies, research, work and receipt of medical care with minimal distraction.

The possession of a weapon by an individual anywhere on property owned or controlled by UT Southwestern is strictly prohibited—unless it is a concealed handgun carried by an individual holding a valid license to carry a handgun (LTC) in ways that are consistent with state and federal law, as well as with this policy. UT Southwestern property includes streets, sidewalks or walkways, parking lots, parking garages, off-campus leased facilities, and any facility over which UT Southwestern has control. It also includes UT Southwestern owned or leased vehicles.

The carrying of a firearm **openly** on UT Southwestern property is strictly prohibited.

Any LTC holder who carries a handgun on campus, including within a backpack or purse, must carry it in a holster that completely covers the trigger and the entire trigger guard area, and maintain it on their person at all times. A LTC holder may not carry a partially concealed or wholly visible handgun on or about the LTC holder's person, or intentionally or knowingly display the handgun on UT Southwestern property in plain view of another person, regardless of whether the handgun is holstered.

UT Southwestern strictly prohibits the carrying of concealed handguns in patient areas; campus childcare and playground facilities; and buildings with laboratories where greater than 50% of the net assignable square feet in the building contains chemicals, biologic agents, and/or potentially explosive gases.

The UT Southwestern campus has many buildings where usage may vary by floor or portions of a floor (mixed use buildings).

The portions of mixed use buildings that are comprised of laboratories with chemicals, biologic agents, and/or potentially explosive gases, patient care areas, and/or childcare and playground facilities will be designated and marked as areas where the carrying of concealed handguns is prohibited.

The remaining portions of the mixed use buildings are areas where the carrying of concealed handguns is allowed. Areas where concealed handgun carry is prohibited will be marked by signs that are compliant with state law requirements.

In addition to signage, UT Southwestern will create and maintain a publicly accessible website that provides notice to individuals regarding the strict prohibition of openly carrying a handgun on UT Southwestern property. This website will also indicate locations where the carrying of concealed handguns by a LTC holder is prohibited.

A map of UT Southwestern property on which allowable areas for the carrying of a concealed handgun by a LTC holder are demarcated in yellow is incorporated in this policy. The locations demarcated in pink indicate areas where concealed handguns may not be carried.

UT Southwestern and its divisions, including, but not limited to, its departments, offices, clinics and hospitals, will not provide handgun storage facilities for LTC holders on UT Southwestern property, and individuals may not add or use lockers for that purpose anywhere on the campus. Should the LTC holder need to visit prohibited areas of the UT Southwestern campus, he or she must store his or her handgun in a locked, privately owned motor vehicle in any driveway, street, parking lot, parking garage, or parking area provided by or under the control of UT Southwestern.

Any student, post-graduate trainee (clinical residents and fellows), postdoctoral scholar, or employee (including but not limited to staff and faculty) violating this policy will be subject to disciplinary action, up to and including dismissal from educational or post-graduate training programs or termination of employment, as well as possible criminal sanctions. Contractors, commercial tenants, and vendors violating this policy will be subject to removal from the UT Southwestern campus and possible criminal sanctions.

SCOPE

This policy applies to all individuals associated with UT Southwestern Medical Center, including without limitation employees (including but not limited to staff and faculty), clinical residents and fellows, postdoctoral scholars, students, patients, visitors, volunteers, contractors, commercial tenants, and vendors.

EXCEPTIONS

Licensed peace officers are allowed to carry a weapon openly, including on UT Southwestern property, regardless of whether the officer is engaged in the actual discharge of duties while carrying the weapon.

Members of the armed forces (including the National Guard), guards employed by a penal institution, or officers of the court will not be considered to have violated this policy if they carry a weapon openly while engaged in the discharge of official duties.

RESPONSIBILITIES

Any individual associated with UT Southwestern Medical Center is responsible for notifying the University Police Department when an individual on UT Southwestern campus is observed either (1) possessing a weapon (excluding a completely concealed handgun); (2) openly carrying a handgun; (3) carrying a concealed handgun in a manner that is prohibited by law or by this policy (e.g., not holstered; only partially concealed); or (4) carrying a concealed handgun in a prohibited area.

The UT Southwestern administration is responsible for posting signage compliant with state law that indicates where concealed carry is prohibited.

The University Police Department is responsible for taking appropriate legal action against violators and reporting alleged violators of this policy to the appropriate UT Southwestern administrative personnel including, but not limited to, the Executive Vice President for Business Affairs; the Executive Vice President for Health System Affairs; the Vice President for Human Resources; the Deans of either the medical school, graduate school, or school of health professions; and the Designated Institutional Official (DIO) in the Graduate Medical Education Office.

The Executive Vice President for Business Affairs, the Executive Vice President for Health System Affairs, the Vice President for Human Resources, the Deans of the schools, and/or the DIO are responsible for ensuring that appropriate non-criminal sanctions are considered and imposed against violators, when appropriate.

DEFINITIONS

License to Carry a Handgun (LTC) Holder – a person licensed by the Texas Department of Public Safety to carry a handgun under Subchapter H, Chapter 411, Texas Government Code.

Firearm – any device designed, made, or adapted to expel a projectile through a barrel by using the energy generated by an explosion or burning substance or any device readily convertible to that use.

Handgun – any firearm that is designed, made, or adapted to be fired with one hand.

Holstered Handgun – a firearm carried in a holster that completely covers the trigger and the entire trigger guard area. The holster must have sufficient tension or grip on the handgun to retain it in the holster, even when subjected to unexpected jostling.

Weapon – includes a firearm, explosive weapon, machine gun, short-barrel firearm, firearm silencer, knuckles, armor-piercing ammunition, zip gun, chemical dispensing device (other than a small chemical dispenser sold commercially for personal protection), tire deflation device, illegal knife, switchblade knife, or club.

PREVIOUS HANDBOOK POLICY CHAPTER AND NUMBER

SEC-155 Prohibition of Weapons on Campus

RELATED STATUTES, OTHER POLICIES, REQUIREMENTS, OR STANDARDS

Texas Government Code, Section 411.2031; 411.208

Texas Labor Code 52.061 -.064

Texas Penal Code, Section 30.6.

Texas Penal Code, Section 46.01; 46.03; 46.035

REVISIONS AND UPDATES

August 1, 2016: Revised to reflect legislative changes that now allow concealed carrying of handguns on campus property by duly licensed individuals.

CONTACTS/FOR FURTHER INFORMATION

University Police 214-648-8311



Institutional Handbook of Operating Procedures Policy 8.2.9	
Section: Health, Safety, and Security	Responsible Vice President: UTMB Chief of Police
Subject 8.2: Security Policies	Responsible Entity: UTMB Police Department

I. Title

Concealed Handguns on UTMB's Campus.

II. Policy

- A.** This policy provides the rules that govern the concealed carry of handguns on the campus of the University of Texas Medical Branch (UTMB) in compliance with [§411.2031 of the Texas Government Code](#).
- B.** A person with a handgun license (license holder), issued pursuant to §411 of the Texas Government Code, may carry a concealed handgun on his or her person or in a closely controlled manner on the campus of UTMB, except excluded areas listed in section IV below. Individuals who are not license holders are prohibited from carrying a concealed handgun anywhere on UTMB's campus.
- C.** Carrying an unconcealed handgun anywhere on the campus of UTMB is restricted to authorized Peace Officers and other persons designated by appropriate law enforcement agencies.
- D.** This policy applies to faculty, staff, students, patients, and visitors. Commissioned Peace Officers are exempt from the provisions of this policy.
- E.** Violation of this policy may result in disciplinary action up to and including termination for employees; a termination of employment relationship in the case of contractors or consultants; or suspension or expulsion in the case of a student. Additionally, individuals may be subject to loss of access privileges and criminal prosecution.
- F.** Patients and visitors found to be in violation of this policy may be subject to exclusion from UTMB's campus, temporary safe-holding of the handgun by UTMB police, and criminal prosecution.

III. Guidelines for Concealed Carry on UTMB's Campus

A concealed handgun in the possession of a license holder on UTMB's campus must remain concealed:

- A.** In a holster that attaches to the body; or
- B.** Carried in a closely controlled object in a holster or trigger-locked condition.

IHOP Policy 8.2.9 Concealed Handguns on UTMB's Campus

IV. Excluded Areas

Carrying of a concealed handgun in the following buildings or facilities is strictly prohibited. Carrying handguns in these areas is incompatible with the local environment or the discharge of a handgun has the potential to cause unexpected or widespread catastrophic harm, or irreplaceable loss.

A. Patient Care Areas

Due to the nature of UTMB's campus as an academic medical center, the carrying of a concealed handgun in patient care areas on UTMB's campus is prohibited. Concealed handguns are not permitted in any building with patient-care areas controlled by UTMB, including Galveston, League City, and Angleton-Danbury campuses and clinics.

B. Laboratory Areas: Laboratory areas may house volatile, explosive, chemical or biological agents, animal subjects or incompatible equipment, such as magnetic resonance imaging, which increase the risk of catastrophic harm in the event of the discharge of a handgun. The prohibition includes the Galveston National Laboratory (GNL);

C. Animal Care Areas: Animal care areas typically have strict protocols for entering and exiting the facility, including requirements for protective clothing and sterile gloves. Primates have the ability to grab and manipulate objects. Animals carry viruses and bacteria that can be pathogenic to humans. Careful control over all objects is therefore required in these facilities.

D. Buildings and Structures Providing Critical Utility Services to Patient Care Areas: Damage to critical utility systems due to the discharge of a handgun creates an unacceptable risk to UTMB's clinical mission and to patient safety.

E. Health Care Training Simulation Centers and Anatomy Facilities: Similar to patient care areas, the environment of many of UTMB's Health Care Training Simulation Centers and Anatomy facilities is incompatible with concealed carry of handguns. If a simulation center or anatomy facility is excluded, a sign will be posted in accordance with this policy and the law.

F. Moody Medical Library: The Moody Medical Library houses collections of unique, historical, and irreplaceable items and the risk of irreplaceable loss due to the discharge of a handgun is heightened.

G. UTMB Alumni Fieldhouse: Carrying of a handgun is incompatible with participation in the activities of exercise, sports, and swimming and creates an unacceptable risk of negligent discharge.

H. Leased Space: Carrying of handguns is prohibited in leased space as follows:

1. Any premises leased to UTMB (Lessee) by a third party (Lessor) from which the Lessor has excluded concealed handguns as permitted by law; and
2. Any premises leased to a third party (Lessee) by UTMB (Lessor) from which the Lessee prohibits handguns as permitted by law.

IHOP Policy 8.2.9 Concealed Handguns on UTMB's Campus

V. Signage and Notification for Exclusion Areas

- A.** Major entrances to UTMB buildings or facilities where concealed carry of handguns is excluded will display conspicuous signage that is clearly visible to the public and in accordance with Texas law.
- B.** Notwithstanding the signage requirements, verbal communication of the exclusion will be considered notice to an individual that concealed carry in the building or facility is prohibited.

VI. Storage of Handguns on Campus

It is the responsibility of the license holder to know and follow all applicable state and federal laws. A license holder lawfully carrying a concealed handgun on UTMB's campus is responsible for secure storage of that handgun when it is not on his or her person. Storage of a handgun is not allowed on UTMB's campus except as outlined below:

- A.** License holders are permitted under Texas law to store or transport a firearm or ammunition in a locked, privately owned or leased vehicle in parking lots, garages, and other parking areas located on the campus of the institution. It is recommended that handguns stored in personal vehicles be stored in a position that is not visible, and its presence cannot be discernable through ordinary observation of a reasonable person.
- B.** UTMB license holders, who lease space in the UTMB housing facilities listed in 2 below, may possess handguns in his or her housing unit. Such handguns must remain concealed on the license holder's person or securely stored as specified below. If the housing unit is shared with one or more other leaseholders, storage of the handgun must occur in the license holder's bedroom.
 - Storage of handguns in UTMB housing facilities must be secured in a gun safe compliant with the following storage requirements:
 - Handgun must be fully contained;
 - Exterior walls of the gun safe must be constructed of a minimum of 16-gauge steel; and
 - The safe must have a high-strength locking system consisting of a mechanical or electronic combination or biometric lock. Key locks are unacceptable.

VII. Violations and Penalties

The following activities represent violations of the policy and may result in criminal penalties:

- 1.** Possession of a handgun without a handgun license on their person;
- 2.** Possession of a handgun that is unconcealed, other than accidental and momentary revealing;
- 3.** Possession of a handgun that is not holstered, or if in a closely controlled object, that is not holstered or trigger-locked;
- 4.** Loss of close control of a handgun;
- 5.** Brandishing or threatening behavior with a handgun,;
- 6.** Possession of a handgun in an excluded area;
- 7.** Improper storage of a handgun;
- 8.** Possessing a weapon on campus while intoxicated;
- 9.** Accidental or deliberate discharge of a handgun.

IHOP Policy 8.2.9 Concealed Handguns on UTMB's Campus

VIII. Inquiry of License Holder or Carrying Status

- A. If a license holder is carrying a handgun on UTMB's campus and a UTMB police officer, or any other licensed law enforcement officer demands the license holder display identification, the license holder must display both the concealed handgun license and a valid driver's license or identification card.
- B. Faculty, staff, and students, with the exception of members of the UTMB police, should not inquire as to whether any person is carrying a concealed handgun or possesses a concealed handgun license.

IX. Reporting Alleged Violations

The primary responsibility of enforcement of this policy lies with the UTMB Police Department. Disputes, concerns, or reports of violations of this policy should be immediately communicated to the UTMB Police Department, which enforce Texas law and UTMB policy, as appropriate.

X. Report to Texas Legislature

As required by law, UTMB will submit a copy of this policy and the justification used for development of this policy to the Texas Legislature by September 1st of even numbered years.

XI. Definitions

Campus: The buildings and grounds controlled by UTMB, including parking areas or facilities, sidewalks and public streets within the boundaries of the campus.

Concealed: A handgun in the possession of a license holder, which is not visible, and its presence cannot be discernable through ordinary observation of a reasonable person. A handgun that is discernable under semi-transparent or silhouetting/form-fitting covers, which reveal the obvious outline of a handgun, is not concealed.

On-person: A handgun in the possession of a license holder in a holster attached to the body.

Closely controlled: A handgun in the possession of a license holder in an object such as a purse, briefcase, or backpack under their immediate control and carried in a holstered or trigger-locked condition. A license holder who places such an object down and walks away from it has ceased to be "closely-controlling" it.

Patient Care Area: A facility where a formal record of treatment is maintained.

Law Enforcement Official: Includes properly licensed and commissioned local, state and federal law enforcement officers

License Holder: An individual who has been issued a handgun license pursuant to §411 of the Texas Government Code, including those individuals holding a concealed handgun license from another state with a valid reciprocal or unilateral agreement between the issuing state and the state of Texas.

XII. Relevant Federal and State Statutes

[Carrying of Handguns by License Holders on Certain Campuses §411.2031 of the Texas Government Code.](#)

XIII. Dates Approved or Amended

IHOP Policy 8.2.9 Concealed Handguns on UTMB's Campus

<i>Originated:</i>	
<i>Reviewed with Changes</i>	<i>Reviewed without Changes</i>

XIV. Contact Information
UTMB Police Department
(409) 772-1503



David L. Callender, MD, MBA, FACS
President

301 University Blvd.
Galveston, Texas 77555-0129
O 409.772.1902 F 409.772.5064

February 17, 2016

Dr. David Daniel
Deputy Chancellor
Office of the Chancellor
University of Texas System
601 Colorado Street, OHH 4th Floor
Austin, TX 78701

Dear David:

I am submitting the attached report, "Campus Carry Committee Report and Recommendations" to you as the final work product of the UTMB Campus Carry Committee. I endorse this report, as submitted, and I further recommend that the UT System Board of Regents approve the recommendations in this document for implementation on the UTMB campuses.

If you have questions or require additional information, please do not hesitate to contact me. I am very proud and supportive of the work of our Campus Carry Committee; I believe the group crafted the best possible plan for our institution. Thank you.

Sincerely,

A handwritten signature in black ink that reads "David".

David L. Callender, MD, MBA, FACS
President

Attachment

UTMB Campus Carry Committee Report



Campus Carry Committee Report and Recommendations

February 2016

This document reports the recommendations of the UTMB Campus Carry Committee to the President of UTMB regarding implementation of Senate Bill 11. The recommendations contained in this document were developed after consultation with students, staff, and faculty of the institution and after consideration of the nature of the student population, specific safety considerations, and the uniqueness of the campus environment. These recommendations have been reviewed and approved by the President.

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- I Background
- II Guiding Principles and Purpose of the UTMB Campus Carry Committee
- III Requirements of Senate Bill 11
- IV Other Physical Locations Where Handguns are not Allowed by Law
- V Other States with Campus Carry Laws
- VI Outreach to the UTMB Community
- VII Recommendations Regarding Exclusion Zones
- VIII Recommendations for Implementation of Campus Carry Policies
- Appendix A Members of the UTMB Campus Carry Committee
- Appendix B Concealed Handgun Storage Policy
- Appendix C Draft List of Excluded Buildings (and those determined by Landlord)

UTMB Campus Carry Committee Report

I Background

The Texas Legislature recently passed Senate Bill 11, which permits concealed handgun license (CHL) holders to carry concealed handguns on public university campuses beginning August 1, 2016. By law, a CHL holder must be at least 21 years old (unless active duty military), be a resident of Texas, and meet other requirements such as no record of a felony conviction. The law defines “campus” as all land and buildings owned or leased by an institution of higher education.

“A license holder may carry a concealed handgun on or about the license holder's person while the license holder is on the campus of an institution of higher education or private or independent institution of higher education in this state.”

II Guiding Principles and Purpose of the UTMB Campus Carry Committee

The UTMB Campus Carry Committee (members listed in Appendix A) will develop and recommend to the President, campus-based guidelines for implementing Senate Bill 11, focusing on safety of individuals on campus, while following the law.

The Committee's charge is to

- Identify recommended handgun exclusion zones for the UTMB campus, if any, for the President's consideration; and
- Develop a set of campus-based policies to implement the law

III Requirements of Senate Bill 11

- 1. Senate Bill 11 permits the president of an institution to establish reasonable rules regarding the carrying of concealed handguns by license holders, including zones where such handguns are excluded.**

“After consulting with students, staff, and faculty of the institution regarding the nature of the student population, specific safety considerations, and the uniqueness of the campus environment, the president or other chief executive officer of an institution of higher education in this state shall establish reasonable rules, regulations, or other provisions regarding the carrying of concealed handguns by license holders on the campus of the institution or on premises located on the campus of the institution.”

- 2. Senate Bill 11 does not permit general exclusion of concealed handguns by a CHL holder.**

“The president or officer may not establish provisions that generally prohibit or have the effect of generally prohibiting license holders from carrying concealed handguns on the campus of the institution.”

UTMB Campus Carry Committee Report

3. Senate Bill 11 permits regulation of handgun storage in dormitories.

“An institution of higher education or private or independent institution of higher education in this state may establish rules, regulations, or other provisions concerning the storage of handguns in dormitories or other residential facilities that are owned or leased and operated by the institution and located on the campus of the institution.”

4. Senate Bill 11 requires that the provisions take effect as determined by the president unless subsequently amended by the Board of Regents.

“Not later than the 90th day after the date that the rules, regulations, or other provisions are established as described by Subsection (d-1), the board of regents or other governing board of the institution of higher education shall review the provisions. The board of regents or other governing board may, by a vote of not less than two-thirds of the board, amend wholly or partly the provisions established under Subsection (d-1).”

5. Senate Bill 11 requires that an institution must give effective notice regarding any portion of a premises on which license holders may not carry per Section 30.06 of the Texas Penal Code, typically through appropriate signage.

“Signage displayed in a conspicuous manner clearly visible to the public, with contrasting colors with block letters at least one inch in height, stating in both English and Spanish: “Pursuant to Section 30.06, Penal Code (trespass by holder of license to carry a concealed handgun), a person licensed under Subchapter H, Chapter 411, Government Code (concealed handgun law), may not enter this property with a concealed handgun.”

IV Other Physical Locations Where Handguns are not Allowed by Law

The Committee reviewed other locations (relevant to UTMB as a health science center) where concealed handguns are not permitted by Texas law. The Texas Penal Code does not permit handguns:

- “On the physical premises of a school or educational institution, any grounds or building on which an activity sponsored by a school or educational institution is being conducted” (46.03(1)).
- “On the premises of any government court or offices utilized by the court” (46.03(2)).
- “On the premises of a hospital licensed under Chapter 241, Health and Safety Code” (46.035(b)(4)).

UTMB Campus Carry Committee Report

V Other States with Campus Carry Laws

The Committee reviewed the available data on campus carry laws in eight other states, and the heterogeneous manner in which the laws have been enacted, enforced, and practiced. Three states (Arkansas, Kansas and Wisconsin) allow institutions to opt-out. All campuses in these states chose to exclude concealed handguns. Five other states (Colorado, Idaho, Mississippi, Oregon and Utah) have legislatively-mandated campus carry in effect, with variable exclusion zones allowed. The Committee identified three reports of negligent discharges by CHL holders, but no evidence of increased campus violence related to CHL holders in these states.

VI Outreach to the UTMB Community

In addition to twice-monthly Committee meetings beginning in September 2015, presentations were made to the Faculty Senate, Student Government officers, School of Medicine Chairs, School of Nursing faculty, School of Health Professions faculty, Graduate Medical Education Committee, School of Medicine Educational and Student Affairs offices, Support Staff Professionals, Health System Leadership and other departments and programs that requested a meeting. A campus-wide Town Hall meeting was held on November 16, which was also broadcast to the Victory Lakes and Angleton-Danbury campuses. A website (www.utmb.edu/campus-carry) has been live since September and has received >6,000 unique page views and >250 submissions/comments. Of those submitters expressing a preference, approximately 85% prefer concealed handguns be excluded from parts or all of the campus and 15% support campus carry without exclusion zones.

VII Recommendations Regarding Exclusion Zones

Patient Care Areas: Section 46.035(b)(2) of the Penal code excludes hospitals licensed under Chapter 241. Although no UTMB Hospitals (in fact, no U.T. operated hospitals) are licensed under Chapter 241 of the Health and Safety Code, the principles of health and safety that apply to such hospitals logically apply to all UTMB patient care areas, including hospitals, clinics, emergency and urgent care treatment centers and mental health treatment areas, including those for students and employees. "Patient care area" should be defined as a facility where a formal record of treatment is maintained. These facilities serve inherently vulnerable and sometimes incapacitated populations, and involve routine donning and doffing of clothes by both patients and care providers, representing a clear risk of handgun unconcealing and loss of close control. Provision of health care includes regular physical examinations of patients by providers, making the presence of a concealed handgun on the body of a patient being examined a clear hazard. Unique to UTMB is the presence of Hospital Galveston, which is a maximum-security prison hospital that serves inmates of the Texas Department of Criminal Justice (TDCJ). Inmates also receive care in the free-world facilities of John Sealy Hospital and adjacent facilities, and interact with or have close proximity to care-providers, employees, patients and visitors on the free-world side, creating an unacceptable risk for concealed handguns in those settings.

UTMB Campus Carry Committee Report

Recommendation 1: Concealed handguns should be excluded from all buildings with patient care areas controlled by UTMB, including the Galveston, League City and Angleton-Danbury campuses and UTMB's network of clinics.

Areas where carrying a concealed handgun is incompatible with the local environment or the discharge of a handgun has potential to cause unexpected or widespread, catastrophic harm, or irreplaceable loss.

Laboratory areas with volatile, explosive, chemical or biologic agents, animal subjects or incompatible equipment such as magnetic resonance imagers represent a substantial risk and should be excluded. Unique to UTMB, the Galveston National Laboratory represents a facility where the presence of select agents requires the highest levels of security and safety precautions. Negligent or intentional discharge of a handgun could cause unintended, yet grave and catastrophic harm, including explosion and release of dangerous agents. Animal care facilities typically have strict protocols for entering and exiting the facility, including requirements for protective clothing and sterile gloves. Primates have the ability to grab and manipulate objects. Animals carry viruses and bacteria that can be pathogenic to humans. Careful control over all objects is therefore required in these facilities. Exclusion is appropriate under the language "as necessary for campus safety" contained within Senate Bill 11. Areas which serve the critical infrastructure needs for the healthcare buildings are essential for safe patient care and should be excluded. Damage to critical utility systems would create an unacceptable risk to the clinical mission and to patient safety. Analogous to patient care areas, facilities which serve as health care training simulation centers include the same physical examination risks; unique training labs such as anatomy laboratories have the same donning/doffing issues. These should also be excluded. Areas which house collections of unique, historical, and irreplaceable items – such as the Truman G. Blocker Jr. History of Medicine Collection in the Moody Medical Library – should be excluded, as should areas such as the Field House, where carrying of a handgun is incompatible with conducting the activities of exercise, sports, or swimming, or creates an unacceptable risk of loss of close control or negligent discharge.

Recommendation 2: Concealed handguns should be excluded from (a) buildings with laboratory areas containing volatile, explosive, chemical or biologic agents, animal subjects or incompatible equipment; (b) buildings or structures that provide critical utility services to patient care areas; (c) health care simulation and anatomy facilities; (d) buildings with unique, irreplaceable historical artifacts; and (e) exercise facilities.

VIII Recommendations for Implementation of Campus Carry Policies

Mixed-use, connected and shared-governance buildings. These complexities include buildings with both classrooms and laboratories, interconnectivity between excluded and non-excluded buildings, how usage may vary over time, and clearly defining ownership or control of buildings, including leased space. Designating buildings with some rooms, sections or floors where campus carry is excluded and others where it is allowed will complicate effective signage requirements and likely

UTMB Campus Carry Committee Report

confuse the public. In addition, being on the other side of a door or interior wall does not prevent the fundamental risks described in Recommendations 1 or 2.

Recommendation 3: For buildings with select rooms or areas clearly defined in Recommendations 1 or 2, the designation for exclusion should be made at the building level, not the floor, section, or room level unless a compelling reason to do so is identified. Where two or more buildings function as a single unit because of extensive connections, the structure should be treated as a single building. A comprehensive list of excluded buildings/areas should be created, with appropriate plans for signage and a public education campaign.

Residential facilities. Senate Bill 11 allows institutions to establish rules, regulations, or other provisions concerning the storage of handguns in dormitories or other residential facilities that are owned or leased and operated by the institution and located on the campus of the institution.

Recommendation 4: A CHL holder who leases space in University-controlled housing may possess handguns in his/her housing unit. Such handguns must remain concealed on the CHL holder's person or securely stored as specified in Appendix B (Concealed Handgun Storage Policy). If the housing unit is a shared space with one or more other lease-holders, storage must occur in the CHL holder's bedroom.

Recommendation 5: Individuals who are assigned shared space in University-controlled housing should be allowed to self-declare as non-handgun owners and request to be matched with another self-declared non-handgun owner, if available.

Handgun carrying and storage. Because handling and storage can impact the risk of negligent handgun discharges, university policies should address safe handgun practices. The Committee concluded that safety-enhancements such as holsters and trigger locks are essential components of such practices. Concealed means the handgun cannot be in plain view and its presence cannot be discernable through ordinary observation (e.g., semi-transparent or silhouetting/form-fitting covers which reveal the obvious outline of a handgun should not be allowed). The Committee also concluded that minimizing handgun handling enhances safety, and the law does not require campuses to provide handgun storage, just as laws do not require storage be provided near other areas of lawful exclusion (e.g., high schools, restricted sporting events, day-care facilities). These facts, combined with the safety, security, accessibility and privacy challenges presented by on-campus storage facilities, lead the Committee to recommend that handgun storage facilities not be provided by the University. CHL holders may lawfully leave a handgun concealed and secured in a personally owned vehicle.

Recommendation 6: A concealed handgun in the possession of a CHL holder on the UTMB campus must remain concealed (a) in a holster that attaches to the body or (b) carried in a closely-controlled object in a holster or trigger-locked condition. Storage of a handgun is not allowed on the UTMB campus with the exception of approved storage in University-controlled residential housing or in the CHL holder's personal vehicle.

UTMB Campus Carry Committee Report

Public safety education and outreach. An appropriate campus training and awareness campaign outlining the balance between public safety and the lawful rights of CHL holders should be initiated prior to implementation of the law on August 1, 2016.

Recommendation 7: A comprehensive safety and awareness campaign related to campus carry should be developed and made available to employees, students, patients and visitors.

Comprehensive campus policies. The University should provide clear definitions of exclusion zones, appropriate signage and clarity on consequences for violations.

Recommendation 8: A working group should be identified to review and update existing IHOP policies related to campus carry, including the distinction between behaviors that represent a crime, and behaviors which represent policy violations subject to university-based discipline. Guidelines for groups not subject to institution-based discipline (patients, visitors, and vendors) should also be developed. A means to update these policies should be defined.

UTMB Campus Carry Committee Report

APPENDIX A

Members of the UTMB Campus Carry Committee

Dr. Michael Ainsworth (Chair)
Vice Dean for Academic Affairs, School of Medicine

Dr. David Niesel
VP, Dean, GSBS and Chief Research Officer

Dr. Pamela Watson
VP & Dean, School of Nursing

Dr. Betty Protas
VP & Dean, School of Health Professions

Mike Shriner
VP Business Operations & Facilities

Dr. Ron McKinley
VP Human Resources & Employee Services

Dr. Karen Wagner
Professor & Chair, Psychiatry & Behavioral Sciences

Dr. David Marshall
VP & Chief Nursing and Patient Care Services Officer

Deborah McGrew
VP & Chief Operating Officer, UTMB Health System

Dr. Scott Weaver
Director, Institute for Human Infections and Immunity
Scientific Director, GNL

Dr. James Graham (Faculty Senate)
Associate Professor, Division of Rehabilitation Sciences

Frank Valiulis (Employee Advisory Council)
Associate Director, Information Services

Jordan Brewer (SGA)
President, UTMB Student Government Association

Ann Masel (Community Representative)
Certified Public Accountant, Partner, DRDA

UTMB Campus Carry Committee Report

APPENDIX A (continued)

Ex-Officio Members of the UTMB Campus Carry Committee

Dr. Ben Raimer

Senior Vice President, Health Policy & Legislative Affairs

Carrie King

Senior Vice President & General Counsel

Sheila Lidstone

Chief of Staff to the President

Steve Campbell

Vice President, Marketing & Communications

Tom Engells

Chief of Police

Jack Tarpley

Director, Occupational Safety & Fire Prevention

UTMB Campus Carry Committee Report

APPENDIX B

Concealed Handgun Storage Policy

1. It is the responsibility of the CHL holder to know and follow all applicable state and federal laws. Anyone who has a CHL and is lawfully carrying a concealed handgun on the UTMB campus is responsible for secure storage of the handgun when it is not on his or her person.
2. Storage of a handgun is not allowed on the UTMB campus with the exception of approved storage in University-controlled residential housing or in the CHL holder's personal vehicle.
3. Secure storage practices in University-controlled housing require use of a gun safe (provided by the CHL holder) with the following specifications. The gun safe must:
 - a. Fully contain the handgun and provide for secure storage; and
 - b. Have exterior walls constructed of a minimum 16-gauge steel; and
 - c. Have a high-strength locking system consisting of a mechanical or electronic combination or biometric lock. Key locks are not acceptable.

UTMB Campus Carry Committee Report

APPENDIX C

**Section 1 - Main Galveston Campus
Draft List of Excluded Buildings**

NUM	BUILDING NAME	BASIS FOR EXCLUSION
090	J.S. HOSPITAL FR. JS TWRS	Patient care
091	J.S. ANNEX FR. JOHN SEALY	Patient care
064	EMERGENCY ROOM BUILDING	Patient care
016	TDCJ HOSPITAL	Patient care
127	CLINICAL SERVICES WING	Patient care
124	JENNIE SEALY HOSPITAL	Patient care
047	WAVERLEY SMITH PAVILION	Patient care
063	700 UNIVERSITY BLVD BLDG	Patient care
009	J.S. ANNEX FR. JOHN SEALY HOSP	Patient care
056	UTMB HEALTH CLINICS	Patient care
087	PRIMARY CARE PAVILION	Patient care
011	JOHN W MCCULLOUGH BLDG	Patient care
007	CLINICAL SCIENCES BLDG	Patient care
008	J.S. ANNEX FR. OLD CHILDRENS	Patient care
014	LEE HAGE JAMAIL STUDENT CTR	Patient care
006	RESEARCH BUILDING 6	Research
020	ANIMAL RESOURCE CENTER	Research
017	RESEARCH BUILDING 17	Research
021	RESEARCH BUILDING 21	Research
041	GALVESTON NATIONAL LAB	Research
002	KEILLER BUILDING	Research
073	NMR DOCKSIDE BUILDING	Research
071	MAURICE EWING HALL	Research
059	T.G. BLOCKER MED RES BLDG	Research
054	BASIC SCIENCE BUILDING	Research
019	MARY MOODY NORTHEN PAV	Research
036	EAST PLANT	Health system infrastructure
040	CENTRAL ENERGY PLANT	Health system infrastructure
061	SERVICES BUILDING	Health system infrastructure
066	WEST END CHILL WATER PLANT	Health system infrastructure
004	MARVIN R. GRAVES BUILDING	Health care simulation
057	SCH HLTH PROFESSIONS & NURS	Health care simulation
070	1003 MARKET ST (ANATOMY LAB)	Gowning/ungowning
075	ALUMNI FIELDHOUSE	Exercise facility
024	MOODY MEDICAL LIBRARY	Rare book collection

UTMB Campus Carry Committee Report

Appendix C

**Section 2 - Additional Buildings: Galveston, League City, Angleton-Danbury, Other Sites
Draft List of Excluded Buildings**

BUILDING NAME*	BASIS FOR EXCLUSION
Family Medicine Island West	Patient care
Pediatric Primary Care Island West	Patient care
Pediatric Urgent Care Island West	Patient care
League City Campus; Stark Diabetes Ctr (all)	Patient care
Angleton-Danbury Clinical Campus (all)	Patient care
Alvin Community Clinics (all)	Patient care
Angleton-Danbury Community Clinics (all)	Patient care
Friendswood/Clear Lake/Webster Commun Clinics (all)	Patient care
Lake Jackson Community Clinics (all)	Patient care
League City/Dickinson Community Clinics (all)	Patient care
Texas City Community Clinics (all)	Patient care
Regional Maternal Child Health Clinics (all)	Patient care

*Note: This list is subject to change as additional facilities are developed.

**UTMB Campus Carry Committee
Section 3 – Privately Controlled Buildings (UTMB as Guest/Lessee)
Owner Has Authority to Decide**

BUILDING NAME**	UTMB BUILDING USE
Shearn Moody Plaza	Office
2200 Market Street (Bank Of America)	Office
Frost National Bank	Office
Shriners Hospital for Children	Patient Care
Island Community Center	OLLI center
Ronald McDonald House	Residential
Clear Lake Center	Office/data center
Gunn Road data center	Office/data center

**Note: This list is subject to change as control of these or other facilities is clarified.

Concealed Handguns on Campus

Policy Number: ____

Subject:

Carrying of concealed handguns on campus

Scope:

All members of the university community, including employees, students, residents and other trainees, visitors, volunteers, contractors, vendors, consultants, and observers

Date Reviewed:

April 2016

Responsible Office:

Office of the President

Responsible Executive:

President

I. POLICY AND GENERAL STATEMENT

The University of Texas Health Science Center at Houston ("university") is committed to maintaining a safe environment for all members of the university community while complying with applicable federal, state, and local laws and regulations.

Section 411.2031 of the Texas Government Code, commonly known as the "Campus Carry Law," permits licensed holders to carry a concealed handgun on or about the license holder's person while the license holder is on the campus of an institution of higher education. The law also provides that the President of an institution of higher education shall establish reasonable rules, regulations, or other provisions regarding the carrying of concealed handguns by license holders on the campus of the institution or on premises located on the campus.

This policy contains the rules, regulations and other provisions established by the university President regarding the carrying of concealed handguns on the university campus and premises located on the campus.

Open carry of handguns is not permitted on the university campus or premises located on the campus.

II. DEFINITIONS

Campus: all land and buildings owned or leased by the university.

Concealed handgun: a handgun, the presence of which is not openly discernable to the ordinary observation of a reasonable person.

Exclusion zones: areas in which concealed handguns are prohibited.

Gun storage safe: a lockable safe or secure storage container specifically designed to protect a handgun from access by anyone other than the licensed owner. The container must fully contain the handgun and provide sufficient defense to unauthorized access. It is recommended that the safe or residential security container meet Underwriters Laboratories Residential Security Container rating standards and ASTM International F2456 04 standards for Youth Resistant Firearms Containers.

Handgun: any firearm that is designed, made, or adapted to be fired with one hand.

Licensed holder: a person licensed to carry a handgun under Subchapter H, Chapter 411, Texas Government Code.

Premises: a building or a portion of a building. The term does not include any public or private driveway, street, sidewalk or walkway, parking lot, parking garage, or other parking area.

III. PROCEDURE

A. General Rules

Licensed holders who carry a concealed handgun on the university campus or premises must carry it on or about their person at all times or secure their handgun in a locked, privately owned or privately leased car or vehicle, except as provided in Section E below. It is recommended that licensed holders who carry a concealed handgun about their person (e.g., in purses, backpacks, briefcases) keep the handgun in a holster designed to prevent/minimize accidental discharge.

Licensed holders may carry a concealed handgun in all university parking garages and parking lots in accordance with law. Licensed holders may also carry a concealed handgun on or about their person in all university classrooms and teaching areas (including teaching laboratories), except in those buildings or areas designated as exclusion zones. Licensed holders may also carry a concealed handgun on university shuttles.

B. Exclusion Zones

Concealed handguns are prohibited in the following areas:

1. All patient care areas. Patient care areas are interpreted broadly to include hospitals; clinics, including student and faculty health clinics; medical, dental and nurse-run clinics;

mental health facilities and counseling centers; and health-related testing areas (e.g., for audiology, optometry, learning disabilities);

2. Laboratories containing dangerous materials, including, but not limited to, flammable chemicals, biohazards, compressed gases and explosive agents;
3. Laboratories, patient care areas, or other venues containing equipment that could inadvertently cause the discharge of a handgun (e.g., magnetic resonance imaging machines);
4. Animal care areas and vivaria;
5. Areas for which state or federal law, licensing requirements or contracts require exclusion of weapons at the discretion of state or federal government, or in which handguns are prohibited by an accrediting authority; and
6. Rooms where formal hearings, prescribed by university policy and/or processes, are being conducted for or related to the following purposes:
 - a. Employee and student grievance or disciplinary inquiries, hearings and related proceedings,
 - b. Appeals and formal hearings related to employee terminations, and
 - c. Appeals and formal hearings related to student dismissals and academic proceedings.

Appropriate signage will be placed on the entrances to these rooms when such hearings are in progress, and meeting notices and invitations will indicate that these rooms are exclusion zones while hearings are in progress.

C. Designation of University-Owned Buildings and Facilities

1. Buildings in which concealed handguns are completely prohibited

If the sum of the net assignable square footage in a building is equal to or greater than 50 percent utilized for patient care areas, laboratories with dangerous materials or equipment, and/or animal care areas and vivaria (see Section B), the entire building will be designated as an exclusion zone. Concealed handguns will not be permitted in any part of a building so designated. Appropriate signage will be placed at building entrances as required by law.

2. Buildings in which concealed handguns may be permitted, except for designated exclusion zones

If the sum of the net assignable square footage in a building is greater than 25 percent but less than 50 percent utilized for patient care areas, laboratories with dangerous materials or equipment, and animal care areas and vivaria (see Section B), the President will consider the following factors:

- a. Load of traffic within the building including students, employees, visitors and patients;

- b. Number and nature (e.g., card entry, key-only entry) of entry and egress points;
- c. Connections to adjoining buildings in which concealed handguns are prohibited;
- d. The layout and travel patterns within the building, including, but not limited to, stairwells, elevators and hallways between patient care areas, laboratories with dangerous materials and/or equipment, and/or animal care facilities or vivaria and other functionalities (e.g., classrooms, offices, storage and other designated purposes); and
- e. The percentage of building space assigned for patient care areas, laboratories with dangerous materials and/or equipment, and/or animal care facilities or vivaria.

If, on the collective basis of these factors, the President determines that: (a) movements into, out of, and/or within the building are unmanageable without violation of the law or (b) if accurate, understandable communication about the rules to students, employees, visitors, patients and other occupants is impractical, the President shall declare the entire building an exclusion zone.

If the President determines that movements into, out of, and/or within the building are manageable without violation of the law and can be realistically communicated to all parties, concealed handguns will be permitted within the building except in areas designated as exclusion zones (see Section B). These exclusion areas must then be clearly marked with appropriate signage.

3. Buildings in which handguns are permitted, except for designated exclusion zones

If the sum of the net assignable square footage in a building is less than 25 percent utilized for patient care areas, laboratories with dangerous materials or equipment, and/or animal care facilities or vivaria (see Section B), concealed handguns will be permitted within the building, except in areas designated as exclusion zones (see Section B), which must be clearly marked with appropriate signage.

A list of designations for current university-owned buildings and facilities can be found [\[here\]](#).

Comment [APD1]: Link to be added.

D. Leased Space

The determination of whether licensed holders may carry concealed handguns in space that the university leases in buildings owned by other parties and space leased by the university in its buildings to non-university occupants will be determined based on lease agreements and other legal considerations.

- a. Tenants in university-owned buildings will generally be permitted to determine whether to permit concealed handguns in their leased space, unless the entire building is designated as an exclusion zone or the lease agreement prohibits carrying weapons. If a tenant in a university building is acting as an agent of the university, the university shall

determine whether to prohibit concealed handguns in the leased space on the basis of the rules applied to its other campus buildings and facilities.

b. If the university leases space from a landlord that has established a policy of prohibiting concealed handguns in the lease and/or building rules, the university will abide by the prohibition. If the university leases space from a landlord that permits concealed handguns on the property or is silent on the matter, the university will determine whether to prohibit concealed handguns in the leased space on the basis of the rules as applied to its own campus buildings and facilities (see Sections B and C).

E. University Housing

Licensed holders may carry concealed handguns on or about their person in university housing. Licensed holders who are university housing residents may store handguns in their apartments in a gun storage safe, with the stipulation that the safe must have secondary concealment (e.g., in a locked drawer or room) at all times so that transfer in or out of the safe would not be visible to other apartment occupants or visitors.

This stipulation will be included in lease agreements, and failure to adhere to requirements in the lease agreement will be cause for the university to terminate the lease agreement and require the occupant to vacate the apartment. Residents or visitors who are licensed holders are permitted to keep handguns in their locked cars or vehicles in parking garages and surface parking areas of university housing as permitted by law.

F. Communication of Policy and Rules

The university will widely distribute this policy and all related rules, regulations and procedures to members of the university community, including employees, students, residents and other trainees, visitors, volunteers, contractors, vendors, consultants, and observers. The university will prominently publish a link to such information on the university's internet and intranet sites.

G. Reports to the Legislature

Not later than September 1 of each even-numbered year, the university will submit a report to the Legislature that (1) describes the university's rules, regulations, or other provisions regarding the carrying of concealed handguns on the campus of the institution; and (2) explains the reasons that the university has established these rules. The President will periodically, and at least every two years, review the university's rules, regulations and other provisions regarding the carrying of concealed handguns for possible modifications in accordance with the law and as necessary for campus safety.

H. Reporting of Incidents

If any person believes that a violation of this policy has occurred, he or she should report the incident to the Behaviors of Concern Call Center (713-792-7867) or The University of Texas Police – Houston (713-563-7794). In the event of a threat of imminent bodily harm, injury or

use of a weapon, the reporting individual should seek protective cover and call 911 immediately. Once the situation is safe, the reporting individual should report the incident to the Behaviors of Concern Call Center and UTP-H, if not already notified. See [HOOP 39, Campus and Workplace Violence Prevention](#) and [HOOP 2, Campus Security](#).

I. Violations of Policy

Violations of this policy may lead to disciplinary action up to, and including, termination for employees; discipline and/or dismissal of students; cancellation of contracts with vendors and contractors; refusal of services to patients, clients, and visitors; and, when applicable, the pursuit of criminal actions. Loss of a handgun on the university campus or premises will be considered a violation of this policy.

IV. CONTACTS

Office of the President	713-500-3002	http://www.uthouston.edu/president/
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Campus Carry Rules and Policies at UTHealth

UTHealth | The University of Texas Health Science Center at Houston

Issued by the Office of the President
March 22, 2016

The following rules have been established by the Office of the President in accordance with Senate Bill 11, also known as the “Campus Carry Law”, passed by the Texas Legislature in 2015. The rules and policies will become effective Aug. 1, 2016.

These rules were established after thoughtful consideration of the recommendations of the UTHealth Campus Carry working group established by President Giuseppe N. Colasurdo, M.D. Prior to developing its recommendations, the campus working group obtained extensive input from faculty, students, and staff elicited from several campus Town Hall meetings, suggestions and opinions sent through a portal on UTHealth’s intranet, and meetings with various subject matter experts on safety, legal affairs and law enforcement. The campus working group also considered the report from the UT System working group established by Chancellor William McRaven and chaired by Deputy Chancellor David Daniel, Ph.D and relied upon it as background material prior to the development of our recommendations put forth to President Colasurdo.

UTHealth will create and execute a comprehensive communication plan to inform our community about the rules and regulations regarding campus carry.

A. GENERAL RULES AND PRINCIPLES

1. Licensed holders who carry a concealed handgun in UTHealth buildings or on UTHealth premises must carry it on or about their person at all times or secure their handgun in a locked, privately owned or privately leased car or vehicle. The only exception applies to residents of University Housing as per below (A.2). It is recommended that licensed holders who carry a concealed handgun about their person (e.g., in purses, backpacks, briefcases, etc.) keep the gun in a holster designed to prevent/minimize accidental discharge.
2. Licensed holders may carry concealed handguns on or about their person in University Housing. Licensed holders who are university housing residents may store handguns in their apartments in a gun storage safeⁱ with the stipulation that the safe must have secondary concealment, e.g., in a locked drawer or room at all times so that transfer in or out of the safe would not be visible to other apartment occupants or visitors. This stipulation will be included in lease agreements, and failure to adhere to requirements in the lease agreement would be cause for the university to terminate the lease agreement and require the occupant to vacate the apartment. Residents or visitors with concealed handgun licenses are permitted to keep handguns in their locked cars or vehicles in parking garages and surface parking areas of university housing as permitted by law.

3. Handguns are prohibited in all patient care areas. Patient care areas are interpreted broadly to include hospitals; clinics, including student and faculty health clinics; medical, dental and nurse-run clinics; mental health facilities and counseling centers; and health-related testing areas (e.g., audiology, optometry, learning disabilities, etc.).
4. Handguns are prohibited in laboratories containing dangerous materials, including, but not limited to, flammable chemicals, biohazards, compressed gases and explosive agents.
5. Handguns are prohibited in laboratories, patient care areas, or other venues that contain equipment that could inadvertently cause the discharge of a handgun, e.g., magnetic resonance imaging machines.
6. Handguns are prohibited in animal care areas and vivaria.
7. Handguns are prohibited in areas for which state or federal law, licensing requirements or contracts require exclusion of weapons at the discretion of state or federal government, or in which handguns are prohibited by an accrediting authority.
8. Handguns are prohibited in rooms while formal hearings, prescribed by university policy and/or processes, are being conducted for or related to the following purposes:
 - a. Employee and student grievance or disciplinary inquiries, hearings and related proceedings,
 - b. Appeals and formal hearings related to employee terminations, and
 - c. Appeals and formal hearings related to student dismissals and academic proceedings.

Appropriate signage will be placed on the entrances to these rooms when such hearings are in progress, and meeting notices and invitations will indicate that these rooms are exclusion zones while hearings are in progress.

9. The determination of whether licensed holders may carry concealed weapons in space that UTHealth leases in buildings owned by other parties and space leased by UTHealth in its buildings to non-university occupants will be determined based on lease agreements and other legal considerations.
 - a. Tenants in UTHealth-owned buildings will generally be permitted to determine whether or not to permit concealed handguns in their leased space, unless the entire building is an exclusion zone (see following A.10) or the lease agreement prohibits carrying weapons. If a tenant in a UTHealth building is acting as an agent of the university, the university shall determine whether or not to prohibit concealed handguns in the leased space on the basis of the rules applied to its other campus buildings and facilities.

- b. If UTHealth leases space from a landlord that has established a policy of prohibiting concealed handguns in the lease and/or building rules, UTHealth will abide by the prohibition. If UTHealth leases space from a landlord that permits handguns on the property or is silent on the matter, UTHealth shall determine whether or not to prohibit concealed handguns in the leased space on the basis of the rules as applied to its own campus buildings and facilities.
10. If the sum of the net assignable square footage in a building is equal to or greater than 50 percent for patient care areas (see A.3), laboratories with dangerous materials or equipment (see A.4 and A.5), and animal care areas and vivaria (see A.6) the entire building will be designated as an exclusion zone and handguns will not be permitted in any part of the building. Appropriate signage will be placed at building entrances as required by law.
11. If the sum of the net assignable square footage in a building is greater than 25 percent but less than 50 percent for patient care areas (see A.3), laboratories with dangerous materials or equipment (see A.4. and A.5), and animal care areas and vivaria (see A.6) the President will consider the following factors:
 - a. Load of traffic within the building including students, employees, visitors and patients,
 - b. Number and nature (e.g., card entry, key-only entry, etc.) of entry and egress points,
 - c. Connections to adjoining buildings in which concealed handguns are prohibited,
 - d. The layout and travel patterns within the building, including, but not limited to, stairwells, elevators and hallways between patient care areas (see A.3), laboratories with dangerous materials and/or equipment (see A.4 and A.5), and animal care facilities or vivaria (see A.6) and other functionalities (e.g., classrooms, offices, storage and other designated purposes), and
 - e. The percentage of building space assigned for patient care areas (see A.3”), laboratories with dangerous materials and/or equipment (see A.4. and A.5.), and animal care facilities or vivaria (see A.6).

If, on the collective basis of these factors, the President determines that movements into, out of, and within the building are unmanageable without violation of the law or if accurate, understandable communication about the rules to students, employees, visitors and patients is impractical, the President shall declare the entire building an exclusion zone.

If the President determines that movements into, out of, and within the building are manageable without violation of the law and can be realistically communicated to all parties, concealed carry will be permitted within the building except in areas designated for patient care (see A.3), laboratories with dangerous materials and/or equipment (see A.4. and A.5), and animal care facilities or vivaria (see A.6). These exclusion areas must then be clearly marked with appropriate signage.

12. If the sum of the net assignable square footage in a building is less than 25 percent for patient care areas (see A.3), laboratories with dangerous materials and/or equipment (see A.4 and A.5), and animal care facilities or vivaria (see A.6), concealed carry will be permitted within the building except in areas designated for those specific uses which must be clearly marked with appropriate signage.
13. Licensed holders may carry a concealed handgun in all university parking garages and parking lots or secure their handgun in a locked, privately owned or privately leased car or vehicle at all times.
14. Licensed holders may carry a concealed handgun on or about their person in all university classrooms and teaching areas (including teaching laboratories) except in those buildings or areas designated as exclusion zones.

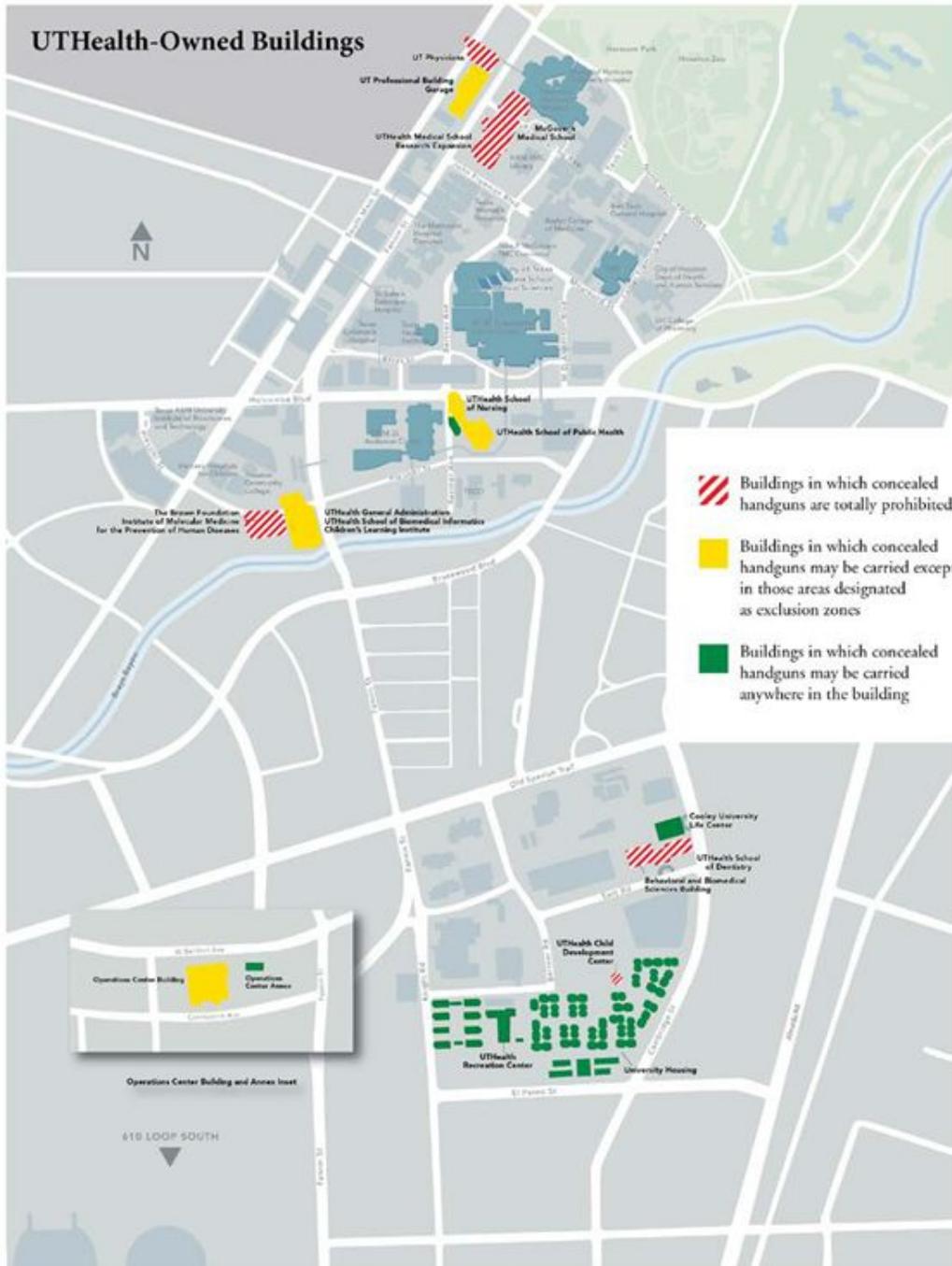
B. DESIGNATION OF UTHEALTH-OWNED BUILDINGS AND FACILITIES

The designations below were developed on the basis of the general rules and principles listed in Section A above. A campus map indicating designation of buildings is below. The buildings listed below comprise the current inventory of UTHealth-owned buildings. The list will be updated as appropriate.

1. Buildings in which concealed handguns are totally prohibited (indicated in red on map below):
 - a. Behavioral and Biomedical Sciences Building
 - b. Cinco Ranch Health Center
 - c. Child Development Center
 - d. Cyclotron Facility
 - e. McGovern Medical School Building
 - f. Medical School Expansion Building
 - g. School of Dentistry Building

-
- h. Fayez S. Sarofim Research Building, home of The Brown Foundation Institute of Molecular Medicine for the Prevention of Human Diseases (IMM)
 - i. University Professional Building
 2. Buildings in which concealed handguns may be carried by licensed holders on or about their person except in designated patient care areas (see A.3 above), laboratories with dangerous materials and/or equipment (see A.4 and A.5 above), animal care facilities or vivaria (see A.6 above) and rooms while formal hearings, prescribed by university policy and/or processes, are being conducted for or related to employee and student grievance or disciplinary inquiries, hearings and related proceedings, or appeals and formal hearings related to employee terminations or student dismissals and academic proceedings (see A.8 above). Concealed carry by licensed holders is permitted in all classrooms and teaching areas (including teaching laboratories) except in those areas designated as exclusion zones. (buildings indicated in yellow on map below):
 - a. Operations Center Building
 - b. School of Nursing Building
 - c. Reuel A. Stallones Building, home of UTHealth School of Public Health
 - d. School of Public Health Brownsville Building
 - e. University Center Tower and Garage
 - f. University Professional Building Garage
 3. Buildings in which licensed holders may carry concealed handguns on or about their person anywhere in the building (indicated in green on map below):
 - a. Denton A. Cooley, MD and Ralph C. Cooley, DDS University Life Center
 - b. Operations Center Annex
 - c. Recreation Center
 - d. Recreation Center Pool House
 - e. School of Nursing Service Building
 - f. University Housing and Related Garages

Map:



ⁱ A lockable safe or secure storage container specifically designed to protect a handgun from access by anyone other than the licensed owner. The container must fully contain the handgun and provide sufficient defense to unauthorized access. It is recommended that the safe or residential security container meet Underwriters Laboratories Residential Security Container rating standards and ASTM International F2456 04 standards for Youth Resistant Firearms Containers.

HEALTH SCIENCE CENTER HANDBOOK OF OPERATING PROCEDURES

Chapter x	Chapter Title	Effective:	August 1, 2016
Section x.x	Section Title	Revised:	Date Revised
Policy x.x.x	Campus Carry		Date Reviewed
		Responsibility:	Office of the President

CAMPUS CARRY

Background and Purpose

In 2015, the Texas Legislature passed a law which permits duly licensed concealed handgun owners the right to bring concealed handguns on to Texas public university campuses effective August 1, 2016. This law is commonly referred to as the “Campus Carry Law”. The law directed each public university president in Texas to establish campus-specific policies for their campus implementing the law with consideration to the uniqueness of the campus environment. This law is applicable only to those who have a license to carry a handgun, and the handgun must be concealed at all times. This policy does not authorize the open carry of handguns on The University of Texas Health Science Center at San Antonio campus.

The purpose of this policy is to ensure compliance with Section 411.2031 of the Texas Government Code, the “Campus Carry Law”.

Policy Statement

It is the policy of the Health Science Center at San Antonio to respect the Federal and Texas constitutions, both of which recognize Texas citizens’ rights to keep and bear arms. The Health Science Center also recognizes the Texas Legislature’s power to regulate the carrying of handguns. Finally, the Health Science Center recognizes its right under the Campus Carry Law to implement its own reasonable rules, regulations and other provisions regarding the carrying of concealed handguns on the premises of the UT Health Science Center campuses.

Definitions

Campus: All lands and buildings leased or owned by the Board of Regents of The University of Texas System for and on behalf of The University of Texas Health Science Center at San Antonio.

Campus Carry Law: Section 411.2031 of the Texas Government Code permitting the carrying of concealed handguns by duly licensed holders on the Health Science Center campus.

Concealed Handgun License (CHL): A valid and current Concealed Handgun License as defined by Subchapter H of the Texas Government Code.

Concealed Handgun License Zones: The areas on the Health

HEALTH SCIENCE CENTER HANDBOOK OF OPERATING PROCEDURES

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Science Center campus where CHL holders may carry a concealed handgun.

Exclusion Zones: The areas on the Health Science Center campus where CHL holders may not carry a concealed handgun.

Handgun: Any firearm that is designed, made or adapted to be fired with one hand (see Texas Penal Code, Section 46.01(5)).

CHL Zones and Exclusion Zones

Following are the details of CHL Zones and Exclusion Zones as they pertain to the UT Health Science Center at San Antonio. For updated information and for color-coded maps depicting areas where campus carry is allowed or excluded, please visit the campus carry website at www.uthscsa.edu/campuscarry

CHL Zones: [] areas where CHL holders MAY carry a concealed handgun []

- 1) Holly Auditorium and Foyer on the Joe and Teresa Long Campus;
- 2) All parking lots and parking garages on all Health Science Center campuses;
- 3) Recreation fields on the Long Campus (excluding the Gold’s facility where guns will not be allowed);
- 4) Warehouses, Grounds, Facilities Management and UT Police offices;
- 5) Administration Building (where Human Resources is housed);
- 6) Professional Administration Resource Center (PARC);
- 7) Former Texas Star Café/Cafeteria between Schools of Dentistry and Nursing;
- 8) Institute for Drug Development, SBC Teleconference Center and the former cafeteria at the Texas Research Park campus;
- 9) For the Regional Campus Laredo (RCL), due to the large number of minors who visit the campus during the summer months, guns will be prohibited on campus in both RCL buildings in June, July and August. When there are visits by minors expected at other times during the year, the Regional Dean may elect to post signage

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indicating that concealed carry is prohibited during those times.

Exclusion Zones: Areas where CHL owners may NOT carry a handgun

- 1) All patient-care areas, including simulation centers which are used to educate students in the art of patient examination;
- 2) Areas where organized programs for K-12 students are regularly held on campus. This includes the Academic Learning and Teaching Center where regular groups of minors are present for tours, instruction and mentoring;
- 3) Laboratories where the discharge of a handgun might cause widespread harm such as laboratories with dangerous chemicals such as biologic or explosive agents, and animal care areas including vivaria;
- 4) The fitness facility on the Health Science Center’s Long Campus. This facility is not operated by the Health Science Center, but by a third-party vendor, Gold’s Gym;
- 5) Space or buildings that are connected by hallways or corridors to other excluded areas cited above. For example, excluded from campus carry are areas of contiguous space that are impossible, or significantly difficult, to cordon off and where to do so would impede heavy foot traffic and inhibit commerce;
- 6) The Health Science Center President may deem CHL zones to be Exclusion Zones for the period of time that the CHL Zone hosts excluded activities listed above. For example, an event on the Health Science Center campus that includes minors or patients may be deemed an Exclusion Zone during the event. During this period, required signage of this policy will be erected in the deemed Exclusion Zone for the duration of the excluded period.

Other:

- 1) Other than patient care areas where CHL holders are excluded from bringing handguns, the decision to allow handguns in buildings that are leased and not owned by the Health Science Center will be left up to the property owners who will be required to post signage.
 - 2) Areas where concealed carry is prohibited will be marked by signage that conforms to Section 30.06 of the Texas Penal Code.
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HEALTH SCIENCE CENTER HANDBOOK OF OPERATING PROCEDURES

Chapter x	Chapter Title	Effective:	August 1, 2016
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Policy Permissions and Violations

- 1) The mere possession of a properly licensed concealed handgun by a CHL holder in CHL zones on the Health Science Center campus is not a violation of the Health Science Center’s policy. However, a violation of this policy by an employee, student or trainee may constitute a violation of institutional policies regarding conditions of employment, school attendance or training, thereby subjecting the individual to disciplinary action, up to and including termination and/or criminal prosecution. See related statutes at the end of this policy.
- 2) Health Science Center students are also subject to this policy.
- 3) CHL holders are prohibited from engaging in direct, conditional, or veiled threats, involving the CHL holder’s concealed handgun. This may include, but is not limited to, overt or implicit references by the CHL holder to the CHL holder’s concealed handgun in a way as to intentionally or knowingly incite fear or concern in any other person. Such conduct may result in disciplinary actions.
- 4) Except for storing a handgun in a vehicle as permitted by Texas law, CHL holders must keep their concealed handgun on or about their person at all times. For purposes of this policy and subject to the requirements of Texas law, “on or about one’s person” means close at hand and within such distance of the CHL holder so that, without materially changing his/her position, the CHL holder could get his/her hand on the handgun. Except for storing a handgun in a vehicle as permitted by Texas law, CHL holders are prohibited from leaving their concealed handgun unattended anywhere on the Health Science Center campus, regardless of whether stored in a desk drawer, cabinet, purse, handbag, backpack, fanny pack, briefcase or otherwise.
- 5) CHL holders are responsible for safeguarding their concealed handgun at all times and must take necessary precautions to ensure their concealed handgun is secured in a manner that is most likely to prevent theft, loss or damage, or misuse. CHL holders must have their handgun in a holster that completely covers the trigger and the trigger guard area. The holster must have sufficient tension or grip on the concealed handgun to retain it in the holster even when subjected to unexpected jostling. CHL holders are liable for any and all damage, injury, liability, loss, cost, or expense directly or indirectly resulting from or arising out of an

HEALTH SCIENCE CENTER HANDBOOK OF OPERATING PROCEDURES

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accidental or inadvertent discharge of their concealed handgun or their violation of this policy.

- 6) The Health Science Center does not provide storage or lockers or holding services for CHL holders.
- 7) The CHL holder whose concealed handgun is lost or stolen on the Health Science Center campus must immediately report the loss or theft to the UT Health Science Center Police Department.
- 8) In accordance with Texas law, CHL holders may secure their concealed handgun safely in their vehicles. The Health Science Center assumes no responsibility for loss or theft of concealed handguns from CHL holders' vehicles on the Health Science Center campus.
- 9) If any person believes that a CHL holder has violated this policy, he or she should immediately report the violation by calling the University Police Department, (210) 567-2800, or call the University's Compliance Hot Line number, 877-507-7317. Emergencies should be reported by calling 911.

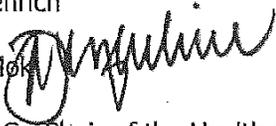
Related Link/Statutes

UTHSCSA.edu/campuscarry

- GC §411.2031. *CARRYING OF HANDGUNS BY LICENSE HOLDERS ON CERTAIN CAMPUSES*
- GC §411.2032. *TRANSPORTATION AND STORAGE OF FIREARMS AND AMMUNITION BY LICENSE HOLDERS IN PRIVATE VEHICLES ON CERTAIN CAMPUSES*
- GC §411.205. *REQUIREMENT TO DISPLAY LICENSE*
- GC §411.206. *SEIZURE OF HANDGUN AND LICENSE*
- GC §411.207. *AUTHORITY OF PEACE OFFICER TO DISARM*
- PC §30.06. *TRESPASS BY HOLDER OF LICENSE TO CARRY CONCEALED HANDGUN*
- PC §30.07. *TRESPASS BY LICENSE HOLDER WITH AN OPENLY CARRIED HANDGUN*
- PC §42.01. *DISORDERLY CONDUCT*
- PC §46.02. *UNLAWFUL CARRYING WEAPONS*
- PC §46.03. *PLACES WEAPONS PROHIBITED*
- PC §46.035. *UNLAWFUL CARRYING OF HANDGUN BY LICENSE HOLDER*
- PC §46.04. *UNLAWFUL POSSESSION OF FIREARM*



January 15, 2016

TO: President Henrich
FR: Jacqueline Mork 
C: Chief Parks, Co-Chair of the Health Science Center Working Group on Implementing Concealed Carry on Campus
RE: Summary Report – UT Health Science Center at San Antonio Working Group on Implementing Concealed Carry on Campus

As Co-Chair of the Health Science Center's Working Group on Implementing Concealed Carry on Campus, I submit to you this final draft summary report.

Following the direction received from University of Texas System Chancellor McRaven and you, the Health Science Center Working Group was formed in September 2015. This collective served as a consultative body to discuss how Senate Bill (SB) 11 might be implemented at the UT Health Science Center at San Antonio and to recommend options to you. The faculty, students, and staff on the Health Science Center Working Group were identified through consultation and nominations from shared governance groups such as the Faculty Senate, Staff Advisory Council, and Student Government Association, as well as volunteers from the Health Science Center community. Thirty-one persons participated in the Health Science Center Working Group discussions. Seven meetings were held between September and November 2015, with participation available in person, telephonically, and via videoconferencing.

The broader Health Science Center community provided input through two campus forums, held on September 28th and November 9th. The public forums were videocast to multiple regional sites; over 100 campus community members attended each of the forums. Questions were also received through the President's website, *Conversation with the President*.

Different members of the Working Group conducted stakeholder group surveys. Informal stakeholder discussions also took place, guided through shared governance groups such as the Faculty Senate, Staff Advisory Council, and Student Government Association. Additionally, the Health Science Center Working Group received documentation related to concealed handgun licensee training and other reference materials. Detailed information about these documents are in the accompanying appendices.

Please let Chief Parks or me know if you have any questions about the report.

Campus Carry: Background Information

From the Campus Carry Working Group Summary Report

Concealed carry became permissible in Texas in 1995. Chapter 411 Subsection H of the Texas Government Code addresses the eligibility requirements for becoming a concealed handgun license (CHL) holder. By law, CHL holders must be at least 21 years old (or be active military or a veteran), be a resident of Texas, meet other requirements such as no record of felony conviction, and be fully qualified under federal and state law to purchase a handgun. Persons who are in the U.S. under nonimmigrant visas are prohibited from possessing, shipping, transporting, or receiving any firearm or ammunition. Concealed handgun licensees are required to undergo mandatory training prior being issued a license for concealed carry. Within the state of Texas, CHL holders represent approximately 3% of the state's population.

Section 46.035 of the Texas Penal Code specifies the conditions for unlawful carrying of a handgun by license holders. A license holder is held to the standard of carrying a handgun on or about the licensee and the handgun must be concealed, meaning that the gun's presence is not openly discernable to the ordinary observation of a reasonable person. This section also prohibits intentional display of the handgun in plain view of another person in a public place as well as possession, whether or not concealed, in specific areas.

To date, 150 college and university campuses across the U.S. permit concealed carry on campus, including two institutions with medical and health sciences programs – the University of Utah and the University of Colorado. There are few instances of accidental harm by a CHL holder on a campus where concealed carry is permitted, and no known incidents of intentional harm.

Previous section: « Introduction & Contents

Next section: Provisions of Senate Bill 11 »

Campus Carry: Provisions of Senate Bill 11

From the Campus Carry Working Group Summary Report

While CHL holders in Texas have been permitted to carry their handguns in a concealed manner on public grounds for many years, Senate Bill 11 extends the places where CHL holders may carry their concealed handguns. Beginning August 1, 2016, “a license holder may carry a concealed handgun on or about the license holder's person while the license holder is on the campus of an institution of higher education or private or independent institution of higher education in this state.”

Senate Bill 11 additionally allows institution presidents to “establish reasonable rules” and to evaluate “specific safety considerations, and the uniqueness of the campus environment” in excluding areas for concealed carry but not in such a way as to “have the effect of generally prohibiting license holders from carrying concealed handguns on the campus.” The president may “amend the provisions as necessary for campus safety.” The provisions established by the president take effect unless amended by the Board of Regents by not less than a two-thirds vote.

Previous section: « Background information

Next section: UT System and Health Science Center Working Groups »

Campus Carry: UT System and Health Science Center Working Groups

From the Campus Carry Working Group Summary Report

UT System Level

To assist in the implementation process, a working group was formed that consisted of at least one representative from each UT institution and representatives of UT System administration. The charge to the UT System Working Group was to discuss the law and its interpretation, share information and perspectives, and to develop recommendations for facilities common to many campuses. The guiding principles used by the UT System Working Group were:

1. Follow the law.
2. Focus on safety.
3. Strive for a campus environment in which students, staff, and faculty can focus on their studies, research, and work with minimal distraction.

Health Science Center Level

The Health Science Center at San Antonio also established a campus working group, based on consultation and nominations from shared governance groups such as the Faculty Senate, Staff Advisory Council, and Student Government Association, as well as volunteers from the HSC community. Thirty-one persons participated in the Health Science Center Working Group discussions. Seven meetings were held between September and November, with participation available in person, telephonically, and via videoconferencing.

The Health Science Center Working Group received input from multiple sources: Open Forums hosted by President Henrich; questions directed to the President's website (Conversation with the President), stakeholder group surveys developed by individual members of the work group for their specific constituent groups, and informal stakeholder discussions guided through shared governance groups such as the Faculty Senate, Staff Advisory Council, and Student Government Association. These comments were shared at the campus working group meetings. The Working Group also received documentation related to concealed handgun licensee training. (See Appendix C for the informal stakeholder group surveys and Appendix D for other reference

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Campus Carry: UT System and Health Science Center Working Groups | UT Health Science Center San Antonio

materials.)

Previous section: « Provisions of Senate Bill 11

Next section: UT System Working Group discussion »

Campus Carry: UT System Working Group Discussion

From the Campus Carry Working Group Summary Report

The Chair of the UT System Working Group, UT System Deputy Chancellor Dr. David Daniel distributed to the campus presidents a draft document, dated October 27, 2015, which articulated a draft consensus emerging from the UT System Working Group discussions. The UT System Working Group listed five possible exclusion zones that campus presidents may wish to consider as they develop their campus-specific policies.

1. Areas for which state or federal law, licensing requirements, or contracts require exclusion exclusively at the discretion of state or federal government, or in which handguns are prohibited by an accrediting authority...
2. Patient care areas, including those where mental health care services are provided.
3. Premises in or on which a ticketed sporting event is taking place.
4. Areas in which discharge of a handgun might cause widespread harm, such as laboratories with extremely dangerous chemicals, biologic agents, or explosive agents, or areas with equipment that is incompatible with metallic objects such as magnetic resonance imaging machines.
5. Animal care areas and vivaria in which protocols increase the risk of discharge or contamination of a concealed handgun, or its unanticipated separation from the license holder.

The UT System Working Group also discussed numerous issues such as residence halls, classrooms, and mixed use buildings; but no consensus recommendations emerged.

The UT System draft was shared with the UT Health Science Center at San Antonio Working Group. The final document of the UT System Concealed Carry Working Group was delivered to Chancellor McRaven and the Presidents of the UT System Campuses on January 11, 2016. (See Appendix B.)

Previous section: « UT System and Health Science Center Working Groups

Next section: Health Science Center Working Group Discussion »

Campus Carry: Options for Consideration at the Health Science Center

From the Campus Carry Working Group Summary Report

Senate Bill 11 provides that,

“after consulting with students, staff, and faculty of the institution regarding the nature of the student population, specific safety considerations, and the uniqueness of the campus environment, the president or other chief executive officer of an institution of higher education in this state shall establish reasonable rules, regulations, or other provisions regarding the carrying of concealed handguns by license holders on the campus of the institution or on premises located on the campus of the institution. The president or officer may not establish provisions that generally prohibit or have the effect of generally prohibiting license holders from carrying concealed handguns on the campus of the institution. The president or officer may amend the provisions as necessary for campus safety.”

Among the wide array of public colleges and universities in the State, health-related institutions, and the UT Health Science Center at San Antonio in particular, have been established by State statute with highly distinctive and specialized roles as academic health centers. The UT Health Science Center at San Antonio’s mission is to make lives better through excellence in education, research, health care and community engagement. The strategies for achieving this mission are: educating a diverse student body to become excellent health care providers and scientists; engaging in research to understand health and disease, and to commercialize discoveries, as appropriate, to benefit the public; providing compassionate and culturally proficient health care, and influencing thoughtful advances in health policy; and engaging our community to improve health.

The complexity of locations in which the UT Health Science Center at San Antonio executes its educational, clinical, research, and community engagement activities presents a unique campus environment. The Health Science Center’s uniqueness requires a balanced implementation of Senate Bill 11 without undue restrictions, while concurrently protecting the lives, property, and educational, clinical and research environments housed in University buildings. The desired goal is to continue normal business operations with the least amount of disruption.

In implementing Senate Bill 11, one available option is to adopt the potential exclusion zones

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Campus Carry: Options for Consideration at the Health Science Center | UT Health Science Center San Antonio

presented by the UT System Working Group. Another option would be to refine the UT System Working Group list of potential exclusion zones to a more limited range of research or patient care areas as access is, in many cases, already restricted.

Previous section: « Health Science Center Working Group discussion

Next section: Possible implementation options »

Campus Carry: Possible Implementation Options

From the Campus Carry Working Group Summary Report

- Identify exclusion zones by building use, and consider an entire building or openly contiguous buildings to be deemed an exclusion if the use of the building(s) meets a narrowly tailored set of criteria. Buildings would have appropriate signage, in accordance with Texas Penal Code § 30.06.

or

- Identify exclusion zones within laboratory, clinical spaces, or office environments rather than buildings as a whole. Leadership for these spaces would make the identification, based on the allowable criteria. Each individual space would be identified by the head of that unit and reported to facilities so appropriate signage under Texas Penal Code § 30.06 can be displayed at the entrance to that space.

or

- Determine that access to potential exclusion zones is sufficiently restricted to public access, through current practice, and that no further action would be needed.

Wherever potential exclusion zones are identified, CHL holders would not be allowed into those restricted spaces while carrying their weapon and would be responsible for securing their weapon in a legal manner as they do with any other organization that posts signage congruent with Texas Penal Code § 30.06.

The accompanying table is a summary inventory of buildings where HSC business is conducted. (See Appendix E.) The inventory was used to develop options for identifying which buildings could be open to CHL holders and which buildings might be eligible for exclusion from CHL holders, if the possible exclusion zones from the UT System document were adopted. Buildings not highlighted on the list could be open to CHL holders. Buildings highlighted on the list could be eligible for exclusion from CHL holders, depending on the criteria used for determining potential exclusion zones. In the latter cases, and where buildings may have mixed use, the specific implementation protocols would have to be determined. This inventory is coded for the purposes

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Campus Carry: Possible Implementation Options | UT Health Science Center San Antonio

of discussion only, and is subject to change.

Previous section: « Options for consideration at the Health Science Center

Next section: Appendices »

Campus Carry Working Group Membership

School of Medicine

- Dr. Tien-cheng Chang (Obstetrics-Gynecology)
- Dr. Oscar Escano (Psychiatry)
- Dr. Paul Fitzpatrick (Biochemistry)
- Ms. Beth Payne (Pediatrics)
- Dr. Thomas Prihoda (Pathology)
- Dr. John Short (Pharmacology)

School of Dentistry

- Ms. Erin Locke (Endodontics)
- Dr. Norma Partida (Comprehensive Dentistry)
- Dr. Ridley Ross (Comprehensive Dentistry)
- Dr. Gregory Spackman (Oral and Maxillofacial Surgery)

School of Nursing

- Dr. David Byrd (Admissions and Student Services)

School of Health Professions

- Dr. Mark Dieterle (Emergency Health Sciences)

Student Representatives

- Mr. Noah Bierwith (SOM)
- Ms. Jennifer Cyrus (SON)
- Ms. Rebekah Campbell (SHP)
- Mr. Josh Rudin (SOD)
- Ms. Mikaela Sifuentes (GSBS)
- Mr. Brian Stoveken (GSBS)
- Mr. Martin Valdes (SHP)

HSC Units

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Campus Carry Working Group Membership | UT Health Science Center San Antonio

- Ms. Jennifer Cerecero (Radiation Safety)
- Ms. Julie Bazan (Regional Campus, Laredo)
- Ms. Mary Vega (Research Imaging Institute)
- Mr. Steven Reeves (Infrastructure Solutions)
- Ms. Stephanie Romero (Campus Tech and Innovations)
- Ms. Brandie Otten (Clinical Research)
- Ms. Jonquil Feldman (Library)

Administrative Liaison Offices

- Ms. Mary DeLay (Office of the President)
- Mr. Jack Park (Legal Affairs)
- Ms. Leigh Ann Kensky (Space Planning/Real Estate)
- Ms. Leticia Longoria (Human Resources)

Co-Chairs

- Michael Parks, Chief, UT Police
- Dr. Jacqueline Mok, Vice President, Academic, Faculty and Student Affairs

DISCUSSION DRAFT
THIS DOCUMENT WAS RELATED SOLELY FOR THE PURPOSE OF
REVIEWING OPTIONS AND IS SUBJECT TO CHANGE

THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT SAN ANTONIO
ACTIVE BUILDING CODE LIST
UPDATED FOR CAMPUS CARRY CONVERSATIONS -- NOVEMBER 23, 2015

BUILDING NUMBER SERIES 000 - 099		
JOE R. & TERESA LOZANO LONG CAMPUS		
7703 FLOYD CURL DRIVE (78229)		
BUILDING CODE	BUILDING ABBREVIATION	BUILDING NAME
001	MED	MEDICAL SCHOOL BUILDING
002	DTL	DENTAL SCHOOL BUILDING
003	ADM	ADMINISTRATION BUILDING
004	PLT	FACILITIES MANAGEMENT BUILDING
006	NSG	NURSING SCHOOL BUILDING
007	CAF	CAFETERIA BUILDING
008	PLC	POLICE BUILDING
009	LEC	LECTURE HALL BUILDING
010	LIB	DOLPH BRISCOE JR LIBRARY
011	UPL	UNIVERSITY PLAZA BUILDING
012	WHS	WAREHOUSE BUILDING
013	GRN	GREENHOUSE
014	RAR	RECREATION AREA RESTROOM
015	PPW	FACILITIES MANAGEMENT WAREHOUSE
016	ESB	EQUIPMENT STORAGE BUILDING
017	CEP	CENTRAL ENERGY PLANT
021	GH1	ENTRY GUARDHOUSE 1
022	GH2	ENTRY GUARDHOUSE 2
024	GH4	ENTRY GUARDHOUSE 4
031	STI	STORAGE SHED 1
032	PKA	PARKING GARAGE
033	PKB	PARKING GARAGE AND BOOKSTORE
034	RAP	RECREATION AREA PAVILION
035	AAB	ACADEMIC AND ADMINISTRATION BUILDING
036	SMC	GOLDS GYM MEDICAL CENTER
037	LTC	ACADEMIC LEARNING AND TEACHING CENTER
038	CFP	CENTRAL ENERGY PLANT FIRE PUMP BUILDING

BUILDING NUMBER SERIES 100 - 199		
ANCILLARY LOCATIONS		
BUILDING CODE	BUILDING ABBREVIATION	BUILDING NAME
125		UT MEDICINE HILL COUNTRY 25273 OLD FREDERICKSBURG RD, BOERNE, TX (78015)
126	MCP	PROFESSIONAL ADMINISTRATION RESOURCE CENTER (PARC) 8431 FREDERICKSBURG RD (78229)
127	PAC	PAC PARKING GARAGE

BUILDING NUMBER SERIES 200 - 299		
SEMI-PERMANENT STRUCTURES		
7703 FLOYD CURL DRIVE AND 8403 FLOYD CURL DRIVE (78229)		
BUILDING CODE	BUILDING ABBREVIATION	BUILDING NAME
200	GRT	GROUNDS TRAILER

DENOTES CHANGE AS OF 9/1/2015
 LIST MAINTAINED BY FACILITY SPACE PLANNING AND REAL ESTATE, CALL 567-7054 WITH QUESTIONS

Highlighted =
 Potential Exclusion

DISCUSSION DRAFT

THIS DOCUMENT WAS RELATED SOLELY FOR THE PURPOSE OF REVIEWING OPTIONS AND IS SUBJECT TO CHANGE

201	FPC	TRAILER
205	FP2	OFPC TRAILER 2 (DOUBLEWIDE)
206	FP3	OFPC TRAILER 3 (SINGLE)
207	FM1	FACILITIES MANAGEMENT TRAILER 1
208	SRS	STUDENT REC STORAGE SHED
209	PSS	UT POLICE STORAGE SHED

BUILDING NUMBER SERIES 300 - 399

GREEHEY ACADEMIC AND RESEARCH CAMPUS
 8403 FLOYD CURL DRIVE (MCDERMOTT, RAB, CENTRAL ENERGY, STRF)
 8300 FLOYD CURL DRIVE (MARC, COH) (78229)

BUILDING CODE	BUILDING ABBREVIATION	BUILDING NAME
301	MCD	MCDERMOTT CLINICAL SCIENCES BUILDING
302	AHR	RESEARCH ADMINISTRATION BUILDING
303	CEN	CENTRAL ENERGY PLANT
304	CCC	GREEHEY CHILDREN'S CANCER RESEARCH INSTITUTE
305	MAR	MEDICAL ARTS AND RESEARCH CENTER (MARC)
306	GRO	GROSSMAN BUILDING
307	ZEL	ZELLER BUILDING
308	URS	URSCHEL TOWER
309	PKC	PARKING GARAGE
310	GH5	ENTRY GUARDHOUSE 5
311	GH6	ENTRY GUARDHOUSE 6
312	GH7	ENTRY GUARDHOUSE 7
313	STR	SOUTH TEXAS RESEARCH FACILITY (STRF)
314	PKD	MARC PARKING GARAGE
315	GH8	ENTRY GUARDHOUSE 8
316	COH	CENTER FOR ORAL HEALTH CARE
317	HCG	CENTER FOR ORAL HEALTH CARE GARAGE

BUILDING NUMBER SERIES 400 - 499

TEXAS RESEARCH PARK
 15355 LAMBDA DRIVE (IBT, STCBM, BARSHOP)
 14980 OMICRON DRIVE (IDD)

BUILDING CODE	BUILDING ABBREVIATION	BUILDING NAME
401	HHB	HAYDEN HEAD BUILDING (IBT)
402	CBM	SOUTH TEXAS CENTER FOR BIOLOGY IN MEDICINE (STCBM)
403	CCB	SBC TELECONFERENCE CENTER
404	CA2	CAFETERIA
405	LAS	SAM AND ANN BARSHOP INSTITUTE FOR LONGEVITY
406	LAM	LONGEVITY AND AGING MECHANICAL BUILDING
407	IDD	INSTITUTE FOR DRUG DEVELOPMENT
430	GER	RESTROOM AT GEORGE ENSLEY FIELD
431	GEP	PAVILION AT GEORGE ENSLEY FIELD

BUILDING NUMBER SERIES 500 - 599

OFF-CAMPUS, LEASED SPACE

BUILDING CODE	BUILDING ABBREVIATION	BUILDING NAME
501	BCT	BLUFFCREEK TOWER 4201 MEDICAL DRIVE (78229)
506	ASH	ASHFORD OAKS

DISCUSSION DRAFT
 THIS DOCUMENT WAS RELATED SOLELY FOR THE PURPOSE OF
 REVIEWING OPTIONS AND IS SUBJECT TO CHANGE
 8122 DATAPOINT (78229)

512	OOH	ONE OAK HILLS PLACE 1901 BABCOCK (78229)
518	CMB	CARRINGTON BUILDING 3939 MEDICAL (78229)
528	SR2	CHRISTUS SANTA ROSA MED CENTER 2833 BABCOCK (78229)
537	KNG	KOENIG BUILDING 1408 KOENIG, STE A AUSTIN, TX (78756)
538	NWC	NORTHWEST CENTER 7550 IH10 WEST, STE 1325 (78229)
540	WGB	WESTGATE BUILDING 5281 MEDICAL, SUITE 614 (78229)
541	RPM	CRYSTAL MALL 6126 WURZBACH (78238)
542	WHO	WESTOVER HILLS 10515 STATE HWY 151, STE 100 (78251)
543	UNH	UNIVERSITY HOSPITAL 4502 MEDICAL (78041)
544	UCC	UNIVERSITY CENTER FOR COMMUNITY HEALTH 701 S. ZARZAMORA (78207)
545	HRB	5022 HOLLY ROAD, SUITE 106 CORPUS CHRISTI (78411)
548	NCB	NORTH CENTRAL BAPTIST - ATRIUM 502 MADISON OAK, SUITE 130 SAN ANTONIO, TX
549	SMO	STEINLE MEDICAL OFFICE BUILDING 1901 HWY 97 E JOURDANTON, TX (78026)
551	OTB	ONE TECHNOLOGY CENTER 7411 JOHN SMITH (78229)
552	MSO	METHODIST STONE OAK 1139 E. SONTERRA BLDG, STE 565A-1 (78258)
553	DHR	DOCTOR'S HOSPITAL RENAISSANCE 2717 MICHAEL ANGELO DR EDINBURG, TX (78539)
554	UVD	UVALDE CLINIC 1042 GARNER FIELD UVALDE, TX (78801)
556	MDT	MEDICAL TOWER II 7940 FLOYD CURL, STE 300 (78229)
557	RAS	RENAL ASSOCIATES 3103 MEGAN ST EAGLE PASS, TX (78852)
559	UMC	UNIVERSITY TOWERS MEDICAL CENTER 1900 N OREGON ST, SUITE 310 EL PASO, TX 79902

560	UMH	UVALDE MEMORIAL HOSPITAL 1025 GARNER FIELD RD UVALDE, TX (78801)
561	CDN	CLINICA DEL NORETE

DENOTES CHANGE AS OF 9/1/2015
 LIST MAINTAINED BY FACILITY SPACE PLANNING AND REAL ESTATE, CALL 567-7054 WITH QUESTIONS

Highlighted =
 Potential Exclusion

DISCUSSION DRAFT
THIS DOCUMENT WAS RELATED SOLELY FOR THE PURPOSE OF
REVIEWING OPTIONS AND IS SUBJECT TO CHANGE

		102 BABCOCK RD., SUITE 100 SAN ANTONIO, TX (78201)
562	DEL	1401 LAS VACAS DEL RIO, TX (78840)
563	ZAC	2500 ZACATECAS STREET LAREDO, TX (78046)
564	GUD	GUADALUPE FAMILY HEALTH 1414 E WALNUT SEGUIN, TX (78155)
565	CPK	CEDAR PARK MEDICAL OFFICE BUILDING

NUMBER SERIES 700 - 799		
REGIONAL CAMPUSES		
BUILDING CODE	BUILDING ABBREVIATION	BUILDING NAME
702	DDH	D.D. HACHAR BUILDING 1937 BUSTAMANTE ST. LAREDO, TX (78041)
706	LLL	LAREDO ACADEMIC BUILDING 1937 BUSTAMANTE ST. LAREDO, TX (78041)
707	LSB	LAREDO BUS BARN 1937 BUSTAMANTE ST. LAREDO, TX (78041)



UTMDACC INSTITUTIONAL POLICY # ADM1254

POLICY ON CONCEALED HANDGUN CARRIAGE ON MD ANDERSON'S CAMPUS

DRAFT DATE: 03-18-2016

PURPOSE

The purpose of this policy is to ensure compliance with Section 411.2031 of the Texas Government Code, also known as the "Campus Carry Law," which authorizes the carrying of Concealed Handguns by duly licensed holders on designated portions of the Campus of The University of Texas MD Anderson Cancer Center (MD Anderson), as defined and explained below.

POLICY STATEMENT

It is the policy of MD Anderson to respect the Federal and Texas Constitutions, both of which recognize Texas citizens' right to keep and bear arms. MD Anderson also recognizes the Texas Legislature's power to regulate the carriage of Handguns. Finally, MD Anderson recognizes its right under the Campus Carry Law to implement its own reasonable rules, regulations, and other provisions regarding the carriage on MD Anderson's Premises of Concealed Handguns by holders of Concealed Handgun Licenses ("CHL Holders"). Accordingly, in seeking to fulfill its obligations under the Campus Carry Law to determine appropriate Exclusion Zones and permitted Concealed Handgun License Zones ("CHL Zones"), MD Anderson has strived to recognize CHL Holders' rights in the context of (1) the nature of MD Anderson's faculty, student, administrator, patient, and patient family populations; (2) specific safety considerations; (3) the uniqueness of MD Anderson's Campus environment; (4) all applicable laws and contractual obligations; (5) issues of practical implementation; and (6) ease of compliance and reasonable administration and enforcement. While reasonable minds can disagree on certain specifics of this policy, MD Anderson believes that this policy respects the rights and interests of all stakeholders and achieves a balanced approach to the carrying of concealed handguns by CHL Holders on its Campus.

THIS POLICY DOES NOT AUTHORIZE THE OPEN CARRY OF A HANDGUN ON MD ANDERSON'S CAMPUS, AND THE OPEN CARRYING OF A HANDGUN IS PROHIBITED ON MD ANDERSON'S CAMPUS.

SCOPE

Compliance with this policy is the responsibility of all persons on MD Anderson's Campus.

TARGET AUDIENCE

The target audience for this policy is all persons on MD Anderson's Campus.

DEFINITIONS

All Hazards Risk Leadership Council (AHRLC): An executive-level council charged with assessing enterprise-level risks.

Campus: The sum of all land and buildings leased or owned by the Board of Regents of The University of Texas System for and on behalf of MD Anderson.

Campus Carry Law: Section 411.2031 of the Texas Government Code, permitting the carrying of Concealed Handguns by duly licensed holders on MD Anderson's Campus in accordance with this policy.

Concealed Handgun: A Handgun, the presence of which is not openly discernible to the ordinary observation of a reasonable person (see [Texas Government Code, Section 411.171](#)).

Concealed Handgun License (CHL): A valid and current Concealed Handgun license as defined by Subchapter H of the Texas Government Code ("License to Carry a Concealed Handgun").

Concealed Handgun License (CHL) Holder: Anyone appearing on MD Anderson's Campus with a valid, current CHL and a Concealed Handgun.

Concealed Handgun License (CHL) Zones: The areas on MD Anderson's Campus where CHL Holders may carry a Concealed Handgun.

Excluded Activities: Activities that form the bases of Exclusion Zones.

Exclusion Zones: The areas on MD Anderson's Campus where CHL Holders may not carry a Concealed Handgun.

Handgun: Any firearm that is designed, made, or adapted to be fired with one hand (see [Texas Penal Code, Section 46.01\(5\)](#)).

National Cancer Institute (NCI) Designation Zone: All buildings and physical facilities on MD Anderson's Campus that are physically or programmatically interconnected and interrelated and which are used to fulfill the following activities required of a NCI-designated Comprehensive Cancer Center:

- Undertaking and providing treatment, care, and services to patients, including all buildings in which there are hospital facilities, inpatient and outpatient clinics, laboratories, and pharmacies.
- Undertaking and conducting research in the following three areas:
 - Laboratory research.
 - Population science.
 - Clinical research.
- Undertaking and providing programs in cancer prevention.
- Undertaking and providing health care education to health care professionals and patients.

Premises: Consistent with [Section 46.035\(f\)\(3\) of the Texas Penal Code](#), "Premises" means a building or a particular portion of an MD Anderson Campus building. For purposes of this policy and MD Anderson's Campus, a Premises comprises all of the contiguous space dedicated to a particular institutional function or activity and extends to the functional or physical boundary of that function or activity. A Premises may be a floor, departmental suite, hallway, walkway, throughway, skybridge,

laboratory, cafeteria, or any other space, depending on the circumstance. The All Hazards Risk Leadership Council (AHLRC), in consult with the Vice President and Chief Facilities Officer and the Executive Director and Chief Safety Officer, determines Premises for purposes of this policy.

PROCEDURE

1.0 Policy Permissions and Violations

- 1.1 Subject to a CHL Holder's acceptance of and compliance with this policy and MD Anderson's rules and regulations, CHL Holders may carry Concealed Handguns in accordance with their CHL in CHL Zones on MD Anderson's Campus.
- 1.2 CHL Holders are prohibited from carrying, and may not carry, Concealed Handguns in Exclusion Zones on MD Anderson's Campus. Moreover, neither CHL Holders nor any other person may carry any other weapon, as described in [Texas Penal Code, Section 46.01](#), on MD Anderson's Campus.
- 1.3 CHL Holders must abide by and comply with all CHL rules in [Texas Government Code, Chapter 411](#) and all MD Anderson rules, regulations, and policies while carrying a properly licensed Concealed Handgun in a CHL Zone.
- 1.4 The mere possession of a properly licensed Concealed Handgun by a CHL Holder in CHL Zones on MD Anderson's Campus is not a violation of the [Disciplinary Action Policy \(UTMDACC Institutional Policy # ADM0256\)](#) or the [Workplace Violence Prevention Policy \(UTMDACC Institutional Policy # ADM0257\)](#). However, a violation of this policy by a faculty member, trainee/student, or other member of MD Anderson's workforce may constitute a violation of institutional policies regarding conditions of employment and standards of conduct, including the [Disciplinary Action Policy \(UTMDACC Institutional Policy # ADM0256\)](#) and the [Workplace Violence Prevention Policy \(UTMDACC Institutional Policy # ADM0257\)](#), thereby subjecting the workforce member to disciplinary action, up to and including termination.
- 1.5 CHL Holders are prohibited from engaging in, and may not engage in, Direct, Conditional, or Veiled Threats, as defined in MD Anderson's [Workplace Violence Prevention Policy \(UTMDACC Institutional Policy # ADM0257\)](#), involving the CHL Holder's Concealed Handgun. This may include, but is not limited to, overt or implicit references by a CHL Holder to the CHL Holder's Concealed Handgun in a way so as to intentionally or knowingly incite fear or concern in any other person. Such conduct may result in immediate termination per [Section 8.0](#) of this policy.
- 1.6 Except for storing a Handgun in a vehicle as permitted by Texas Law, CHL Holders must keep their Concealed Handguns on or about their persons at all times. For purposes of this policy and subject to the requirements of Texas law, "on or about one's person" means close at hand and within such distance of the CHL Holder so that, without materially changing his/her position, the CHL Holder could get his/her hand on it. Except for storing a Handgun in a vehicle as permitted by state law, CHL Holders are prohibited from leaving and must not leave the CHL Holder's Concealed Handgun unattended anywhere on MD Anderson's Campus, regardless of whether stored in a desk drawer, cabinet, purse, handbag, backpack, fanny pack, briefcase, or otherwise.
- 1.7 CHL Holders are responsible for safeguarding their Concealed Handguns at all times and must take all necessary precautions to ensure their Concealed Handguns are secured in a manner that is most likely to prevent theft, loss, damage, or misuse. CHL Holders must have their Concealed Handguns in holders or holsters that completely cover the trigger and the trigger guard area. The holster must have sufficient tension or grip on the Concealed Handgun

to retain it in the holster even when subjected to unexpected jostling. CHL Holders are liable for any and all damage, injury, liability, loss, cost, or expense, directly or indirectly resulting from or arising out of an accidental or inadvertent discharge of their Concealed Handgun or their violation of this policy.

- 1.8 MD Anderson does not provide storage (e.g., lockers) or holding services for CHL Holders.
- 1.9 A CHL Holder whose Concealed Handgun is lost or stolen on MD Anderson's Campus must immediately report the loss or theft to The University of Texas Police Department at Houston (UTP-H).
- 1.10 In accordance with Texas Law, CHL Holders may secure their Concealed Handguns safely in their vehicles. MD Anderson assumes no responsibility for loss or theft of Concealed Handguns from CHL Holders' vehicles on MD Anderson's Campus (see Section 12.2 of the [Parking Policy \(UTMDACC Institutional Policy # ADM0230\)](#)).

2.0 CHL Zones and Exclusion Zones

- 2.1 In accordance with the Campus Carry Law, the President must designate CHL Zones and Exclusion Zones for MD Anderson's Campus and in doing so must consider:
 - A. The nature of MD Anderson's faculty, student, administrator, patient, and patient family populations.
 - B. Specific safety considerations.
 - C. The uniqueness of MD Anderson's Campus environment.
- 2.2 In addition to considering the factors mandated by the Campus Carry Law, the President should also consider the following factors:
 - A. All applicable laws and contractual obligations pertinent to MD Anderson.
 - B. Issues of practical implementation.
 - C. Ease of compliance and reasonableness of administration and enforcement.
- 2.3 The President may not create Exclusion Zones that generally prohibit or have the effect of generally prohibiting CHL Holders from lawfully carrying Concealed Handguns on MD Anderson's Campus.
- 2.4 A CHL Zone may be coterminous with a Premises.
- 2.5 If justified using the criteria in [Section 2.1](#) and [Section 2.2](#), an Exclusion Zone may be coterminous with a Premises.
- 2.6 The President has designated the following areas on MD Anderson's Campus as Exclusion Zones:
 - A. Areas for which state or federal law, licensing requirements, or contracts require exclusion exclusively at the discretion of the state or federal government, or in which Handguns are prohibited by an accrediting authority.
 - B. Childcare facilities and pediatric-activity areas.
 - C. Areas analogous to state law requirements that prohibit Concealed Handguns, including:
 - MD Anderson's National Cancer Institute (NCI) Designation Zone.

- Police and correctional facilities.
 - Chapels, synagogues, prayer rooms, and other areas designated for worship, spiritual reflection, or meditation on MD Anderson's Campus.
 - Pediatric school areas and areas in which sponsored activities are conducted for persons under 18 years of age who are not enrolled at MD Anderson.
- D. Areas where discharge of a Concealed Handgun might cause widespread harm or catastrophic results, such as laboratories with extremely dangerous chemicals, biologic agents, or explosive agents, or equipment that is incompatible with metallic objects such as magnetic resonance imaging machines.
- E. Animal care areas and vivaria in which protocols increase the risk of discharge or contamination of a Concealed Handgun, or its unanticipated separation from the CHL Holder. For open-air primate enclosures, the Exclusion Zone extends at least five (5) feet from the enclosure.
- 2.7 Deemed Exclusion Zones:
- A. When, either within a Premises or between two Premises, an Exclusion Zone is adjacent to what otherwise might be a CHL Zone, the President may deem the adjacent CHL Zone also to be an Exclusion Zone for practicability and to ensure ease of compliance, and reasonable administration and enforcement, including those situations in which:
- Ingress and egress by CHL Holders between the Exclusion Zone and the CHL Zone is impracticable (e.g., the CHL Holder could not reasonably move to a CHL Zone without moving through an Exclusion Zone in violation of this policy and the law); and
 - Ingress and egress between the Exclusion Zone and the CHL Zone may not reasonably be clearly demarcated per [Section 2.8](#) of this policy.
- B. The President or his designee may deem CHL Zones to be Exclusion Zones for the period of time that the CHL Zone hosts Excluded Activities listed in [Section 3.0](#) of this policy. For example, an event in MD Anderson Campus CHL Zones that includes pediatric patients is an Exclusion Zone during the event. During this period, the signage required by [Section 2.8](#) of this policy will be erected in the deemed Exclusion Zone for the duration of the excluded period.
- C. If a significant fraction of the functional space of a building is excluded for reasons consistent with this policy, the President may exclude the entire building to ensure ease of compliance, reasonable administration, and enforcement.
- 2.8 Exclusion Zones will be demarcated with legally-sufficient signage, per [Texas Penal Code, Section 30.06](#).
- 2.9 The Vice President and Chief Facilities Officer and the Executive Director and Chief Safety Officer each shall maintain a complete and up-to-date listing of CHL Zones and Exclusion Zones.
- A. The listing will include the President's justification(s) for each Exclusion Zone.
- B. The Vice President and Chief Facilities Officer and the Executive Director and Chief Safety Officer will make the listing available to the public upon reasonable request.

3.0 Excluded Activities (Not Premises-Specific)

Irrespective of where they are on MD Anderson's Campus, CHL Holders may not carry Concealed Handguns while engaged in the following Excluded Activities:

- 3.1 Providing institutional care or services to MD Anderson patients.
- 3.2 Handling extremely dangerous chemicals, biologic agents, flammable or explosive agents, or equipment that is incompatible with metallic objects.
- 3.3 Handling laboratory animals.
- 3.4 Attending events on MD Anderson's Campus at which alcoholic beverages are served.
- 3.5 Attending any meeting related to proceedings made necessary by or in connection with MD Anderson's Grievance Policy (UTMDACC Institutional Policy # ADM0266), Appeal Policy (UTMDACC Institutional Policy # ADM0268), or any institutional policy related to a formal conflict resolution processes as well as a Hearing Tribunal or related meeting scheduled as part of a formal dispute resolution process.
- 3.6 Attending or participating in a ticketed sporting event on MD Anderson's Campus
- 3.7 Providing care or services to minor children.

4.0 Institutional Vehicles

- 4.1 Subject to [Section 4.2](#) below, vehicles owned or leased by MD Anderson that are used for passenger transportation are considered CHL Zones.
- 4.2 Vehicles owned or leased by MD Anderson that are being utilized for the following purposes are considered Exclusion Zones for the duration of time that the vehicle is being used for such purposes:
 - A. The vehicle is being used to transport extremely dangerous chemicals, biologic agents, flammable or explosive agents, or equipment that is incompatible with metallic objects.
 - B. The vehicle is being used for health care-related activities, such as mobile mammography, blood donations, or to provide health screenings.
 - C. The vehicle is being used for childcare, school, or pediatric activities or purposes.

5.0 MD Anderson Campus Land (External to Buildings)

Areas outside MD Anderson buildings but still on MD Anderson's Campus are deemed Exclusion Zones when the areas are utilized for activities that are Excluded Activities listed in [Section 3.0](#) of this policy. For example, an area on MD Anderson's Campus used for a picnic or event involving pediatric patients is an Exclusion Zone for the duration of the picnic or event.

6.0 Communication

- 6.1 MD Anderson shall widely distribute this policy and all related rules, regulations, and procedures to its faculty, trainees/students, and other members of MD Anderson's workforce, and shall prominently publish this policy and all related rules, regulations, and procedures on MD Anderson's internet and intranet sites.

- 6.2 MD Anderson's Departments of Communications, Human Resources, Patient Advocacy, Patient Care & Prevention Facilities, Patient Education, Patient Experience, Patient Safety, Physicians Referral Service, Academic and Visa Administration, and Institutional Compliance shall be responsible for ensuring communication of this policy and all related rules, regulations, and procedures, as required by the Campus Carry Law.

7.0 Campus Carry Reporting

- 7.1 Incident Reports to the All Hazards Risk Leadership Council (AHRLC):

The Institutional Safety Committee will collect and report to the AHRLC incidents implicating this policy as soon as practicable, but in no event later than 60 days from the discovery of the date of the incident.

- 7.2 AHRLC Reports to the President:

On or about July 1 of each year, the AHRLC will prepare and deliver to the President a report on MD Anderson's compliance with the Campus Carry Law, the implementation of this policy, and all incidents during the past year involving a Concealed Handgun.

From time to time, and based upon the implementation of this policy or any incidents involving a Concealed Handgun, the AHRLC shall make recommendations to the President in regard to any changes that may be necessary, appropriate, or desirable to this policy, the Exclusion Zones, Excluded Activities, CHL Zones, or the rules and regulations hereunder.

- 7.3 President's Report to the Texas Legislature:

Not later than July 1 of each even-numbered year, the Institutional Compliance Office will prepare for the AHRLC's review and the President's review and approval a report on MD Anderson's compliance with the Campus Carry Law. The report will:

- A. Describe MD Anderson's rules, regulations, and policies regarding the carrying of Concealed Handguns on MD Anderson's Campus; and
- B. Explain the reasons why MD Anderson has established these rules, regulations, and policies.

- 7.4 Once approved by the President, the President shall send the report to The UT System's Office of General Counsel for review not later than August 1 of each even-numbered year.

- 7.5 The President must submit the report to the Texas Legislature not later than September 1 of each even-numbered year.

8.0 Policy Violations and Disciplinary Action

- 8.1 If any person believes that a CHL Holder has violated this policy, he or she should immediately report the violation by calling 2-STOP (713-792-7867). The caller should provide the following information, as applicable and available:

- A. Description of the perceived violation;
- B. Name(s) of individual(s) involved in the incident;
- C. Name(s) of any witnesses;
- D. Date, time, and location of the incident; and

E. Factual circumstances surrounding the incident.

- 8.2 A violation by a CHL Holder of this policy that involves the CHL Holder's Concealed Handgun may be considered a confirmed incident of a Policy Violation ("a confirmed incident of Violence or Threat of Violence") under the [Workplace Violence Prevention Policy \(UTMDACC Institutional Policy # ADM0257\)](#) and may result in immediate removal from MD Anderson's Campus or referral to The University of Texas Police Department at Houston (UTP-H), as appropriate, and may result in disciplinary action for such person, up to and including termination.
- 8.3 Loss of a CHL Holder's Concealed Handgun on MD Anderson's Campus will be considered a violation of [Section 1.6](#) of this policy, and if the CHL Holder is a faculty member, trainee/student, or other member of MD Anderson's workforce, may result in disciplinary action for such person, up to and including termination.

Draft

ATTACHMENTS/LINKS

[Examples of Unacceptable Conduct and Work Performance \(Attachment # ATT1800\).](#)

[Texas Government Code, Chapter 411.](#)

[Texas Government Code, Section 411.171.](#)

[Texas Penal Code, Section 30.06.](#)

[Texas Penal Code, Section 46.01.](#)

[Texas Penal Code, Section 46.01\(5\).](#)

[Texas Penal Code, Section 46.035\(f\)\(3\).](#)

RELATED POLICIES

[Appeal Policy \(UTMDACC Institutional Policy # ADM0268\).](#)

[Disciplinary Action Policy \(UTMDACC Institutional Policy # ADM0256\).](#)

[Grievance Policy \(UTMDACC Institutional Policy # ADM0266\).](#)

[Infection Control for Patient Care Areas Policy UTMDACC Institutional Policy # CLN0436\).](#)

[Parking Policy \(UTMDACC Institutional Policy # ADM0230\).](#)

[Termination of Employment of a Faculty Member Policy \(UTMDACC Institutional Policy # ACA0059\).](#)

[Workplace Violence Prevention Policy \(UTMDACC Institutional Policy # ADM0257\).](#)

JOINT COMMISSION STANDARDS / NATIONAL PATIENT SAFETY GOALS

None.

OTHER RELATED ACCREDITATION / REGULATORY STANDARDS

None.

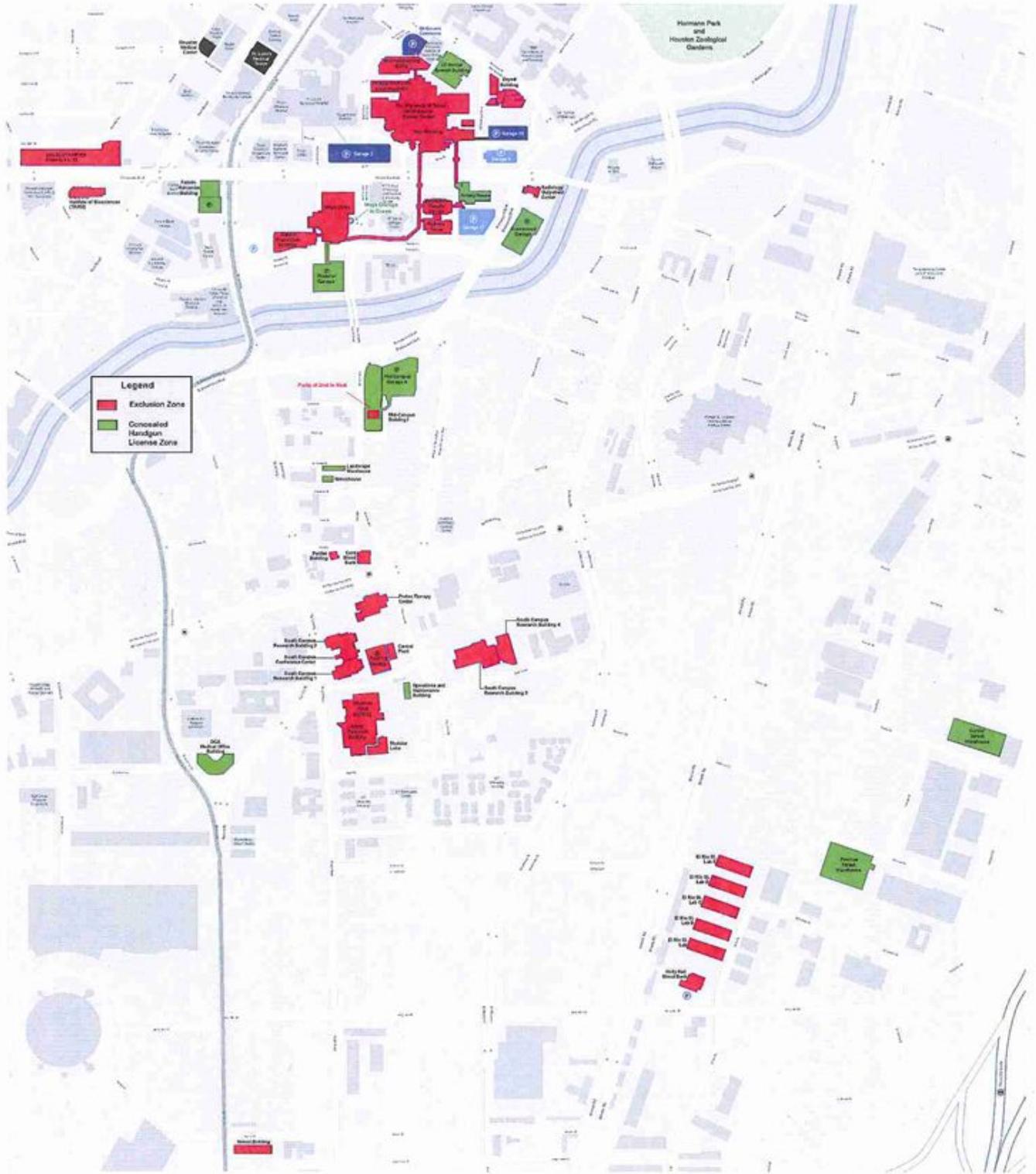
REFERENCES

TEX. GOV'T CODE Title 4, Subtitle B, §411 *et seq.*,
<http://www.statutes.legis.state.tx.us/Docs/GV/htm/GV.411.htm>

TEX. PEN. CODE Title 7, §30.06 *et seq.*, <http://www.statutes.legis.state.tx.us/Docs/PE/htm/PE.30.htm>

Attachment L

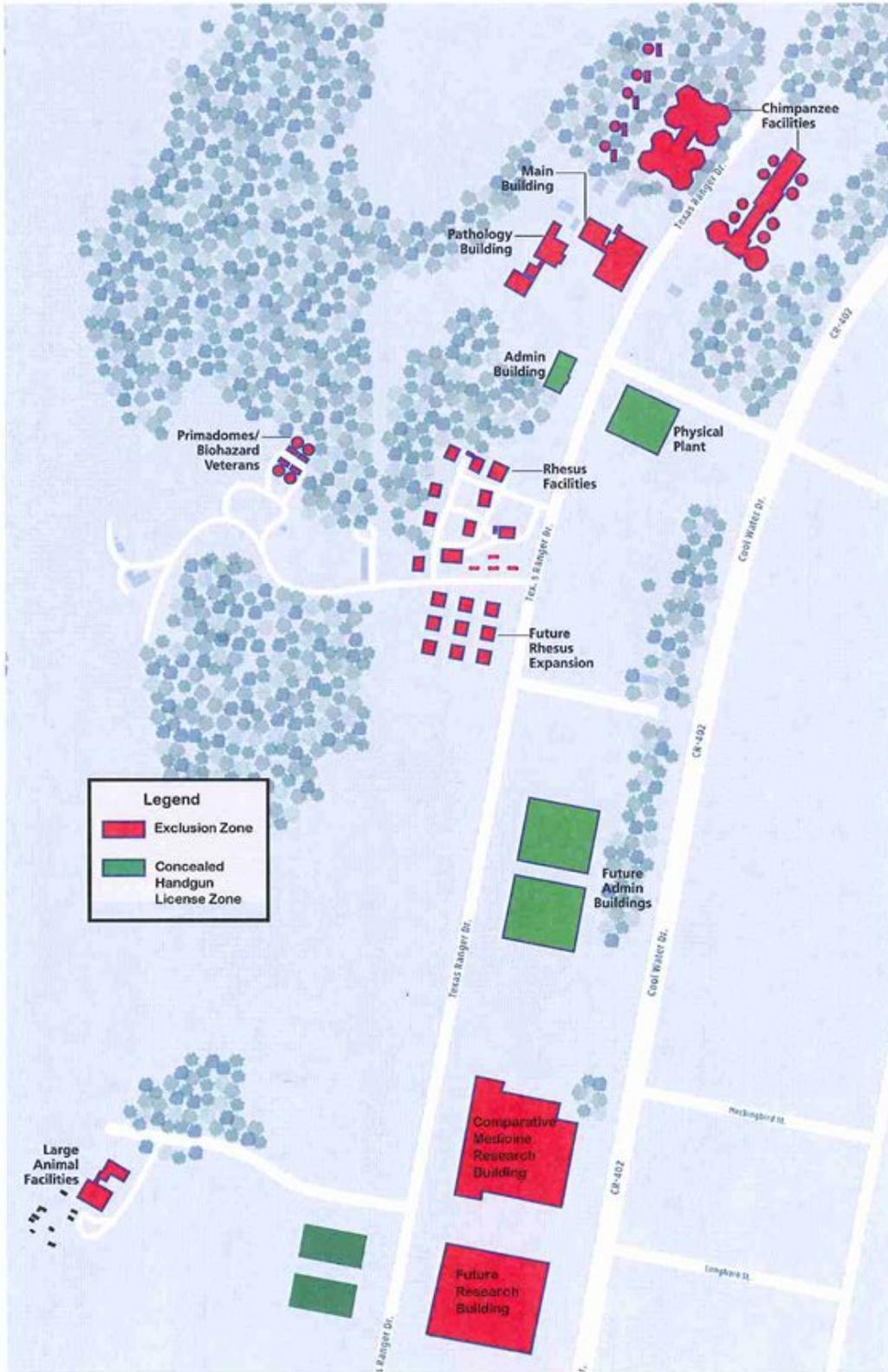
MD Anderson campus maps



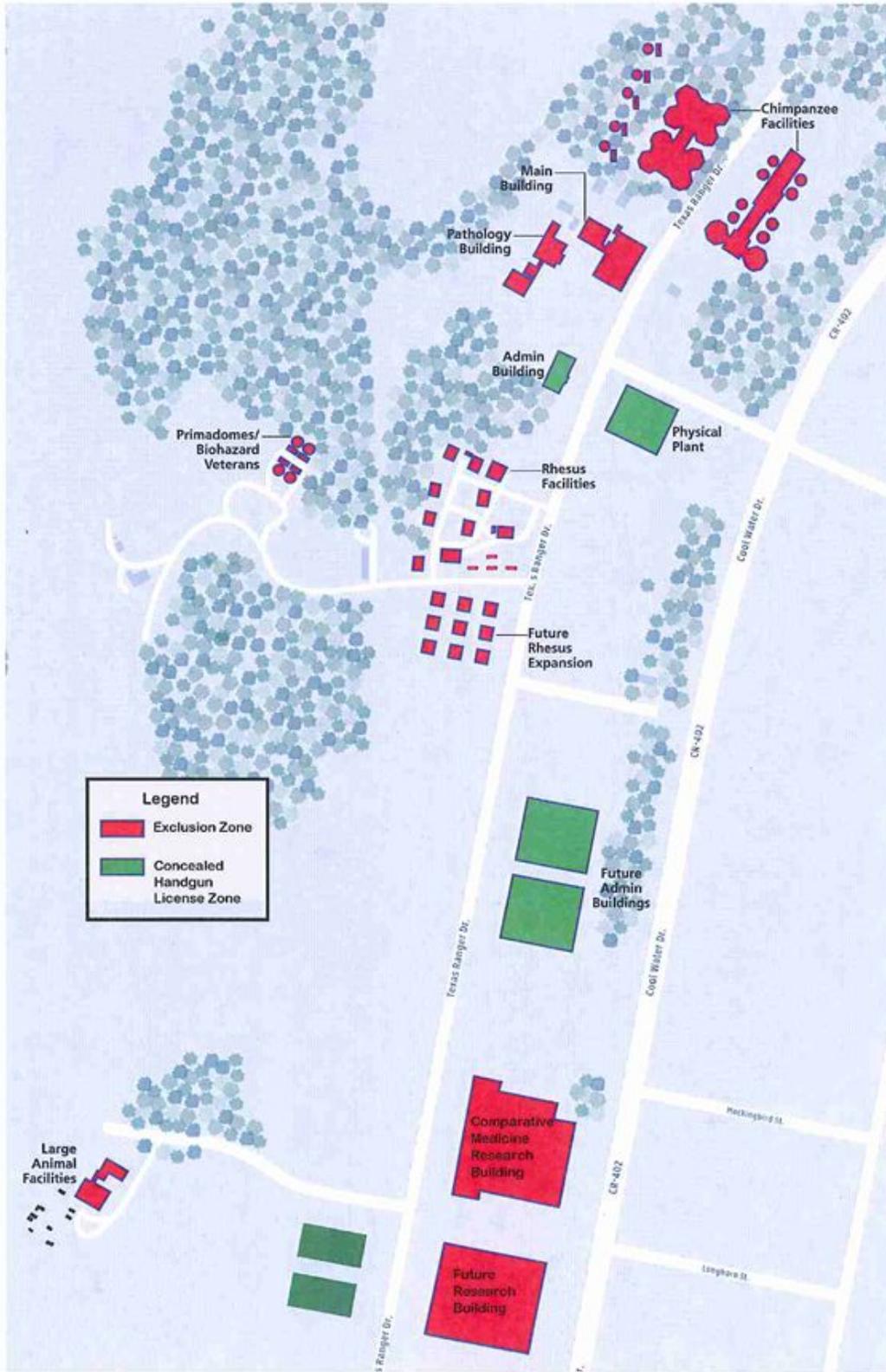
Houston Campus

Houston area locations

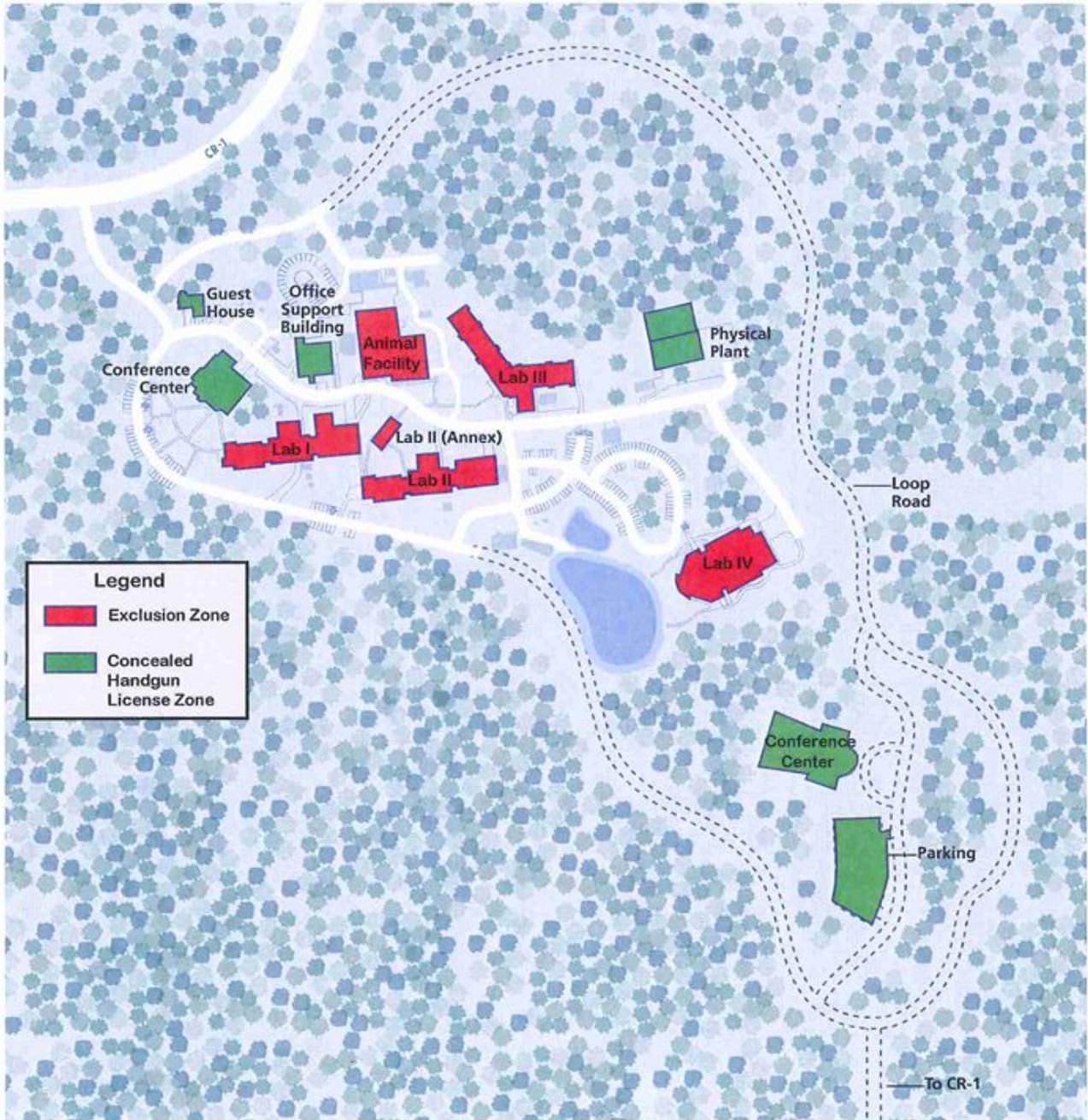




Bastrop Campus



Bastrop Campus



Smithville Campus

MD Anderson Campus Carry Working Group
Findings and Recommendations
March 23, 2016

S.B. 11/Campus Carry Plan

The University of Texas MD Anderson Cancer Center • Fiscal Year 2016

THE UNIVERSITY OF TEXAS
**MD Anderson
Cancer Center**
Making Cancer History®



S.B. 11/Campus Carry Plan

**The University of Texas MD Anderson Cancer Center
Fiscal Year 2016**

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Attachments

- A. S.B. 11 (Enrolled Version)
- B. Chancellor McRaven’s July 2015 Memorandum
- C. UT Systemwide working group’s recommendations
- D. DPS 2013 crime and enrollment statistics for CHL holders
- E. MD Anderson’s Campus Carry Working Group members
- F. Campus Carry at MD Anderson Comprehensive Feedback Report
- G. MD Anderson Campus Carry Qualtrics survey results
- H. MD Anderson Faculty Senate Exclusion Zones survey results
- I. The University of Texas System Fast Facts 2014
- J. MD Anderson Quick Facts 2015
- K. Draft UTMDACC INSTITUTIONAL POLICY #ADM1254, POLICY ON CONCEALED HANDGUN CARRIAGE ON MD ANDERSON’S CAMPUS
- L. MD Anderson campus maps

Purpose

Texas Senate Bill 11, colloquially known as Campus Carry, changes the current state of Texas law to permit Concealed Handgun License (CHL) holders to carry, in a concealed fashion, handguns on the premises of institutions of higher education. By statute, MD Anderson is an institution of higher education;¹ therefore, MD Anderson must comply with Campus Carry.

As the MD Anderson Working Group (the “Working Group”) explains below, institutions’ presidents are vested with statutory authority to establish “reasonable rules, regulations, or other provisions regarding the carrying of concealed handguns by license holders” on their campuses.² To facilitate consistency where possible but not dictate results or outcomes, The University of Texas System Chancellor William McRaven advocated a two-tier approach to complying with Campus Carry: a UT Systemwide working group and local, institution-level working groups.³ This report represents the findings of the MD Anderson Working Group. These findings generally comport with the UT Systemwide working group’s recommendations.⁴

Regarding the Working Group’s findings and recommendations

The Working Group’s recommendations result from considering objective data about MD Anderson’s student population, specific safety considerations, and unique campus environment. The recommendations should not be confused as tacit criticism of CHL holders’ right to concealed carriage, a right afforded them by the Second Amendment; Article I, Section 23 of the Texas Constitution; and Section 411, Subchapter H of the Texas Government Code. Indeed, the data suggest that CHL holders are among the most law abiding of Texas citizens and take their concealed carriage right seriously. For example, in 2013, of the 50,869 convictions across the state of Texas, only 158 of those convictions involved CHL holders.⁵ As 242,641 new CHLs were issued that same year,⁶ these data suggest a low criminality rate among CHL holders.

On the other hand, unintentional firearm gunshot injuries do occur.⁷ Moreover, CHL holders have discharged their handguns unintentionally on other states’ campuses that permit concealed carriage.⁸ And given MD Anderson’s unique campus environment — dedicated almost exclusively

¹See TEX. EDUC. CODE § 61.003(5), (8).

²See Act approved July 13, 2015, 84th Leg., R.S., § 1, to be codified at TEX. GOV’T. CODE § 411.2031(d-1). S.B. 11 (Enrolled Version) is attached at Attachment A.

³Chancellor McRaven’s July Memorandum is attached at Attachment B.

⁴See Attachment C for the UT Systemwide working group’s recommendations.

⁵See DPS 2013 crime and enrollment statistics for CHL holders, attached at Attachment D.

⁶See *id.*

⁷From 2001 to 2013, there were 215,422 reported unintentional firearm gunshot nonfatal injuries in the United States. In 2013, the number was 16,864, with a crude rate of 5.33 per 100,000. See Centers for Disease Control and Prevention, National Center for Injury Prevention and Control, Web-based Injury Statistics Query and Reporting System (“WISQARS”), available at www.cdc.gov/injury/wisqars/index.html.

⁸See *Weber State Student Accidentally Shoots Himself*, DAILY HERALD, Jan. 5, 2012, available at www.heraldextra.com/news/local/weber-state-student-accidentally-shoots-himself/article_eef6a77c-37d6-11e1-860e-0019bb2963f4.html; Ryan Parker, *Two injured when CU staffer with concealed carry permit fires gun accidentally*, DENVER POST, Nov. 12, 2012, available at www.denverpost.com/ci_21983216/two-injured-when-cu-staffer-concealed-carry-permit; Debbie Bryce, *ISU prof with concealed weapons permit who accidentally shot his foot in class is identified*, IDAHO STATE JOURNAL, Sept. 4, 2014, available at www.idahostatejournal.com/news/local/isu-prof-with-concealed-weapons-permit-who-accidentally-shot-his/article_18228ab2-3383-11e4-af7e-001a4bcf887a.html. Notably, each of the people involved in these incidents

to patient care⁹ — handgun discharges occurring in patient care areas are of special concern.¹⁰ Even patients who are CHL holders can cause dangerous discharges in patient care areas, directly¹¹ or indirectly.¹²

Any number of unintended but avoidable consequences can occur when guns are introduced into patient care areas and laboratories. Even the most careful, law-abiding CHL holder might, for a number of reasons, discharge his or her handgun in an area on MD Anderson's campus that would cause disproportionate harm and damage to our patients and their families; our faculty, staff, volunteers, and visitors; our life-saving research; our reputation; and/or our designation as a National Cancer Institute (NCI) Comprehensive Cancer Center.

MD Anderson's campus has been refined over the course of 75 years to provide carefully calibrated life-saving research and patient care environments that service our most vulnerable population: those facing their own mortality due to the scourge of cancer. The introduction of handguns into these delicately balanced environments creates a risk that the Working Group respectfully recommends against incurring.

Executive summary

After consulting with faculty, staff, students, administrators, and patients on the nature of the student population, specific safety considerations, and the uniqueness of MD Anderson's campus environment, the Working Group finds and recommends the following:

- MD Anderson is not a traditional, student-focused campus. Its student-to-campus community ratio is disproportionately small.
- As an NCI-designated Comprehensive Cancer Center, MD Anderson is a cancer-focused, research-supported, multidisciplinary cancer care complex. Its clinical oncology, basic science, translational research, cancer prevention, and related functions and facilities are interrelated and interdependent.
- MD Anderson's large cancer patient population faces extraordinary physical and psychological challenges. The patient population also is mobile throughout MD Anderson's facilities. This combination presents unique safety considerations.

could legally carry on Texas campuses as of August 1, 2016, per Texas's CHL reciprocity agreements with Utah, Colorado, and Idaho. See <http://www.txdps.state.tx.us/RSD/CHL/legal/reciprocity/>.

⁹See the Working Group's Findings, §§ I-III, *infra*.

¹⁰See Michelle Heath, *Gun goes off inside Christus facility, injures woman*, BEAUMONT ENTERPRISE, Oct. 19, 2015, available at www.beaumontenterprise.com/news/article/Gun-goes-off-inside-Christus-facility-injures-6578001.php.

¹¹See *Tampa man accidentally fires gun at hospital ER*, TAMPA TRIBUNE, Jan. 24, 2014, available at www.tbo.com/news/crime/tampa-man-accidently-fires-gun-at-hospital-er-20140124/.

¹²See Jesse Garza, *Gun taken from patient by deputy discharges at Froedtert [Hospital]*, MILWAUKEE JOURNAL SENTINEL, June 27, 2014, available at www.jsonline.com/news/milwaukee/gun-taken-from-patient-by-deputy-discharges-at-froedtert-b99300775z1-265002421.html. In this case, the deputy emptying the patient's weapon caused the discharge after the patient, a concealed carriage license holder, either disregarded or did not know of the hospital's restrictions against handguns and brought the handgun into the hospital.

- MD Anderson's vast basic science and translational research laboratory network contains inherently dangerous materials but is integral to MD Anderson's cancer care delivery system, its Moon Shots Program, and its life-saving clinical trials pipelines.
- The introduction of handguns and ammunition into MD Anderson's Comprehensive Cancer Center areas — where MD Anderson's cancer patients and laboratories are located — would create an unnecessary and unmanageable risk. The President should exclude concealed handgun carriage in these areas.
- The introduction of handguns and ammunition into animal care areas and vivaria, in which safety protocols increase the risk of discharge, contamination, or unanticipated separation of the concealed handgun from the CHL holder, would create an unnecessary and unmanageable risk. CHL holders should be excluded from carriage of handguns in these areas.
- MD Anderson should implement a new administration (ADM) policy outlining specific rules, regulations, and other provisions consistent with these findings and recommendations.
- The policy should delineate clearly where on MD Anderson's campus concealed handgun carriage is permitted and where it is excluded.
- The policy should outline the process by which the President will reconcile adjacent excluded and non-excluded areas.
- The policy should expressly state that CHL holders on MD Anderson's campus should keep their concealed handguns on or about their persons when in non-excluded areas on MD Anderson's campus.
- The policy should define activities during which CHL holders may not carry their concealed handguns, irrespective of where on MD Anderson's campus those activities occur.
- The policy should direct immediate implementation of a robust communications and outreach plan, so that all of MD Anderson's stakeholder populations are fully apprised of MD Anderson's policies with respect to Campus Carry.

Campus Carry's requirements and the Working Group's charge

Campus Carry requires our President to consult with a broad cross-section of MD Anderson's population on certain criteria before enacting rules to comply with Campus Carry. Specifically, Campus Carry instructs:

After consulting with students, staff, and faculty of the institution regarding the nature of the student population, specific safety considerations, and the uniqueness of the campus environment, the president or other chief executive officer of an institution of higher education shall establish reasonable rules, regulations, and other provisions regarding the carriage of concealed handguns by CHL holders on the campus of the institution or on premises located on the campus of the institution.

See S.B. 11 at Attachment A.

Thus, the Working Group made specific findings and recommendations with respect to: (a) the nature of MD Anderson's student population; (b) specific safety considerations the institution faces given its mission of multidisciplinary, research-based clinical cancer care; and (c) the uniqueness of MD Anderson's integrated research and clinical care environment.

MD Anderson's consultation with students, staff, faculty, and patients

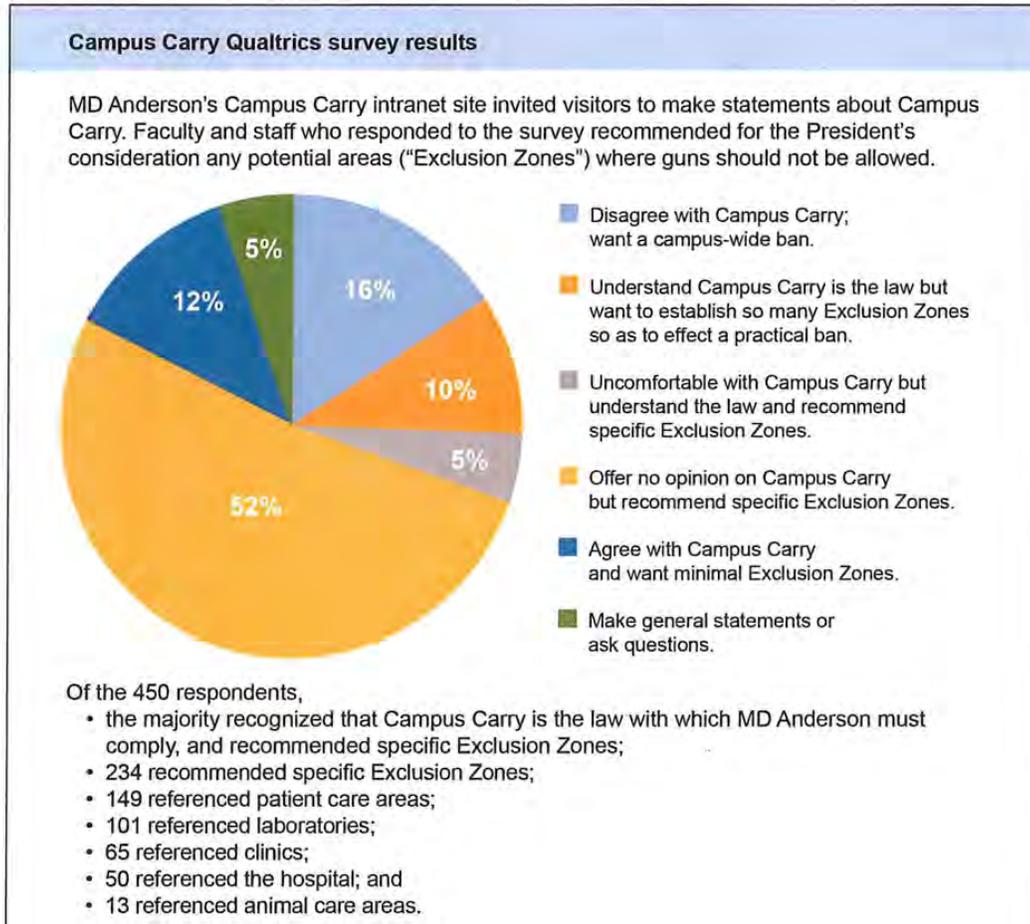
The Working Group¹³ is an example of the required consultation: it is a large, multidisciplinary group consisting of faculty (including Faculty Senators), administrators, representatives from the Graduate School of Biomedical Sciences and School of Health Professions, and patients.¹⁴ In late August 2015, the Working Group began meeting every other Monday and then every Monday to gather and consider information with respect to the three statutory criteria as applied to MD Anderson. In addition, the Working Group formulated an aggressive communications and outreach plan, consisting of an interactive intranet site,¹⁵ numerous presentations, and town hall events.¹⁶

¹³See Attachment E for a complete list of MD Anderson's Campus Carry Working Group members.

¹⁴The patients on the Working Group executed HIPAA authorizations, permitting their identities to be publicly associated with the Working Group.

¹⁵The intranet site contains an overview, key dates and events, answers to Frequently Asked Questions, and a short, anonymous Qualtrics survey.

¹⁶A complete list of the presentations and town hall events is included at Attachment F ("Campus Carry at MD Anderson Comprehensive Feedback Report").



Source: MD Anderson Department of Internal Communications

The various recommendations derived from the survey are included at Attachment G.

The Faculty Senate also conducted a survey regarding potential Exclusion Zones; the Faculty Senate's survey responses and accompanying comments are included at Attachment H. The majority of the responses generally comport with the Working Group's recommendations.

Findings and recommendations: nature of the student population, specific safety considerations, and uniqueness of the campus environment

Through its communications and outreach plan, the Working Group gathered information concerning the nature of MD Anderson's student population, specific safety considerations, and the uniqueness of MD Anderson's campus environment. Those findings are below.

I. Nature of the student population

- a. MD Anderson is not a traditional, student-focused campus.

The Working Group finds that MD Anderson is not a traditional, student-focused campus. It is predominantly a large, multidisciplinary cancer care center with inseparable and interdependent translational, basic science, and clinical research functions.

Using The University of Texas System¹⁷ classification of “student,” which appears to account only for those enrolled in MD Anderson’s School of Health Professions (SHP), it becomes clear that MD Anderson’s campus is not dedicated primarily to students and student learning.

Compare MD Anderson's environment to traditional student campuses					
Institution	Personnel	Faculty	Student	Total personnel	Ratio of students to personnel
UTA	2243	1698	33329	37270	89.43%
UT Austin	11274	3366	52059	66699	78.05%
UTB	685	395	8570	9650	88.81%
UTD	2471	1045	21193	24709	85.77%
UTEP	2030	1189	22926	26145	87.69%
UTPA	1479	881	20053	22413	89.47%
UTPB	257	238	5131	5626	91.20%
UTSA	3322	1445	28623	33390	85.72%
UTT	886	436	7476	8798	84.97%
UTSWMC	10056	2219	2349	14624	16.06%
UTMB	9674	1127	3112	13913	22.37%
UTHSCH	4208	1792	4615	10615	43.38%
UTHSCSA	3775	1676	3148	8599	36.61%
UTMDACC	17354	2195	317	19866	1.60%
UTHSCT	816	99	17	932	1.82%

Source data at Attachment I.

MD Anderson’s non-traditional campus environment is further underscored by its designation as an NCI Comprehensive Cancer Center, as the Working Group explains below.

¹⁷See UNIVERSITY OF TEXAS SYSTEM FAST FACTS 2014, attached as Attachment I. Other than MD Anderson’s SHP, in 2014 MD Anderson’s campus had other non-traditional “students”: 1,276 clinical residents and fellows, 1,853 research trainees, 452 visitors in special programs, 1,238 nursing trainees, and 1,204 student programs participants. See MD ANDERSON QUICK FACTS 2015 at Attachment J. These students are embedded in MD Anderson’s large multidisciplinary clinics, research laboratories, allied health areas, and administrative offices. In any event, this is still a relatively small portion of MD Anderson’s ever-growing workforce: according to an Enterprise Resource Planning report, as of November 5, 2015, MD Anderson had a total of 27,104 workforce members (classified, faculty, staff, and contract workers). UTHSCT began admitting students in the fall of 2012, which likely explains the low student cohort.

- b. MD Anderson's elite designation as an NCI Comprehensive Cancer Center reflects the interrelated and interdependent nature of its clinical, basic science, translational research, and cancer prevention functions and facilities.

MD Anderson is one of 45 facilities in the United States designated as a Comprehensive Cancer Center by the NCI. To achieve and maintain this designation, Comprehensive Cancer Centers must focus on laboratory research, population science, and clinical research involving patients and research participants.¹⁸ Critically, the research must be applied and translational — when ready, the research must translate into actual care to patients.

As a Comprehensive Cancer Center, MD Anderson has designed its research, care, and cancer prevention facilities and programs to interoperate seamlessly. In FY14, there were 27,761 hospital admissions; an average of 654 inpatient beds; and 1,363,008 outpatient clinic visits, treatments, and procedures. That same year, many of these same patients were enrolled as participants in one or more of MD Anderson's 1,101 active clinical trials.¹⁹

In sum, MD Anderson simply is not a university campus in the traditional sense. It is rather a vast, cancer-focused, research-fueled clinical care system, and it is recognized as such by the NCI. MD Anderson's multidisciplinary approach toward research, cancer prevention, and clinical treatment of cancer are interrelated and interdependent, both physically and functionally.

II. Specific safety considerations

- a. MD Anderson's large and mobile cancer patient population necessitates specific safety considerations.

The Working Group finds that MD Anderson's large cancer patient population faces extraordinary challenges to its health and well-being and, at the same time, is mobile throughout MD Anderson's patient care areas and connecting facilities. This creates the same types of safety considerations that exclude concealed handguns from certain places by law, either permissively or mandatorily.

In keeping with its multidisciplinary approach to cancer care, and to help assure patients that they are a valued part of the broader MD Anderson community, MD Anderson has its patients travel freely and often throughout MD Anderson's North Campus Buildings, including the Main Building (Clark Clinic, Lutheran Pavilion, Alkek Tower, and The Pavilion), ACB (Mays Clinic), and CPB (Duncan Building). In FY15, 91,929 patients made 114,224 trips from the Main Building to Mays or Duncan for same-day appointments.²⁰

¹⁸General information about Comprehensive Cancer Centers is available at www.cancer.org/treatment/findingandpayingfortreatment/findingtreatmentcenters/nci-cancer-center-programs.

¹⁹See Attachment J.

²⁰Source: Institutional Analytics and Informatics Enterprise Information Warehouse Report ("IAI EIW Report"), available in the Institutional Compliance Office.

However, MD Anderson patients face special challenges when moving about our campus: in FY15, there were 72,621 encounters with cancer patients aged eighteen and under²¹ and 1,091,863 encounters with patients aged 65 or older.²² Additionally, in FY15, 44,064 of the patients moving between patient care areas were undergoing chemotherapy, with 17,694 of these patients receiving their chemotherapy treatments on the same day they were moving between patient care areas.²³ This is significant because patients undergoing chemotherapy endure challenges with cognitive functioning during their therapy.²⁴ Similarly, some pharmaceuticals prescribed to MD Anderson cancer patients have been linked to cognitive dysfunction. For example, in FY15, 1,943 MD Anderson cancer patients were prescribed Goserelin and Leuprolide,²⁵ two drugs that have been associated with adverse cognitive effects in cancer patients.²⁶

Patients are expected to travel frequently throughout campus			
In FY15, 91,929 patients made 114,224 trips from the Clark Clinic to Mays or Duncan for same-day appointments.			
Year/Month	Patients with same-day appts at Main and ACB/CPB	Same-day patient trips between Main or ACB/CPB	Total number of appointments
2014			
Sept	7,428	9,183	31,107
Oct	7,673	9,587	32,695
Nov	6,647	8,220	27,698
Dec	7,382	9,133	30,519
2015			
Jan	7,600	9,417	32,700
Feb	7,309	9,136	31,517
March	7,903	9,793	34,147
April	8,252	10,345	34,897
May	7,460	9,231	30,809
June	8,231	10,150	35,148
July	8,178	10,181	34,965
Aug	7,866	9,848	33,730
Total FY15	91,929	114,224	389,932

Source: IAI EIW Report

²¹Source: IAI EIW Report.

²²Source: IAI EIW Report.

²³Source: IAI EIW Report.

²⁴See www.cancer.gov/about-cancer/treatment/research/understanding-chemobrain and www.mdanderson.org/patient-and-cancer-information/cancer-information/cancer-topics/dealing-with-cancer-treatment/chemobrain/index.html for general information about the cognitive challenges some patients face when undergoing chemotherapy.

²⁵Source: Department of Pharmacy Medication Management and Analytics. Report available in the Institutional Compliance Office.

²⁶See note 24, *supra*.

Moreover, cancer diagnoses and treatment often cause fear, anger, anxiety, and depression. In FY15, there were 13,657 scheduled encounters of various types with MD Anderson's departments of Neuropsychology, Pediatric Neuropsychiatry, Psychiatry, and Social Work.²⁷

In sum, MD Anderson cancer patients often struggle with extraordinary challenges to their bodies, their psyches, and their mental acuity. However, as part of MD Anderson's multidisciplinary approach and culture of community, these cancer patients are expected to move freely about MD Anderson's patient care areas. Patients are also encouraged to travel among and about the common areas adjacent to Pickens Academic Tower and the Faculty Center. These buildings not only are access points from the Rotary House, an MD Anderson-owned hotel facility designated specifically for patients, they also are way stations of sorts for MD Anderson's skybridge pedestrian cart service. In FY15, 442,000 patients and their families used MD Anderson's carts to move between these buildings and spaces for appointments.²⁸

The combination of mobility, a cancer diagnosis, a fragile physical and emotional state, and/or decreased mental acuity creates unique safety concerns for MD Anderson's large cancer patient population. Under these circumstances, patients and their families carrying concealed handguns could be a risk to each other or even themselves.²⁹ As a result, no one (save licensed law enforcement professionals) should carry concealed handguns into patient care areas; such carriage could create unintended and dangerous consequences for all populations.³⁰

The United States Supreme Court observed in *District of Columbia v. Heller*, 554 U.S. 570 (2008), that prohibitions of handgun carriage in certain "sensitive places" including schools and certain areas of government buildings remain permissible. 554 U.S. at 626. Indeed, the Texas Legislature restricts handgun carriage in these areas.³¹ The Working Group infers that the Legislature has deemed schools to be sensitive places due to the large and vulnerable populations found within their premises.³² On the other hand, the Working Group infers that the Legislature has determined that areas within government buildings holding court also are sensitive places, for a slightly different reason: the nature of the business being conducted and the potential for heated emotions and exchanges during that business. Indeed, there is some indication that intense personal feelings

²⁷Source: IAI EIW Report. Notably, an applicant for a CHL may well be denied the right to concealed carriage if they are incapable of exercising sound judgment due to a condition that causes or is likely to cause substantial impairment in judgment or intellectual ability. See TEX. GOV'T. CODE § 411.172(a)(7), (d)(1).

²⁸Source: Departments of Facilities Management and Parking and Transportation. Report available in the Institutional Compliance Office.

²⁹One Working Group member who also is a patient advised that he locked away his handgun during his chemotherapy regimen.

³⁰See notes 11 and 12, *supra*.

³¹See TEX. PEN. CODE §46.03(a)(1)(prohibiting weapons in schools without authorization); TEX. PEN. CODE §46.03(a)(3)(prohibiting weapons in government court or offices utilized by the court).

³²See *Nordyke v. King*, 563 F.3d 439, 459 (9th Cir. 2009), *vacated on other grounds*, 611 F.3d 1015 (9th Cir. 2010); see also *United States v. Masciandaro*, 648 F.Supp.2d 779, 790 (E.D. Virginia 2009)(interpreting *Heller's* sensitive places to include those where there is a large gathering of defenseless people). The Legislature has also determined convalescent and nursing facilities to be sensitive places, presumably for similar reasons. See TEX. PEN. CODE § 46.035(b)(4).

during traumatic events was the Legislature's concern when it gave hospital administrators the ability to exclude concealed carriage on hospital premises.³³

The Working Group finds that MD Anderson is a sensitive place for both reasons. As we explain above, its large patient population is especially vulnerable: the patient population is often weakened physically and mentally due to age and/or cancer therapies. Moreover, MD Anderson patients are under extreme physical and emotional stress due to their diagnoses. As one Working Group member who is a patient noted, MD Anderson patients are often receiving the worst news of their lives. These traumatic circumstances increase the likelihood of intense personal feelings and less than prudent actions. For these reasons, the President should exclude handgun carriage from MD Anderson's Comprehensive Cancer Center areas.

- b. MD Anderson's vast basic science and translational research laboratory network contains inherently dangerous materials.

Integral to its clinical operations and designation as an NCI Comprehensive Cancer Center, MD Anderson operates 2,300 research, basic science, and translational laboratories, occupying 89 floors of 32 buildings, used by approximately 4,459 employees in 53 different departments.³⁴ MD Anderson laboratories contain flammable solids and liquids, oxidizers, reactives, corrosives, toxics, and highly toxics as defined by the National Fire Protection Association and the Occupational Safety and Health Administration (OSHA). The laboratories contain specifically regulated flammables,³⁵ which, in OSHA-regulated facilities, must be separated from small arms ammunition "by a fire-resistive wall of 1-hour rating or by a distance of 25 feet."³⁶

MD Anderson's laboratory safety programs are carefully calibrated to address risks to its patients, principal investigators, and other members of its workforce. The Working Group finds that the presence of handguns and ammunition in MD Anderson's research, basic science, and translational laboratories — even when in the possession of CHL holders — represents a substantial safety risk that can be managed effectively only by excluding handguns from those areas.³⁷

III. Uniqueness of the campus environment

- a. Even among NCI-designated Comprehensive Cancer Centers, MD Anderson's integration between translational research and cancer patient care is unique.

MD Anderson's core function of patient care is supported directly by its research laboratory facilities. MD Anderson has a vast network of clinical, translational, and basic science laboratories,

³³See TEX. PEN. CODE § 46.035(b)(4); see also HEARINGS ON H.B. 72 AND SB. 60 BEFORE THE HOUSE COMM. ON PUBLIC SAFETY, 74th Leg., R.S., (April 11, 1995)(testimony of Representative Carter)(tape available through House Video/Audio Services Office).

³⁴Source: Departments of Facilities Management, and Environmental Health and Safety. Report available in the Institutional Compliance Office.

³⁵Including acetone, acetonitrile, aldehydes, and ethanol, all of which appear in OSHA's Hazardous Materials Table. See 49 C.F.R. § 172.101.

³⁶See 29 C.F.R. § 1910.109(j)(2)(ii). This regulation illustrates that objective regulatory bodies have recognized and, with concern, assessed the risk of coupling small arms ammunition with flammables.

³⁷See § V.g. for additional discussion.

located on our North and South Campuses, in our Zayed Building for Personalized Cancer Care Research, and at our Smithville and Bastrop facilities.

The translational and basic science laboratories support our clinical trials pipeline to reduce dramatically the time it takes to bring life-saving drugs to patients. This integration manifests in MD Anderson's Moon Shots Program.³⁸ The program's focus toward direct drug development expertise, prevention, cancer genetics, proteomics, immunology, preclinical trial modeling, and big data repositories and analytics support direct patient care by improving existing therapies and getting new, more powerful drugs to market faster.

For example, the Immunotherapy moon shots platform proposed the first Phase Ia and Phase IIa studies that collected patients' tumor samples and matched blood samples for laboratory studies. The laboratory studies led to the identification of a subset of effector T cells that enable tumor regression.³⁹ This is the essence of MD Anderson's uniqueness: its moon shots platforms and other initiatives accelerate the impact of research on patient care.

Another example is the groundbreaking work of the Oncology Research for Biologics and Immunotherapy Translation (ORBIT) moon shots platform. The ORBIT moon shots platform has developed an antibody that may result in the destruction of acute myeloid leukemia cells and is a prime candidate for clinical trials. The development of the antibody originated in MD Anderson's basic science and translational laboratories. Yet another ORBIT moon shots platform initiative, one that involved work with GlaxoSmithKline (GSK), has already produced a drug that has advanced to a Phase I multisite clinical trial. These efforts demonstrate the necessity of the integrated basic science and translational research in MD Anderson's patient care approach.

Sponsors, both public and private, have invested heavily in this approach. In FY14, MD Anderson received a total of \$204,676,292.12 in sponsored basic science projects, from sponsors including NCI, NIH, CPRIT, Bristol-Myers Squibb, the U.S. Department of Defense, and the U.S. Department of Veterans Affairs.⁴⁰ Given these investments, MD Anderson takes extraordinary care to assess risks inherent to its laboratory environments. A handgun incident in an Immunotherapy or ORBIT moon shots platform laboratory, for example, might prove catastrophically disruptive to the laboratory and its workers in the short term, but it would also most certainly have an adverse effect on patients in the near and medium term.

³⁸Information about MD Anderson's Moon Shots Program is available at www.mdanderson.org/publications/conquest/issues/2012-fall/moon-shots-program.html and www.mdanderson.org/publications/conquest/issues/2015-summer/conquest-summer-2015-the-engines-powering-the-moon-shots.html.

³⁹"These types of studies that combine expertise in clinical trials, translational research, and basic science form the foundation of the Immunotherapy platform." Joe Cavallo, *Advancing Immune Checkpoint Targeting in Cancer Treatment*, THE ASCO POST, available at www.ascopost.com/issues/june-25,-2014/advancing-immunecheckpoint-targeting-in-cancer-treatment.aspx (quoting James Allison, Ph.D.).

⁴⁰Source: Grants and Contracts Department. Excludes gifts. Report available in the Institutional Compliance Office.

Rules and regulations concerning Campus Carry

Considering the findings above, the Working Group makes the following recommendations on the rules, regulations, and other provisions regarding the carriage of concealed handguns by CHL holders on MD Anderson's campus.

IV. A new administration (ADM) policy

The Working Group recommends a new enterprise-wide administration (ADM) policy concerning MD Anderson's compliance with Campus Carry. The policy should contain at least the following elements:

- a. A policy statement, making clear MD Anderson's policy to respect Texas citizens' right to bear arms, the Texas Legislature's power to regulate the wearing of handguns and their concealment at institutions of higher education, and MD Anderson's power under Campus Carry to implement reasonable rules concerning the carriage of concealed handguns on MD Anderson's campus.
- b. A list of permissions and prohibitions concerning the carriage of concealed handguns on MD Anderson's campus. Chief among these should be the requirement that CHL holders must keep their concealed handguns on or about their persons while working in Concealed Handgun License (CHL) Zones.⁴¹ In this regard, the Working Group recommends against MD Anderson providing or permitting storage via lockers, vaults, or even personal safes. The requirement for CHL holders to carry their concealed handguns on or about their persons is to reduce the risk of unintentional discharges, which appear to be more frequent when the handguns are not secured.⁴²
- c. An explanation of how CHL Zones and Exclusion Zones⁴³ are created and implemented on MD Anderson's campus. This includes a process for reconciling adjacent Exclusion Zones and CHL Zones in which ingress and egress by CHL holders are not practicable.⁴⁴
- d. A definitive listing of Exclusion Zones (*see* §V, below).
- e. An explanation of how Exclusion Zones are to be tracked at MD Anderson; specifically, by the Executive Director of Environmental Health and Safety and Chief Safety Officer via a comprehensive listing of floors in different buildings affected by Exclusion Zones.

⁴¹CHL Zones are "the area within an MD Anderson Premises where CHL Holders may carry a Concealed Handgun." Exclusion Zones are "area[s] on MD Anderson's Campus where CHL Holders may not carry a Concealed Handgun." *See* Draft UTMDACC INSTITUTIONAL POLICY #ADM1254, Policy on Concealed Handgun Carriage on MD Anderson's Campus, attached at Attachment K.

⁴²*See* notes 8 and 10-11, *supra*.

⁴³*See* Attachment K.

⁴⁴*See* §V.i., *infra*.

- f. A listing of Excluded Activities, which are those activities during which CHL holders may not carry their concealed handguns, irrespective of where on campus they might be. This includes activities such as providing a service directly to a patient or family member, handling extremely dangerous chemicals, transporting laboratory animals, and consuming alcohol.
- g. Policy statements concerning vendors, contractors, suppliers, auditors/monitors, and other third parties who are CHL holders and enter MD Anderson's campus with concealed handguns.
- h. Directives for a robust communications and outreach plan, so that all of MD Anderson's stakeholder populations are fully apprised of MD Anderson's policies with respect to Campus Carry.
- i. Instructions on complying with the Legislature's reporting requirement, as well as an internal reporting structure to keep the President timely notified of events that concern Campus Carry.

A draft ADM policy is included at Attachment K.

V. Exclusion Zones at MD Anderson

In accordance with its findings above, the Working Group recommends that MD Anderson implement the following Exclusion Zones:

- a. *Areas required to be excluded by law or contract.* Areas for which state or federal law, licensing requirements, or contracts require exclusion exclusively at the discretion of the state or federal government, or are required by a campus' accrediting authority.
- b. *Child care facilities and pediatric activity areas.*
- c. *NCI Designation Zone.* Section 46.035(b)(4) of the Texas Penal Code excludes, with proper signage, handgun carriage in hospitals licensed under Chapter 241 of the Texas Health and Safety Code. By analogy⁴⁵ and extension, all of MD Anderson's facilities dedicated to fulfilling its mission as an NCI-designated Comprehensive Cancer Center to treat and cure cancer patients should be excluded. These include MD Anderson's hospital functions, clinics, laboratories, and all other areas physically and functionally related to caring for MD Anderson's unique cancer patient population.
- d. *Police and correctional facilities.*
- e. *Chapels, synagogues, prayer rooms, and other areas designated for worship, spiritual reflection, or meditation on MD Anderson's campus.* Section 46.035(b)(6)

⁴⁵Chapter 241 does not apply to facilities maintained or operated by the state. See TEX. HEALTH & SAFETY CODE § 241.004(3).

of the Texas Penal Code excludes, with proper signage, handgun carriage on the premises of churches, synagogues, or other established places of worship. By analogy and extension, areas on MD Anderson's premises designated for spiritual reflection should be similarly excluded.

- f. *Pediatric school areas and areas in which sponsored activities are conducted for persons under 18 years of age who are not enrolled at MD Anderson.* The Legislature's exemption of handgun carriage in schools⁴⁶ surely resulted from a concern about the inherent defenselessness of that population. Similarly, MD Anderson facilities designated for the care or education of minors should remain gun-free.
- g. *Areas where discharge of a concealed handgun might cause widespread harm or catastrophic results, such as laboratories with extremely dangerous chemicals, biologic agents, or explosive agents, or equipment that is incompatible with metallic objects such as magnetic resonance imagining (MRI) machines.* There is a dearth of data on firearm discharges in laboratories — most likely because handguns are not traditionally found in these areas. There are data, however, on discharges on campuses that permit concealed carriage,⁴⁷ and data on the inherent risks in laboratory settings. The Working Group recommends against coupling these risks.

We know from the UCLA laboratory fire in 2008 and the Texas Tech laboratory explosion in 2010⁴⁸ that serious and disruptive laboratory fires and explosions are prohibitively damaging in terms of human injury, property damage, and lost research. The possibility of concealed handgun discharge in a laboratory — intentional or otherwise — would introduce a new risk into an environment that is already very difficult to manage. Moreover, the harm that may result from a laboratory incident reaches beyond immediate injury or property damage. Damage to an Immunotherapy or ORBIT moon shots platform laboratory, for example, could result in the loss of life-saving data and research. The Working Group therefore recommends against introducing this potential risk into our clinical, translational, and basic science laboratories. Due to the dynamic nature of MD Anderson's laboratories and the material within the laboratories (chemicals and other hazardous materials move from laboratory to laboratory), all of our laboratories should be excluded. And MRIs generate magnetic fields that could attract handguns, with dangerous results.

⁴⁶See TEX. PENAL CODE § 46.03(a)(1).

⁴⁷See note 8, *supra*.

⁴⁸Information on these incidents may be found at the U.S. Chemical Safety Board website, located at www.csb.gov/investigations/completed-investigations/. The specific CAL/OSHA findings for the UCLA laboratory incident may be found at assets.documentcloud.org/documents/286342/cal-osh-report.pdf. The reagent involved in the UCLA incident appeared on one MD Anderson MSDS at the time of this writing. Another chemical implicated in the report by the PI, hexane, is found in several MD Anderson laboratories at the time of this writing.

- h. *Animal care facilities and vivaria.* MD Anderson maintains strict protocols for humans entering and exiting its mouse rooms in order to prevent the introduction of viruses, microbes, and other hazards to the health of the mice. Entering personnel must don protective clothing and use sterile gloves to handle the animals, for example. Allowing concealed handguns into these protocols would introduce a new element into a highly controlled environment. Any concealed handgun discharge — accidental or otherwise — not only could lead to animal injury or death, but also would severely traumatize the entire population.

Moreover, MD Anderson works with non-human primates, including chimpanzees that have the ability to grab and manipulate objects snatched from humans who come near them. This is one of the reasons that MD Anderson requires special clothing in its primate areas and does not allow sharp objects such as fixed blade knives except in limited areas that require such tools.

Further, non-human primates carry viruses and bacteria that are pathogenic to humans. A firearm might become contaminated from a splash, thrown feces, or other accidental contact, and decontaminating a firearm is potentially dangerous and difficult to accomplish.

Finally, when working with Great Apes and other large primates, only personnel trained in primate behavior can assess whether a weapon could or should be used. CHL holders who lack training with these animals could pose a serious hazard to both humans and the animals.

- i. *Areas excluded by policy due to impracticability.* MD Anderson's unique campus environment — that of the pre-eminent NCI-designated Comprehensive Cancer Center in the world — features multipurpose buildings and facilities. This results in some premises being adjacent to areas that should be excluded based on the Working Group's findings above.⁴⁹ The President must reasonably reconcile these boundaries when they create logistical or administrative difficulties for our campus community. Accordingly, when a CHL holder's ingress or egress through CHL and Exclusion Zones is made impracticable (*i.e.*, the CHL holder cannot circumnavigate the Exclusion Zones, or Texas Penal Code §30.06 signage placement⁵⁰ is unreasonably difficult or confusing), or administrability or enforcement is unduly difficult, the President should deem the Carry Zone an Exclusion Zone. Similarly, when a significant portion of a building's assignable space is excluded, the President should deem the entire building to be an Exclusion Zone.

⁴⁹The Legislature allowed for this possibility when it predicted the implementation of Exclusion Zones, *see* Attachment A at §4(a-3), but proscribed a complete ban on concealed carriage on campuses, *see* Attachment A at §1(d), (d-1).

⁵⁰ This section outlines the requirements for signage intended to exclude CHL holders from certain premises.

Conclusion

After consulting with students, staff, faculty, and patients of MD Anderson regarding the nature of its population, especially its large cancer patient population, its specific safety considerations arising from the research-based care of those patients, and its unique campus environment as an NCI-designated Comprehensive Cancer Center, the Working Group recommends that the President implement the rules, regulations, and other provisions referenced in this Plan and in the attached ADM policy.

Illustration of proposed implementation

Attachment L is a map of MD Anderson's campus illustrating the likely effect of adopting the Working Group's findings and implementing the Working Group's recommendations.

Submission page

The following Working Group members concur with the Findings and Recommendations, and urge adoption of the Plan.

Michelle Barton, Ph.D.	Chris Hernandez	Ronnie Pace
Gary Bentz	David Johnson, Ph.D.	Tadd Pullin
Matt Berkheiser, Dr.PH.	Patty Johnston, D.N.P.	Michael Redmond
Kelly Brassil, Ph.D.	Ann Killary, Ph.D.	Shirley Richmond
Yolan Campbell	Matt Masek, LL.M., J.D.	Max Weber, J.D.
Aundrietta Duncan	Chris McKee	
Steven Haydon, LL.M., J.D.	Gregory Montelaro	
Brandon Hernandez	Spencer Moore	

The following Working Group members concur with portions of the Findings and Recommendations, and with the submission of the Plan for the President's consideration.

Richard Wendt III, Ph.D.	Peter Norman, M.D.
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The following Working Group members fully participated in examining and discussing the Findings and Recommendations but respectfully abstained from voting on concurrence with the Plan due to their institutional positions.

William Adcox	Julie Penne
Lindsey Garner	Anthony Phillips, J.D.
Sherri Magnus, C.P.A.	Pamela Ryall
Thomas Lee Boozer II	

Attachment A

S.B. 11 (Enrolled Version)

1/5/2016

84(R) SB 11 - Enrolled version - Bill Text

S.B. No. 11

AN ACT

relating to the carrying of handguns on the campuses of and certain other locations associated with institutions of higher education; providing a criminal penalty.

BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF TEXAS:

SECTION 1. Subchapter H, Chapter 411, Government Code, is amended by adding Section 411.2031 to read as follows:

Sec. 411.2031. CARRYING OF HANDGUNS BY LICENSE HOLDERS ON CERTAIN CAMPUSES. (a) For purposes of this section:

(1) "Campus" means all land and buildings owned or leased by an institution of higher education or private or independent institution of higher education.

(2) "Institution of higher education" and "private or independent institution of higher education" have the meanings assigned by Section 61.003, Education Code.

(3) "Premises" has the meaning assigned by Section 46.035, Penal Code.

(b) A license holder may carry a concealed handgun on or about the license holder's person while the license holder is on the campus of an institution of higher education or private or independent institution of higher education in this state.

(c) Except as provided by Subsection (d), (d-1), or (e), an institution of higher education or private or independent institution of higher education in this state may not adopt any rule, regulation, or other provision prohibiting license holders from carrying handguns on the campus of the institution.

(d) An institution of higher education or private or independent institution of higher education in this state may establish rules, regulations, or other provisions concerning the storage of handguns in dormitories or other residential facilities that are owned or leased and operated by the institution and located on the campus of the institution.

(d-1) After consulting with students, staff, and faculty of the institution regarding the nature of the student population, specific safety considerations, and the uniqueness of the campus environment, the president or other chief executive officer of an institution of higher education in this state shall establish reasonable rules, regulations, or other provisions regarding the carrying of concealed handguns by license holders on the campus of the institution or on premises located on the campus of the institution. The president or officer may not establish provisions that generally prohibit or have the effect of generally prohibiting license holders from carrying concealed handguns on the campus of the institution. The president or officer may amend the provisions as necessary for campus safety. The provisions take effect as determined by the president or officer unless subsequently amended by the board of regents or other governing board under Subsection (d-2). The institution must give effective notice under Section 30.06, Penal Code, with respect to any portion of a premises on which license holders may not carry.

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(d-2) Not later than the 90th day after the date that the rules, regulations, or other provisions are established as described by Subsection (d-1), the board of regents or other governing board of the institution of higher education shall review the provisions. The board of regents or other governing board may, by a vote of not less than two-thirds of the board, amend wholly or partly the provisions established under Subsection (d-1). If amended under this subsection, the provisions are considered to be those of the institution as established under Subsection (d-1).

(d-3) An institution of higher education shall widely distribute the rules, regulations, or other provisions described by Subsection (d-1) to the institution's students, staff, and faculty, including by prominently publishing the provisions on the institution's Internet website.

(d-4) Not later than September 1 of each even-numbered year, each institution of higher education in this state shall submit a report to the legislature and to the standing committees of the legislature with jurisdiction over the implementation and continuation of this section that:

(1) describes its rules, regulations, or other provisions regarding the carrying of concealed handguns on the campus of the institution; and

(2) explains the reasons the institution has established those provisions.

(e) A private or independent institution of higher education in this state, after consulting with students, staff, and faculty of the institution, may establish rules, regulations, or other provisions prohibiting license holders from carrying handguns on the campus of the institution, any grounds or building on which an activity sponsored by the institution is being conducted, or a passenger transportation vehicle owned by the institution.

SECTION 2. Section 411.208, Government Code, is amended by amending Subsections (a), (b), and (d) and adding Subsection (f) to read as follows:

(a) A court may not hold the state, an agency or subdivision of the state, an officer or employee of the state, an institution of higher education, an officer or employee of an institution of higher education, a private or independent institution of higher education that has not adopted rules under Section 411.2031(e), an officer or employee of a private or independent institution of higher education that has not adopted rules under Section 411.2031(e), a peace officer, or a qualified handgun instructor liable for damages caused by:

(1) an action authorized under this subchapter or a failure to perform a duty imposed by this subchapter; or

(2) the actions of an applicant or license holder that occur after the applicant has received a license or been denied a license under this subchapter.

(b) A cause of action in damages may not be brought against the state, an agency or subdivision of the state, an officer or employee of the state, an institution of higher education, an officer or employee of an institution of higher education, a private or independent institution of higher education that has not adopted rules under Section 411.2031(e), an officer or employee of a private or independent institution of higher education that has not adopted rules under Section 411.2031(e), a peace officer, or a

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qualified handgun instructor for any damage caused by the actions of an applicant or license holder under this subchapter.

(d) The immunities granted under Subsections (a), (b), and (c) do not apply to:

(1) an act or a failure to act by the state, an agency or subdivision of the state, an officer of the state, an institution of higher education, an officer or employee of an institution of higher education, a private or independent institution of higher education that has not adopted rules under Section 411.2031(e), an officer or employee of a private or independent institution of higher education that has not adopted rules under Section 411.2031(e), or a peace officer if the act or failure to act was capricious or arbitrary; or

(2) any officer or employee of an institution of higher education or private or independent institution of higher education described by Subdivision (1) who possesses a handgun on the campus of that institution and whose conduct with regard to the handgun is made the basis of a claim for personal injury or property damage.

(f) For purposes of this section:

(1) "Campus" has the meaning assigned by Section 411.2031.

(2) "Institution of higher education" and "private or independent institution of higher education" have the meanings assigned by Section 61.003, Education Code.

SECTION 3. Sections 46.03(a) and (c), Penal Code, are amended to read as follows:

(a) A person commits an offense if the person intentionally, knowingly, or recklessly possesses or goes with a firearm, illegal knife, club, or prohibited weapon listed in Section 46.05(a):

(1) on the physical premises of a school or educational institution, any grounds or building on which an activity sponsored by a school or educational institution is being conducted, or a passenger transportation vehicle of a school or educational institution, whether the school or educational institution is public or private, unless:

(A) pursuant to written regulations or written authorization of the institution; or

(B) the person possesses or goes with a concealed handgun that the person is licensed to carry under Subchapter H, Chapter 411, Government Code, and no other weapon to which this section applies, on the premises of an institution of higher education or private or independent institution of higher education, on any grounds or building on which an activity sponsored by the institution is being conducted, or in a passenger transportation vehicle of the institution;

(2) on the premises of a polling place on the day of an election or while early voting is in progress;

(3) on the premises of any government court or offices utilized by the court, unless pursuant to written regulations or written authorization of the court;

(4) on the premises of a racetrack;

(5) in or into a secured area of an airport; or

(6) within 1,000 feet of premises the location of which is designated by the Texas Department of Criminal Justice as a place of execution under Article 43.19, Code of Criminal Procedure, on a day that a sentence of death is set to be imposed on the

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designated premises and the person received notice that:

(A) going within 1,000 feet of the premises with a weapon listed under this subsection was prohibited; or

(B) possessing a weapon listed under this subsection within 1,000 feet of the premises was prohibited.

(c) In this section:

(1) "Institution of higher education" and "private or independent institution of higher education" have the meanings assigned by Section 61.003, Education Code.

(2) "Premises" has the meaning assigned by Section 46.035.

(3) ~~[(2)]~~ "Secured area" means an area of an airport terminal building to which access is controlled by the inspection of persons and property under federal law.

SECTION 4. Section 46.035, Penal Code, is amended by adding Subsections (a-1), (a-2), (a-3), and (l) and amending Subsections (g), (h), and (j) to read as follows:

(a-1) Notwithstanding Subsection (a), a license holder commits an offense if the license holder carries a partially or wholly visible handgun, regardless of whether the handgun is holstered, on or about the license holder's person under the authority of Subchapter H, Chapter 411, Government Code, and intentionally or knowingly displays the handgun in plain view of another person:

(1) on the premises of an institution of higher education or private or independent institution of higher education; or

(2) on any public or private driveway, street, sidewalk or walkway, parking lot, parking garage, or other parking area of an institution of higher education or private or independent institution of higher education.

(a-2) Notwithstanding Subsection (a) or Section 46.03(a), a license holder commits an offense if the license holder carries a handgun on the campus of a private or independent institution of higher education in this state that has established rules, regulations, or other provisions prohibiting license holders from carrying handguns pursuant to Section 411.2031(e), Government Code, or on the grounds or building on which an activity sponsored by such an institution is being conducted, or in a passenger transportation vehicle of such an institution, regardless of whether the handgun is concealed, provided the institution gives effective notice under Section 30.06.

(a-3) Notwithstanding Subsection (a) or Section 46.03(a), a license holder commits an offense if the license holder intentionally carries a concealed handgun on a portion of a premises located on the campus of an institution of higher education in this state on which the carrying of a concealed handgun is prohibited by rules, regulations, or other provisions established under Section 411.2031(d-1), Government Code, provided the institution gives effective notice under Section 30.06 with respect to that portion.

(g) An offense under Subsection (a), ~~(a-1), (a-2), (a-3),~~ (b), (c), (d), or (e) is a Class A misdemeanor, unless the offense is committed under Subsection (b)(1) or (b)(3), in which event the offense is a felony of the third degree.

(h) It is a defense to prosecution under Subsection (a),

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(a-1), (a-2), or (a-3) that the actor, at the time of the commission of the offense, displayed the handgun under circumstances in which the actor would have been justified in the use of force or deadly

force under Chapter 9.

(j) Subsections (a), (a-1), (a-2), (a-3), and (b)(1) do not apply to a historical reenactment performed in compliance with the rules of the Texas Alcoholic Beverage Commission.

(1) Subsection (b)(2) does not apply on the premises where a collegiate sporting event is taking place if the actor was not given effective notice under Section 30.06.

SECTION 5. Section 46.035(f), Penal Code, is amended by adding Subdivision (1-a) to read as follows:

(1-a) "Institution of higher education" and "private or independent institution of higher education" have the meanings assigned by Section 61.003, Education Code.

SECTION 6. Section 411.208, Government Code, as amended by this Act, applies only to a cause of action that accrues on or after the effective date of this Act. A cause of action that accrues before the effective date of this Act is governed by the law in effect immediately before that date, and that law is continued in effect for that purpose.

SECTION 7. The change in law made by this Act applies only to an offense committed on or after the effective date of this Act. An offense committed before the effective date of this Act is governed by the law in effect on the date the offense was committed, and the former law is continued in effect for that purpose. For purposes of this section, an offense was committed before the effective date of this Act if any element of the offense occurred before that date.

SECTION 8. (a) Except as otherwise provided by this section, this Act takes effect August 1, 2016.

(b) Before August 1, 2016, the president or other chief executive officer of an institution of higher education, as defined by Section 61.003, Education Code, other than a public junior college as defined by that section, shall take any action necessary to adopt rules, regulations, or other provisions as required by Section 411.2031, Government Code, as added by this Act. Notwithstanding any other law, the president or other chief executive officer shall establish rules, regulations, or other provisions under Section 411.2031(d-1), Government Code, as added by this Act, that take effect August 1, 2016.

(c) Before August 1, 2016, a private or independent institution of higher education, as defined by Section 61.003, Education Code, may take any action necessary to adopt rules, regulations, or other provisions as authorized under Section 411.2031, Government Code, as added by this Act.

(d) This Act does not apply to a public junior college, as defined by Section 61.003, Education Code, before August 1, 2017. Not later than August 1, 2017, the president or other chief executive officer of a public junior college shall take any action necessary to adopt rules, regulations, or other provisions as required by Section 411.2031, Government Code, as added by this Act. Notwithstanding any other law, the president or other chief executive officer shall establish rules, regulations, or other provisions under Section 411.2031(d-1), Government Code, as added by this Act, that take effect August 1, 2017.

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President of the Senate

Speaker of the House

I hereby certify that S.B. No. 11 passed the Senate on March 19, 2015, by the following vote: Yeas 20, Nays 11; May 28, 2015, Senate refused to concur in House amendments and requested appointment of Conference Committee; May 29, 2015, House granted request of the Senate; May 30, 2015, Senate adopted Conference Committee Report by the following vote: Yeas 20, Nays 11.

Secretary of the Senate

I hereby certify that S.B. No. 11 passed the House, with amendments, on May 27, 2015, by the following vote: Yeas 102, Nays 44, one present not voting; May 29, 2015, House granted request of the Senate for appointment of Conference Committee; May 31, 2015, House adopted Conference Committee Report by the following vote: Yeas 98, Nays 47, one present not voting.

Chief Clerk of the House

Approved:

Date

Governor

Attachment B

Chancellor McRaven's July 2015 Memorandum



The University of Texas System
Nine Universities. Six Health Institutions. Unlimited Possibilities.

Office of the Chancellor
601 Colorado Street, Austin, Texas 78701-2982
Phone: 512 499 4201 Fax: 512 499 4215

July 23, 2015

MEMORANDUM

The University of Texas at Arlington
The University of Texas at Austin
The University of Texas at Brownsville
The University of Texas at Dallas
The University of Texas at El Paso
The University of Texas - Pan American
The University of Texas
of the Permian Basin
The University of Texas at San Antonio
The University of Texas at Tyler

TO: Presidents, The University of Texas System
Dr. Vistasp M. Karbhari Daniel K. Podolsky, M.D.
Dr. Gregory L. Fenves David L. Callender, M.D.
Dr. William Richard Fannin, *ad interim* Giuseppe N. Colasurdo, M.D.
Dr. B. Hobson Wildenthal, *ad interim* William L. Henrich, M.D.
Dr. Diana S. Natalicio Ronald A. DePinho, M.D.
Dr. Havidán Rodriguez, *ad interim* Kirk A. Calhoun, M.D.
Dr. W. David Watts
Dr. Guy Bailey
Dr. Ricardo Romo
Dr. Rodney H. Mabry

FROM: William H. McRaven 

The University of Texas
Southwestern Medical Centre
The University of Texas
Medical Branch at Galveston
The University of Texas
Health Science Center at Houston
The University of Texas
Health Science Center at San Antonio
The University of Texas
M. D. Anderson Cancer Center
The University of Texas
Health Science Center at Tyler

The 84th Regular Session of the Texas Legislature passed S.B. 11, commonly referred to as “campus carry,” which authorizes the carrying of concealed handguns into campus buildings. The law takes effect on August 1, 2016. This memorandum outlines the process that our campuses and System Administration will follow to implement the requirements of the law.

As I have stated before, above all else, we will do everything in our power to maintain safe and secure campuses. As we implement this paramount principle into specific campus practices, we will be guided by consultative processes at each campus that engage students, faculty and staff. We will carry out this work in a coordinated manner, facilitated by U. T. System Administration, to share ideas and knowledge. The consultative process will result in campus-specific rules, policies and practices, which we anticipate will vary from campus to campus. However, we expect that some categories of buildings will be treated similarly at each campus, such as those areas common to each type of campus where there is consensus that concealed handguns should be excluded or times or events during the academic year when consideration should be given to limitations.

As we get started with this process, it is helpful to understand the essential elements of the law, which are:

- Effective August 1, 2016, the holder of a concealed handgun license may carry a concealed handgun on the campus of both academic and health related institutions. “Campus” includes all land and buildings owned or leased by the institution.
- An institution may adopt policies concerning the storage of handguns in residential facilities.

Presidents, The University of Texas System
July 23, 2015
Page 2

- In addition, after consulting with students, staff and faculty regarding the nature of the student population, specific safety considerations and the uniqueness of the campus environment, the president of the institution may adopt policies regarding the carrying of concealed handguns by license holders.
- The policies adopted by the president may not generally prohibit license holders from carrying concealed handguns on the campus.
- Where campus policy prohibits concealed handguns from any portion of a building, the institution must post effective notice of that exclusion.
- Within 90 days after adopting policies for the campus, the president must submit those policies to the Board of Regents for review. The law permits the Board to amend the policies, but only by a two-thirds vote.
- The institution must widely distribute the policies, including prominent display on its website.
- Not later than September 1 of each even-numbered year, each institution must report to the Texas Legislature a description of the institutional policies and the reasons the institution has established those policies.
- The legislation requires that we consider the individual characteristics of each campus in adopting these policies, and that the consultation with students, faculty, and staff include these considerations.

I note that H.B. No. 910, separate legislation on "open carry," expressly prohibits a license holder from openly carrying a handgun on the campus of an institution of higher education.

Guidance regarding legislative intent may be gleaned from discussion of the legislation on the floor of both chambers and in conversations with members and staff. For example, all the following were mentioned as possible areas of exclusion: laboratories that contain chemical agents, student counseling and crisis centers, health clinics, on-campus day care centers and places where MRIs are operating. Another excluded area, one which I personally endorse, would be our hospitals. Non-university hospitals are excluded under other provisions of state law, and I see no reason why our hospitals should be treated differently. Identifying consensus categories of buildings to be designated "exclusion zones," as I will call them, on all our campuses will be a useful first step and will help frame the agendas for the conversations on the individual campuses.

It will be the responsibility of each president to convene a campus working group consisting of students, faculty and staff. I encourage each president to consider expanding the consultation to include parents, alumni and other stakeholders in campus life, such as representatives of businesses that conduct operations on the campus. I also encourage each

Presidents, The University of Texas System
July 23, 2015
Page 3

president to begin identifying both the individuals and the type of persons to be included in these consultations, so that they may begin quickly at the appropriate time.

I have asked Deputy Chancellor David E. Daniel to be the point person from the U. T. System Administration to facilitate and coordinate our planning efforts. I have asked Dr. Daniel to assemble a working group that includes a liaison from each campus to begin discussing implementation of the law and identification of consensus exclusion zones. I ask each president to provide Dr. Daniel with the name of your campus liaison by August 1. The campus liaison will be responsible for working closely with the president, communicating with all appropriate campus personnel such as police, academic officers and business officers, and bringing information and suggestions to the working group. The liaison should be a member of the campus working group (perhaps the chair of that group) to ensure good communication within and between the working groups.

Target deadlines for accomplishing our work are:

August 17, 2015	Presidents name liaisons to System-wide working group
September 10, 2015	System-wide working group organized and convened
September 15, 2015	Campus working groups fully engaged and operational
November 1, 2015	Consensus recommendations regarding any categories of space to be designated as exclusion zones at all campuses
December 4, 2015	Campus presidents submit preliminary campus plans to U. T. System Administration for review
December 11, 2015	U. T. System Administration provides feedback to presidents
December 18, 2015	Campus presidents submit final plans to U. T. System Administration for review by the Board of Regents
February 10, 2016	Board of Regents meets and reviews campus plans
February 15, 2016	Campuses begin implementing plans
July 1, 2016	All new physical facilities such as storage lockers are in place, and acquisition of required elements such as signage is complete
August 1, 2016	Law takes effect

I have directed Dr. Daniel to ensure that our campuses have the support that they need, including research into legal issues, law enforcement issues, best practices at other institutions, and sharing of information between working groups, to facilitate this effort.

Presidents, The University of Texas System
July 23, 2015
Page 4

I look forward to engaging personally in this process. By working in a coordinated manner, I am confident that we will take the best possible actions to maintain safe and secure campuses.

WHM:plc

cc: Dr. David E. Daniel
Raymond S. Greenberg, M.D., Ph.D.
Dr. Scott C. Kelley
Dr. Steven Leslie
Dr. Stephanie B. Huie
Dr. Patricia D. Hurn
Dr. Barry McBee
Dr. Randa S. Safady
Dr. Daniel H. Sharporn
Dr. William H. Shute
Dr. Amy Shaw Thomas

Attachment C

UT Systemwide working group's recommendations



THE UNIVERSITY of TEXAS SYSTEM
FOURTEEN INSTITUTIONS. UNLIMITED POSSIBILITIES.

Office of the Chancellor
601 Colorado Street
Austin, Texas 78701
512-499-4201
WWW.UTSYSTEM.EDU

January 11, 2016

MEMORANDUM

To: Chancellor William H. McRaven
Presidents, The University of Texas System
Dr. Vistasp M. Karbhari
Dr. Gregory L. Fenves
Dr. B. Hobson Wildenthal, *ad interim*
Dr. Diana S. Natalicio
Dr. W. David Watts
Dr. Guy Bailey
Dr. Ricardo Romo
Dr. Rodney H. Mabry
Daniel K. Podolsky, M.D.
David L. Callender, M.D.
Giuseppe N. Colasurdo, M.D.
William L. Henrich, M.D.
Ronald A. DePinho, M.D.
Kirk A Calhoun, M.D.

From: Concealed Carry Working Group

Subject: Recommendations Regarding Concealed Carry on Campuses

Executive Summary

The Texas Legislature recently passed Senate Bill 11, which permits license holders to carry concealed handguns in public university buildings beginning August 1, 2016. License holders must be at least 21 years old (unless active duty military or a veteran) and meet certain requirements. The law allows institution presidents to “establish reasonable rules” and to evaluate “the nature of the student population, specific safety considerations, and the uniqueness of the campus environment.” However, a president “may not establish provisions that generally prohibit or have the effect of generally prohibiting license holders from carrying concealed handguns on the campus of the institution.” The provisions established by the president take effect unless amended by the institution’s Board of Regents by not less than a two-thirds vote. Presidents may over time amend an institution’s rules, although amendments are subject to the same potential action by the Board of Regents.

Chancellor William H. McRaven
Presidents, The University of Texas System
January 11, 2016

To assist in the implementation process, a working group was formed that consists of at least one representative from each institution and representatives of U. T. System administration. The charge was to discuss the law and its interpretation, share information and perspectives, and develop recommendations for facilities common to many campuses. The guiding principles used by the working group were:

1. Follow the law.
2. Focus on safety.
3. Strive for a campus environment in which students, staff, and faculty can focus on their studies, research, and work with minimal distraction.

Decisions about rules, regulations, and exclusion zones are the responsibility of an institution's president. This report is advisory to presidents. The working group's objective was to facilitate discussion and to assist campus consultative groups and presidents as they evaluate their campus requirements. Recommendations are not intended to constitute a complete list of exclusion zones or areas to be considered by an institution's president.

Existing state laws exclude certain areas from concealed carry including schools, collegiate sporting events, and premises on which school sponsored activities are taking place. No further action appears to be necessary to exclude these from U. T. System campuses. The working group recommends that presidents consider, as a starting point, the following exclusion zones:

1. Areas for which state or federal law, licensing requirements, or contracts require exclusion exclusively at the discretion of state or federal government, or in which handguns are prohibited by an accrediting authority, such as child-care facilities.
2. Patient care areas, including those where mental health care services are provided.
3. Premises in or on which a ticketed sporting event is taking place.
4. Areas in which discharge of a handgun might cause widespread harm, such as laboratories with extremely dangerous chemicals, biologic agents, or explosive agents, or areas with equipment that is incompatible with metallic objects such as magnetic resonance imagining machines.

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5. Animal care areas and vivaria in which protocols increase the risk of discharge or contamination of a concealed handgun, or its unanticipated separation from the license holder.

The working group offers comments on other issues that were discussed:

- Mixed use buildings. Buildings may have some excludable space. The decision about whether to exclude the entire building rather than just certain areas should be made on a case-by-case basis considering the practicality of limiting only certain areas.
- Notification. Notification that concealed handguns are not permitted in a building or area must be provided in writing, orally, or with prominent signage. The working group believes that the law ordinarily requires signage at the entrances to areas excluded by an institution (buildings or areas within a building). The working group noted that signage all over the place could create a false impression that concealed carry is common on campus and run counter to the goal of continuing the work of the university with as little distraction as possible.
- Handgun storage. The law does not require institutions to have handgun storage facilities – the law simply requires that a license holder be permitted to carry their handgun on or about their person. Institutions should take note that handgun storage facilities are not required elsewhere in Texas.
- Residential facilities. The law provides that institutions may establish rules for storage of handguns in dormitories or other residential facilities. Some people believe that this language reinforces an opinion that the intent of the law is to permit concealed carry in residential facilities. Others believe that the law empowers presidents to exclude concealed carry without limitation, other than the requirement that the president “may not establish provisions that generally prohibit or have the effect of generally prohibiting license holders from carrying concealed handguns on the campus of the institution.”
- Classrooms. Some people believe that the intent of the law is to allow concealed carry in classrooms (consistent with making concealed carry generally available on a campus). Others believe that the law authorizes presidents to exclude handguns from classrooms based on consideration of “the nature of the student population ... and the uniqueness of the campus environment.” The working group had a range of opinion about these differing perspectives and did not reach consensus.

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Introduction

In the 84th Regular Legislative Session, the Texas Legislature passed Senate Bill 11, which allows licensed individuals to carry concealed handguns on public university campuses, effective August 1, 2016. The law defines “campus” as all land and buildings owned or leased by an institution of higher education. By law, a license holder must be at least 21 years old (or be active military or a veteran), be a resident of Texas, meet other requirements such as no record of felony conviction, and be fully qualified under federal and state law to purchase a handgun. Per 18 USC § 922(g), aliens admitted to the U.S. under a nonimmigrant visa are prohibited from possessing, shipping, transporting, or receiving any firearm or ammunition. International students attending universities are typically in the U.S. with non-immigrant visas and therefore ineligible under federal law to possess any firearm or ammunition.

Senate Bill 11 permits institution presidents to exclude certain zones as follows:

“After consulting with students, staff, and faculty of the institution regarding the nature of the student population, specific safety considerations, and the uniqueness of the campus environment, the president or other chief executive officer of an institution of higher education in this state shall establish reasonable rules, regulations, or other provisions regarding the carrying of concealed handguns by license holders on the campus of the institution or on premises located on the campus of the institution. The president or officer may not establish provisions that generally prohibit or have the effect of generally prohibiting license holders from carrying concealed handguns on the campus of the institution. The president or officer may amend the provisions as necessary for campus safety. The provisions take effect as determined by the president or officer unless subsequently amended by the board of regents or other governing board.”

To facilitate the establishment of rules by presidents of U. T. System institutions, a working group was formed consisting of at least one representative from each institution and representatives of U. T. System administration (Appendix A). The charge to the working group was to discuss the law and its interpretation, share information and perspectives, and develop recommendations for facilities common to many campuses.

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Each institution has unique programs, facilities, and operations. The observations and recommendations presented herein are advisory and are not intended to constitute a complete list of exclusion zones or areas to be considered for exclusion by an institution's president. The working group's objective was to facilitate discussion and to assist presidents as they evaluate their campus requirements.

Decisions about establishment of rules and exclusion zones are the responsibility of institution presidents. Senate Bill 11 requires that an institution's Board of Regents review the provisions established by a president within 90 days of establishment. The institution's Board of Regents may by not less than a two-thirds vote to amend those provisions. Over time, a president may revise rules; such revisions are also subject to amendment by the Board of Regents by not less than a two-thirds vote.

Coordinated and consistent policies, where appropriate, facilitate consistency in enforcement, which benefits both the campuses and holders of licenses to carry a concealed handgun.

Guiding Principles

Three over-arching principles guided the working group:

1. Follow the law.
2. Focus on safety.
3. Strive for a campus environment in which students, staff, and faculty can focus on their studies, research, and work with minimal distraction.

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Locations Excluded by Law or Rule

The Texas Penal Code does not permit firearms and other specific weapons at the following locations relevant to issues of carrying concealed handguns on campuses:

- “on the physical premises of a school ..., any grounds or building on which an activity sponsored by a school ... is being conducted” (46.03(a)(1). The law does not define “school” for these purposes but the working group believes that a reasonable interpretation is pre-K through 12 as the meaning of “school.”
- “on the premises of any government court or offices utilized by the court” (46.03(a)(3)).
- “on the premises where a high school, collegiate, or professional sporting event or interscholastic event is taking place” (46.035(b)(2)).
- “on the premises of a hospital licensed under Chapter 241, Health and Safety Code” (46.035(b)(4)).
- “at any meeting of a government entity” (46.035(c)) “if the meeting is an open meeting subject to Chapter 551, Government Code, and the entity provided notice as required by that chapter” (amendment per HB 910).

The working group believes that because law excludes concealed carry from schools, collegiate sporting events, and school-sponsored activities, no further action is needed to exclude concealed handguns from these already-excluded locations.

As a matter of information, the working group understands that U. T. System hospitals are not licensed under Chapter 241 of the Health and Safety Code. Thus, the exclusion provided by the Texas Penal Code for hospitals is not directly applicable to U. T. System institutions, although by analogy and extension, U. T. System institutions might exclude their hospitals and other patient treatment locations.

There may be certain areas on some campuses where handguns are prohibited by federal law or other licensing requirements. It seems reasonable to provide an exclusion where required by law, licensing rules, or an accrediting authority.

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Recommended Exclusion Zones

The responsibility for establishing exclusion zones rests with an institution's president. The campus advisory groups, U. T. System Administration, and this report are advisory to presidents.

Certain areas are already excluded by law, e.g., schools and activities sponsored by schools, and, thus, apparently need not be specifically identified as exclusion zones by campus presidents. The working group did not attempt to develop a complete list of exclusion zones that institutions might want to consider. The working group recommends that presidents consider the following exclusion zones among others that might be unique to a particular campus:

1. **Areas for which state or federal law, licensing requirements, or contracts require exclusion exclusively at the discretion of the state or federal government, or in which handguns are prohibited by an accrediting authority.** The working group has not attempted to identify all such areas. Certain licensing agencies prohibit handguns, such as for nuclear research reactors. Certain contracts- required for hosting the administration of a college entrance examination- might require no handguns. Perhaps the main example of a type of facility in this category is:
 - **Child-Care Facilities.** Rules of the Texas Department of Family and Protective Services prohibit the possession of firearms on the premises of licensed facilities with before- or after-school care and for licensed child-care centers (40 TAC Secs. 744.2607 and 746.3707). However, because there is no enforcement mechanism in the Penal Code other than possibly suspension or revocation of the child-care center's license, the law may be viewed as not specifically prohibiting the carrying of concealed handguns in such locations. The working group believes that the exclusion of concealed carry on the premises of child-care facilities at U. T. System institutions is sensible and recommends that presidents consider excluding them.

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2. Areas analogous to state law requirements that prohibit concealed handguns:

- **Patient care areas.** Section 46.035(b)(4) of the Penal Code excludes hospitals licensed under Chapter 241 – by analogy and extension, the working group recommends that patient care areas be excluded including hospitals, clinics, and mental health treatment areas. The working group suggests that “patient care area” could be restricted to patients for whom a formal record of treatment is maintained.
- **Premises in or on which a ticketed sporting event is taking place.** Section 46.035(b)(2) of the Penal Code prohibits concealed handguns at collegiate sporting events. The working group recommends that by analogy and extension, any ticketed sporting event be excluded on U. T. System campuses, whether an intercollegiate event or not.

3. Areas where discharge of a handgun might cause widespread harm, such as laboratories with extremely dangerous chemicals, biologic agents, or explosive agents, or equipment that is incompatible with metallic objects such as magnetic resonance imaging machines.

Training of concealed handgun license holders on safe use of handguns in such facilities is not practical. Accidental or purposeful discharge of a handgun in such areas could cause grave and catastrophic harm. Handguns are inappropriate in the vicinity of some types of equipment, e.g., magnetic resonance imaging equipment because of the very strong magnetic field present. Exclusion may be appropriate for these areas to ensure campus safety.

4. Animal care areas and vivaria in which protocols increase the risk of discharge or contamination of a concealed handgun, or its unanticipated separation from the licensed holder.

Some animal care facilities have strict protocols for entering and exiting the facility, including requirements for protective clothing and sterile gloves. Animals may carry viruses and bacteria that can be pathogenic to humans, which may require careful control over objects that are brought into or leave a facility. In large animal care facilities, primates, if present, have the ability to grab and manipulate objects. The many safety risks associated with such facilities give cause to recommend that concealed handguns be excluded.

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Discussion of Various Topics

Working group discussions touched on many topics of interest. Key elements from these discussions are summarized as follows.

Mixed-Use Buildings. Many buildings have mixed use in terms of potential exclusion zones. The question is: when is the proportion of excluded area sufficiently large to warrant exclusion of the whole building? The working group offers the following observations:

- If a small number of rooms or a small fraction of assignable space in a building is subject to exclusion, only the rooms or areas that qualify for exclusion could be excluded. Appropriate notice such as signage needs to be provided for those rooms or areas that are excluded.
- If a significant fraction of the building in terms of number of rooms or assignable space is subject to exclusion, or if the excludable space is not separable from other space, then as a matter of practicality, the whole building could be excluded. Appropriate notice such as through signage must be provided for the building.
- There is a “gray area” in which the excludable space within a building is neither minimal nor obviously dominant. The decision of whether to exclude the entire building should be made on a case-by-case basis, based on how practical it is to exclude only certain rooms or areas of the building.

Some spaces have mixed use in terms of when space is used for certain purposes. For example, some areas are excluded only when a ticketed sporting event is taking place. Thus, signage indicating exclusion may need to be temporary and removable in some cases.

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Requirement for Holster. Senate Bill 11 authorizes presidents to establish reasonable rules. One concern expressed within the working group is the potential for a handgun to discharge accidentally when carried in a purse or backpack. One requirement that UT Austin is considering that the working group recommends for consideration by all presidents is a safety requirement that could something similar to the following:

- “A license holder who carries a handgun on campus must carry it in a holster that completely covers the trigger and the entire trigger guard area. The holster must have sufficient tension or grip on the handgun to retain it in the holster even when subjected to unexpected jostling.”

The working group believes that SB 11 provides authority for presidents to establish such a requirement, which would minimize the potential for accidental discharge if the handgun is jostled about or if the purse or backpack is dropped.

Notification and Signage. Section 30.06 of the Texas Penal Code describes general requirements for notification where concealed carry is not permitted. The law states that, “a person receives notice if the owner of the property or someone with apparent authority to act for the owner provides notice to the person by oral or written communication.” The written communication must state the following:

- "Pursuant to Section 30.06, Penal Code (trespass by holder of license to carry a concealed handgun), a person licensed under Subchapter H, Chapter 411, Government Code (concealed handgun law), may not enter this property with a concealed handgun".

Written communication may be conveyed in one of two ways:

- A card or other written document that contains the language above, or
- Signage in both Spanish and English displayed in a conspicuous manner clearly visible to the public, with contrasting colors and block letters at least one inch in height.

The best option for informing the public of exclusion at ticketed events such as sporting events might be the printing of exclusion information on the back of the ticket. In situations where an individual must review and sign a form (such as a consent form signed by parents for their children), written notification might be provided on the form.

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No notification is required by statute for schools or school-sponsored activities. License holders are expected to know that these areas are excluded without specific notification.

Oral notification is a permitted means of notification under the law. Although oral notification may be impractical in regards to entry to many areas, there may be certain areas or situations in which oral notification is effective. Moreover, Section 30.06 also criminalizes remaining on property after being advised that concealed handguns are not permitted; that is an example of a situation when oral notice becomes practical.

The working group addressed the question of whether signage is needed on each excluded building or excluded area, or whether a few generic signs at strategic locations would suffice. The prevailing legal opinion is that signage must be on each building or area within a building, in large part because the law requires the signage to state, "... may not enter this property with a concealed handgun." If there were only a few generic signs on campus, "this property" would seem to imply the whole campus. Even if "this property" pointed to specific buildings or areas within a building, the requirements of the law for signage displayed in a "conspicuous manner" with large-block letters seems to require signage at the entrance to excluded buildings or areas within a building.

Residential Facilities. Senate Bill 11 provides that, "An institution of higher education ... may establish rules, regulations, or other provisions concerning the storage of handguns in dormitories or other residential facilities that are owned or leased and operated by the institution and located on the campus of the institution."

On-campus housing takes many forms across U. T. System institutions, including residences in which one or more people live in a single room, multi-room units that may have a common living room area and several bedrooms, and apartments. Students are the dominant occupants of residential facilities, but in some cases faculty and staff members live in university owned or leased residential facilities. Some U. T. System institutions host summer camps for children and house the children in residence halls.

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The working group addressed the question of whether the law permits exclusion of concealed carry in residential facilities. Two opinions were expressed. One opinion is that most legislators who supported SB 11 intended for concealed handguns to be permitted in dormitories and residential facilities, and that the language of the statute allowing storage in residential settings reinforces this view. However, the law also says that presidents may take into account “the nature of the student population, specific safety considerations, and the uniqueness of the campus environment”; thus, if a campus has residential facilities for which exclusion is judged by a president to be appropriate, one might opine that the law permits exclusion.

Because residential facilities cover such a broad spectrum of circumstances across U. T. System institutions, no across-the-board recommendations are offered.

The working group discussed whether an individual could request a roommate who is not a license holder for residential facilities that permit concealed carry. Such a request is problematic because a license holder is not compelled to respond to an inquiry about status as a licensed carrier except when the query comes from a law enforcement officer. The working group suggests that campuses that wish to provide an opportunity for residents to self-select a non-license holder as a roommate be asked to indicate on the residential application form something along the lines of, “I voluntarily disclose that I am not licensed to carry a concealed handgun, and I request a roommate who has made this same voluntary disclosure.”

Handgun Storage Facilities. The law permits license holders to carry their concealed handgun on or about their person, but it does not compel institutions to provide or allow storage of handguns when the licensees do not have the handgun on or about their person. The working group could not identify any legal requirement to provide storage, nor could it identify any restriction on an institution’s authority to prohibit storage. Should an institution choose to permit storage of handguns within university buildings, the establishment of minimum storage requirements is reasonable. If a particular campus chooses to provide for handgun storage, the working group notes that issues of safety, security, accessibility, and privacy will likely need to be addressed.

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Classrooms. The working group discussed the subject of excluding concealed handguns from classrooms. Different schools of thought were expressed. One opinion is that the law intends if not outright requires allowance of concealed carry in classrooms through the requirement that rules may not “have the effect of generally prohibiting license holders from carrying concealed handguns on the campus of the institution.” Meeting this requirement might be a challenge especially if the classroom exclusion is coupled with the exclusion of concealed handguns broadly or in numerous buildings. In addition, several legislators have said that the intent of the law is to allow concealed carry in classrooms.

Some members of the working group expressed the opinion that a president has the latitude and authority to establish rules that prohibit concealed handguns from classrooms. The law allows presidents to establish reasonable rules taking into consideration “the nature of the student population, specific safety considerations, and the uniqueness of the campus environment.” From this point of view, a decision to exclude concealed handguns from classrooms appears to be allowable under the law. Some legislators have expressed the opinion that institution presidents have ultimate authority over campus carry policy, including decisions about classrooms.

The working group members discussed the varying points of view, but did not reach consensus. Ultimately, the decision is up to each institution president, subject to potential amendment by the U. T. System Board of Regents.

The working group members agree that excluding concealed handguns from classrooms would introduce complicating questions such as:

- Is it feasible to exclude classrooms without excluding the entire building that contains classrooms?
- Would exclusion of classrooms have the effect of generally prohibiting license holders from carrying concealed handguns on the campus?
- If classrooms are excluded, must one consider handgun storage lockers for times when students are in class?
- Would the signage that might be required give people the false impression that concealed handguns are widespread on campus and run counter to the objective of striving for a campus environment in which students, staff, and faculty can focus on their studies, research, and work with minimal distraction?

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Leased Space. Senate Bill 11 permits the carry of concealed handguns on the premises of campuses, and defines campus as “all land and buildings owned or leased by an institution of higher education.” The law does not expressly distinguish between property “leased” as a lessor (in which the higher education institution owns the property but leases it to a third party for other uses) or “leased” as a lessee (in which the higher education institution does not own the property but leases it for university use). The common meaning of “campus” would include only the geographic boundaries of the buildings and grounds used for university purposes, and there is no indication of a legislative intent to include property outside those boundaries that is owned by the university but leased to a third party for other uses.

For property within the boundaries of a campus, such as campus bookstore, a lessee may have property rights under the lease. However, in some cases a private entity may not have private property rights, such as might be the case for a food-service provider in a dining hall, because they are an agent of the university providing a service that the university could provide.

There is no indication that the legislature intended the campus carry legislation to override private property rights. In fact, the Senate author of the legislation made the point during debate that private institutions of higher education were given an opt-out because of respect for private property rights. Even for property within the geographic boundaries of a campus, it seems reasonable to conclude that private property rights are paramount. Section 30.06 of the Penal Code allows an entity (other than a governmental entity) to exclude concealed carry by giving notice, usually through signage. In addition, 411.203, Government Code, provides that the licensing statute does not limit the right of a private employer to prohibit concealed carry license holders from carrying on the premises of the business. AG Op. No. DM-363 determined that an employer could restrict the carrying of concealed handguns on property it controls by posting notice.

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The power of the lessee on the campus to exclude concealed handguns is, of course, subject to the terms of the lease. Assuming that the lease is silent on the subject, the working group believes the lessee may have the power to exclude concealed handguns without regard to the exclusion zones adopted by the campus, provided adequate notice is given, for example, via signage. By this same token, if the university leases space from a private entity and that entity wishes concealed carry to be excluded, the president of an institution appears to be on solid ground in establishing the leased space as an exclusion zone on the basis of the preference of the private property owner.

Parking areas are expressly different. Section 46.035 of the Penal Code, by means of defining "premises," has always permitted licensed concealed carry on public or private parking lots, garages, or other parking areas. Parking facilities are especially important to a licensee because the licensee's handgun may be stored in a locked vehicle. It appears that SB 11 does not change that, so the private operator of a parking facility on space leased from a university likely lacks the authority to exclude concealed handguns under any circumstances. However, some parking garages have retail space, often leased to a private entity that has nothing to do with parking, such food service. In such situations, where there is no parked car in the leased space, it may be possible to consider exclusion.

Formal Hearing Areas. Section 46.03(a)(3) of the Penal Code excludes "any government court or offices utilized by the court." By analogy and extension, an institution could exclude any facility used as a hearing room that operates similar to a court, i.e., where an individual or panel is designated under institutional policy to adjudicate the rights or privileges of a student or an employee of the institution. This does not cover a non-hearing environment such as processing of forms or claims. There are many nuances and complications regarding such interpretation, such as what constitutes a formal hearing. The working group recommends that this potential type of exclusion be handled at the institutional level, considering the unique circumstances at each campus. It may help to clarify in an institution's rules which hearings are excluded for concealed carry.

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Areas in which Sponsored Activities Are Conducted for Persons under 18 years of Age Who Are Not Enrolled at the Institution. Section 46.03(a)(1) of the Penal Code prohibits the carrying of handguns on “any grounds or building on which an activity sponsored by a school ... is being conducted.” All U. T. System institutions host events for school-age children. If the activity is sponsored by a school, the carrying of handguns is automatically excluded per 46.03(a)(1) of the Penal Code. Nothing further is required from an institution to exclude concealed carry for activities sponsored by a school. No specific notification is required under the law, although an institution might consider signage stating something to the effect of, “School-Sponsored Activity in Progress.”

Numerous events occur on campuses that are not sponsored by a school but, rather, are sponsored by the higher education institution or by others. By analogy and extension, events for children sponsored by or conducted in coordination with the institution could be considered for exclusion of concealed handguns. However, institutional discretion will likely be needed to determine which sponsored events rise to a level appropriate for exclusion. Institutions may choose to exclude concealed carry for parents, employees, or volunteers working with the children on a sponsored event while on campus. Exclusion may not be practical for everyone on campus during the transit of children from one location on campus to another, or for informal gatherings such as lunch in a cafeteria.

Sponsored events cover such a broad array of potential activities that no blanket recommendations are appropriate, except as required by law for school sponsored events. The working group recommends that each institution consider possible exclusion for times and locations when non-school-sponsored events are taking place for persons under the age of 18 who are not enrolled on campus.

Temporal Exclusions. Senate Bill 11 allows presidents to establish rules, and these could be for certain times. For example, an area might be excluded only when there is a ticketed sporting event. The working group did not discuss broader temporal exclusions, such as during final exam week and would note the significance of notification requirements.

The group briefly discussed the special case of a situation in which a student is not allowed to bring anything into the classroom besides a pencil (no purses, backpacks, hats, etc.) when a test is given. The question raised is: may the institution exclude concealed handguns? No definitive answer was provided, but the issue raised reinforces the unique circumstances of college campuses.

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Reporting Requirements. Senate Bill 11 requires a report to the legislature each even-numbered year that “describes [the institution’s] rules, regulations, or other provisions regarding the carrying of concealed handguns on the campus of the institution; and ... explains the reasons the institution has established those provisions.” The reporting requirements to the legislature appear not to require a building-by-building accounting but, rather, a more general description.

For institutional reports to the Board of Regents regarding exclusion zones, campuses are expected to explain which areas have been excluded and why, and are not expected to provide a building-by-building explanation. Further consideration will be given to guidelines for institutions in reporting to the Board of Regents.

Violations. The working group discussed how to handle violations of concealed carry provisions. If a person is believed to have improperly displayed a handgun or carried a handgun into a location where concealed carry is not permitted, the campus police should be contacted. The question of what further penalties might be permissible was discussed and the following guidance developed:

- The licensing statute, Chapter 411, Government Code, effectively requires that the handgun be “concealed,” meaning that the gun’s presence is “not openly discernable to the ordinary observation of a reasonable person.” Similarly, Section 46.035, Penal Code, on unlawful carry by a licensed holder, prohibits intentional display of the handgun in plain view of another person in a public place as well as possession, whether or not concealed, in specific areas.
- Sections 46.03 and 46.035 address which violations are criminal. Both sections include “state of mind” requirements, and these state of mind requirements vary. Under section 46.03, it is a crime to “intentionally, knowingly, or recklessly” carry a handgun into in a place designated by that section as an exclusion zone (e.g., a pre-K through 12 school). Under section 46.035, however, it is a crime for a license holder to “intentionally” carry a concealed handgun into an area excluded under the rules and regulations established by a university, provided proper notice is given. With regard to the open display of a handgun on campus, section 46.035 makes it a crime for a license holder to “intentionally or knowingly” display the handgun in plain view of another person on a university campus. Texas Penal Code § 6.03 assigns particular meaning to the terms “intentionally,” “knowingly,” and “recklessly.” Under section 46.035, however, license holders do not commit a crime if they mistakenly bring a concealed handgun into an exclusion zone –

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(e.g., if the holder forgot they had the gun with them). As explained below, however, individual institutions may provide that any violation of their concealed carry rules and regulations constitutes a sanctionable offense under their institutional rules.

- Section 411.2031, Government Code, as added by SB 11, expressly authorizes an institution “to establish reasonable rules ... regarding the carrying of concealed handguns by license holders on the campus,” with specific regard to “safety considerations” and “campus safety.” Violations of campus rules about how to carry or store handguns may be acts subject to disciplinary action by an institution.
- Under Section 46.02, Penal Code, which prohibits the carrying of certain weapons “on or about” a person, Texas courts have held that “on or about” includes “the area nearby, close at hand, convenient of access, and within such distance of the party so that, without materially changing his position, the party could get his hand on it” and to include a portfolio or purse [Contreras v. State, 853 S.W. 2d 694 (Tex. App. Houston (1st Dist.), 1993)] The working group was not able to identify any cases under Sec. 46.035, Penal Code, that have specifically considered the meaning of “on or about” in the context of that Penal Code provision governing unlawful carry by a concealed handgun holder.
- The following language is offered to campuses for consideration as they establish rules:
 - "License holders bear the responsibility for safeguarding their handguns at all times, and must take all necessary precautions to ensure their handguns are secured in a manner that is most likely to prevent theft, loss, damage or misuse. License holders affiliated with [institution] who fail to use reasonable care in securing their handguns or acts negligently are subject to disciplinary action, up to and including termination or non-renewal of appointment, or dismissal from [institution]."

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- "A license holder fails to use reasonable care when he/she does not exercise the care which a reasonable or prudent person would exercise in similar circumstances, or takes action which a reasonable or prudent person would not take. Failing to secure or control a backpack or purse with a handgun at all times on the [institution's] campus would be considered a failure to use reasonable care."

Institutional Rules. Institutions may wish to modify certain institutional rules and procedures in an effort to minimize any ambiguity regarding concealed carry. For example, an institution might exclude concealed carry from a room in which a formal hearing under a specific provision in the code of student discipline and conduct. Such specific provisions might remove any possible ambiguity about whether a certain type of hearing is meant to constitute a formal proceeding for which concealed carry is not allowed.

Communications and Training. Once campus rules are established, there may be an important role for the U. T. System and the campuses to play in sharing information related to communicating to campus communities and training of individuals.

Concluding Remarks

The members of the concealed carry working group benefited from the sharing of information, discussion of the law and its interpretation, and sharing of best practices taking place at each campus. In developing these recommendations, the working group recognized that the law empowers each institution president to make appropriate provisions. The working group hopes that these observations and recommendations will assist campus presidents.

The working group stands ready to assist the System and institution presidents as needed or desired, and will be happy to answer questions or address additional issues.

DED/jlb

cc: Raymond S. Greenberg, M.D., Ph.D.
Dr. Steven W. Leslie

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APPENDIX A

Members of the Concealed Carry Working Group

Institutions

- U. T. Arlington: John Hall, Vice President for Administration
- U. T. Austin: Steven Goode, JD, Professor, Law
- U. T. Dallas: Alex Piquero, Professor, Criminology
- U. T. El Paso: Gary Edens, Vice President for Student Affairs
- U. T. Permian Basin: Teresa Sewell, Senior Associate Vice President for Student Affairs
- U. T. Rio Grande Valley: Ben Reyna, Associate Vice President for Security and Campus Affairs
- U. T. San Antonio: Kathy Funk-Baxter, Vice President for Business Affairs, and also Steve Barrera, Chief of Police
- U. T. Tyler: Tammy Cowart, JD, Associate Professor, Business Law
- U. T. Health – Houston: George Stancel, Executive Vice President for Academic and Research Affairs
- U. T. Medical Branch – Galveston: Michael Ainsworth, MD, Vice Dean for Academic Affairs, School of Medicine
- U. T. M. D. Anderson – Max Weber, JD, Associate Vice President and Deputy Chief Compliance Officer
- U. T. San Antonio HSC – Michael Parks, Chief of Police, and Dr. Jacqueline Lee Mok, Vice President for Academic, Faculty, and Student Affairs
- U. T. Southwestern – Charles Ginsburg, MD, Senior Associate Dean
- U. T. Tyler HSC – Robert Cromley, Chief of Police

U. T. System Administration

- Committee Chair: David E. Daniel, Deputy Chancellor
- Office of the Chancellor: Jana Pankratz, Executive Director
- Director of Police: Michael Heidingsfield
- Government Relations: Barry McBee, JD, Vice Chancellor and Chief Governmental Relations Officer, and Steve Collins, JD, Associate Vice Chancellor for Government and Special Counsel for Governmental Relations and Special Counsel to the Office of General Counsel
- Board of Regents: Kristy Orr, JD, Associate General Counsel
- Office of General Counsel: Tamra English, JD, Assistant General Counsel
- Office of Academic Affairs: Wanda Mercer, Associate Vice Chancellor
- Office of Health Affairs: Patrick Francis, Associate Vice Chancellor
- Risk Management: Patrick Durbin, Assistant Director, Risk Control
- External Relations: John Morton, Senior Communications Writer

Attachment D

DPS 2013 crime and enrollment statistics for CHL holders

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Conviction Rates for Concealed Handgun License Holders
Reporting Period : 01/01/2013 - 12/31/2013

<u>Offense</u>	<u>Total Convictions in Texas</u>	<u>Convictions of CHL Holders</u>	<u>CHL Holder Percentage of Total Convictions</u>
ABANDON ENDANGER CHILD CRIMINAL NEGLIGENCE	506	0	0.0000%
ABANDON ENDANGER CHILD IMMINENT DANGER BODI	65	0	0.0000%
ABANDON ENDANGER CHILD W/INTENT TO RETURN	118	0	0.0000%
ABANDON ENDANGER CHILD W/O INTENT TO RETURN	9	0	0.0000%
AGG ASSAULT AGAINST PUBLIC SERVANT	148	0	0.0000%
AGG ASSAULT AGAINST SECURITY OFFICER	4	0	0.0000%
AGG ASSAULT BY PUBLIC SERVANT	8	0	0.0000%
AGG ASSAULT CAUSES SERIOUS BODILY INJ	568	0	0.0000%
AGG ASSAULT DATE/FAMILY/HOUSE W/WEAPON	159	0	0.0000%
AGG ASSAULT IN RETALIATION	3	0	0.0000%
AGG ASSAULT W/DEADLY WEAPON	2,292	10	0.4363%
AGG KIDNAPPING	91	0	0.0000%
AGG KIDNAPPING BI/SEXUAL ABUSE	5	0	0.0000%
AGG KIDNAPPING BI/SEXUAL ABUSE SAFE RELEASE	0	0	0.0000%
AGG KIDNAPPING FACILITATE	0	0	0.0000%
AGG KIDNAPPING FACILITATE SAFE RELEASE	0	0	0.0000%
AGG KIDNAPPING FOR RANSOM/REWARD	0	0	0.0000%
AGG KIDNAPPING FOR RANSOM/REWARD SAFE RELEA	1	0	0.0000%
AGG KIDNAPPING INTERFERE PERFORMANCE	0	0	0.0000%
AGG KIDNAPPING INTERFERE PERFORMANCE SAFE R	0	0	0.0000%
AGG KIDNAPPING RELEASE VICTIM SAFEPLACE	0	0	0.0000%
AGG KIDNAPPING TERRORIZE	3	0	0.0000%
AGG KIDNAPPING TERRORIZE SAFE RELEASE	1	0	0.0000%
AGG KIDNAPPING USE AS SHIELD/HOSTAGE	2	0	0.0000%
AGG KIDNAPPING USE AS SHIELD/HOSTAGE SAFE REL	0	0	0.0000%
AGG ROBBERY	1,696	1	0.0590%
AGG SEXUAL ASSAULT	117	1	0.8547%
AGG SEXUAL ASSAULT CHILD	1,016	15	1.4764%
AGG SEXUAL ASSAULT OF ELDERLY/DISABLED PERSO	26	0	0.0000%
AIDING SUICIDE/SBI	0	0	0.0000%
ASSAULT AGAINST ELDERLY OR DISABLED INDIVIDUA	32	0	0.0000%
ASSAULT AGAINST GOVERNMENT CONTRACTOR/EMP	1	0	0.0000%
ASSAULT AGAINST SPORTS PARTICIPANT	3	0	0.0000%
ASSAULT CAUSES BI CONVICTED ANOTHER STATE	0	0	0.0000%
ASSAULT CAUSES BI RETALIATION W/GOVERN	2	0	0.0000%
ASSAULT CAUSES BODILY INJ	6,494	13	0.2002%
ASSAULT CAUSES BODILY INJ DATE/FAMILY/HOUSE	291	0	0.0000%
ASSAULT CAUSES BODILY INJURY FAMILY VIOLENCE	14,633	19	0.1298%
ASSAULT ON SECURITY OFFICER	18	0	0.0000%
ASSAULT PUBLIC SERVANT	756	1	0.1323%

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Conviction Rates for Concealed Handgun License Holders
Reporting Period : 01/01/2013 - 12/31/2013

<u>Offense</u>	<u>Total Convictions in Texas</u>	<u>Convictions of CHL Holders</u>	<u>CHL Holder Percentage of Total Convictions</u>
BURGLARY HABITATION INTEND OTHER FELONY	243	0	0.0000%
BURGLARY HABITATION INTEND SEX OFFENSE	15	0	0.0000%
BURGLARY OF BUILDING	3,067	0	0.0000%
BURGLARY OF HABITATION	4,405	1	0.0227%
CAPITAL MURDER ANOTHER PERSON IN PRISON	0	0	0.0000%
CAPITAL MURDER BY TERROR THREAT/OTHER FELON	47	1	2.1277%
CAPITAL MURDER FOR RETALIATION JUDGE/JUSTICE	0	0	0.0000%
CAPITAL MURDER OF A PEACE OFFICER OR FIREMAN	14	0	0.0000%
CAPITAL MURDER OF MULTIPLE PERSONS	22	0	0.0000%
CAPITAL MURDER PERSON UNDER SIX YEARS OF AGE	5	0	0.0000%
CAPITAL MURDER PERSON WHILE ESCAPING/ATTEMP	0	0	0.0000%
CAPITAL MURDER WHILE REMUNERATION	3	0	0.0000%
COERCE SOLICIT INDUCE GANG MEMBERSHIP	9	0	0.0000%
COERCE SOLICIT INDUCE GANG MEMBERSHIP BI	0	0	0.0000%
CRIMINAL NEGLIGENT HOMICIDE	37	0	0.0000%
DEADLY CONDUCT	887	16	1.8038%
DEADLY CONDUCT DISCHARGE FIREARM	204	1	0.4902%
DEADLY WEAPON IN PENAL INSTITUTION	28	0	0.0000%
DISPLAY HANDGUN LICENSE REFUSAL 2ND	0	0	0.0000%
HARASSMENT BY PERSON IN CORRECTIONAL/DETENT	50	0	0.0000%
HARRASSMENT OF PUBLIC SERVANT	263	0	0.0000%
HOAX BOMB WEAPONS FREE ZONE	0	0	0.0000%
HOAX BOMBS	2	0	0.0000%
IMPROPER PHOTO/VISUAL RECORDING AROUSE/GRA	9	0	0.0000%
IMPROPER PHOTO/VISUAL RECORDING W/OUT CONSE	10	0	0.0000%
IMPROPER RELATIONSHIP BETWEEN EDUCATOR/STU	32	0	0.0000%
INDECENCY W/A CHILD EXPOSES	208	2	0.9615%
INDECENCY W/CHILD SEXUAL CONTACT	938	17	1.8124%
INDECENT EXPOSURE	467	0	0.0000%
INJURY CHILD/ELDERLY/DISABLE RECKLESS SBI/MENT	63	0	0.0000%
INJURY CHILD/ELDERLY/DISABLE W/INT BODILY INJ	527	0	0.0000%
INJURY CHILD/ELDERLY/DISABLE W/INT SBI/MENTAL	79	0	0.0000%
INJURY CHILD/ELDERLY/DISABLED CRIMINAL NEGLIGE	44	0	0.0000%
INJURY CHILD/ELDERLY/DISABLED RECKLESS BODILY	76	0	0.0000%
KIDNAPPING	49	0	0.0000%
MANSLAUGHTER	91	0	0.0000%
MURDER	364	3	0.8242%
MURDER UNDER INFLUENCE OF SUDDEN PASSION	2	0	0.0000%
OWNER/OPER/EMP GROUP/NURSE W/INT DISABLE/EX	0	0	0.0000%
OWNER/OPERATOR/EMP GROUP/NURSE W/INT VIOL	0	0	0.0000%

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OWNER/OPR/EMP GRP/NURSE NEGL.PC 22.04(a)(1)	0	0	0.0000%
OWNER/OPR/EMP GRP/NURSE NEGL.PC22.04(g)	0	0	0.0000%
OWNER/OPR/EMP GRP/NURSE RECKLESS PC 22.04(e)	0	0	0.0000%
OWNER/OPR/EMP GRP/NURSE RECKLESS PC 22.04(f)	0	0	0.0000%
PLACE WEAPONS PROHIBITED	78	0	0.0000%
PROH WEAPON	113	0	0.0000%
PROH WEAPON SWITCHBLADE/KNUCKLE WEAPONS F	1	0	0.0000%
PROH WEAPON SWITCHBLADE/KNUCKLES	794	2	0.2519%
PROH WEAPON/WEAPONS FREE ZONE	1	0	0.0000%
PUBLIC LEWDNESS	190	1	0.5263%
ROBBERY	1,495	0	0.0000%
SEXUAL ASSAULT	210	3	1.4286%
SEXUAL ASSAULT CHILD	693	20	2.8860%
SEXUAL ASSLT BIGAMY	26	0	0.0000%
SEXUAL ASSLT PROH/PURPORT SPOUSE	2	0	0.0000%
SEXUAL ASSLT PROH/PURPORT SPOUSE UNDER 14YO	5	4	80.0000%
TAMPER W/CONSUMER PRODUCT	0	0	0.0000%
TAMPER W/CONSUMER PRODUCT SBI	0	0	0.0000%
TAMPER W/CONSUMER PRODUCT THREAT	0	0	0.0000%
TERRORISTIC THREAT	1,078	0	0.0000%
TERRORISTIC THREAT AGAINST PUBLIC SERVANT	121	1	0.8264%
TERRORISTIC THREAT CAUSES PECUNIARY LOSS OF	3	0	0.0000%
TERRORISTIC THREAT IMPAIR PUBLIC/GOV SERVICE	12	0	0.0000%
TERRORISTIC THREAT INTERRUPT PUBLIC PLACE	176	1	0.5682%
TERRORISTIC THREAT OF FAMILY/HOUSEHOLD	628	1	0.1592%
TRAFFICKING A PERSON CAUSING DEATH	0	0	0.0000%
TRAFFICKING OF PERSON	3	0	0.0000%
TRAFFICKING OF PERSON YOUNGER THAN 14 YOA	0	0	0.0000%
UNL CARRY HANDGUN LIC HOLDER	18	7	38.8889%
UNL CARRY HANDGUN LIC HOLDER ALCH PREM/CORR	2	0	0.0000%
UNL CARRY WEAPON/WEAPONS FREE ZONE	4	0	0.0000%
UNL CARRYING WEAPON	1,947	16	0.8218%
UNL CARRYING WEAPON ON ALCOHOL PREMISES	42	0	0.0000%
UNL POSS FIREARM BY FELON	1,469	0	0.0000%
UNL POSS FIREARM BY FELON WEAPONS FREE ZONE	3	0	0.0000%
UNL POSS FIREARM INVOLVING FAMILY/HOUSEHOLD	19	0	0.0000%
UNL POSS METAL OR BODY ARMOR BY FELON	33	0	0.0000%
UNL RESTRAINT	308	1	0.3247%
UNL RESTRAINT EXPOSE TO SBI	36	0	0.0000%
UNL RESTRAINT LESS THAN 17 YRS OF AGE	16	0	0.0000%

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UNL RESTRAINT OF PUBLIC SERVANT	3	0	0.0000%
UNL TRANSF CERTAIN WEAPONS U/18 WEAPONS FRE	0	0	0.0000%
UNL TRANSF CERTAIN WEAPONS/WEAPONS FREE ZO	0	0	0.0000%
UNL TRANSF HANDGUN UNDER 18YOA	0	0	0.0000%
UNL TRANSF OF CERTAIN WEAPONS	12	0	0.0000%
UNL TRANSP OF PERSON FOR PECUNIARY BENEFIT	0	0	0.0000%
Total Offenses	50,869	158	0.3106%

End of Report

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Demographic Information by Residence County

Period: 01/01/2013 - 12/31/2013

License Applications: Issued

County	Number of Applicants	Percent	County	Number of Applicants	Percent
ANDERSON	618	0.25%	COKE	54	0.02%
ANDREWS	213	0.09%	COLEMAN	142	0.06%
ANGELINA	1,139	0.47%	COLLIN	9,171	3.78%
ARANSAS	352	0.15%	COLLINGSWORTH	32	0.01%
ARCHER	196	0.08%	COLORADO	325	0.13%
ARMSTRONG	61	0.03%	COMAL	2,166	0.89%
ATASCOSA	525	0.22%	COMANCHE	170	0.07%
AUSTIN	419	0.17%	CONCHO	55	0.02%
BAILEY	83	0.03%	COOKE	543	0.22%
BANDERA	458	0.19%	CORYELL	1,073	0.44%
BASTROP	733	0.30%	COTTLE	25	0.01%
BAYLOR	60	0.02%	CRANE	57	0.02%
BEE	196	0.08%	CROCKETT	38	0.02%
BELL	4,460	1.84%	CROSBY	78	0.03%
BEXAR	13,056	5.38%	CULBERSON	22	0.01%
BLANCO	205	0.08%	DALLAM	85	0.04%
BORDEN	33	0.01%	DALLAS	13,783	5.68%
BOSQUE	319	0.13%	DAWSON	131	0.05%
BOWIE	1,247	0.51%	DEAF SMITH	204	0.08%
BRAZORIA	4,120	1.70%	DELTA	70	0.03%
BRAZOS	1,818	0.75%	DENTON	7,990	3.29%
BREWSTER	126	0.05%	DEWITT	205	0.08%
BRISCOE	47	0.02%	DICKENS	48	0.02%
BROOKS	65	0.03%	DIMMIT	56	0.02%
BROWN	608	0.25%	DONLEY	53	0.02%
BURLESON	244	0.10%	DUVAL	39	0.02%
BURNET	621	0.26%	EASTLAND	200	0.08%
CALDWELL	281	0.12%	ECTOR	1,535	0.63%
CALHOUN	214	0.09%	EDWARDS	41	0.02%
CALLAHAN	258	0.11%	ELLIS	2,348	0.97%
CAMERON	1,451	0.60%	EL PASO	2,795	1.15%
CAMP	143	0.06%	ERATH	540	0.22%
CARSON	146	0.06%	FALLS	189	0.08%
CASS	424	0.17%	FANNIN	466	0.19%
CASTRO	62	0.03%	FAYETTE	353	0.15%
CHAMBERS	846	0.35%	FISHER	31	0.01%
CHEROKEE	552	0.23%	FLOYD	97	0.04%
CHILDRESS	147	0.06%	FOARD	16	0.01%
CLAY	228	0.09%	FORT BEND	5,975	2.46%
COCHRAN	17	0.01%	FRANKLIN	160	0.07%

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Demographic Information by Residence County
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 License Applications: Issued

<u>County</u>	<u>Number of Applicants</u>	<u>Percent</u>	<u>County</u>	<u>Number of Applicants</u>	<u>Percent</u>
FREESTONE	326	0.13%	JASPER	506	0.21%
FRIO	98	0.04%	JEFF DAVIS	38	0.02%
GAINES	256	0.11%	JEFFERSON	2,812	1.16%
GALVESTON	3,645	1.50%	JIM HOGG	23	0.01%
GARZA	78	0.03%	JIM WELLS	308	0.13%
GILLESPIE	448	0.18%	JOHNSON	2,262	0.93%
GLASSCOCK	28	0.01%	JONES	192	0.08%
GOLIAD	112	0.05%	KARNES	106	0.04%
GONZALES	175	0.07%	KAUFMAN	1,569	0.65%
GRAY	323	0.13%	KENDALL	753	0.31%
GRAYSON	1,694	0.70%	KENEDY	1	0.00%
GREGG	1,520	0.63%	KENT	42	0.02%
GRIMES	282	0.12%	KERR	896	0.37%
GUADALUPE	1,706	0.70%	KIMBLE	68	0.03%
HALE	322	0.13%	KING	14	0.01%
HALL	35	0.01%	KINNEY	55	0.02%
HAMILTON	146	0.06%	KLEBERG	231	0.10%
HANSFORD	72	0.03%	KNOX	45	0.02%
HARDEMAN	88	0.04%	LAMAR	615	0.25%
HARDIN	982	0.40%	LAMB	169	0.07%
HARRIS	31,470	12.97%	LAMPASAS	491	0.20%
HARRISON	937	0.39%	LA SALLE	29	0.01%
HARTLEY	97	0.04%	LAVACA	182	0.08%
HASKELL	58	0.02%	LEE	162	0.07%
HAYS	1,619	0.67%	LEON	285	0.12%
HEMPHILL	107	0.04%	LIBERTY	1,069	0.44%
HENDERSON	1,005	0.41%	LIMESTONE	232	0.10%
HIDALGO	2,276	0.94%	LIPSCOMB	76	0.03%
HILL	458	0.19%	LIVE OAK	167	0.07%
HOCKLEY	271	0.11%	LLANO	311	0.13%
HOOD	841	0.35%	LOVING	2	0.00%
HOPKINS	451	0.19%	LUBBOCK	3,692	1.52%
HOUSTON	343	0.14%	LYNN	131	0.05%
HOWARD	438	0.18%	MC CULLOCH	148	0.06%
HUDSPETH	14	0.01%	MC LENNAN	2,973	1.23%
HUNT	1,190	0.49%	MC MULLEN	31	0.01%
HUTCHINSON	389	0.16%	MADISON	138	0.06%
IRION	41	0.02%	MARION	99	0.04%
JACK	157	0.06%	MARTIN	119	0.05%
JACKSON	210	0.09%	MASON	52	0.02%

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MATAGORDA	444	0.18%	RUSK	575	0.24%
MAVERICK	122	0.05%	SABINE	172	0.07%
MEDINA	545	0.22%	SAN AUGUSTINE	115	0.05%
MENARD	20	0.01%	SAN JACINTO	386	0.16%
MIDLAND	2,228	0.92%	SAN PATRICIO	673	0.28%
MILAM	244	0.10%	SAN SABA	88	0.04%
MILLS	126	0.05%	SCHLEICHER	43	0.02%
MITCHELL	90	0.04%	SCURRY	242	0.10%
MONTAGUE	393	0.16%	SHACKELFORD	72	0.03%
MONTGOMERY	7,068	2.91%	SHELBY	238	0.10%
MOORE	291	0.12%	SHERMAN	49	0.02%
MORRIS	150	0.06%	SMITH	2,666	1.10%
MOTLEY	49	0.02%	SOMERVELL	155	0.06%
NACOGDOCHES	820	0.34%	STARR	116	0.05%
NAVARRO	512	0.21%	STEPHENS	113	0.05%
NEWTON	165	0.07%	STERLING	17	0.01%
NOLAN	171	0.07%	STONEWALL	15	0.01%
NUECES	3,000	1.24%	SUTTON	80	0.03%
OCHILTREE	97	0.04%	SWISHER	84	0.03%
OLDHAM	48	0.02%	TARRANT	17,876	7.37%
ORANGE	1,248	0.51%	TAYLOR	1,715	0.71%
PALO PINTO	387	0.16%	TERRELL	22	0.01%
PANOLA	337	0.14%	TERRY	110	0.05%
PARKER	2,340	0.96%	THROCKMORTON	19	0.01%
PARMER	81	0.03%	TITUS	300	0.12%
PECOS	170	0.07%	TOM GREEN	1,258	0.52%
POLK	752	0.31%	TRAVIS	6,754	2.78%
POTTER	1,122	0.46%	TRINITY	157	0.06%
PRESIDIO	18	0.01%	TYLER	256	0.11%
RAINS	163	0.07%	UPSHUR	646	0.27%
RANDALL	2,409	0.99%	UPTON	42	0.02%
REAGAN	29	0.01%	UVALDE	197	0.08%
REAL	77	0.03%	VAL VERDE	225	0.09%
RED RIVER	171	0.07%	VAN ZANDT	809	0.33%
REEVES	45	0.02%	VICTORIA	779	0.32%
REFUGIO	79	0.03%	WALKER	718	0.30%
ROBERTS	29	0.01%	WALLER	569	0.23%
ROBERTSON	176	0.07%	WARD	148	0.06%
ROCKWALL	1,315	0.54%	WASHINGTON	402	0.17%
RUNNELS	134	0.06%	WEBB	715	0.29%

More ...

EQUAL OPPORTUNITY EMPLOYER
COURTESY - SERVICE - PROTECTION

02/10/2014
01:27 PM



TEXAS DEPARTMENT OF PUBLIC SAFETY

REGULATORY SERVICES DIVISION

CONCEALED HANDGUN LICENSING

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Page 4



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STEVEN P. MACH
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Demographic Information by Residence County

Period: 01/01/2013 - 12/31/2013

License Applications: Issued

<u>County</u>	<u>Number of Applicants</u>	<u>Percent</u>
WHARTON	410	0.17%
WHEELER	113	0.05%
WICHITA	1,632	0.67%
WILBARGER	180	0.07%
WILLACY	56	0.02%
WILLIAMSON	4,628	1.91%
WILSON	672	0.28%
WINKLER	30	0.01%
WISE	1,093	0.45%
WOOD	677	0.28%
YOAKUM	78	0.03%
YOUNG	321	0.13%
ZAPATA	40	0.02%
ZAVALA	42	0.02%
[Out of State County]	1,434	0.59%
Total	242,641	100.00%

End of Report

EQUAL OPPORTUNITY EMPLOYER
COURTESY - SERVICE - PROTECTION

Attachment E

MD Anderson's Campus Carry Working Group members

MD Anderson Campus Carry Working Group

Faculty representation

- Michelle Barton, Ph.D., Dean Graduate School Biomedical Sciences
- David Johnson, Ph.D., Professor, Epigenet and Mol Carcinogenesis
- Ann Killary, Ph.D., Professor, Translational Molecular Pathology
- Peter Norman, M.D., Professor, Anesthesiology and Perioperative Medicine
- Shirley Richmond, Ed.D., Dean School of Health Profession
- Richard Wendt, III, Ph.D., Professor, Imaging Physics

Patient representation

- Gary Bentz
- Gregory Montelaro
- Ronnie Pace

Student representation

- Aundrietta Duncan, Graduate School of Biomedical Sciences at Houston
- Kaleena Ramirez, School of Health Professions
- Eduardo Chacon Zavala, School of Health Professions

Staff representation

- William Adcox, Chief of Police, UT Police
- Matt Berkheiser, Dr.PH, Executive Director, Environmental Health and Safety
- Lee Boozer, Manager, Institutional Compliance
- Kelly Brassil, Director, Nursing Programs
- Yolann Campbell, Executive Director, HR Strategic Partners
- Raymond Gerwitz, Jr., Director, Police Administration and Support Services, UT Police
- Steve Haydon, Associate Vice President and Deputy Chief Legal Officer
- Chris Hernandez, Executive Director, Patient Advocacy
- Brandon Hernandez, Associate Director, Academic and Student Affairs, School of Health Professions
- Patty Johnston, DNP, Executive Director, Clinical Nursing Practice
- Sherri Magnus, Vice President and Chief Audit Officer
- Matt Masek, Vice President and Chief Legal Officer
- Spencer Moore, Vice President and Chief Facilities Officer
- Chris McKee, Vice President, Business Operations
- Julie Penne, Associate Director, External Communications
- Tony Phillips, Senior Legal Officer, Institutional Compliance
- Tadd Pullin, Senior Vice President, Institutional Advancement
- Michael Redmond, Lieutenant, UT Police
- Pam Ryall, Program Manager, Institutional Compliance
- Susan Stafford, Executive Director, Nursing Professional Practice
- Max Weber, Associate Vice President and Deputy Chief Compliance Officer, Institutional Compliance

Attachment F

Campus Carry at MD Anderson Comprehensive Feedback Report

Campus Carry at MD Anderson

Exclusion Zones Feedback Report

The following report reflects feedback from the MD Anderson community on potential Campus Carry exclusion zones. Feedback was gathered via an online Qualtrics survey, the Campus Carry email box, Inside Line, in-person at townhall meetings and in-person at institutional meetings. [See the appendix for more details.](#)

Patient care and clinical areas

Overall sentiment:

Although we try our best, some patients will have poor outcomes. I cannot begin to imagine the horrific scenarios that may play out when frustration, anger, and other emotions of patients and families are mixed with a health care setting and the people who are trying to care for those patients.

As a provider I would feel unsafe and hindered in my ability to speak openly and frankly with patients around hard issues such as positive test findings and end of life issues, if I knew that guns may readily be available. In addition, patients can have disease-related confusion due to treatments.

Areas to be excluded:

- Waiting rooms
- Lobbies
- Patient Advocacy
- Diagnostic Labs
- Pharmacies
- Skybridges
- Emergency Center
- Main Building
- Pediatrics/Children's Cancer Hospital
- All inpatient and outpatient areas
- ICU
- Outpatient testing locations (lab, GI, GU, GYN, etc)
- Any area where patient care activities take place
- Inpatient areas
- Clinics
- Ambulatory centers
- Infusion areas
- Radiology
- Operating rooms (fire hazard)
- Pain Clinic
- Proton Therapy Building
- Diagnostic Imaging
- Radiation oncology
- MRI areas

Updated: 11/2/2015

- Psychiatric area (Brain and Spine)
- Cyclotron areas for patients' treatment or radioactive sources for laboratory use
- Exam rooms
- Surgical suites
- Faculty Center (seen as an extension of clinical space)
- Anywhere there are billable services, e.g. Radiologists read scans on floors 14 through 16 in Pickens Tower. (Example of rationale for identifying patient care areas)
- Areas that have oxygen tanks (fire hazard).

Conflict resolution areas

Overall sentiment:

Guns shouldn't be allowed in areas where emotions run high.

Areas to be excluded:

- Human Resources
- Employee Assistance Program
- Compliance

Research and laboratory areas

Overall sentiment:

Risk that an errant bullet could cause an explosion or unleash a dangerous chemical/material, or negatively impact research activities.

Areas to be excluded:

- Animal facilities/veterinary medicine
- Laboratories
- Laboratories with flammable materials
- Animal research areas
- Areas that contain radiological materials
- Areas that have compressed gas
- MSF Facility (MSF1.1103) Liquid Nitrogen Freezer Room, MSF1.1202-MSF 1.1208
- ISO7 classified laboratory areas
- High voltage magnets
- Research labs that contain biohazardous, biologically active, radioactive substances, cells, viruses, bacteria, human-derived samples
- Animal vivarium
- Any lab or building that has CB2 or high reagents
- SCRB 3 AND 4
- Any lab or building that contains labs that use BSL2 organisms, such as lentivirus.
- All laboratories (wouldn't be known in advance what's kept in each)
- Hallways/areas adjacent to places with flammable/explosive materials
- Floors 5, 7, 9, 11, 13, 15 of the Basic Science Research Building. These are floors on which there are laboratories that can have highly flammable and explosive materials.

Updated: 11/2/2015

- All laboratory space in GSBS, and zones (yellow, tan, blue, and pink). These zones contain many rooms with highly flammable cabinets (storing flammable reagents). Similarly, rooms vested for tissue culture often contain gas under high pressure which could also provide an explosive target. Similarly, rooms that store liquid nitrogen should also be off limits.
- I would include areas with compressed gas cylinders, radioactive materials (especially cesium or cobalt sources), and toxic or biohazardous materials, battery rooms, etc.

General suggestions

The following suggestions don't fall into one similar category.

Areas to be excluded:

- Rotary House - worry about guns being allowed there due to patients' psychological states.
- Pickens Academic Tower
- Research Medical Library
- Chaplaincy/chapel
- Pharmacy deposit where stock drugs are kept
- Medical supply areas
- Child visitation rooms
- MD Anderson accredited K-12 private school
- Shuttles
- President and EVP offices
- Any faculty administrative areas, including faculty office areas, hallways, conference rooms, work rooms, etc., as well as crosswalks that communicate these areas.
- Volunteer Services
- Auditoriums
- Cafeterias/dining areas
- The Park
- Office areas
- Conference rooms
- Any of the school areas associated with the School of Health Professions. This includes classrooms, faculty and staff offices, the student lounge, and laboratories. The SHP faculty offices in YB (yellow basement) are especially at risk. There are very limited exits from this area, and the faculty offices are cut off from the rest of the institution which would delay the arrival of help and limit the exit possibilities for endangered faculty and staff.
- Areas of campus whose primary function is the same as areas which are already excluded by law, such as churches and hospitals.
- Events or functions, as well as areas. For example, a holiday party at which alcohol is being served. Concealed carry should be excluded for events like that, even though the area it is held in (e.g. a conference room) may ordinarily not be excluded.

Updated: 11/2/2015

Appendix:

Feedback in this report came from the following vehicles and meetings.

Campus Carry Survey

The Campus Carry Survey launched Sept. 29 and is still open. The survey includes two questions: the first asked responders to identify what workforce population they represent and the second asks responders to suggest potential exclusion zones, along with reasoning to support.

Survey responders included:

- Faculty: 223
- Staff: 345
- Students: 5
- Volunteers: 4
- Contract workers: 2

Total responses: 579

Townhall meetings

The first Campus Carry Townhall was held on Oct. 19 at 2 a.m. (Main Building, Floor 10, Elevator F, Conference Room G10.3315). This townhall targeted feedback from our nightshift employees. 25 people attended. Each represented different units/groups and were tasked with taking information back to their areas.

The second Campus Carry Townhall was held on Oct. 21 at 3 p.m. (Main Building, Floor 2, Elevator D, AT&T Auditorium (B2.4750). This townhall targeted feedback from employees working traditional business hours. It was streamed live on MD Anderson Live for people to watch from their computers if they couldn't attend in person. 98 people attended and 134 watched online.

Institutional meetings

Presentations on Campus Carry have been made to the following groups:

- Faculty Senate
- Division Heads
- Institutional Research Executive Committee
- Institutional Safety Committee
- Research Administrators
- Diversity Council
- Clinical Department Chairs
- Institutional Patient Safety Committee
- Faculty Senate
- IREC
- Clinical Department Chairs
- Division Heads
- GSBS faculty
- Institutional Safety Committee
- Division Administrators Weekly Group Meeting

Updated: 11/2/2015

- Research Department Administrators Meeting
- Diversity Council
- Education Council
- Graduate Medical Education Committee
- Institutional Patient Safety Committee
- Anesthesiology Grand Rounds
- Division of Cancer Medicine leadership/chairs

Campus Carry email box

Approximately 45 emails have been received in the campuscarry@mdanderson.org mailbox.

Inside Line

Five questions/statements were submitted via [Inside Line](#).

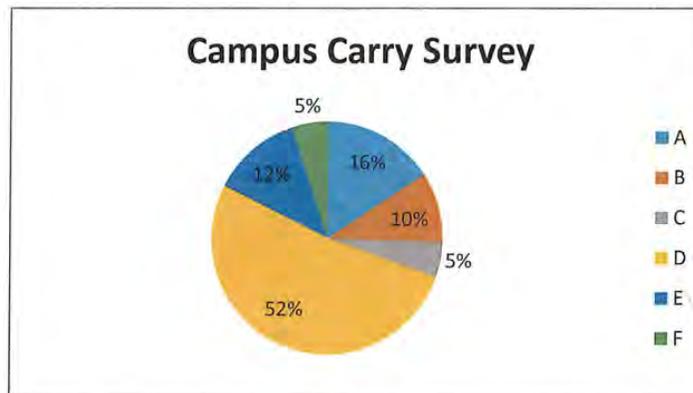
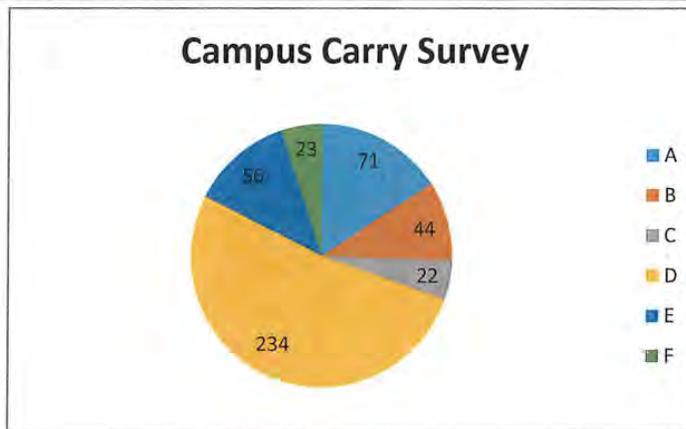
Updated: 11/2/2015

Attachment G

MD Anderson Campus Carry Qualtrics survey results

The following survey results are from Sept. 29 through Nov. 10 and are categorized into the following types of responses (see corresponding tabs to read all responses):

Tab	Overall theme of response	Number	Percentage
A	No mention of Campus Carry. Disagree with the law. Want a campus ban on handguns or suggest exclusion zones that would take the effect of a campus-wide ban.	71	16%
B	Understand Campus Carry is law, but still want a campus ban on handguns or to establish so many exclusion zones that they would take the effect of a campus-wide ban.	44	10%
C	Uncomfortable with Campus Carry, but understand its law and recommend specific exclusion zones.	22	5%
D	Offer no opinion on the law, only specific exclusion zones.	234	52%
E	Agree with Campus Carry and want minimal or no exclusion zones.	56	12%
F	General statements or questions.	23	5%
Total responses:		450	



A: No mention of Campus Carry. Disagree with the law. Want a campus ban on handguns or suggest exclusion zones that would take the effect of a campus-wide ban.

Gun are inherently unsafe. Should be excluded from everywhere that patients are.

Except on UT Police, I don't want guns anywhere on our campus at MD Anderson. Not anywhere!!!! I absolutely do not want to work at an institution where people can carry concealed handguns.

I searched this page out because the other day I saw a banner go by on the Inside MDA page and didn't have time to read it. I am glad you have this survey up because I absolutely want to be heard on this issue and do

Absolutely opposed to this rule in any form. No guns in hospital for sure, no guns in HR, no guns in auditoriums.

Absolutely no concealed handguns on campus. There is no reason to allow them. If patients or families want to enter MD Anderson, they should leave their handguns at home or in their vehicle, but there should be no reason for them in a hospital.

NO handguns anywhere on campus, in the hospital, in the skyways, Pickens, Mays, basically ANYWHERE... please!

All areas of the institution.

An upset and angry worker with a handgun is a legitimate concern for employees with management responsibilities.

In my opinion it is an absurd to carry gun in he campus. That is why we have UT police. It makes no sense.

MD Anderson cancer center at all campuses, should be a potential exclusion zone.

The American Medical Association has identified gun use and gun violence as a major medical problem in the US. Therefore, it is incumbent upon us as a health care institution to reflect the medical priorities and the values of those of us who have taken oaths to care for the health of others. We should not permit firearms of any sort in any building engaged in health care delivery to patients, or in which the presence of firearms would be inhibitory to the science and free exchange of academic ideas related to patient health care provision. ANY building related to the health care enterprise should be an exclusion zone. If we don't permit smoking, we should not permit lethal weapons.

I am not comfortable with concealed handguns anywhere on the MD Anderson Campus. It contradicts our mission and the research in this area does not support the notion that a greater level of safety will be accomplished with the addition of guns to our campus.

All indoor locations at MDACC where patients and health care providers are located should be exclusion zones. entire facility

I hope our campus is gun free. Too many tragedies of campus shooting, can MD Anderson put it to STOP on our

The entire campus.

~~/ I would like to go on record saying that I believe the entire MDACC hospital and facility should be GUN FREE.~~

I am 100% opposed to anyone carrying a weapon that has the sole intent on hurting another human-being. / MDACC is a caring facility in which we are trying to save lives against a deadly disease. We do not need another potential killer in our waiting rooms. / This institution went SMOKE-FREE (another deadly weapon) years ago... why on earth would we allow handguns? / In NO SANE WORLD is it appropriate to bring a weapon into this medical facility and endanger the lives of patients, family and caregivers. / / I will be asking my patients to not carry weapons anywhere around my clinical activities. / And, if they refuse, I will politely refer them to another

I think all zones should be excluded. Thank you

I am very concerned about having concealed or any other kind of weapon at a cancer hospital. We make difficult decisions about patients' lives all the time. / I think the question also needs to be asked how would a patient or their family feel if they knew that their doctor was carrying? / I think guns should not be allowed anywhere on
Whole hospital should be exclusion zone.
No guns anywhere on campus.
There should be no guns on the campus at all. This is outrageous that we cannot prevent this.
Would exclude the entire campus. The people who passed this law are misinformed, though they meant well. Several references seem to show that our chances of being shot will now INCREASE! It is less likely that the gun
I would prefer if all the MDACC clinical areas, classrooms, meeting rooms and cafeterias are made exclusion zones. I would feel safer if guns are not allowed in most areas within MDACC. I see no reason why guns should to begin with, I find it very troubling that those who should be carrying weapons, CPD Police, actually do not do so. / So now, we are going to let anybody bring in weapons to an Institution that has radioactive materials and could be a potential terrorist target when we don't even have armed guards! / If this Policy is carried out, I guess we will be forced to bring our own bullet proof vests and wear them on rounds just in case we suffer a Code Black scenario.... / I just don't know what we are thinking any more.
in general smoking is banned on the campus because it kills, guns should be banned because they kill even more efficiently than smoking! / to be excluded: all areas of the campus except surroundings and parking lots (even if I would not feel safe in a parking lot in which concealed guns are allowed)
Exclude all areas except those that cannot be monitored with metal detectors. / / Guns must be checked in and deposited with a guard before entering the exclusion zones.
I am completely opposed to allowing handguns on campus. I feel strongly that UT MD Anderson is responsible for providing a safe workplace for all employees, including me. I feel strongly that by allowing concealed weapons MD Anderson campus should remain a gun free zone.
All buildings all zones, no where should guns be allowed other than in your car
The whole hospital.
no guns everywhere on the campus please
the entire MDACC should be exempt as a site for patient care and research
The whole campus has to be an exclusion zone.
Any area within the MD Anderson campus. There is no need to carry a weapon here, concealed or otherwise.
It should be excluded from all the patient care areas. Recent incidents in many hospitals and colleges urge us to
Handguns should not be allowed on any areas of the MDA Hospital, offices, laboratories, restaurants, gym, and
The Whole Campus!! What were those idiot legislators thinking of!
I am totally against concealed carry on campus. I do not think it appropriate anywhere. Please exclude all administrative offices, meeting rooms, hallways, and the fitness center.
Guns, concealed or otherwise, should not be allowed. What does a patient with CHL do with their gun when he/she gets undressed for the exam? Do they hang it on the door or under their clothes on the chair? What do they do with the gun when they go for an MRI? What if the gun is exposed when they have to get blood tests? It would be very hard for guns to stay concealed in our working environment. We do not need to be policing our patients in order to adhere to the law, in addition to our other responsibilities, and it would create a potentially chaotic, unsafe work environment. Please do not allow guns in patient care areas, which is anywhere in the
Guns have NO business on MD Anderson campus.
I would not allow handguns on campus at all. Even though policies and procedures are set for people who have concealed gun license, doesn't mean that all will follow the rules. Some will and some will not and, some will
I can not limit my suggestions to anything other than: The entire campus of an organization expected to be respected by modern society, where people are expected to focus on work in a modern organization with the expectation that concealed weapons are not being carried by others around them. / Should this law truly be implemented, it will motivate me to take the knowledge and skills that I've developed at MD Anderson Cancer

I believe the entire campus should be excluded as are other hospital campuses.
/ I do not see any reason for anyone to have guns anywhere in this campus at all, considering large number of employees and all cancer patients and their family which are already naturally unhappy can be trigger mishaps. / this is a hospital not combat zone and we have the UT police to take care of our safety. / / please NO GUNS here
All areas should be excluded. I don't feel safe knowing my coworkers could be carrying firearms.
Exclude as many areas as possible. Please, and thank you.
All indoor areas and areas within 200 feet from any entrance to any building should be exclusion zones. Parking lots and garages can be exceptions, so people can leave their firearms in their cars.
The more exclusion zones, the better. / It is almost impossible to conceive of a situation that will be improved by having anyone with a concealed handgun. / Please do everything possible to minimize the number of concealed
I think the entire MD Anderson hospital facility should be a gun-free zone.
I'd suggest excluding any department/location where more than 5 employees work. I would not feel safe or at ease where employees or patients/visitors are allowed to carry firearms, open or not. There are too many disgruntled or psychologically or emotionally at-risk employees, as well as patients or family members unhappy with treatment outcomes for this (open carry) to be a good idea. / / Public health data shows that access to gun is positively correlated with more deaths by gun. To the argument that having armed people would prevent tragedies, I'd invite them to explain the high fatalities at Ft. Hood. I also do not want to be caught in the crossfire
Inside any MDACC building. Any office.
All of MDACC needs to be an exclusion zone - it is going to be too easy for an upset employee, patient, or family member to act in the "heat of the moment" if a concealed handgun is readily available.
The entire center! The examples listed above are dangerous in the event a gun goes off, which is an incredibly fatalistic standpoint to take. I should be able to feel safe coming to work, and an area with highly flammable material is not the only place I should be able to go without worrying about getting accidentally shot. The first time I see a weapon being openly carried on campus will be the first time I call the police to report a potential
Being intimately associated with research laboratories, I believe that detonating a firearm in that environment will make the severity of what would already be a serious situation exponentially worse. You have flammables, oxidizers, toxics, compressed gases, biohazard materials, all of which would be made vulnerable to this activity. As far as patient care areas, our patients should be prioritized over anything else. I believe that the potential for confrontations with multiple firearms going off at once (e.g. a hostile intruder is met with equal force by more than one carrier) is not how cancer patients envision spending what could potentially be their final days. We have people come here from all over the world and that is NOT what they come here for. If institutions are allowed exclusions, MD Anderson should place its mission above single-minded fanaticism and consider our whole
Patient care areas, parking garages, stairwells in non patient care areas, and elevators - these are already high risk areas. Combining the highly volatile circumstances that occur on a daily basis with the allowance for guns is a dangerous environment for patients, who are an inherently vulnerable population (many are not able to fight off attackers or flee from them) and staff, who give terrible, emotionally charged news on a daily basis to people who may want to retaliate and can now approach them with the intent to harm. I strongly believe that it is
NO GUNS SHOULD BE ALLOWED EXCEPT FOR LAW ENFORCEMENT OFFICERS ANYWHERE ASSOCIATED WITH
ALL zones that are not directly related to higher education should be excluded from this law. Not sure where these higher educational zones are and whether or not they intertwine with administrative and patient zones, but if intermixing exists, then get someone to donate funds to build a new building just for academics that is
The entire campus should be an exclusion zone. I do not feel comfortable in any workspace where someone has a concealed firearm. I feel that concealed firearms create a hostile work environment. We are not police officers
Permitting guns ,concealed or not, is a terrible idea anywhere in MDA.
All zones should be exclusion zones.
THERE SHOULD BE NO GUNS ALLOWED ANYWHERE EXCEPT FOR THE LAW ENFORCEMENT PEOPLE WHOSE JOB

<p>I have been with the institution for a short time period, transferring from an institution in New England where I was witness to two instances where patients shot their doctors within the hospital setting. Shot them dead. / / Patients, staff, faculty, volunteers, workers, etc come to the institution to get treated for a disease that makes them feel unsafe. The one thing they can count on is their safety at MDACC. Why in the world would we compromise this feeling!? This level of trust!?! / / Guns should NOT BE ALLOWED anywhere in the institution.</p>
<p>I honestly think that the whole institution should not allow handguns. It would be hard for me to feel completely safe knowing that there are patients and caregivers bringing their guns to our campus. If not possible to include the whole campus, I would only allow these in the public areas, such as the park. I do not feel that they should be allowed in patient rooms, outpatient clinics, radiation treatment rooms, surgical rooms, chemotherapy or waiting rooms. Any appointment with a medical staff - including supportive staff, should be an exclusion zone. I know that our patients can be upset, stressed, and having a gun on them at times when they</p>
<p>Do not allow campus carry to CHL anywhere in MDACC campus. Just ban it.</p>
<p>Only allow guns in classrooms and area with the specific purpose of education.</p>
<p>In my opinion all inside areas where patients and employees are present should be excluded. The only places I feel would be partially OK are the parking areas. Inside should be approved for staff with law enforcement</p>
<p>All locations where MD Anderson staff, contract workers, and patients are located should be considered</p>
<p>Not a single place exists that is safer with guns present.</p>
<p>I believe that a hand gun should not be on the premises of the building or parking lot. I feel unsafe knowing that someone is carrying a hand gun around the campus and parking lot.</p>
<p>Exclusion zones should be all areas - we do not need handguns in our work place.</p>
<p>Exclusion zones- twenty feet around all entry and egress points of buildings. This is why: http://www.click2houston.com/news/deputies-2-dead-2-injured-in-shooting-at-northwest-harris-county-</p>
<p>All of the campus should be gun free. There are too many areas where the guns cannot be permitted due to medical procedures. If allowed to bring the guns in the buildings, there would need to lockers or a staff member responsible for the repository of guns. A locker system will attract those looking to steal a gun. The later option, is not fair to staff to ask them to responsible in any way for someone's weapon. Overall, this is a place that is too</p>

B: Understand Campus Carry is law, but still want a campus ban on handguns or to establish so many exclusion zones that they would take the effect of a campus-wide ban.

First, I feel compelled to comment that SB 11 is insanity; there is no justification for encouraging the carrying of murder weapons on college campuses. Our state government has clearly learned nothing from what are now virtually everyday events, from Columbine to Sandy Hook and now Umpqua Community College. Is the University of Texas ready for another sniper?

It is likewise a travesty to require our campus to define exclusion zones, as if this is going to stop people from carrying murder weapons from an "allowable" zone into an exclusion zone. But as this is what we're asked to do, my suggestions mirror those mentioned in the Chancellor's letter. The following must be exclusion zones:

1. The hospital, all outpatient clinics, and all waiting areas. In short, any area where patients will be.
2. This, of course, would include all diagnostic imaging and radiation oncology areas, because MRI scanners and other devices with powerful magnetic fields are now in use in both departments.
3. All laboratories, because it will not always be known in advance whether "explosive chemicals" are being kept there, many non-explosive chemicals can be just as hazardous if dispersed by a barrage of machine-gun bullets, and laboratory use changes from time to time.
4. Faculty offices. Our state legislators are proud of their "right to life" stance. Don't I have a right to life, and a right to work without fear of an unstable student or staff member?

Finally, I think the University should fight this. If mine is a minority opinion, then I'll go work somewhere else. But I sincerely doubt that I'm alone. There is no need for the state's flagship university, and the world's leading cancer center, to knuckle under to this misguided, unnecessary legislation.

about myself, my staff, or my patients. In fact, this makes me feel worse for my safety, staff safety, and patient safety. This sets a dangerous tone to society that having guns on campus is ok and it will take a split second decision for a person to pull out their weapon in times of stress or anger to kill other people. I am embarrassed for our institution and our state for allowing such a ridiculous and dangerous policy.

Patient care areas, laboratories - flammable and dangerous environments, and all associated hallways. Any where except where there are regular presence of armed UT police.

Please restrict the the carry zones as much as possible. Although we try our best, some patients will have poor outcomes. I cannot begin to imagine the horrific scenarios that may play out when frustration, anger, and other emotions of patients and families are mixed with a health care setting and the people who are trying to care for

Please exclude as many zones as you can get it pass the law. I feel very UNSAFE when perople are allowed to carry handguns, concealed or not. Because we are humans, and humans make mistakes, which include using the guns where and when they should not have been used, intentionally or non-intentionally, by the carrier or children or someone totally unrelated. I don't trust the rules imposing on the gun carriers. Rules will not be obeyed, and the guns will be mis-used. Allowing it on campus only makes the opportunity for mistakes more

This survey and policy is deeply, deeply concerning. I realize the "entire" institution can not be protected, however I believe leaving 1 inch of concealed carry space in an off campus parking lot is appropriate. I am appalled this is even a discussion point and deeply saddened this is even up for discussion. I am strongly considering leaving the institution and state of Texas when this is instituted. This is a safety issue for faculty and

Entire hospital, including all research labs/campuses, should be considered as exclusion zones. If the policy limits the entire campus being "gun-free", we may designate a symbolic area and place signs and advertise that area beign known as "gun-friendly". There should be no further sacrifice, in my opinion.

<p>There is no place that is suitable to have a concealed handgun. It makes the entire workplace feel unsafe. An academic institution and hospital is an extremely high-stress environment that can predispose to irrational actions; it is not a suitable environment to allow concealed handguns (even if the person has a license to have a concealed carry status). The faculty and staff at MDA should protest this ridiculous and unsafe law.</p>
<p>I am very concerned about guns where family members of patients may have access to faculty members. Twice in my career I have had credible threats against me where I felt threatened in the work place. / / Exclusion areas should include any area where patients, families and MDACC physicians and allied professionals mix. Areas where concealed weapons are allowed should be limited designated parking areas and the lobbies of our major entrances where armed UT security personal are regularly posted. / / / Charles Levenback MD</p>
<p>i do not think it is a good idea at all, we rarely have concerning safety issues, for the majority of us who do not own a gun and will never own one this is a threatening move and it will only benefit the minority who will carry a gun. / i strongly feel against this policy and i hope it will not be carried. as is MDACC is a safe environment /</p>
<p>I agree with excluding laboratories with ANY flammable or dangerous chemicals. I think that should include strong and caustic bases and acids. Also any place where radiation sources are present or radioactive chemicals are used. / Also animal areas should be excluded. In places there have been illegal and destructive actions by so call animal rights extremists. Those are also no place for guns. / I was appalled with the Campus Carry Law in the first place, so I would want to maximize the exclusion zones, consistent with the law. I would hope that guns can</p>
<p>I am against this Campus Carry Policy. / So now anybody who walks in the campus can be a possible threat to working staff. / I am a Research Faculty and people here work at all odd times..we walk in at early morning hours and I personally have worked till late nights..i dont think i would be comfortable knowing that someone around me is carrying one possibly..what if they thought i was a threat and shoot at me though i might have just walked in to a core facility to use an instrument?? and they mistake that i was lurking around them trying to harm them. / I am against this policy. I would like the research zones to be excluded from this policy please. It poses a</p>
<p>I am very concerned, and feel unsafe, with this new legislation. I believe, to maximize safety of patients, that concealed handguns not be allowed in any patient areas. There are too many people who would be victims in a</p>
<p>I am opposed to the concept of allowing any handguns on campus, but recognize that is a legislative issue and outside the scope of this survey. / / I would like the following areas considered for exclusion: / 1. Patient care areas to include the hospital, all clinics, all treatment areas, all radiology areas, all blood draw areas, and all physical/occupational therapy areas. / 2. Laboratories with research chemicals /</p>
<p>This is all very concerning for the security of all care givers and health care staff and I really hope no incidents will occur in the future after this. I would like to say they shouldn't be allowed anywhere, but as stated in the email this is not possible. To limit as much as possible the presence of guns in our institution I recommend the following: / Exclusion zones should maybe include all areas were active patient care is being rendered. By this I mean medical floors, ICUs, ORs, PACUs, clinic areas, laboratory tests, imaging tests, cardiology, PFTs, bronchoscopy and endoscopy suites, and areas of IR and radiation therapy. Also centers at which chemotherapy</p>
<p>while i understand that this is a passed bill and cant be change for now, I would favor to do as many areas as possible no carry zone. That should include also areas of high traffic like sky bridge.</p>
<p>I feel strongly that there is no place for guns on the campus of the Univ. of Texas M.D. Anderson Cancer Center. The presence of guns and people carrying concealed weapons is the very antithesis of both health care and academic activities. This is an ill-considered political initiative that gives no consideration of citizens' rights to work, train and function in a gun-free environment. I feel that all efforts should be expended to prevent any such threatening intrusion within MDACC, and indeed in the Texas Medical Center.</p>

<p>I think it is horrible passed bill that patients, family members, and staff can bring concealed weapons into our hospital. How many of these patients are on opioids or other strong Schedule II medications? How many caregivers are stressed out about finances and how to care for their loved ones and could explode at any time? How many patients will think it's our fault we weren't allowed to "make their cancer history" as our advertisements suggest and perhaps take it out on the people who work here? How many of our staff have committed suicide or tried to kill someone with poison? / / I think it is a horrible idea ANYWHERE on campus, and that is why we have paid, real, CAMPUS POLICE to protect us if a situation goes down! We don't need</p>
<p>I can't understand the rationale of why the legislature would encourage and legalize a law allowing individuals that we have no knowledge of their emotional state and personal problems to bring weapons into my workplace. UT Police provides the security on this campus. It is without question they have been trained to do so and should be the only ones carrying weapons. Hopefully the Committee will consider the FHB Building a gun My only suggestion is to have any many exclusion zones as possible. I do owe a handgun and believe in my right to do so; however, I am not in support of this bill. Our schools have been looking like a turkey shoot at times. Now they will look like the shot out at the OK corral. Is this really better?</p>
<p>I am very concerned that our patients and their families that are routinely experiencing very emotional and complex situations will be able to have a handgun available at moments of their most extreme mental distress. We all know that people do not act rationally under such circumstances and the thought that we as health care providers may now be exposed to gun violence is very disturbing. How many providers will need to be shot and killed by an irate patient or their family before the state legislature realizes that guns have no place in hospital campuses. It makes no sense whatsoever. I am so very disappointed that the health and welfare of those who dedicate their lives in the service and healing of others is so callously disregarded. Why are my rights to a safe work environment less than the right for people to carry firearms. I guess I should start carrying a gun so that</p>
<p>Operating and patient areas with oxygen being used - also explosive. Also prefer guns not be in the work areas of employees - very scary stuff. What if they loose it and pull the gun on the rest of us. Guns at home for protection of your home is one thing, but bringing them into the work place is totally different. Now you are infringing on my rights to not have to have guns right next to me when I'm trying to eradicate cancer. This is detrimental to my ability to concentrate and feel safe. There has to be some compromise here. If that is the case, then I want more armed officers walking in the work areas and having their presence close by. None of us really know our co-workers well enough for me to say I'd trust them with a gun in our work space. I always have appreciated the fact that weapons were NOT allowed on campus. This is really a drastic change and Austin</p>
<p>I worry about ALL areas of campus, frankly. I'm very concerned about the safety in clinics where stressed, anxious, and sometimes very angry patients confront clinical staff and faculty. Those situations are highly charged. No guns anywhere in clinic areas or hospital zones. / Labs are also at risk. Faculty losing funding and facing losing their careers, labs being closed or downsized make for enormous stress and fear. I'm thinking more about the human dimension of this law rather than things like where flammable materials might be housed. Where are inflamed people are likely to show up? It could be anywhere including garages where it would be</p>
<p>I think this is a f\$#king terrible idea. Notwithstanding the fact that I don't want to have to worry about people carrying around firearms at my workplace for my own safety, there are flammable chemicals, pressurized gas tanks, radioactive materials, etc. that are unique to a laboratory and hospital setting. / / What's more, is that concealed carry is still prohibited in churches, snyagogues, and "other places of worship?" How is that fair? Some of us don't worship and stupid deity, but come pretty close to worshipping the scientific method. So this is my place of worship, as far as that goes. / / Instead of wasting money passing these idiotic laws and making committees about exclusion zones, why don't we hire a couple more UTPD officers who are trained and certified and accountable for their firearm use? / / Thanks for giving me another reason to hate this sweaty crotch of a</p>
<p>I think you should create as many exclusion zones as possible because this whole law is a bad idea.</p>

My apologies in advance for the soapbox. While I consider myself an independent who is balanced on various platforms I am not sure why anyone would need to exercise their right to bare arms in a workplace setting. Gov. Abbott, who is wheelchair bound from a gunshot wound, apparently caved to the pressures of the NRA and actively seeking another term in Texas. Second, I believe in the right to bare arms to protect oneself and property. That said, are we working in a "dangerous" environment that would necessitate someone needing to exercise this right lawfully? I think this is a terrible idea. We can say not vigilantes and no policing by non-officers but really? We have a hard time navigating our patients around this large organization and now we are going to be a TSA. This haphazardly invites unnecessary liabilities and dangers into an academic healthcare setting. While it is true that someone who wants to commit a crime will do so without regard for the law, this does not address, circumvent or cure a broken system that is riddled by process inefficiencies and problematic vetting processes to carry a weapon. We should consider that it is also true that criminal behavior will dismiss and bypass the tightest system of security systems MD Anderson implements. Are we now saying that the right

I do not agree with the Texas Legislature allowing Campus Carry. There have been too many instances of gun violence from individuals who obtained their weapons legally and carried out dispicable acts of violence upon innocent people. On the whole, I support gun ownership and gun rights but only up to the point that it does not impinge upon others rights. This is a bad idea to have a gun at any MD Anderson campus especially given that it

This is just crazy, we should have laws with more gun prevention. Allowing employees who carry a gun on campus, licensed or not is just proving our loss of control as people. As an employee, I could not tell who is licensed or not. Half the people in our society are already taking antidepressants, and can go off at any moment. I see this being a major domino effect, and a bad example for patients/family members to think they can do the

Honestly, with this law going into effect, I will never feel safe at work again. And I can't imagine how our patients and visitors will feel safe. MD Anderson is a place dedicated to healing and caring, surviving and nurturing. It is hard to reconcile our core value of caring with the presence on campus of weapons that are only designed for one thing--to kill. Gun violence kills more Americans than do some cancers. It's absurd that we can (rightly, of course) ban tobacco but not guns on our campus. // Since the Texas Legislature has taken away our rights to make our own decisions about the policies that best suit our work environments and we can no longer have a blanket prohibition on guns on campus, I suggest excluding everyplace but parking lots and outdoor areas. I say let the guns stay outside buildings. Outside is bad enough. Inside our buildings, it's only a matter of time until there's a situation in which someone gets hurt, whether accidentally or intentionally. I shudder to think of a carelessly handled gun misfiring in a patient care area, a cafe, an office space, or a laboratory. I shudder to think of a young visitor getting his or her hands on an inappropriately secured gun. Since the Texas Legislature doesn't

Exclude patient clinic buildings. Exclude areas in which radioactive materials are used and or stored. in fact, now that I am thinking about this topic I REALLY want you to exclude EVERY MD Anderson buildings and facilities. Nothing good is going to come of this rule.

I know it's the law, but please exclude as many places as you can on our campuses. This is an emotional place to work and live. We face enough road rage driving into the Texas Medical Center and we do not need to see that carry over onto campus. I do not believe allowing individual/non peace officers carrying weapons will make anything more safe, and I ask that you also please consider limiting allowance for weapons in administrative buildings. I appreciate your asking faculty and staff to weigh in. Thank you very much.

Guns have no place in an academic facility. The entire campus should be excluded by repeal of this terrible law

The entire campus should be smoke- and gun-free. If guns have to be allowed in "campus" areas, I would limit them to classrooms or the smallest areas possible. Certainly I don't think guns should be allowed in the hospital,

I think this Bill is an egregious affront on MY right to NOT be assaulted by a firearm. I'm tempted to follow UT Austin's suit & carry a dildo openly to work just to make a statement, no doubt I'd catch more wind in so doing than if I had a GUN on me. Ridiculous state, ridiculous priorities. Sometimes I'm just ashamed to be attached to

<p>Personally, I believe the entire campus should be excluded but unfortunately the law does not allow that option. / / Hospital, labs, classrooms, meeting rooms, study spaces should certainly be excluded. Seems to me that the problem of where one might be able to store a weapon other than locked in the trunk of their vehicle and not bringing it into the building is going to be a problem. What would be an acceptable secure storage locker space</p>
<p>All areas with patient access; all labs with sensitive equipment, not just flammable but also equipment that is delicately calibrated; parking structures because cars are explosive. / / Please make as many exclusion zones as possible within the scope of the bill. I hate this bill and think it will discourage future faculty and staff from choosing MD Anderson as a place of employment. It also has the potential to cause current faculty and staff to take positions elsewhere to avoid the requirements of this bill. / / While you can't control what the state senate decided, I appreciate your effort to receive input from those effected by it. Question: How will the exclusion zones be enforced? It's called "concealed" carry for a reason. Are we assuming the honor system? Will there be</p>
<p>ALL areas of MD Anderson should be excluded! This is an irresponsible law that will endanger the lives of fellow employees and patients. With over 17, 000 employees (if I recall correctly) the chances of someone who is not in the proper state of mind being allowed to carry a deadly weapon on campus will eventually lead to a potential tragic event. I have two sets of loved ones who are active patients at MD Anderson and I will not sit quietly</p>
<p>I am a clinical provider. Twice during my work here, I have examined a patient carrying a concealed weapon. / I was unnerved on both occasions. I left the room as we were instructed to do in our inservice by UTPD, and reported this event to UTPD. The patient stated he was a retired police officer, but how can you be sure. This patient became very angry with me, after he was contacted by the UTPD. / / I tell this story to demonstrate a point. How do you know if the weapon carrier is licensed to carry? How do you know if they are telling you the truth? And when you are in a room, by yourself, with a patient, and a gun, it is scary. / / Therefore, I advocate, for as many exclusion zones as possible at MD Anderson. Certainly, all patient care areas should be an exclusion zone. I know this is law, now, but I feel, that as an employee, I have rights as well. There is no reason to carry a</p>
<p>First I am alarmed that the Texas Legislature passed the Senate Bill 11. This makes me feel extremely unsafe. I imagine that at least every day there is either a patient or volunteer annoyed, pissed off or angry with their diagnoses or their job. What if that patient or employee is pushed to their brink and happens to be carry a concealed handgun? This bill puts our patients and staffs' safety at risk. I understand that we have to abide by this new law. I just wish there was something we could do to not allow guns in our hospital and workplace.</p>
<p>Everywhere. I do not see how adding guns to our environment is going to make anyone feel safer. Is UT or MDA working to repeal this action? / / Exclusion zones: Main Campus, 1MC, Mays Clinic, etc...</p>
<p>I think all of the hospital should be excluded from this new regulation. I don't see any need for anyone other than UPD to come to this campus armed with a concealed handgun. This is inviting criminals and others that should not carry weapons into a hospital setting.</p>
<p>I support the exclusion / exception of our entire campus. Although we are an institute of higher education, we primarily are an oncologic care and research facility. This is an emotionally charged, high-stress environment in which firearms have no place. I sincerely hope we lobby for an exception to the campus carry law because we are an academic campus only in the most minimal sense. For the Texas legislature to categorize all campuses under one umbrella is short-sighted in the extreme. Perhaps it may consider a weighted score in which each campus is assessed independently; those that provide more patient care than academic activities would be</p>
<p>/ I strongly disagree with this initiative. I disagree with the notion of giving alcohol infuzed teenagers encouragement to carry guns, I don't think they Institutions of healing should be forced to allow guns as well. We are an institution of healing, not killing, and I don't think it is appropriate for gun-loving militants force their opinions on the masses who would rather not have to be worried that more crazies out there get to have more easy-to-obtain guns. / / I encourage our institutional leadership communicate back to the legislature that this</p>

I am opposed to weapons in high stress areas, laboratory space areas where hazardous material are present, and areas where animal research areas. / High stress areas include patient care areas or areas where workload is by nature (human resources areas where disciplinary actions or employment separation are carried out) or by volume is so high as to induce high stress (call centers, radiology and pathology interpretation areas). / Additionally, weapons present a distraction for caregivers and investigators and we must maintain focus at all times. Anything that detracts from that that we can help alleviate, we have the responsibility to do so. / From an

C: Uncomfortable with Campus Carry, but understand its law and recommend specific exclusion zones.

Suggestions following recommended as above

Any lab or building that has CB2 or high reagents including any building that stores such reagents.

Any lab or building that can or does store radioactive material (prevent people from coming after dirty bombs).

Any lab or building that can or does contain labs that utilize BSL2 organisms such as lentivirus.

Actual recommendation that we are except from this idiotic legislation because the vast majority of the people on the campus DO NOT WANT IT!!

I'm sorry, but allowing people to carry handguns onto the MD Anderson campus is ridiculous! I would say that the exclusion zones should be any medical area and any laboratory area. People receiving bad news (whether about their health or about their grades in class, or about their status as a faculty or trainee) have been known to respond badly. We don't need a massacre here like has happened at other institutions.

The chancellor refers to laboratories that use "explosive chemicals", and argues that these should be exclusion zones. Of course, they should. What about laboratories that use caustic, carcinogenic, or other hazardous chemicals? These can be just as harmful to employees and patients as an explosion. Many laboratories, diagnostic centers, and treatment facilities use radioactive materials. The discharge of a murder weapon in these areas would be the equivalent of a "dirty bomb"; even if the even caused no injuries, the ensuing panic could be the larger disaster.

Exclusions should include any patient care areas, laboratories with highly flammable and explosive materials, and where there is limited security such as Pickens tower.

I oppose this law and am very concerned for my safety. Any precautions that can be implemented to place boundaries on carrying concealed weapons would be appreciated. Ideally, if anyone has a licences to carry a concealed handgun, they should be required to be identified for the safety of everyone. Also, I would encourage the leadership to measure any adverse events that occur before and after the law goes into effect to ensure that

clinical areas - clinics, clinic buildings, waiting areas for clinics, hospital itself

Academic offices - since these areas are open to the public pts walk through these areas from rotary house to get the clinics, or located just above the clinical areas over near mays and cpb) we need to make sure our faculty are protected from on campus weapons

the most recent offense of note which comes to mind is the pt's son at the Brigham Women's hospital who shot his mother's cardiothoracic surgeon, blaming him for her death one year later.

we all are in the unfortunate position at a cancer hospital of breaking bad news, in a world where anyone can be armed, the range of responses and risk to the messenger is very high. Sanctioned weapon carrying increases this risk.

Sadly, I think we will not only need to address this policy, but also ensure that we are prepared in all of our areas for response to an active shooter, building a proper response and regular training for all staff - clinical, research and admin. This practiced and prepared response is a critical next step in our expansion of the gun policy.

I hope MD Anderson will work to ensure a safe working environment for its staff and faculty.

I'd like to distinguish between a crazy person who comes to kill people, and everyday people who carry a gun for self-defense. I don't think any campus carry laws will impact the former, but we can prevent people from being in a volatile emotional situations and have the opportunity to reach for a gun.

I think that with all the emotional tension surrounding diagnosis and end-of-life for patients and families with cancer, this is not a good environment for people to be armed. Anger is a natural stage in dealing with the emotional trauma of being diagnosed with and losing a loved one to cancer. We have had several parents over the last 10 years threaten harm/death to the medical team. This in an opportunity for us to de-escalate the situation with caring and compassionate. But if handguns are allowed into our hospital, our patients and families will have the potential to make an unfortunate choice in the heat of the moment to draw a gun on a medical team member, or on another family member. Should staff then arm themselves in protection? Should we have armed guards on every floor? To me these are obviously only going to escalate the situation, with potentially deadly consequences. How can we protect the safety of our employees and guests if we allow guns in this environment.

Although my preference is to restrict weapons in any part of the hospital, I believe the argument above should at least restrict weapons in all inpatient areas. But if you allow guns in outpatient clinic, it will be difficult to enforce no guns in the inpatient units. Let be pragmatic, the best opportunity for a gun owner to put their weapon into

I am deeply disturbed by this new law and particularly concerned about the disastrous consequences that it could have in a highly emotionally strung environment such as the one we work in here at MD Anderson. I am especially referring to the clinical settings, where we often have to deliver bad news and outcomes are often adverse despite our best efforts because of the nature of the diseases we treat. We are all acquainted with the case of the fatal shooting of a cardiothoracic surgeon at one of the Harvard hospitals by the enraged son of a patient. In that particular case, the physician had taken out time from his clinic schedule to meet with the son to explain things to him, something many of us would do out of feeling for our patients and their families, even if it

1. In general, weapons should not be allowed into our hospital, any more than cigarettes are allowed. However, we are stuck with the stupidity of our elected officials, and have to comply. / 2. I think that any patient treatment area (clinical areas) should be off-limits. These are "non-public" areas. This would mean anywhere a nurse works behind closed doors. In this way, a person could carry a weapon in the public area (in the waiting area) but not into the clinical work area, where the patient and family enter behind normally locked doors. This

It is beyond shameful that the Texas legislature would pander to gun lobbies by passing a law explicitly allowing guns into institutions of higher learning, which in our particular case includes a hospital taking care of patients with cancer. This is why people throughout the country and the world have such a dim view of this state. / / In any event, the law doesn't allow an institution to ban guns from the entire institution, but I would argue that in addition to locations with explosive materials, MD Anderson should take a stand and ban guns at least from all clinical care areas, including the main building and the Mays clinic. There are few areas where emotions run

Clearly any patient care areas should be exclusion zones - patients here are under a tremendous amount of psychosocial stress and there is no need to place vulnerable people at increased risk for impulsive harm to self or others. As so many zones are interconnected, I do not see a feasible way to exclude patient care areas while allowing concealed carry in areas that are technically not patient care but are connected (e.g., skybridges, Faculty Center, Pickens, dining areas). I understand that there is some legal technicality that makes MD Anderson something more than a hospital, but I think our patients feel they come to a hospital for their care; they (and we) should be able to focus on their care rather than how taking a step from one hallway into the next

If they must be allowed to carry, I feel that they should only be allowed in outdoors setting on campus, I don't see why anyone needs to carry within the walls of m.d. Anderson when we have UTPD and security all over the

Personally I'm against the entire law. But if I have to choose, there should be exclusion zone for all patients areas, such as clinics, in patients hospital areas, consider the stress that our patients have already have to deal with their illness, the last thing they have to deal with is thinking of someone may carry a gun next to them(in the patient waiting area or next to patient rooms). or worry that some lunatics may use the gun to harm our

Wish we could exclude the entire campus because this is a little scary. I don't want co-workers carrying concealed guns around. Obviously areas with highly flammable and explosive materials and high voltage magnets should be excluded. The Children's hospital area should not have people with concealed guns. I also would recommend the Ombuds Office, EAP and FAP areas not to allow concealed guns since emotions can run

Frankly, I'm very uncomfortable with this new law. You never know peoples mentality, people kill people. Therefore, while MD Anderson doesn't have security to monitor who comes and go, I'd like for the Purple Zone

I would prefer that guns not be permitted anywhere on mdacc campuses. However, I understand that making a blanket statement is not permissible under this law. Thus, I would recommend that guns not be permitted in any active patient care areas (ie clinic, ATC, diagnostic imaging, outpatient surgery inpatient floors.)

I do not want handguns or any other guns anywhere on campus. I believe that anywhere where patients or study participants may be at should be exclusion zones, as well as the HR area. Any area that is more likely to have

Personnally, I am not a fan of this Bill. / / I definitely think the laboratories and other area that has or stores flammable/explosive materials should be exclusion zones. Also, I think patient care areas should be exclusionary

Patient and research areas should be excluded. I would really like the entire campus to be excluded but recognize that is not realistic. Being a nurse, I have found knives in patients rooms and been threatened by patients. It is very concerning to me to think that this is being made easier with concealed handguns allowed on

I know we are not allowed to prohibit the concealed handguns on campus but I think there should be as many exclusion areas as possible. They should certainly not be allowed in clinical areas, in labs, or in staff areas that

While I respect everyone's rights, I'm concerned about having guns on campus. I can recall a time I came upon a disgruntled caregiver in a hallway in the Main Building. In his anger he kicked a hole in the wall while swearing. He was frustrated and needed an outlet. I can't imagine if he had a gun. With the emotional rollercoaster a

Aside from excluding guns altogether, / / They should be removed from pa

I am against concealed handguns being on campus in any area. / / * Office/research areas deal with sensitive issues on a daily basis, such as budget/spending, employment/termination, facility/space reduction, etc. These issues are highly sensitive and often involve escalated negative emotions from multiple parties. / / * Hospital/patient areas deal with sensitive issues on a daily basis, such as delivering terminal illness/treatment news to families, which can produce unstable emotions. / / * Laboratory areas contain flammable and explosive materials and it would be unsafe to have handguns in those areas. / / * Garage areas are locations that have been known to have crime occur in them from individuals that have no official business on campus. If employees or patients are permitted to carry concealed handguns in those areas, there is the chance that increased harm may come to innocent bystanders, as folks who typically park in those areas are aware of the

D: Offer no opinion on the law, only specific exclusion zones.

<p>laboratories with flammable material animal research areas high-emotion experiences: employee performance evaluations, graduate candidacy exam (oral exam), thesis defense meetings.</p>
<p>Pediatric clinical care areas should not allow firearms under any circumstances. We cannot take any risk that a firearm will end up in the hands of a child.</p> <p>Parents may sleep over in a room, leave it in a purse etc. where a child may access the firearm. This could result in an unacceptable risk to our pediatric patients.</p>
<p>At the Pain Clinic we deal with some patients that abuse or divert drugs; so we often have to confront and dismiss them from the clinic. It is a minority of patients, but I strongly believe that it should be a gun free zone! I have been threatened twice.</p>
<p>In my current position I do not encounter areas which would qualify as an exclusion zone. Cubicles, shared break rooms and public spaces should not be zoned as an exclusion zone.</p>
<p>All patient care areas should be part of the exclusion zone.</p>
<p>well what if a person or worker loses his or her gun around the hospital .. and a kid finds it and goes around playing with it.. what if someone mistaken it for a gun and it be something else more dangerous. yeah I agree with carrying gun is ok but what if some one having a horrible day and go left.. it just not yet safe for CHLaround the hospital</p>
<p>All patient care areas. Office areas. Research labs with flammable, toxic chemicals, corrosives and with compressed gas cylinders (puncture of a compressed gas cylinder could be extremely dangerous) / liquid nitrogen tanks.</p>
<ol style="list-style-type: none"> 1. All patient care areas 2. All common areas- Including lobbies, gift shops, cafeterias 3. Laboratory areas
<p>All laboratories and adjacent office space should be considered an exclusion zone.</p>
<p>laboratories, physician offices, patient care areas, pretty much should not be carried on campus.</p>
<p>Exclude hospital and clinics, and adjacent office space (Faculty Center) which is an extension of the clinical space. laboratories (many have hazardous chemicals that need to be away from weapons)</p>
<p>All laboratory sapces All animal spaces All clinical spaces</p>
<p>Areas with highly flammable and explosive materials</p>
<p>officer with a gun.</p>
<p>I take no issue with the current proposal as stated. Agree with limitations as designated by institutions and locations as mentioned. Laboratories with flammable and explosive material, operating rooms, imaging centers</p>

<p>Floors 5, 7, 9, 11, 13, 15 of the Basic Science Research Building These are floors on which there are laboratories that can have highly flammable and explosive materials All laboratory space within MDAnderson should be an exclusion zone.</p>
<p>Exclude licensed concealed carry holders from regions ONLY where safety is an obvious issue. Such as near powerful magnets (MR scanners), etc. Be as stingy with this as possible - the fewer restricted zones the better.</p>
<p>Handguns should be excluded from any of the school areas associated with the School of Health Professions. This includes classrooms, faculty and staff offices, the student lounge, and laboratories. Allowing handguns in these areas puts the students, faculty, and staff at increased risk for college-campus associated violence.</p>
<p>The SHP faculty offices in YB (yellow basement) are especially at risk. There are very limited exits from this area, and the faculty offices are cut off from the rest of the institution which would delay the arrival of help and limit</p>
<p>I suggest the animal facilities and research areas be included as exclusion zones. Inadvertent gunfire could result in significant explosive or otherwise catastrophic events due to chemicals, gases, imaging equipment etc. Additionally, when personnel change into PPE to enter the animal facility, they will inevitably expose their concealed weapons, negating the definition of concealment.</p>
<p>I do not recommend carrying guns in work places, offices and laboratories.</p>
<p>Exclusion Zone Recommendations</p> <ol style="list-style-type: none"> 1. Patient care areas 2. Staff conference and meeting room facilities 3. Laboratories with flammable and explosive materials
<p>All laboratory space in GSBS, and zones (yellow, tan, blue, and pink). These zones contain many rooms with highly flammable cabinets (storing flammable reagents). Similarly, rooms vested for tissue culture often contain gas under high pressure which could also provide an explosive target. Similarly, rooms that store liquid nitrogen</p>
<p>All wet bench laboratories including those with highly flammable and explosive materials Instructional areas, i.e., classrooms, offices, seminar rooms Patient-care areas Areas for patient and staff (including faculty and students) visitors Dining areas Loading-zone areas, could contain highly flammable and explosive materials Animal housing areas Location of irradiation equipment</p>
<p>I work in a research lab where flammable chemicals, gas lines, solvents are prevalent. Even an accidental discharge of a handgun in this environment poses additional hazards. I recommend that guns not be allowed in</p>
<p>NO GUNS WHAT SO EVER ESPECIALLY IN THE FOLLOWING AREAS: PAIN CLINIC PATIENT'S FLOORS/WARDS LABORATORIES FACULTY OFFICE AREAS CAFETERIAS</p>
<p>All laboratories, but especially those with flammables or explosive materials. With all of our efforts to promote safety and responsibility in the labs, this is an obvious unnecessary risk to our staff, students and property.</p>
<p>All hospital areas, including patient areas (in-patient and out-patient clinics), nursing areas, visitation areas, waiting areas, the cafeteria and park.</p>
<p>No handguns in research lab...</p>

<p>Any patient care area Any laboratory with flammable or explosive materials or select agents Any animal housing area Any site where alcohol is served on a regular basis (e.g. Oaks restaurant)</p>
<p>All patient care areas should be excluded. (inpatient units, clinics, phlebotomy areas, radiology, surgery etc).</p>
<p>Please consider exclusion zones in patient care areas including imaging, clinics, and operating rooms. Please also consider zones that include flammable and explosive materials such as laboratories.</p>
<p>Exclusion zones should include the clinics, research laboratories, auditoriums, administrative offices, vivariums,</p>
<p>I would like exclusions zones in all laboratories and conference rooms. I think almost all wet labs will have flammable and potentially explosive materials and therefore should be exclusion zones. As conference rooms</p>
<p>Laboratories with highly flammable, explosive and radioactive materials. Classrooms and student labs where there is the potential for misunderstandings and innocent by-standers. Patient areas where there is potentially considerable tension between family members as well as between families and physicians.</p>
<p>I don't think that concealed handguns should be allowed in any patient care or administrative area.</p>
<p>Fire arms should not be allowed in our hospital because the hospital is a very special place. We rely on the police officers to protect us, not by carrying a concealed handgun. We have checkpoints at every entrance. A carrying</p>
<p>Would recommend that exclusion zones include all patient care areas (clinics, inpatient areas, laboratory areas, imaging, etc).</p>
<p>This would be for the safety and comfort of both patients and employees.</p>
<p>I strongly believe all clinical areas - i.e. where patients interact with providers and staff for tests, consults, and procedures - should be exclusion zones. Patients have have highly charged emotions in these areas - due to pain, fear, grief over bad news, frustration, conflict, etc. It is not uncommon for patients (and occasionally even family members) to report suicidal feelings in clinic. Having concealed guns in these environment will only add to the heightened emotions in these areas. As a provider I would feel unsafe and hindered in my ability to speak</p>
<p>No guns are necessary for laboratories.</p>
<p>the most important information with the bill is that it applies to employee with concealed handguns.</p>
<p>It is not reasonable to have in ICU or operating rooms.</p>
<p>Everywhere else should be safe.</p>
<p>It is important to understand that the CHL is obtained by people whom are trained in gun safety, Not everyone will have a gun, also, that violent crimes are least likely to be committed by people with CHL.....</p>
<p>Intensive care unit, palliative care unit, emergency centers - areas where there are extremely high acute levels of</p>
<p>The goal should be to maintain an environment that is safe from the threat of danger from concealed weapons. Patient care areas should never allow concealed weapons. Faculty towers/offices should also not allow</p>
<p>No where in clinic, faculty center, or anywhere in the hospital. Patients are emotional due to their diagnosis or their loved ones diagnosis. This is not the place for guns.</p>
<p>No handguns should be allowed in any patient care area: inpatient nursing units and rooms (oxygen flow, emotionally distraught patients and family members) or any of the office suites.</p>
<p>patient areas such as in clinic, OR and on the floor</p>

obviously can't carry into the MR scanners -- will it be safe for patients to lock the arms in the patient lockers? is any extra security needed in that case? Is it better to make the MRI scan areas an exclusion zone?
please exclude all laboratories and patient areas
faculty research:
laboratories with highly flammable and explosive materials.
Classrooms
Intensive care units
Pediatric inpatient and out patient units
Research Laboratories, hospital buildings and units with patients need O2 supplies, and faculty centers should be
Hello,
As a Faculty Member, I am highly concerned that relatives of patients could be permitted to bring firearms into the hospital. There are emotionally charged situations in cancer care, where patients' families and doctors do not always agree and regrettably, sometimes, there are poor outcomes in which the families could blame doctors. The tragic shooting murder of a cardiothoracic surgeon in Boston was a perfect example of this. While no law can ever 100% prevent such tragedies (in that particular case, it was planned and pre-meditated), I believe that "heat of the moment" use of firearms WOULD effectively be prevented by such laws.
Would recommend exclusion in main hospital and in clinics
Faculty Office and meeting rooms. Currently MD Anderson is a safe institution. Things will change if patients
Please exclude research building such as SCRB4 and 3. These are areas where people are under prolong stress from their research. It will be most unfortunate to have gun bringing into the building and create unfortunate
Exclusion zones where fireguns should not be allowed.
1) any laboratory, not only the ones that have highly flammables or explosive materials. There are other potential dangerous reagents in all laboratories, such as corrosive materilal, biohazard materials, etc.
2) Pharmacy deposit where stock drugs are kept.
3) Hospital areas where hospitalized patients are.
4) Ciclotron areas for patients treatment or radioactive sources for laboratory use.
laboratories
animal vivariums where people change clothes frequently thus exposing firearms
cafeterias
shuttles
All laboratory spaces and clinical spaces
I think that any building where patients are cared for or receive treatments should be an exclusion zone.
All patient care areas including clinic and in patient floors, laboratory areas should be excluded without any flexibility. That will in effect exclude all places except perhaps 1MC
The hospital and clinics are areas of high emotion. Patients and families are often not in their usual state of mid when discussing their loved ones cancer diagnosis. There is not need to someone to have a gun nearby in this
exclusion - in the clinics and operating room waiting areas
Clinical patient care should be strictly off limits for concealed weapons. Patient care encounters can be emotionally charged, especially with the patient population we deal with at MDACC. Allowing concealed guns can lead to dangerous situation for the medical team. / / The following areas should be off limit / - All inpatient
hospital grounds, labs with flammable or explosive materials, storage facilities with flammable or explosive

All patient care areas (including clinics, the EC and hospital inpatient areas). / All entrance areas to the hospital. / All auditoriums and lecture halls. / All dining facilities.
The ICU is a highly emotionally charged environment where extremely sick patients and very worried and often exhausted family members are present. A patient's clinical condition can change dramatically in the ICU including cardiac arrests, which can be very traumatic for patient's families. In addition, very frequently there are difficult conversations about life and death, in which different family members may not agree. In such settings, anger,
As a physician in the intensive care unit, I believe all patient care areas should be excluded. In the ICU, we frequently have distraught families when their loved ones become critically ill, particularly when they subsequently die. These people often manifest their grief by erratic behavior which at times becomes violent. I suspect similar reactions occur in other patient care areas in the hospital as well. If guns are placed into the mix, I'm certain it will only be a matter of time before there is an incident of gun violence against one of our patients, a member of their family, or a member of our staff. / I think metal detectors/screening at the entrances to our patient care areas would likely be effective in helping to keep our patients and staff safe. Indeed, some of our
For the protection of patients and staff, handguns should not be allowed in any patient care areas or in any laboratory / any lecture or seminar room
Patient areas (they are vulnerable) and surgery waiting areas for patient families / Surgery areas (anesthesia equipment, robotic equipment, etc) / Laboratory areas (for reasons you have mentioned) / Machinery areas such as radiology / I would only allow it in the public lobbies and parking lots (which are also high risk zones)
I believe there shouldn't be a concealed handgun carried in areas of patient care. As a physician, I would like to think that my place of work was a safe place. So I hope we can ensure the safety of patients and staff despite the ability to carry concealed weapons on campus. With all the recent shootings on several institutions around the
Exclusion Zones should include; / 1. Surgical and MEDical ICU / 2. Operating room and PACU / 3. Pediatric ICU / 4. President/EVP offices / 5. Meeting rooms during meetings
Guns should not be in patient care areas - our patients and their families can become very emotional when discussing bad news. It is not a good idea to have guns in these volatile situations. / / Of note - this is one of the reasons I am leaving MD Anderson to take a position in another state. I do not want to be in a workplace where
Patient care areas such as the Mays clinic, Altek tower, inpatient hospital bed areas
I recommend that guns be excluded from all patient care areas, including but not limited to outpatient clinics, inpatient wards, outpatient radiology and outpatient testing locations (lab, GI, GU, GYN, etc). They should also
Any location where patient care occurs should be excluded. At times, our patients are receiving stressful/bad news from their physicians. This is an emotional experience and they should not be allowed to be armed at such times. / / Furthermore, at times physicians interact poorly with each other whether it's arguing over a patient's care or a personal romance (as MDACC knows from personal experience). The more limit out access to
In my opinion, according to the law, concealed handguns should be permitted in areas of didactic instruction (e.g. lecture halls) and common areas (e.g. cafeteria, courtyards) but should not be allowed in any clinical areas
All patient areas, including clinics, preoperative areas, recovery room, imaging facilities, etc. I would expand that to include cafeterias and other public areas where patients may gather or visit - for example, The Park. / / All laboratories with flammable materials such as solvents. I would expand that to include non-flammable materials that might become dangerous in case of accidental discharge of gun - for example liquid nitrogen. / / If possible, I'd encourage UT Police to screen visitors for concealed weapons, and make sure that anyone entering with a
All faculty offices (faculty center etc) should be excluded. / / /
All clinic care delivery areas / Mendelshon and Pickens faculty towers
All patient care areas and areas generally open to the public (lobbies, sky bridges, etc.) should remain handgun-
Guns should not be allowed in any of the following areas: / 1. Any area where patient care activities take place (including inpatient areas, clinics, ambulatory centers, infusion areas, laboratory, radiology, etc). / 2. Any faculty administrative areas, including faculty office areas, hallways, conference rooms, work rooms, etc. / 3. Crosswalks

<p>Patient care areas / Laboratories with highly flammable and explosive materials / child care areas / Areas where a large number of people congregate (i.e. eating establishments, waiting rooms) / Physician offices / Areas of</p> <p>1.) Hospital and all patient care areas (there was a recent incident in TX where a dropped gun discharged and hurt an innocent bystander in a hospital) / / 2.) All clinical and research facilities with large powerful magnets (i.e., human/animal/sample MRI/NMR, etc) / / 3.) Areas where an accidental discharge has a relatively larger probability of resulting in damage or injuries (i.e., near flammable equipment, crowded clinical areas). / / 4.) Anywhere where someone will be reasonably expected to surrender their weapon, there needs to be</p>
<p>No patient areas where there might be patients who are terminal. I worry about distraught family members who might not be able to handle themselves well when there is nothing more to be done about the impending death</p> <p>All patient care areas, and all laboratories.</p>
<p>Research laboratories with flammable chemicals (alcohols, ethers) or other toxic chemicals that could be broken and spilled (strong acids, bases, fixatives) should definitely be exclusion zones. Laboratories containing equipment that could malfunction in dangerous ways if damaged by a bullet (centrifuges, freezers, liquid nitrogen tanks, pressurized equipment) should also be within exclusion zones. / / Not to be flippant but so should any laboratories containing working human beings. Because humans are more important than chemicals</p> <p>Faculty Center and Pickens Tower</p>
<p>Clinics and radiation oncology treatment machines. There are a lot of people in these facilities. An irate patient or family member that decides to use the gun, could kill and/or harm many people.</p>
<p>Patient Care Areas of the Main Building, Mays Clinic (not the cafeteria), Radiology Outpatient Center, Duncan Family Building (Cancer Prevention Building - only that portion: remainder is offices and cafeteria), NOT the skybridges, NOT the Pressler Garage, NOT the Pickens Tower, NOT the Mendelsohn Faculty Tower, NOT the</p> <p>Emergency room / ICU / Surgery waiting areas / Inpatient floors / outpatient clinics</p>
<p>I would recommend exclusion of handguns in any area with clinical care, including inpatient floors, outpatient</p> <p>1. The entirety of the inpatient portion of the hospital. / 2. OR/procedure areas (like IR, cardiology suites) / 3. Clinical work areas (exam rooms, radiology exam rooms, phlebotomy work areas) / / Basically, restrictions should be placed so that providers and staff do not need to feel concerned that a patient who may be upset or highly emotional has access to them or to other patients with a deadly weapon. I personally would feel very unsafe if I knew a patient had a weapon on their person when I was alone in a closed room with that patient.</p> <p>Hospital and clinic areas.</p>
<p>All in-patient and out-patient care areas are zones where augmented levels of stress and emotional volatility exist, and therefore should be exclusion zones for firearms. / / Do not hesitate to call on me if I can help or</p> <p>It would be remarkably bad for firearms to be discharged where supplemental oxygen is available, either as cannisters or built in to the wall. Therefore, I think all patient care areas should be exclusion zones.</p>
<p>I would exclude any patient care area or research/laboratory area. I would allow carrying in parking garages and main lobbies and dining areas and skybridges only.</p>
<p>Patient care area (Clinics, Hospital beds section, and treatment area) should be excluded as well as classrooms</p> <p>1. Laboratories with flammable and explosive chemicals. / 2. Offices, elevators, stairs and corridors that can become hazard zones for trampling and other accidents, when people have to rush out. / 3. Restrooms where</p>
<p>No patient care areas at all--not in clinic, hospital, radiology, and not in any lab spaces / ok for gun to be in</p>
<p>All Patient care areas, all faculty offices, all labs should be exclusion zones.</p>
<p>Exclusion should encompass all patient care areas, e.g. hospital, clinic, radiology sites.</p>
<p>Exclusion zones should be all areas in which patient care occurs, as well as all laboratory space which always contains potentially flammable and explosive reagents. Also, I would not feel safe if guns were allowed in any</p> <p>patient areas / laboratories with flammable materials / laboratories with radioactive materials / laboratories</p>
<p>While there are obvious areas that should be exclusion zones (labs with flammable and explosive material), we have a history of Faculty members being shot and killed in their offices. Faculty offices should be exclusion zones.</p>

Since the vast majority of the institution has clinical/hospital activities, and, since entrances and passageways contain people involved in clinical/hospital activities, the entire institution that is connected by the sky bridge
Any areas where patients are present. / / I think this is a very dangerous bill that will put patients, visitors, physicians, and staff at risk. I will no longer be working at this institution starting next month and this is part of
operating room areas- patient care areas- hospital bed regions
large crowded areas should be excluded-cafeteria, the park, the walkways. / / All MD offices should be excluded. There should be no reason to have a concealed weapon with you while talking to your MD. / / All laboratory spaces should be excluded. / / All OR and pre-op sites should be excluded. / / Any room including
Exclusion zones should be: / 1. All patient care areas (main hospital and clinics) / 2. Faculty office areas / 3. Critical infrastructure areas (electrical, HVAC, plumbing, data centers, etc.) / 4. Any areas tha could potentially contain hazardous materials (biologic, chemical, volatile, radioactive) / 5. Research labs (both clinical and pre-
All patient areas / All meeting areas / All clinical and research laboratories /
No handguns in MRI. Should be OK anywhere else. The exclusions for labs with flammable and explosive materials is really not founded for any safety reasons. If these are dangerous areas then we should set up screening for illegal guns such as a metal detector, not the legal ones we should worry about. Also are we screening for cigarette lighters? If safety is our true concern in these areas, why are we simply using "the honor
Given the history of disgruntled family members shooting medical staff in boston and baltimore, I think weapons in patient care areas are a terrible idea. Laboratories, with hazardous chemicals and open floor plans as well as
There should be exclusion only for patient care areas
should not be allowed in clinical or administrative/office areas / i am in lab areas but would suggest that
Prohibit carrying in any laboratory areas, operating waiting rooms or PACU and patient rooms.
/ I think high stress areas should be prohibited from carry... / Hospital; ER areas. / / /
Please exclude patient care areas.
Labs and any portion of the institution with patient contact.
Pickens Academic Tower and specifically the Research Medical Library.
Handguns should not be allowed in any patient care areas, in-patient or outpatient.
anywhere there are hazardous and flammable materials/gases. Around children.
Pedi Floor
The hypocritic oath, the basis for every hospital, states "I will, according to my ability and judgment, prescribe a regimen for the health of the sick; but I will utterly reject harm and mischief". Granting the ability to carry a firearm to anybody who wants to one violates the "rejecting harm" portion of the hospital's credo. / /
Therefore, any treatment area (patients' rooms, operating centers, even waiting rooms for patients [especially pediatrics]) should be off limits. Administrative areas and parking garages should, in good concience, be the only
Potential exclusion zones: direct patient care areas; laboratories or areas with caustic, explosive and/or flammable materials, chaplain service areas (worship/reflection area). However, at the same time I am not too sure if any area, other than those as listed under law, should necessarily be identified as an exclusion areas.
Potential exclusion zones: / - Laboratories with highly flammable and explosive / - Operating/surgery room /
All inpatient areas and outpatient clinic areas where direct patient care takes place.
Patient's rooms due to oxygen set up / / prohibit from hospital setting in general
Laboratories / Areas where patients are involved
I agree that any area where explosive materials are located should be an exclusion zone. I myself have a concealed carry license and feel no conceivable reason to carry anywhere in the institution except from my parking garage to my locker and back. We have enough security located in the institution to meet other security needs but with as much crime/armed robberies as have been occurring in the medical center area it would be a
1. Patient safety: all patient areas including rooms, clinics, walkways, and visiting or waiting areas, should be gun-free zones / 2. Everyone's safety: all areas susceptible to fire or explosion should be gun-free zones / 3. Provide refresher training for an updated "Hostile Intruder" class / 4. Should we install metal detectors in some areas

laboratories with highly flammable and explosive materials. / Caution signs where patients with oxygen may
All patient care areas. All research laboratories.
MSF Facility- MSF 1.1103 Liquid Nitrogen Freezer Room, MSF 1.1202-MSF 1.1208- ISO 7 classified laboratory areas. / / I do not feel that concealed handguns make us safer. To the contrary, I feel less safe knowing that
Highly emotional Hospital areas and laboratories with highly flammable and explosive materials should be exempt. HR should be able to exempt public meetings spaces during meetings with volatile topics or reviews with proper signage under 30.06 and 30.07 while 1MC should be Campus Carry. Law abiding CHL holders should be allowed to carry concealed on buses, in parking structures and on all bridges. I do not agree that data centers
Hospital main building and emergency center.
/ Research labs in general should be exclusion zones because they contain biohazardous, biologically active, radioactive substances, cells, viruses, bacteria, human-derived (potentially dangerous) samples etc. They should be declared guns-free zones for safety reasons / / MDACC is a hospital first of all, and our patients should be
Exclusion zones should include: / treatment areas in facilities / UTP-H (Police Dept). / Proton Therapy Building / Pharmacies and medical supply areas / / Patients receiving treatment should not be allowed to carry
Exclusion Zones: Child Visitation Rooms, MD Anderson Accredited K-12 Hospital Private School, Lab areas, MRI areas, Psychiatric area (Brain and Spine), Radiology areas, Pharmacy areas, Pediatrics, Clinics (Exam Rooms and Consultation Rooms), etc. / / Allowed in Commons areas: 1st Floor Areas, Cafeterias, Lobbies, Crosswalk, Alkek
I personally would not like to allow carrying a concealed firearm in laboratories, employee lounges, and patient
I would recommend all biohazard lab areas, in and out patient areas and associated administrative and patient waiting areas be excluded. Additionally, human resources, employee health areas be excluded due to potential
Areas with much patient density and/or activity such as patient waiting areas and children's play facilities should be excluded zones, at least until employees learn how to effectively conceal their weapon. Obviously, areas with combustible materials should be excluded. / / Areas adjacent to parking areas or garages SHOULD NOT be excluded. If they are classified excluded (no carry) then a weapon check-in and lockup should be made available
I believe that the only areas that firearms should not be allowed in are the ones in which there is a specific hazard, such as in areas where there are flammable or explosive materials. I think that their possession in all
No gun should be allowed in patient care area
my suggestion for a exclusion zone would include Hospital Areas all of Green Zone, Purple Zone and inside the Clinic Areas in Rose zone, ACB, and CPB 2 floor prevention clinic.
1MC should be excluded. It is a staff area. No courses for credit towards a degree are earned in this building.
Working in Payroll there are times when employees do not like the answers they receive. Pay is very personal to employees and there are times when employees may get upset and unreasonable. Especially when the perception is that we can fix their problems by pulling out a check book. By allowing concealed guns when dealing with emotional situations for employees adds an additional level of risk to the payroll staff that are trying
Inpatient areas and in the clinics. Guns have absolutely no place in an area with sick people and where people are getting all sorts of good or bad news. I don't think guns should be allowed on campus in the first place. In
I work at the Bastrop campus and since we have campus police and security, I would prefer that they be the only ones allowed to carry on our campus. We are small enough, even though spread out somewhat, that help would be able to get somewhere quickly if the need arose. It would make it easier if they responded to a threat to know who the hostile person was immediately and not have to guess. Also, most of our buildings are badge
all patient care areas should be excluded-patients from other areas of the country without such liberal gun policies and patients from other countries might not want to be exposed to gun issues.
Inpatient night shift nursing: Exclude inpatient patient rooms and units. Please do not allow people to bring their guns into units in the middle of the night. Patients get sundowners, hospital psychosis, disease related confusion and guns close by is a recipe for disaster. Nursing is already stressful enough without worrying if some gun nut is on the unit. People like to say they have their guns secure, but how am I to trust that. Why is their word to be trusted. All patient rooms have flammable O2 in the walls. We carry and administer chemotherapy. There is no

Any area with direct patient care.
I would agree with areas that contain explosive and combustible materials. Possibly sensitive data areas or server rooms. Other than that most of the campus should be free for carrying.
Anywhere that patients may be exposed to danger by other patients or caregivers reacting irrationally. / / By the nature of MDAnderson's work, people frequently receive psychologically challenging news and it would be naive not to expect a reasonable percentage of them to react irrationally. Irrational reactions can be managed.
Laboratories with highly flammable or explosive materials / The insides of an MRI facility -
All patient care areas should be excluded - that is anywhere a patient is cared for both ambulatory and inpatient service areas. I think labs should be included since patients go there, unless it is a research lab. / A bigger concern is how will these individuals be identified on campus, what should an individual do if they encounter
The exclusion zones ought to include any and all areas in which patients are present. Using census data from 2010, the Centers for Disease Control and Prevention, approximately 33, 636 people die per year by way of firearm discharge [see: http://www.cdc.gov/nchs/data/nvsr/nvsr64/nvsr64_02.pdf]. 11,208 of these people are victims of firearm-involved homicides. The other 2/3 of firearm deaths (21,1750) are the result of successful suicide attempts. There are 318.9 million people in the United States which means that the chances of one dying as a victim of homicide by way of firearm is 0.0035%. Assuming that our patient population is well-matched to the U.S. population, out of the 105,000 patients MD Anderson sees per year, the number of deaths caused by firearm homicide is 4. In an average year, only 4 of our patients will die from a gunshot wound. This number is doubled for those who commit suicide using a firearm. The likelihood of our patients dying from a gunshot is very small, in part, because they are exposed to fewer opportunities to be shot as firearms are currently not permitted in patient areas. Allowing guns into patient areas will increase patient exposure to gunshots and thus patient areas ought to be continued to remain a space in which firearms are not permitted. / / The exclusion
Any patient treatment area should be excluded
Hospital & clinic areas / Executive offices / All laboratory areas / Any area with child access /
Please exclude inpatient areas where flammable gases like O2 are in the walls and emotions run high. Please keep staff safe: guns should be in UTPDs hands not staff, patients and visitors.
I would like to exclude the volunteer services department, the chapel and the patient rooms. I don't see a need to have a concealed weapon to any of these areas. Thank you!
In buildings that house all outpatient and inpatient care areas.
I would suggest that at minimum all hospital grounds/clinic buildings and patient care areas and those with a likelihood of vulnerable population present (ie parking garages valet area, Rotary House, sky bridges, restaurants, waiting area) be included in the exclusion zone. / / Over and above the risk to faculty and staff who deal with patients in inherently volatile situations, we have a duty to provide a safe environment to our other patients and their caregivers. These patients are often weak and debilitated, or accompanied by children and multiple family members. They may not be able to seek safety in the event of an armed conflict. / / Patients,
Patient floors: EC
areas where cash is handled should be excluded
Should not be allowed in areas where highly emotional situations are bound to happen such as the inpatient units and specifically the ICU. Patient's families on multiple occasions have been found screaming, using foul language, or threatening nurses/ staff in these areas. Allowing guns in these areas could cause a patient or their family member to threaten a member of the staff inappropriately, and could also be used as a threat to staff for patients to receive controlled substances. Panic buttons are not easily reachable and are not conspicuous, and
Handguns should be excluded from patient procedure areas; for example: outpatient clinics, chemotherapy suites, infusion therapy, and interventional radiology. / /

I think excluding patient care areas would make sense, since as I understand it concealed carry is not allowed by law in ordinary (non-University) hospitals. It is trickier if you consider areas like the main entrance to the main building -- I'm not sure if you could exclude entire buildings where patient care happens. Probably it would make sense to exclude areas of our campus whose primary function is the same as areas which are already excluded by law, such as churches (I think). / / Thinking about areas with flammable and explosive materials, that makes sense, and I would include areas with compressed gas cylinders, radioactive materials (especially cesium or cobalt sources), and toxic or biohazardous materials, battery rooms, etc. Again, it may be safer but not feasible to exclude entire buildings where these things are present. / / It may be worth also considering excluding
Laboratories not only because of flammable and explosive chemicals, but also because of expensive equipment / Patient rooms, especially rooms with oxygen and any other medical equipment /
Any and all direct patient care areas. This law is INSANE!!! am seriously considering retiring before it is enacted. I do not want to work in such a dangerous environment.
Patient care areas (to include waiting rooms), mental health (psychiatry, EAP, etc.), laboratories (all) - no way for people to consistently know which ones have flammable or explosive materials at all times, changes so often. pediatrics, laboratories with highly flammable or explosive materials.
I believe exclusion zones should include the clinics (at least the exam and treatment rooms), MRI and other testing areas, labs as mentioned before, and during meetings that the medical team determines could be
I think only patient care areas and laboratories with flammable or explosive materials should be off limits. Campus Carry should be allowed in all other areas, including areas that allow patient access (eg, the sky bridges,
I recommend that 1MC be designated an exclusion zone because potentially volatile HR interactions take place
Any floor that patients are receiving chemo on. All floors that patients are having a surgery on.
Exclusion: / - Clinical Research Building / - Duncan Building / - Main Building / - Mays Clinic / - Mitchel Building / - Moh's & DermaSurgery Clinic / - Proton Therapy / - Radiology Outpatient Center / - Smith Research / - SCRB 1,2,3 / / Non-exclusion (concealed handguns ALLOWED): / - Skybridge / - Mendelsohn Faculty Center / - Mid
areas where patients are receiving treatment by a provider, either inpatient or outpatient.
I feel strongly that the clinic and administrative areas of the departments should be an exclusion zone. As a manager and someone who has to discipline and terminate employees, it is frightening to me that concealed handguns will be allowed on the MD Anderson campus. I will not feel safe if concealed handguns are allowed in these areas. When this goes into effect, I will be requesting the UT police to be near my office when disciplinary meetings and terminations are taking place. / Frankly, I don't see why any of our campus should allow concealed
I think that patient care, pharmacy, and laboratory areas should be excluded from campus carry zones, but all common areas and office space should be fair game.
Patient care areas, areas with hazardous materials, central offices
Patient care areas and laboratories and dock areas with highly flammable and explosive materials and gas cylinders, as well as offices directly adjacent to these areas, should be part of the exclusion zones. Projectiles
All clinical areas including patient rooms. / / Lab areas with flammable, explosive, and radioactive materials.
Patient Care Areas both inpatient and outpatient
Any sterile environment, clean room, etc.
exclude from: / 1) potentially hazardous places (labs with chemicals, other areas with gas, i.e., O2; places where imaging equipment is used/stored; the Proton Therapy building; radiation therapy areas; areas where radioactive materials/waste is stored/used) / 2) places where any laboratory animals are located / 3) surgery and
In the Emergency Center. In the EC. staff and also patients, and patients family all already tense, because they are scared in family or the family is stress out. People who are stress sometimes do harmful things to others.
Definitely laboratories with highly flammable and explosive materials. /
all patient care areas should be considered a no-carry zone. / /
I would like to see direct patient care areas in DI exclusion zones, Particularly Mays clinic. The last thing we need to worry about when changing a patient into a gown is them having a loaded firearm that has to be locked up in

Patient areas should be excluded, especially areas of the hospital with oxygen equipment. Labs with flammable
Animal areas, Research Areas, Patient Care Areas
Patient areas, OR, or where ever Oxygen supplies are located throughout the various buildings.
Exclusion zones for fire arms should be treated no differently than exclusion zones for any other dangerous tools (which is exactly what a fire arm is). Flame producing devices should not be allowed where there is the potential for igniting flammable gasses. Tools capable of launching projectiles should never be allowed where combustible gasses or chemicals are stored, or anywhere a projectile could cause the hazardous release of gasses, vapors, fumes or radiation. / / I could go on but I think the above paragraph gets the point across. Since this law has passed attempting to create exclusion zones by determining areas by human use of a given area could be easily
All laboratories and hospital
Labs / Areas with dangerous, flammable chemicals. /
I feel that firearms should not be allowed in highly sensitive areas such as patient care, or laboratories with
All patient care areas (inpatient units and clinics). The possibility of a concealed handgun may add an additional stressor when having difficult conversations with patients and caregivers.
Exclusion zones should include patient pods and clinics and labs only (Purple, Green and certain areas of Rose zone). Not large portions of hospital as many of the areas are business administration. My recommendation is to use the space survey and determine sections of main building to identify exclusion zones. None of my office mates feel "safe" based on the location of our office. The few times we've called security, it has taken them a while to find us (yellow zone). There have been several instances where we assist UTPD officers in finding their way back / out. Unfortunately, there are those that commit crimes that study the facility / areas of attack. If an
I would like to see exclusion zones for all areas where our vulnerable patient populations could be put at risk. This would include all inpatient areas, all outpatient clinics and waiting areas, and common corridors that patients use to get from one clinical area to another, and all cafeteria facilities that are used by patients.
Exclusion zones should include patient care and treatment areas including waiting rooms--our patients' safety and comfort should be a priority. I agree with the example of areas with flammable and explosive materials
I have a CHL. / It is too complicated to allow weapons anywhere on MD Campus other than the bare minimum required by law - meaning, let legal department decide. / Absolutely no carry in research laboratory areas and
Should not be allowed in patient care areas. As a nurse, there are enough risks to deal with when a patient is critically ill, I would not like more risks with concealed hand guns!
I work in the veterinary medicine and surgery dept. - I think our area should be excluded b/c many people are very sensitive to animal research and have very strong and emotional feelings about this subject. We have recently been moved and are now in an open area where more people have access to us, whereas before we
1MC... because this is the house of the Human Resource Department and a lot of emotions tend to gravitate to or from this area. An alternative would be to make UT Police visible daily during regular business hours at this location. The security is great, however UT Police are more trained and the visibility may defer any possible
All laboratories and Inpatient areas should be excluded from campus carry.
There should also be exclusion events- for example, a family conference with the doctor where feelings could be
The following areas are what I think should be considered potential exclusion zones: / Patient clinics / Inpatient areas / Any labs that contain oxygen or combustible products / Patient billing/Financial offices
Guns should be banned from all healthcare facilities! All areas of the hospital would fall under some form of
patient areas including the Rotary House, walkways and garages should be excluded; all labs should be excluded and metal detectors should be at the entrances along with day visitor badge issuance devices.
Exclusions should include labs of all types, surgical areas, patient treatment areas, critical care units and anywhere explosive chemicals or radiation sources are stored or used. Walkways between buildings, which can be dangerous and empty during late hours and weekends should be carry zones; along with offices, public
Only in/near patient rooms and laboratories.

Operating and procedure rooms due to it being a fire hazard. Otherwise, concealed carry permit holders should be able to carry everywhere else according to the state law.
-MRI areas because of the tremendous magnetism of the machine; If guns or their ammunition are magnetic, the interaction could be potentially life threatening. / / -High occupancy concentration areas (such as auditoriums All of main building, CPB and ACB.
What scares me most is the thought of weapons being present in the clinic/hospital setting- especially with how emotional situations can be, and the ever present danger of violence in health care settings due to the emotions. As we are both a hospital and a university, excluding guns from the hospital setting seems prudent, while allowing them in the more academic-only settings in compliance with the new law. / / Designated office buildings such as Faculty Center, Pickens, 1MC, and FHB seem more logical, and these are the areas where many of our students (residents, fellows, etc) are officially housed, as well as our faculty are officed. Buildings like the Main Building or ACB that house both clinical/hospital space and offices should be treated solely as clinical/hospital space and not have weapons present. It is the practice of most of our physicians to essentially commute between their office space and clinics/in patient zones. / / Whole buildings should not be divided into one exclusion should be the hospital. It is too many patients and someone who is trying to be god or be famous
The main hospital with patients should be excluded. Every floor of the outpatient clinic should be excluded.
Any areas where patients spend time on a regular basis should be excluded. There is too much risk with heightened emotions, and with patients who may be distracted, tired, and overwhelmed. Patients, caregivers, and the staff who assist them should not have to worry about the possible presence of a gun if a situation gets
Exclusion zones: Any place where care is given - Inside the clinics, diagnostic/treatment areas and hospital rooms / / If it has to be allowed, then only in public general areas like waiting areas, the Park, cafeteria, etc.
Definitely any area of where Pediatrics is located. Guns should NOT be near sick and recuperating children. / Any area where there is flammable liquid or gases. / Not in any area where patients are receiving physical therapy.
Patient care areas
Any area where patients are present should be excluded. Perhaps excluding parking lots.
Exclusion Zones I would consider would be the walk-way; from the Main Hospital to Mays, patient lobbies,
Exclusion zone recommendations: Skybridge, Rotary House bridge, 2nd floor and 3rd floor access pathways.
Clinics should be excluded as patients sometime receive bad news or have long waits. At times patients and their family can get very angry in the clinic. A weapon does not belong in such areas.
Laboratories with highly flammable and explosive materials. Hospital inpatient rooms and stations. Laboratory animal housing. Buildings near or containing MRI machines.
All laboratories, especially those with flammable materials.
I work in a basic sciences laboratory where we have a lot of chemicals and gases that are highly flammable and with explosive potential. This should definitely be an exclusion zone. / / On the other hand, I believe that areas
I would feel much safer knowing that in the Pickens tower offices, someone can make the playing field more level to intruders who come in these small offices shooting at us. We are like sitting ducks. One door to come in and out. I would also like these people to maybe take an online course (refresher) on how to deal with an intruder who is armed in the workplace to help them feel more confident if such should occur. I however do not want to be identified as a CHL holder to everyone. I would know my role at the time an intruder would start
All areas involved in patient care (Main, Clark, Alkek, Rad. Onc. Center, Mays which also houses Rad. Onc. as well as diagnostic, treatment, and patient/physician team new, consult and following patient meetings.
All of the Mays Clinic should be considered an exclusion zone.
Patient care areas where people have a lot on there minds.
Emergency Rooms, Designated Prayer Rooms, Chapel
a) I'm not "Staff" - I am an employee / b) We should exclude areas that would impact the safety of Patients and Families. All of the care, treatment and diagnostic areas for example. / c) We should exclude public areas that are "in route" to protected areas - patient transportation areas, 1MC for HR, south campus research buildings.

Clinical areas, patient rooms, hallways, waiting rooms, cafeterias, loading dock.

E: Agree with Campus Carry and want minimal or no exclusion zones.

I believe exclusion zones would be considered a purposeful hindrance used to restrict employees that don't stay in a specific area. My job in particular might have me in a patient area one minute, then a lab, then office space, then back to any of the other areas. With the exclusion zone I wouldn't be able to go into one of those areas without first removing the weapon, and legally I wouldn't be able to remove the weapon to secure it anywhere other than my vehicle because in the off chance someone were to see it, it could be considered "brandishing" the weapon. The safety aspect of a firearm in a lab with flammable and explosive materials is minimal; guns don't just "go off" in addition to the fact that to cause an explosion or even a fire there would have to be a very specific percentage of vapor already in the air, if that percentage were met it would be dangerous to be in the area anyways, with or without a firearm. The truth of the matter is, the only defense from a bad guy with a gun is a good guy with a gun. Putting up a 30.06 sign or a non-enforceable "No guns allowed" sign doesn't stop the person entering the premises that has an intention to cause harm. The class B misdemeanor is significantly less serious than the crime that person intends to commit.

In addition I would like to bring to the compliance office's attention, I have seen no valid 30.06 signs at any entrance to institutional property. The requirements specifically state the wording must be in English AND Spanish (currently most are only in English), and all lettering must be greater than 1 inch in height (currently any that have English and Spanish do not meet this requirement). The hospital areas are also not covered by this, as the law specifically states that a 30.06 sign must be placed outside of a hospital wishing to enforce a no firearms policy.

Law abiding citizens with guns shouldn't evoke fear in others. They're more likely to protect than to have a psychotic meltdown and start killing. I feel there should be no exclusion zones.

I do not think that we should have any exclusion zones per se, because if we do establish exclusion zones within our campus, then logically we should also make provisions for those carrying firearms to safely store their weapons prior to entering those areas.

the example of exclusion zone recommendations such as laboratories with highly flammable and explosive materials seems reasonable to me. Otherwise don't feel there should be many exclusion zones. I personally don't have a CHL but do know these are law abiding people with an incredibly low crime rate. If anything we will be safer with CHL allowed.

Having said that in some zones such as the OR, it would be impossible because of the dress code to carry. Regardless of how many gun free zones we will have I want to insist on one thing, If we allow by law people with a CHL to enter the hospital it would be important for these people to do to be proficient at using the firearms. The simple week end course spent getting a CHL is not enough to be proficient. I would recommend a yearly exam and proof of proficiency in a shooting range such as the one we have in the campus for those who want to bring a gun on the campus.

I have no problem with concealed carry. I think that when we set out to define "an exclusion zone" rather than ask ourselves if an exclusion zone is even needed, we are accepting a bias and not necessarily giving the intent of

There should be no exclusion zones. A gun free zone is a victim disarmament zone. We are sitting ducks there just like in all the other campus shootings. You need not be concerned with CCL holders. We dont commit the no exclusion zones

I think the whole point of the law is to prevent a situation in which someone starts shooting and no one is able to defend. If that is to be possible, then I see no reason to have exclusion zones. The example you give, a laboratory with flammable and explosive materials, would be a problematic place to fire a gun, but if someone started shooting illegally, that someone is unlikely to take the flammable materials into consideration, so I would

<p>The exclusion zones should be only the absolute minimum necessary, to include only direct patient care areas only. All other areas should be allowed, including lab spaces. There is no preclusion to concealed carry in gas stations. The act of concealed carry does not equate to the actual discharge of a weapon in such areas.</p>
<p>I will be very specific, there should be no exclusion zones. The concealed carry of a hand gun is for the purposes of self protection. I am no more safe from violence in a lab with flammable materials than I am in the parking deck. In the exclusion zones I would like to know what additional protections I can expect from the institution since I will be disarmed and rendered defenseless. I would also like for the institution to assume full liability for my safety when I am in these exclusion zones and are legally unable to protect myself. I think a statement from UT Police saying that they will assume liability for the failure to protect the employees and patients in these area</p>
<p>Persons who have taken the courses for concealed carry should be allowed to carry ANYWHERE. Posting 30.06 signs and limiting lawful concealed carry just invites violent offenders to our location. A criminal sees that no law abiding citizen is allowed to carry in a particular area and knows that he is unlikely to be stopped or confronted if they incite violence. By allowing people who have been through the training and background checks to receive their CHL to carry on campus, you make it a safer place for all of us. I'm proud of the Texas legislature for passing this law. Now I hope MD Anderson will remove the 30.06 signs from the majority of the</p>
<p>except for a few exceptions such as laboratories concealed should be allowed anywhere.</p>
<p>I fully support the state's law with regard to full implementation. I do not believe there should be any exclusion zones for CHL, only perhaps in areas where it would cause a fire hazard, ex: OR's or procedure areas. This law was passed to protect individual citizens and as a citizen it is my right to carry a handgun for my protection anywhere else on campus / / Thank you following state law and respecting citizen's right and lawful gun owners</p>
<p>none, because criminals don't care about exclusion zones. That's why they prey on children and students for random acts of violence. The ability to carry firearms by qualified people everywhere provide a deterrent to all</p>
<p>As a retired Police Officer, and CHL holder, I look forward to being able to carry on campus. I've had many years to think about this topic, not so much where they should not be carried, but where they should not be discharged. As the only reason to discharge a weapon on campus would be to save the life or lives of others, to do so in an area exposed to highly flammable and or explosive materials would be foolish. But to not be allowed to carry because at sometime during your course of duty you may travel through an area like that isn't right. I'd prefer to have those area's well marked. I'd know then, in case of an active shooter scenario to remove myself from that area and move to an area that would be safe to defend against someone looking to harm me, my co-workers or patients. I've worked here about 20 years, and worked in most areas of our wonderful campus. I can't think of an area where the risk doesn't exist where I don't think I should be armed. I can of course think of areas I pray that no one ever tries to harm another. We've got so many traumatic experiences taking place, the last think anyone needs is some crazy with a weapon of any kind trying to harm another patient or staff. But if that ever happens, I'd not want to be faced with the aftermath of knowing I've been trained and licensed and wasn't</p>
<p>I would encourage MD Anderson to make the exclusion zones as small as possible. The anything in mid-campus & south campus areas are located in very unsafe areas. When I work on weekends or very late security is lax, I can often can travel to my car without seeing another person at all.</p>
<p>I don't think personal preferences and imaginary threats are appropriate input for establishing exclusion zones. It seems as if all efforts are being geared toward thwarting the law. We should keep in mind the CHL holders are a small percentage of the law abiding public and have demonstrated knowledge of handgun laws and proficiency</p>
<p>This correspondence is to ask that the Regional Care Centers have NO exclusion areas due to lack of on-site security and a much slower response time than is possible at our Main campus.</p>
<p>None</p>

Are criminals that are intent on carrying their own unlawfully acquired firearm(s) operate under the same "exclusion zones"? I should think not. Any criminal ,or individual who cannot legally possess a firearm ,can and will, bring a firearm into the "exclusion zone(s)" if they have the intent .And,if they are intent on perpetrating a crime with that said firearm, can do so against employees ,patients or whomever they randomly choose .End result,the law abiding employees, patients or whomever might be in the way,have NO DEFENSE. The firearm is just a tool folks,just like any other tool that can be udes to cause physical harm to another,and is inert UNTIL someone implements the intent to use that tool. Do you make "exclusion zones " for sharp knives, surgical instruments,forks in the cafeteria for that matter? No, because the tool ,no matter what form,is an inanimate object until it is put into action :and, the tool (firearm) can only be put into play by a person with INTENT.How do

My thoughts are more related to INCLUSION, rather than exclusion. I would like to see most (if not all) of the corporate buildings (1MC, FHB, etc.) embrace the new law and allow for concealed carry. These particular buildings -- like most in the med center -- are attached to large, dark garages where crime episodes often take

I would argue that the entire article describing this new law and the proposed "working group" and the planned townhall and this very survey are all incredibly biased towards blatant opposition to what is currently State law. It seems that none of the institution's efforts are aimed at implementing the law of our land, but rather finding as many ways possible to ignore, subvert or inhibit the Constitutional and now legislatively-clarified rights of law-abiding gun owners. So to answer your question: I don't propose any "exclusion zones"... unless I'm allowed to

Exclusion zones should be few and far between. / / Also if you want to be fair you need to include people from the entire institution in your work group. As of now there is no one lower than upper management included. It seems this group is very biased. How many in the group actually have their CHL?

I would prefer limited exclusion zones for the safety of our staff and patrons.

Armed society is a polite society. Individuals that take the time to apply for and maintain concealed carry licenses are not criminals and should not be treated like criminals because of world wide events that occur with weapons. I feel all outlying buildings from the main hospital building should have no restrictions what so ever. The main hospital should remain a 30.06 exclusion zone. In the case of laboratories with highly flammable and explosive materials then it should be the responsiblity of the conceal carry holder to do the right thing and leave

None that I can think of , but do suggest training, training, training and USCCA membership.

I believe there should be no exclusion zones. that would defeat the purpose of protecting myself in an event of danger. If you have exclusion zones will you have places for someone to lock there gun up while they are in these zones. Police officers carry guns in all of our areas why wouldn't a person with a conceal carry license be

I would like a mechanism where I can obtain "proper authorization" to concealed carry across the MD Anderson campus (where I travel as part of my daily work assignments). Or put another way, as a CHL holder, I feel there should be NO exclusion zones for me. For public/non-MDACC badge holders, exclude the ORs.

There should not be any exclusion zones.

Limit the excursion zones to a bare minimum. Only where a security guard or UT Police are stationed 24/7.

I am not really in favor of exclusion zones unless somehow deemed unsafe. I'm not sure how carrying a gun around highly flammable or explosive materials...like at a gas station...would be any more dangerous. If carrying a gun into a lab is deemed more dangerous for some reason, I believe that lockers should be provided so those employees can travel to and from their work space and be able to be responsible for their own security. / / I would also hate to see so many exclusion zones, it would essentially still ban guns from campus. People have carried guns for hundreds of years and the only thing that has been proven over and over is that gun free zones

The law is already passed to "open carry." We are fortunate that we have not had a incident in the hospital yet. Although, neighboring facilities have had some. Declaring this institution as a "Gune Free" zone is an open invitation for someone who wants to bring in a weapon. Lets keep in mind, carrying a gun is not illegal, it is the illegal individuals without proper documentation (CHL) that is illegal. / / I do question those individuals that feel declaring this a gun fee hospital will really deter illegal guns? I also would ask those individuals that are against illegal guns on campus, why are you allowing me to be a potential target WITHOUT allowing me to

After attending the townhall meeting on 10/21/15 at ATT auditorium , reviewing the proposed exclusion zone maps, the way you have phrased this question, and the membership of the board I believe that the board had already made up their collective minds prior to the 10/21/15 meeting and it is doubtful that there is even one person serving on the board that has been issued a concealed handgun license to provide any input. I have little doubt that MD Anderson will seek and attempt to prevent anybody from exercising lawful concealed carry on 100% of any area with the UTMD Anderson name on it. Citizens that obtain their chl go through an extensive back ground check prior to being issued their license. The institution also screens potential employees prior to hire. Any employee with a professional license or certification more than likely also had a background check performed by their licensing/certifying board prior to that board issuing their license/certification. The employees have been thoroughly vetted and are for the most part well educated and intelligent. The point is that the board has a chance to approach chl and policy making with an open mind and to make a workable policy that would be acceptable to both sides of the issue. Perhaps both views would not be 100% satisfied with the policies but neither side would feel ignored and bull dozed over by the opposite viewpoint. Additionally if any event were to occur at MD Anderson in an area that was designated a prohibited area the institution and the persons approving the policies would probably be financially liable for failing to provide adequate safe guards and security to protect the persons affected. My preferences would maybe include having the board review other states' laws that allow or do not prohibit licensed weapon holders from carrying in hospital/education facilities or that allow individual institutions to make their own policies to address the issue. The state of Iowa laws for instance do not prohibit licensed carry of a firearm in a hospital environment. That state's laws allow anyone with a CHL knows how to handle a firearm safely and responsibly and should be allowed to carry one I do not believe that CONCEALED handguns should be excluded from any area on our campuses.

The whole facility should be open to campus carry. It makes our staff and patients safer. The only exclusion zones should be in areas the firearm would cause a hazard for practical reasons (metal in an xray or MRI area, There should be no exclusion zones.

NO EXCLUSION ZONES
no restrictions

Exclusion zones should be based on facts, rather than nebulous opinions. Locations where UTPD is routinely stationed during most work hours, such as patient areas, are more easily excluded. I understand the fear of firearms in areas with flammable and/or explosive materials, but are there statistics to show that this is a real threat? Research areas are often populated during traditionally "off" hours - if these areas (including the animal holding areas) are excluded, then a sizeable population of staff are left to work unprotected if they would have otherwise LEGALLY been able to protect themselves. When working after hours, most staff do not notify UTPD so that routine welfare checks can be done - and I don't see them starting to, even if that's part of the concealed carry overall action plan. Further, as a CHL holder, if my area is excluded, then I cannot protect myself and from work, since I am not comfortable leaving my firearm in my vehicle. Right now while it's illegal to bring it on

The weapon is less affected by flammable, explosive, radioactive, or biological hazards than the individual carrying it. I understand that discharging the weapon in these areas could be a problem; that's why carry is limited to trained individuals. Let's face it; if you are unaware that discharging a firearm in an oxygen-rich or otherwise explosive environment is a problem then you shouldn't be carrying it in the first place. / / The only I feel there should not be any exclusion zones. If there must be, it should be very limited. Individuals who take the time and effort to obtain a CHL are law abiding citizens who do not want to harm anyone. CHL holders only want to protect themselves and others. If an individual who does not hold a CHL wants to bring a gun illegally on campus, unfortunately there is very little stopping them. Restricting a CHL holder to legally carry their firearm concealed only restricts them from protecting themselves and others and inhibits what the legislature established the law to do. Individuals who have a CHL have common sense of when and when not to carry their firearm. Exclusion zones like laboratories with highly flammable and explosive materials make little sense because a firearm As a law abiding citizen who has a conceal carry permit, I feel there should be no zones.

I don't support any exclusion zones - people should be able to carry anywhere
All patient care areas or areas where patients frequently travel. I would exclude all lobbies, waiting rooms/areas, bridges, inpatient units, clinics, dining areas, patient business offices, procedure areas, and the Park.
No need for any exclusion zones
Restricted areas of the hospital, flammable/explosive material areas, and research labs. / / I am in 1MC and in management and feel that remote locations such as 1MC, FHB, Proton, CPB, etc should be areas without exclusions in public areas and general office space. Areas that do or may contact explosives/flammable materials should be excluded. / / Please do not make more exclusions than inclusions. It is reasonable to have some locations that are restricted, but again that must be determined by need and not emotion. I am a CHL holder and my husband is a CHL instructor and feel that CHL holders are beyond responsible than the average non-trained person and not to mention criminal. With the recent crime in the Medical Center area, I am excited
I honestly believe if we are going to allowed to carry on campus there shouldn't be any exclusion zones outside of areas that have laboratories and highly flammable material. First of all to be a CHL holder you have to be a law abiding citizen, so you would expect us to carry ourselves just as that. I went to the town hall and 85% of the MDACC building will be exclusions zones. That's pointless. I just feel if it is going to be allow, allow it.
Overall, I don't think there should be any exclusion zones because properly carrying a concealed weapon is that you're so good at carrying it that no one knows you are carrying. If I must recommend exclusion zones they would be obvious places like operating rooms, imaging/radiology & highly flammable areas.
There should be no exclusion zones.
Having lived on other campuses where this has been addressed I feel strongly about it here. It allows individuals both students, employees and patients to practice their right to self defense which has historically been a positive thing. Just as at my previous campus I feel the only location that should be excluded should be psych wards which are properly secured and have adequate staff to address situations common within such departments. All other areas should remain consistent to the areas elsewhere in the state. No law abiding person ever wants to be in the situation of needing to use a weapon in self defense but most who find themselves in a situation where they would need one either grateful they do or regret they don't. Statistics
When I was going to school at SHSU and living in Huntsville, TX, a man entered a local restaurant and approached the lady at the cash register with a pistol to her head, demanded all the money in the register. Seconds later, 3 men who were dining at the restaurant pulled their guns on the gunman, forcing him to put his weapon down and get on the floor. The three men had their guns pointed at the gunman until police arrived and took him into custody. Criminals/ bad people will always find a way to possess a gun. Good people need to be able to protect themselves and others. I am so grateful to live in Texas where its common for people to have a
TX legislature has already outlined limitations for hospitals. There should be no further limitations on campus

F: General statements or questions.

<p>I think there should be an additional clinical faculty member on the committee. Someone who is on the floor with patients and families. It is also important that there be representative who do hold a CHL and those who do not.</p>
<p>Sorry, but I am still unclear why concealed guns cannot continue to be excluded from MD Anderson under Penal Code 46.035. We are still a hospital licensed under Chapter 241 and Senate Bill 11 does not rescind the state law excluding weapons from hospitals.</p>
<p>We are dealing with a patient population with</p>
<p>Patients should not be carrying guns to clinic appointments.</p>
<p>The thing about handguns is that they are easy to conceal. Whether or not there are exclusion zones, anyone who decides to can carry a concealed firearm unless there is some means of detection/enforcement. The only thing that can stop people from carrying a weapon is a means to detect such weapons. Metal detectors work. But you cannot use a metal detector for the entire campus. If you decide to have exclusion zones, they need to be controlled access which includes some form of detection. Otherwise, it is futile.</p>
<p>It is devastating to see Texas allows people to carry concealed guns in Univ. campus, even MDACC, a place for patient care and cancer research. We are handling so many materials that require tight regulations and controls. Any accidental gun fire can be extremely dangerous for our patients and caregivers.</p>
<p>It is a scary idea that people may be allowed to carry handguns in a place like this. the potential for an outbreak of violence increases. I don't think I will feel nearly as safe. I worry about disgruntled employees or domestic issues encroaching in an already fragile environment more than criminals and flammable or explosive material.</p>
<p>Many employees walk between the various campus buildings - frequently while it is dark. Lets face it, there is a lot of crime in the med center. / For those employees who are licensed to carry but who also may work in a exclusion zone, I feel small lockers should be provided somewhere so that the weapon can be safely stored away while working in that zone but then be accessible when returning to vehicle or mass transit.</p>
<p>Will there be body screens for the exclusion zones, similar to those at the airports? If not, how will you know if someone has a weapon on them?</p>
<p>There are conflicting statements in the Highlights to know section: / / Policies can't prohibit people from carrying concealed handguns on an entire campus. / / UT System will seek consensus on exclusion zones at all campuses. / / The second conflicts with the first. / /</p>
<p>Why do there need to be exclusion zones? Are we trying to find a way around the law?</p>
<p>I think its ridiculous and very unsafe , never have I been worried about my safety here at MD Anderson until</p>
<p>This law's only function is the oppression of minorities. MDA should actively lobby to have this law overturned.</p>
<p>I am a widow of a police officer and I own guns and used to have my own shooting range and do my own reloads. My guns care all kept in a gun safe and a safe location. If I carried a gun to work, I would only want to carry a gun to and from my parking garage and would want to lock it up in my locker. As a nurse, I would never want to wear a gun while caring for my patients. I would never want to wear a gun in a patients room. To be safe with a gun, you need to use it monthly. Shooting a gun once or twice and then caring a gun gives a person a false sense of security. They are probably more dangerous with a gun then without. / / If there is a woman who is walking to and from her garage and feels unsafe and has no experience with guns this is what I suggest, don't get a gun. Instead, carry wasp spray. It can shoot a steady stream about ten feet and temporarily blind the person. You cant accidentally kill someone like you can with a gun. / / The best plan is to travel in pairs and be aware of your surrounding. Finally, quit walking down the street staring at your cell phone! / / Regarding the random shooter. The odds of having someone with a concealed hand gun being in the right place at the right</p>

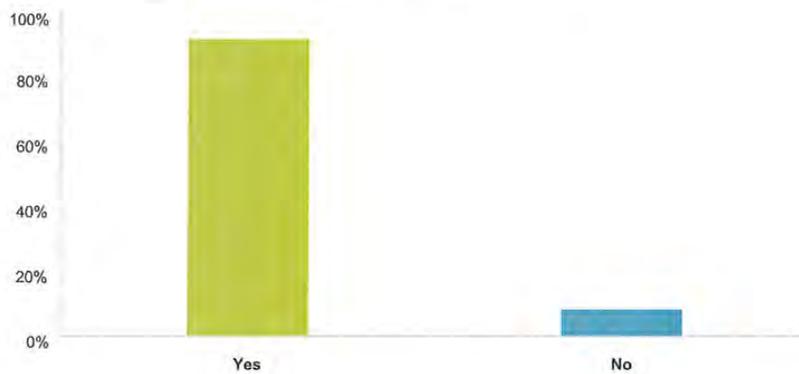
<p>Unless you plan on doing more to ensure our safety, we have no choice but to protect ourselves---ESPECIALLY if you work late/overnight and the places we have to walk to have less witnesses should something go wrong. Employees should be able to keep in purse/locker/office and carry to protect self going and coming to work. Since patients do not have a place to lock it up, they have no choice but to carry at all times. Restrictions should be placed on patients that are too ill or with cognitive problems or meds that could affect cognitive ability. But again, as someone that comes to work before the sun rises, you all should spend the money to have security in the garages and in the buildings where employees are and need help. Stop trying to save money and put our crazy!</p>
<p>I am very concerned with the campus carry law. I want to feel safe when I come to work and not have to worry about someone carrying a gun that may not need to be carrying one.</p>
<p>no we have enough with the guns every where . We need to feel safe at work. NO one should worries who is This law just made my working environment unsafe. Way to go Texas Legislators.</p>
<p>I believe the world we live in today it's a very smart decision to pass this law. Although, i believe its pointless since we cant really carry it on almost every area of M.D.Anderson. I'm a license carrier and for those who also have theirs should feel safer. Parking garages, trails, walking distance to buildings are unsafe day/night.</p>
<p>I think that this could turn into the Wild Wild west if people are allowed to carry guns. There are many emotions that are present in an atmosphere like this.</p>
<p>This bill should be repealed. Enacting this bill is a gross misuse of power and taxpayer resources. There are more pressing needs that could have replaced such a barbaric piece of legislation, like Planned Parenthood. And why do people need to carry guns in an academic setting?</p>
<p>Since the sky bridges are potential exclusion zones does the law define what constitutes "patient care"?. I don't see any patient care in the traditional sense when I am walking the sky bridges.</p>

Attachment H

MD Anderson Faculty Senate Exclusion Zones survey results

Q1 Shall “Patients Care Areas”, where patients are intended to be examined, evaluated, observed, assessed, tested, screened, diagnosed or treated by or under the supervision or presence of a health care professional, including all areas that are subject to infection control measures per MD Anderson’s Infection Control Policy, UTMDACC Institutional Policy #CLN0436, be excluded?

Answered: 433 Skipped: 2



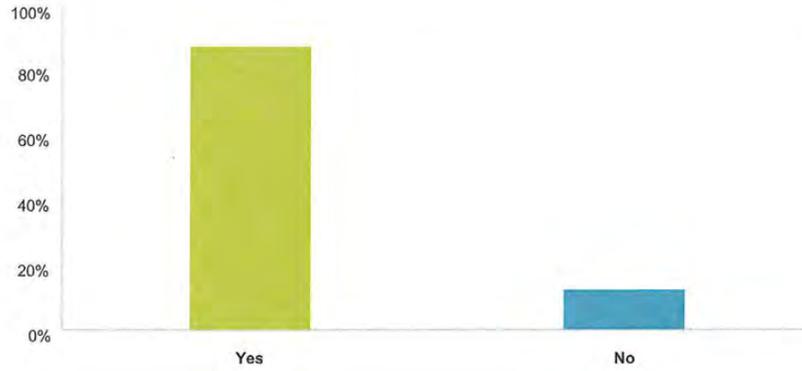
Answer Choices	Responses	
Yes	91.69%	397
No	8.31%	36
Total		433

Q2 Shall “Patient Care Waiting Areas” (i.e. areas contiguous to and serving as designated patient waiting area for a Patient Care Area), be excluded?

Answered: 434 Skipped: 1

SB11 - Campus Carry

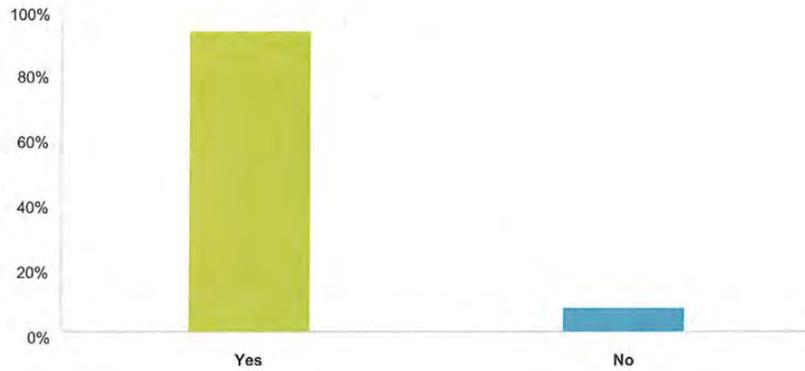
SurveyMonkey



Answer Choices	Responses	Count
Yes	87.33%	379
No	12.67%	55
Total		434

Q3 Shall research laboratories with dangerous chemicals (flammable, combustible), biologic, or explosive agents be excluded?

Answered: 432 Skipped: 3

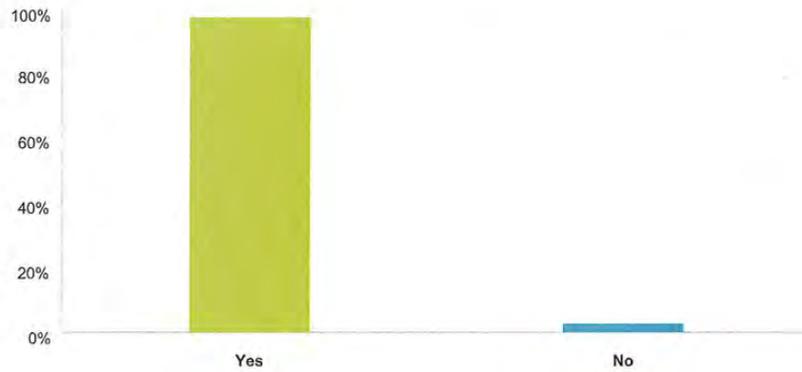


Answer Choices	Responses	Count
Yes	92.36%	399
No	7.64%	33
Total		432

Q4 Shall areas with equipment that is incompatible with metallic objects such as magnetic resonance imaging machines be

excluded?

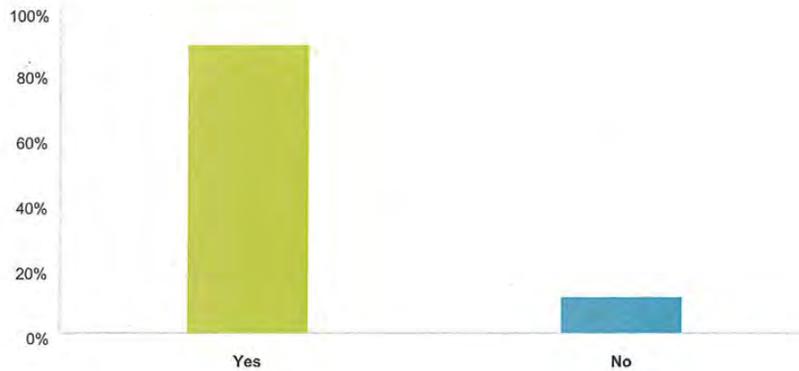
Answered: 432 Skipped: 3



Answer Choices	Responses	
Yes	96.99%	419
No	3.01%	13
Total		432

Q5 Shall conflict resolution areas (e.g., Employee Health Assistance, Human Resources, Faculty Senate, ombudsman office) be excluded? These areas include employee’s health assistance, HR, faculty senate, ombudsman office.

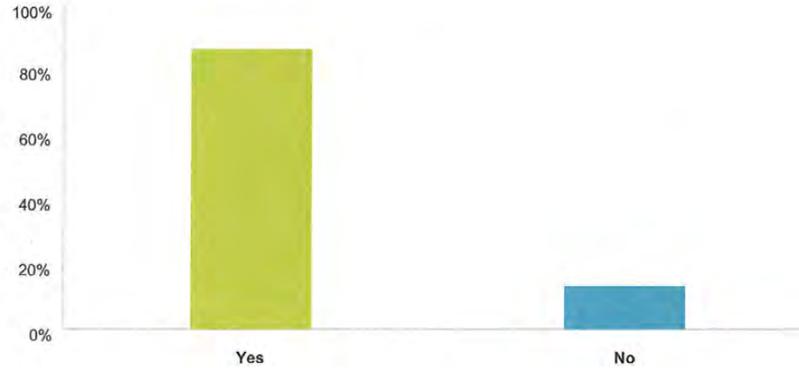
Answered: 435 Skipped: 0



Answer Choices	Responses	
Yes	88.74%	386
No	11.26%	49
Total		435

Q6 Shall animal care and vivaria in which protocols increase the risk of discharge or contamination of a concealed gun, or its unanticipated separation from the license holder be excluded?

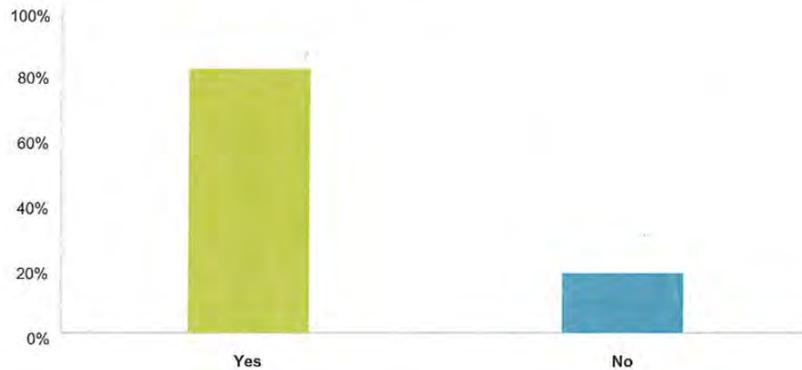
Answered: 433 Skipped: 2



Answer Choices	Responses	Count
Yes	86.61%	375
No	13.39%	58
Total		433

Q7 Shall critical infrastructure areas, such as the Data Center, or critical physical plant facilities be excluded?

Answered: 433 Skipped: 2



Answer Choices	Responses
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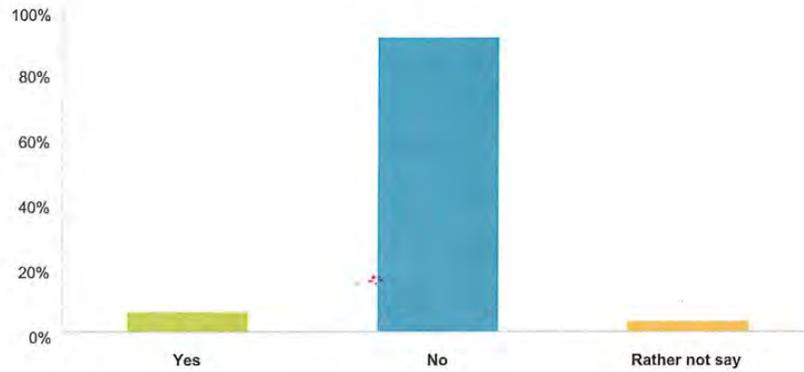
SB11 - Campus Carry

SurveyMonkey

Yes	81.52%	353
No	18.48%	80
Total		433

Q8 When you are at M. D. Anderson, do you presently carry any items or materials such as pepper spray that are specifically and primarily intended to be used for personal defense?

Answered: 433 Skipped: 2



Answer Choices	Responses	Count
Yes	6.24%	27
No	90.53%	392
Rather not say	3.23%	14
Total		433

Q9 Additional exclusion zones

Answered: 146 Skipped: 289

Q10 Additional comments

Answered: 129 Skipped: 306

U.T. MD Anderson Faculty Survey on SB11 conducted by the Faculty Senate November 2015

Responses to additional exclusion zones

1. If at all possible, excluding guns from the entire campus would be greatly beneficial in my opinion.
2. The entire medical center should be gun free - with the exception of safety officers.
3. My office, laboratory, the cafeteria and anywhere else I'm likely to be.
4. As many as we can possibly have to help ensure the safety of the patients and employees of the institution until this law is repealed.
5. All MD Anderson property
6. Elevators; since these are used to transport patients under treatment at any time and constitute patient care areas for that duration of time. As such, very few elevators would be allowed for people with concealed weapons.
7. Hallways, walkways and lecture rooms near research labs are prime conflict resolution areas, and hence should be excluded too.
8. All MD Anderson should be gun-free
9. I personally believe that all of MD Anderson should be excluded. I do not think that carrying weapons in any way, shape or form on campus is compatible with our mission and vision. It certainly does not imply "caring".
10. Class rooms, seminars. Otherwise, it may become a concern for some invited speakers to come and visit MDACC.
11. All areas devoted to research and educational activities should be excluded.
12. All of MDACC campuses should be firearm free except for law enforcement officers
13. Any area that radioactivity is utilized - cyclotron, PET facilities.
14. Picken's Tower building.
15. Pain clinic
16. Animal laboratory areas where necropsy/autopsy and experimental procedures of research animals are performed.
17. Research laboratories shall be excluded.
18. Hallways leading to above areas should also be excluded.
19. None
20. This may be covered by the Patient care areas and patient care waiting areas, but I would be sure to include any family meeting room or other conference rooms that are used for family discussions.
21. I think guns should not be allowed in hospitals, research areas, or educational institutions and that such items should be checked in and stored upon entry to such institutions. Trained law enforcement officers should be allowed to carry weapons.
22. Faculty Center and Pickens Center Bldg
23. Primarily administrative and research office buildings should be excluded as well.
24. All patient care areas and patient-related activity areas, including administrative buildings and laboratory/research areas should be excluded.
25. all patient areas
26. All clinical labs All areas caring or hosting children and minors
27. It is surprising that teaching premises at the SHP are not even included in this survey. Does teaching faculty not matter? Faculty office areas located in the basement of the main building yellow zone, and classroom should be included in the survey.
28. Faculty Offices
29. Patient checking areas (where insurance issues are discussed) should be excluded. THE ENTIRE CAMPUS NEEDS TO BE EXCLUDED!
30. All research laboratories and offices
31. Faculty office areas (i.e. Pickens Tower)
32. all areas
33. cafeteria, parking garages

34. None.
35. The entire medical center and all of MD Anderson should be excluded from carrying weapons
36. Conflict resolution areas should extend to faculty offices and conference rooms. Areas where patients and staff congregate should be excluded such as cafeterias and coffee bars. Parking lots should also be excluded.
37. There is no role for handguns or any other weapons in a workplace or patient care area where emotions run high. There is no role for concealed hand guns anywhere at MD Anderson Cancer Center. There are already multiple times where I have seen family members threaten physicians here or other employees when they see their family member going through the processes of death with cancer. It is scary to be on the receiving end. I fear for what can happen when we allow handguns on campus.
38. all campus
39. All corridors that lead to the exclusion areas described above
40. None
41. Childcare facilities
42. Any location where patients and their families may be waiting, eating, or receiving care. There is absolutely NO NEED for a handgun, concealed or otherwise, at MD Anderson.
43. This is a completely ridiculous law. All weapons should be banned completely! From everywhere!!!
44. Patient care waiting areas should be expanded to include outdoor waiting areas where transportation waits or parks where patients receiving treatment wait.
45. A. Faculty offices, as these are often areas where delicate conflict resolution discussions occur.
B. Public dining halls or eating areas C. Public walkways between Main Building, Faculty Center, Pickens Tower, and Mays, including hallways through Faculty Center to Pickens D. Conference rooms where many individuals are gathered E. Pickens gym facility
46. All pediatric designated areas including Kidszone.
47. restaurants and cafeterias where mass number of people are gathering
48. None
49. Faculty center towers where: - conflict among faculty, and/or employees can arise - heated discussions can occur - faculty termination meetings can be held - other workforce member termination meetings can be held
50. Since vivariums might be excluded, what about the gym and other sports facilities, or anywhere where people have to change clothing?
51. any place that a patient may walk to get to treatment and care
52. Only exclusion zones should be those where CHL holders cannot carry based on existing State Law. That is polling place, sporting event, gambling area, bar or area that derives over 51% of its income from the sale of alcohol or court room (HR?)
53. any area where a patient may be should be excluded.....this includes outside gathering areas, cafeterias, etc....there is no place for guns in or near a hospital If we must abide by the law, the mid campus building and south campus, excluding the proton center, may be the only places where this campus carry can occur, but I prefer NO place on MDACC grounds.
54. All the campuses of MD Anderson should be out of any kind of concealed handguns.
55. Operating Room Areas
56. all of the institution.
57. The School of Health Professions
58. None
59. All classrooms
60. The faculty center and FCT since patients walk through this area
61. Cafeterias, parking lots
62. Faculty Center should be excluded
63. Hallways and bridges going/coming to clinic areas
64. Any areas that are subject to high levels of emotional stress.
65. Educational offices
66. Office areas
67. inside of all campus buildings
68. Faculty office buildings

69. The entire institution should be excluded
70. Offices, Pickens, ...
71. Faculty offices especially faculty with managerial responsibilities.
72. These are not restricted by location, but is there any way to exclude concealed handguns from high-emotion/conflict events in graduate education (specifically, oral candidacy exams and thesis defenses)?
73. MD Anderson is a hospital and a research area. The entire campus should be excluded.
74. There should be no guns at MDACC except for designated security personnel.
75. Pickens tower Faculty center lobbies and reception areas for clinical buildings and clinics
76. I wish the entire hospital system could be excluded
77. I think no one should bring concealed weapon to work.
78. Any human research area, including cancer prevention, survivorship and population sciences. Any faculty wellness area, including the Fitness Center, Faculty Health and Wellbeing Areas and activities.
79. All research zones, any business center, any place where confidential information is exchanged, the pharmacy.
80. ALL MD ANDERSON
81. Educational areas
82. As many places as possible.
83. Whole hospital. This is a total nightmare and Texas should be ashamed.
84. I WOULD APPRECIATE THE POLICE AND UT SECURITY BEING ARMED OTHER WISE KEEP THESE GUNS OFF CAMPUS
85. Cafeterias, sky-walks and all roofed and walled transit areas leading to the above excluded areas. How is a gun carried for example to the cafeteria or on a sky-walk going to be separated from the owner before his/her entry into a clinic waiting room?
86. Research laboratory area should extended to all hallways and adjacent areas. The glass wall or sheetrock will not stop projectile penetration should a firearm be discharged.
87. we should exclude all areas including faculty offices
88. everywhere possible
89. Every conceivable one
90. office building: Pickens
91. The chapel in the Hospital and other areas (e.g. volunteer rooms) that may not be directly related to patient care but where patients go to receive additional services.
92. Any area that patients must traverse such as the faculty center and Pickens tower. I personally, do not feel that it is safe for guns to be carried where patients are waiting, eating.
93. Everywhere at MD Anderson. Why do we have to follow an insane law? How about some civil disobedience.
94. Operating Rooms
95. The Faculty Center and Pickens towers should be excluded. Patients can now wander in and sensitive issues are often communicated in these areas as well.
96. skybridges, faculty center/pickens
97. All MD Anderson
98. Research labs
99. As many as possible. This is totally ridiculous.
100. Academic and VISA Administration performs conflict resolution and HR functions for faculty and trainees, and should be included in Q5.
101. Everywhere where there are lot of people including waiting rooms, cafeterias, prayer rooms/church. A gun in unwanted hand compromises the safety of patients and employees equally unless there is a plan for huge security presence all over the hospital.
102. Academic offices should be excluded. These are also considered conflict resolution areas in many respects. We also have people from the outside wandering into our buildings inquiring about parking, restrooms, all sorts of things and many of us do not feel comfortable knowing that concealed weapons will be permitted in these areas. The entire campus should be excluded.

103. In my experience it has been clinical faculty offices that have been areas of arms assaults.
104. All faculty and administrative offices - these are sometimes used as conflict resolution zones out of necessity; when a crisis occurs, movement to a recognized or approved conflict resolution zone is not always possible in the moment.
105. All MD Anderson campus should be excluded. Exception: garages so they keep weapons in cars
106. Everywhere should be an exclusion zone except perhaps a small glass box, maybe under the bridge over the bayou, or in the middle of the new Mays park at Fannin/Holcombe, where interested parties can go while they handle their guns. We could combine it with whatever area people go to smoke. Oh, I forgot, aren't we a smoke-free campus? Let's switch to allow smoking in designated areas instead. Happens anyway.
107. Off site locations where there is no constant security presence.
108. faculty offices- these are the primary areas for conflict resolution areas between students and PI's.
109. physician offices
110. The library. The faculty lounge.
111. All the clinical laboratories with the same materials as stated in item #3 above should be excluded. Other offices dealing with staff issues like HR, e.g. managers' offices, should be excluded also as those listed in #5.
112. Faculty center where faculty offices are located.
113. the entire institution should be excluded.
114. I do not believe any institution of education or higher education or a medical facility should allow weapons or concealed weapons anywhere on campus. Can all the areas be excluded? If personal safety is a concern then beef up security. I did not see the reason why this law was passed in the presentation. Why allow firearms at medical or educational institutes?
115. Classrooms. Faculty cannot have a peace of mind when teaching in a classroom with guns present. If we allow guns in the classroom, I will decline teaching requests in the future.
116. Faculty offices in Faculty Center and Pickens Tower
117. All zones MUST be excluded. No gun should be permitted in any building/facility of MD Anderson.
118. Due to the vulnerability of children, and the potential for harm, specific mention of exclusion from pediatric patient areas should be made.
119. classrooms and seminar rooms
120. No exclusion should be made
121. Cafeterias, coffee shops, where food items are served. Patients do come to such areas. Conference rooms where disagreements could be discussed.
122. Classrooms
123. As #2 in this survey includes patient care waiting areas - could that make an entire building such as alkek a no-gun zone (preferred) as many entrances have waiting areas?
124. I would prefer that Universities and Hospitals be gun-free zones.
125. Faculty Center and Faculty Center Tower (see below)
126. Faculty academic offices, cafeterias, other public meeting areas.
127. School of Health Professions Classrooms, laboratories, and faculty offices.
128. CHAPELS
129. None
130. entire mdacc.
131. Guns should not be allowed within 50 feet of the hospital.
132. None
133. Auditoriums and class rooms
134. No guns at all. Only UT police can carry gun
135. all corridors and approach areas that lead to patient care areas in #1
136. restroom
137. Student classrooms. Do not want students bringing guns to class. I would be nervous giving anyone less than an A grade. Pickens towers faculty offices. We may need to increase

security at FCT and Pickens once this policy takes effect and guns are allowed in FCT and Pickens.

- 138. NONE
- 139. "The President of each campus can recommend additional or fewer exclusion zones based on the nature of its population, the specific safety consideration and the uniqueness of the campus environment." It is hard to imagine ANYWHERE on campus where weapons should be excluded.
- 140. Classrooms and Conference Rooms
- 141. Concealed handguns should not be allowed on the campus of MD Anderson.
- 142. Garage
- 143. School of Health Professions - Administrative area in YB = Yellow Basement
- 144. All areas where employee access is required for entry
- 145. The entire campus.
- 146. Any area where patients are likely to be found should be excluded.
- 147.

Additional Comments

1. What in the earth are people thinking - taking your gun to work? No guns anywhere in Med center is my opinion. Asking for a shoot out. if this or that area is excepted where does one put the gun if carrier goes from area that is OK to area is that is not??
2. I'm serious. The state's flagship university and the world's leading cancer center should not be caving into the legislature this way. This law is going to be enormously damaging to the institution. And am I the only one who sees the irony in the effective date of this misguided legislation being the 50th anniversary of the UT sniper? Guns have no place on college campuses or university medical centers, period.
3. I would like to be able to carry a handgun to and from my faculty office so that I have it when I am walking to the parking garage. That is the only need I have for carrying a concealed weapon.
4. Need metal detectors or security with wands at all patient and employee entrances.
5. I think we should consider joining the lawsuit by UT Austin professors to block UT from allowing concealed handguns on campus.
6. "1. We need metal detectors to enforce policy in critical areas including ER. 2. If it is impractical to have patchwork of excluded areas to no access to exempt areas, the entire building should be excluded. 3. We need to increase the number of our security personnel since there may be numerous incidents of violations: intended or unintended, that will need to be addressed by the institution under law. 4. Security personnel need to be instructed to identify violators of Campus carry policy who have clearly visible bulges in their pockets or any other places on their body. 5. Violation of Campus carry policy should result in automatic termination of employment and a permanent ban on entry of non-employees to the campus premises. 6. We need to have an active program for the entire work force on how to respond to active shooter incidents. 7. The workers who will be most vulnerable to active shooter incidents are: ER personnel, and critical care

nurses who have to deal with emotionally charged situations. Other vulnerable personnel are supervisors who have power to hire and fire employees; there should be special programs to mitigate the risk of violence against them. 8. We will need to clearly demarcate the patient care areas from the non-patient care areas and need to have a state issued ID to access any patient care area. Other administrative departments could have similar policies. This would be independent of the Campus Carry policy and would be at the discretion of the hospital administration. This would limit access of non-employees to only those who need to access for a valid demonstrable reason. While this may cause delays to visitors, we have very few options to keep the work-force safe. 9. Overall, the presence of concealed weapons with raise psychological stress in the work-place, lead to mistrust and lack of communication, restrict access to many areas of the campus for a variety of people and will be a distraction. One violent incident related to the Campus carry policy could lead to major damage to the brand of the institution in this day of social media and 24x7 media coverage. This could have dire financial implications since 40% of our patients come from outside Texas and 10% come from other countries. It could also be difficult to recruit outstanding faculty members if they have apprehensions about the policy. This would lead to deterioration of academic and clinical standards of the institution and could mark the beginning of the end for world-class academic institutions in the state of Texas. Any negative repercussions of the policy should be carefully monitored for and the same should be conveyed to the Board of Regents so they can press for scrapping this law. "

7. I am concerned that the legislature has not allocated any funds to implement this bill. This means that any additional costs will have to be covered by the institution. It concerns me that money that is coming into the institution would have to be diverted from our patient care and research efforts to implement a bill that has no positive impact on our mission and vision. I also think that this bill will have a negative impact on how MD Anderson is perceived, nationwide but also internationally. I also think that it will not serve to provide a safe patient care environment. I certainly will not be feeling safer, knowing that various individuals might be carrying guns.
8. Leave guns in the car. Guns have NO PLACE inside a hospital setting (educational facility patient care area or otherwise). Guns are for security purposes when not in a protected environment. In the hospital setting, we have professional police and security personnel to keep patients, doctors, nurses and all other staff safe. Therefore, no one has ANY reason to carry a gun or other weapon INTO this type of environment.
9. I personally do not feel comfortable (or even scared) when people visited my office with a "carry".
10. There can be no justification for anyone to carry a concealed handgun in areas where research and educational activities are performed. Allowing concealed guns

to be carried in these areas would make the environment intimidating and possibly prohibitive for free and open exchange of ideas and discourses.

11. what is this the 19th century and are we the "wild west"?
 12. I am personally not in favor of campus carry
 13. Hospital like this one is the place where life is saved, but guns are used to take lives. Keep them apart.
 14. For what it is worth, this law is not the answer. Allowing firearms in the general public is not going to decrease the amount of public shootings. I am not what the answer is, but this not it.
 15. If exclusion zones are planned, secure facilities to temporarily store weapons should be provided.
 16. The Texas experiment is to allow arms to everyone, to deter abuse. Right or wrong, the experiment is being run. Let it run without dilution, and gather the data needed. We'll soon see if it works.
 17. This could be highly dangerous to have guns at the hospital areas when patients become distressed, angry, uncontrollable and try to take it out on the physician. No guns at MDA. They should be kept off campus, yet it is justifiable to have them in the car for traveling.
 18. I keep pepper spray on hand.
 19. If the entire purpose of concealed carry law is to provide citizens the right to protect themselves (not to intervene in the defense of others) I see no reason why persons with concealed carry licenses feel threatened inside the MD Anderson and feel compelled to carry their weapons into MD Anderson. We should make a principled stand against allowing any concealed carry weapons into patient and caregiver (physicians and staff) areas.
 20. hospitals are potentially highly volatile places - all patient areas should be excluded
 21. No guns at all in patient areas!!!! This is an experiment with doctors nurses and patients and it is crazy
 22. There is no place for weapons inside any hospital. This is a significant danger to staff and patients, especially in a high stress environment
 23. Although I am in favor of the above exclusion zones much of this seems silly when one steps back. Excluding those with CHL from carrying in any area does not protect us from those with malicious intent, typically from someone without a CHL, from doing so. They will do this regardless of rules, lack of licensure, etc. and probably do so now without anyone's knowledge. Those with CHL are no more likely to commit a felony or become violent than the general population. We need to be wary of criminals, not those with CHLs.
24. Please exclude any area that can be excluded on MDACC's campus!!!!!! Thank you.

25. I think this survey is WONDERFUL in its intent, but the way the questions are worded leave the opportunity for misunderstanding. This survey must be clear that we are asking to decide whether guns should be allowed on campus. You may need to be sent out again.
26. Since 9/11, there have been more than 400,000 deaths in America from guns -- more than 1,000 times as many as from terrorist attacks.
<http://www.cnn.com/2015/10/02/us/oregon-shooting-terrorism-gun-violence/>.
"More guns" isn't the answer. And other countries have the mentally ill (but most of them don't have guns lying around).
27. Handguns have a chilling effect on staff, patients, physicians and general environment. Hospitals are supposed to be a safe zone, and we trust our trained security to protect everyone should there be a threat.
28. guns kill people
29. Reasonable accommodation should be made for CCL holders to transit between parking garages and offices/work areas, patient waiting areas, or cafeterias without violating exclusion zones and without leaving their handguns in their vehicles. Reasonable accommodation should be made for secure storage of personal firearms by CCL holders who must surrender firearms before entering exclusion zones. The model for doing this is how firearms are transported by passengers on airlines: the passenger makes a weapons declaration in the terminal, checks in the secured firearm separated from its ammunition, and the airline holds it until it is returned to the passenger.
30. The wording of the survey is poor. The policy is not listed. When the survey lists "Excluded" does that mean excluded from the policy or does that mean guns are permitted.
31. This law makes the state of Texas look moronic to the nation. The effort UT is expending on compliance is such a waste of time and money.
32. The policy of allowing concealed handguns on campus is appalling and makes UT fall way down the list as an employer of choice. This policy has made me rethink my position here and I am starting to look at institutions outside of Texas where I can feel safe at work.
33. How will exclusion zones be enforced? Years ago a doctor was shot at MD Anderson by the unhappy husband of a patient. While a person could shoot a doctor anywhere, we should not make it easy for him/her, especially in a crowded environment where many others could be injured.
34. To meet the letter of the law, consider UTMD Anderson grounds i.e. parking lots, garages, gardens be covered by the law and the inside of the buildings be exclusion zones.
35. We are seeing patients of all nationalities, some have attires that possibly could carry concealed weapons. They may need to be screened also. Better to be safe than sorry.

36. The policy should balance safety against a least burdensome approach to the concealed carry holder. MRI facilities are NOT UP FOR DISCUSSION. You cannot have a gun in an MRI facility. That is sheer idiocy. Safety trumps all on this one. Uncontrolled ferromagnetic objects are not allowed into Zone III. End of conversation.
37. Gun should not be allowed on campus at all!
38. I'm sorry to see question number 1 referring to "all areas covered by and infection control policy" - Is there anyone outside of Infection control what those areas might be ???
39. It is very worrisome to me that in a highly emotional area, such as a Cancer Center, that patients and staff would be permitted to carry a weapon. I am very concerned for my safety and the safety of the people I take care of and work with if this is permitted.
40. There is no justifiable reason to bring weapons anywhere on campus.
41. How about we do some lobbying in Austin to get this revoked.
42. Question 6 is poorly worded. Handguns should be restricted from isolation areas, just as other stuff that has not been decontaminated should be. Ordinary animal care areas should not be excluded.
43. I think the President should add that there is a substantial proportion of the faculty who want the entire campus of MD Anderson to be excluded on principle; while not technically a hospital, the intent of the MD Anderson campus is that of a hospital. It is counterproductive to have Campus Carry here, given the purpose of our great institution, and even though viewed as not politically expedient by some, adding this viewpoint to any list of exclusion zones makes the point that we will 1) lose patient referrals and 2) lose star faculty, trainees, and recruits should we allow campus carry on our "campus." The President has the opportunity to set MD Anderson aside from the pack as the crown jewel. No legislator will punish us for taking a stand here.
44. It is outrageous to allow guns in a cancer hospital
45. I think that areas truly at highest risk are wherever patients/families are present, as highly emotionally charged situations could suddenly escalate into violent ones. Ideally, in no part of the campus should guns be allowed. But since the law prohibits doing this, we unfortunately have to prioritize. Hence the above recommendations.
46. How is this going to be enforced? can metal detectors be installed in entries to clinical areas?
47. We need to be careful not to create a threatening environment where people feel unsafe coming to work. Guns anywhere in campus will definitely negatively affect the morale of the faculty.

48. Train ALL security personnel to carry weapons and allow these folks to be armed (at gates, doors, entrances to research buildings etc.)
49. The faculty center and office showed by allowed to carry FOR holders of concealed Handgun license. The administrative offices have police officers and security to

enter premises, the faculty whom see patients do not have the same protection. Self protection is faster than 911.....

50. Assault and murder are already illegal. Do you really think they are not going to assault or murder with a weapon because it is an "exclusion zone" (victim disarmament zone)? Nearly all mass shootings happened specifically in these zones where they knew no one could defend themselves. When I am statutorily disarmed in these areas, what specific protections will UTPD provide for me. Will UTPD accept liability for my injury due to violence if I am harmed in one of these zones? If you are taking away my means of defense you must accept responsibility, correct?
51. I totally respect the decision on Texas Legislature Senate Bill 11. However, I personally believe that at MD Anderson patients and even employees can be exposed to heavy emotions. Thus MD Anderson should implement a policy to have knowledge of who is carrying Concealed Handguns Licensed. In this way at least there is a more organized mechanism to identify possible risks and resources at the moment of any incident or emergency.
52. Maybe we should exclude everything except the gardens/yard? It would be so much simpler! If all UT System presidents refused this law, maybe it would put enough pressure on the gov to make exceptions?
53. This was signature legislation of Governor Abbott backed by the NRA and TSRA. CHL holders can bypass the metal detectors in Austin at the State Capitol. If we block more than this we risk a law suit and embarrassment of the hospital. If we restrict CHL holders we should keep Tae Kwon Do multi-Dan Black Belts in leg irons (reduction ad absurdum argument).
54. I am more concerned about other employees harming others than patients. How will the institution guarantee my safety with multiple weapons circulating in the work place? If there is such a high concern for safety, professional police officers should be hired.
55. You cannot exclude areas in Q1 without excluding areas in Q2 unless we create an area where patients and employees can leave their weapons before entering an exam room. Plus...how will this be enforced? Will you have metal detectors at the clinic entry?
56. This law is a farce and a travesty endangering the lives of many. What would happen if someone pulled a firearm and shot someone, people in the area subdue the gunman and an innocent hero is in control of the gun when the police arrive and they mistake them for the shooter and shoot the innocent bystander? What if half a dozen people pull out their gun to defend and others get caught in the crossfire?
57. As with all other issues at MDACC, this decision must be made on the basis of hard data, not on the basis of personal bias, emotion, politics, political correctness, survey, or applause meter. Like it or not, here are the facts: there is zero evidence that Texas citizens carrying licensed concealed handguns pose a risk to others in any MDACC environment. There are no records of illegal acts or "incidents" committed by CHL licensees in Texas hospitals or medical clinics carrying concealed, legal handguns. There are no records of adverse incidents involving legal concealed handguns in any vivarium or hazardous material storage area. CHL licensees are

allowed to carry legal concealed weapons in our State Capitol, one of the most emotionally contentious locations on the planet. It would be wise for MDACC not to thumb its nose at the Texas Legislature or at our Governor.

58. I believe the faculty senate should write a petition against this ridiculous law and allow the MDACC faculty to voice their objection and take a stand against our state leaders.
59. This law was intended for college campuses and never intended for an organization like ours. I think we should take a stand against this and exclude the entire campus for the sake of our patients and employees
60. I feel strongly that MDACC should calculate the total expense that this legislation has brought upon our institution or those entities that fund us (e.g. inclusive of our attorney, faculty, leadership, staff, etc.) both to date and projected into the future), and bring this to the attention of our Texas public and legislators. Responsible leadership at the Tx state level requires knowing the cost-benefit analysis of this law.
61. This is a terrible law and puts people at risk who only want to help educate and care for others.
62. We will need enough gun lockers that individuals exercising their right to concealed carry of their weapons can store them when they go from prohibited to permissible areas.
63. when patient care rooms, labs and offices are in the same building and on the same floor all the floor should be excluded for practical reasons, same issue when moving from one floor to the other and having to pass from exclusion to non-exclusion zones
64. Personally, I am anti-gun
65. Please exclude as many areas as you can.
66. This list of exclusions is excessively restrictive and is not consistent with the letter nor spirit of the campus carry law
67. Those carrying concealed weapons should be clearly identified by adding a red band across their badge so those bystanders fearing they may cause an accident by dropping or otherwise accidentally discharging their weapon can avoid them. Since accidental discharge of a concealed handgun can cause damage to innocent bystanders those carrying concealed weapons on MDA campus should be required to register with the UT police and show proof of liability insurance since they are a potential danger to employee safety.
68. I attended one of the previous Campus Carry Townhall meetings and was really struck by all of the conflicting information that people labeled as "facts". While I understand that we must figure out how to implement the law as it currently stands, I can't help but wish we had reliable data to work with in these discussions and moving forward. I honestly can't determine if this new change will increase or decrease safety because none of the "facts" are reliable. As our UT institutions are filled with the highest caliber scientists and very talented statisticians, is there any way the UT system could facilitate a data analysis on this issue? Our daily scientific work is done within the requirements of providing detailed descriptions of our methods and followed by peer review. This process could/should be applied to the

current debate. While I recognize that this would be very challenging for political reasons, this would be an extraordinarily valuable contribution not only to UT, but the rest of the state of Texas, and the country. While I am not personally comfortable with guns, I know that intuition and emotion are not facts. Unfortunately, proponents and opponents of Campus Carry are throwing around conflicting information all of which are labeled as "facts", making productive discussion nearly impossible. I would like to think that we would be able to convene a diverse group to evaluate this difficult issue in the same way that we handle challenging scientific debates. I have heard some discussion among the faculty that there is a rule/law against gathering data or doing research related to concealed handgun license topics and/or gun violence, but I have been unable to confirm. Is this true? If so, this is directly in conflict with our mission and values as an institution and should be brought to light as part of this discussion. One other important point that should be addressed or discussed- I am concerned about our continued ability to recruit/retain students and faculty from other areas of the country. This bill has generated significant negative feedback from my colleagues in other areas of the country. It will be damaging to the reputation of UT as an institution of patient care and education. The bill, in addition to the rejection of HERO proposition, even make me question whether I want to stay in Houston long-term. Thank you for your consideration.

69. allowing weapons in work areas, in any location, makes all of us less safe.
70. NO GUNS HERE.
71. I personally consider this institutionalized insanity, pure and simple. I strongly recommend that faculty and administration draft an open letter of protest to the Texas legislature, voicing our united opinion about how completely inappropriate this law is. If we don't stand up and say "enough is enough". the powers that be will keep pushing their minority agenda on the majority. Sometimes political correctness equals cowardice, and I hope our esteemed institution ultimately does the right thing so history will show that we were on the right side.
72. I think no one should bring concealed weapon to work.
73. I believe we should protect MD Anderson faculty and employees from potential exposure to fire arms to the maximal extent of our abilities.
74. Please obtain as much input as possible from our security as they do an excellent job and should provide critical input

75. Frankly I think that this law is crazy.
76. please don't make me become scared to come to work :(
77. In "conflict resolution areas" like HR, I think it's reasonable that MDA employees carry hand guns to possibly counter an unlikely event where a disgruntled employee or job candidate intends to commit acts of violence.

79. Employees should make volunteer disclosure to managers/supervisors on intent to carry on campus.
80. I carry pepper spray on my keychain and usually forget that I have it!
81. I'm not afraid of those carrying a concealed weapon. In order to have a CHL, you have to be background checked and go through training. Even a misdemeanor like DUI can prevent you from getting a license. It's those that carry illegally that we need to worry about. Excluding legally carried concealed handguns from certain areas of MDACC is unlikely to affect anything. Crazy people do crazy things, whether they have a license or not.
82. we are fundamentally a hospital not a university
83. I would prefer that concealed handguns are not permitted anywhere on campus.
84. The Texas legislature and governor have collectively lost their minds.
85. Ideally all MDACC should be free of any firearms, concealed or not
86. We will need to increase security to the administrative buildings where faculty work. Right now there is no security on the 3rd floor of Pickens or Faculty Center. Regardless of what is decided, patients/family members should not be allowed to enter the academic office buildings where faculty work unless they are first somehow screened.
87. If a law is beyond stupid, we should not obey it.
88. The patient care areas are intimately connected to areas (such as Pickens/Faculty Center) that are technically not used for 'medical activities.' It does not seem feasible to separate these areas and therefore including them in the exclusion zone seems reasonable.
89. So far MD Anderson is safe-no gun shooting cases happen yet. Gun-carrying policy will increase gun-shooting at MD Anderson in the future.
90. Only allowed zones should be where UT PD maintains a presence and there is armed security near by.
91. There is no place for guns in hospitals. Anyone other than security personnel should carry the gun. Patients do not need guns for getting treatment here at MD Anderson
92. Fire guns or any other weapons should NOT be allowed in any hospital area, except maybe parking lots, where people could leave their weapons in their cars if needed.
93. The CHL campus carry issue will undoubtedly have a negative impact on the ability to recruit top notch faculty and fellows because many of these individuals are anti-gun to begin with. Aside from the fact that Houston as a geographic location is not nearly as favorable a workplace as other top cancer research centers (New York, Boston, Chicago, California, etc), Houston will be even less desirable for top recruits to seriously consider relocating here. In addition, patients from other parts of the US (and elsewhere) will probably give a second thought to coming to Texas (many think this state is wacko enough) and instead choose to seek consultation or care at DFCI or MSKCC. We are the laughing stock of the cancer world for so many reasons already (eg MDACC past dramas galore) and now we will be known as the wild wild west crazy gun-toting campus. The Campus Carry law is completely insane for so many reasons but in particular we provide patient care and have a responsibility to

protect our patients and their families, not to present more risk to them. I hope Dr. DePinho and his associates speak loudly on our behalf and lobby for AS MANY EXCLUSION ZONES AS POSSIBLE! For once, the administration can easily show us they they want to do what is best for the faculty and the employees!

94. Concealed carry on college campuses and in hospitals is beyond stupid. period.
95. The law was never intended to include MD Anderson. Campus carry at MD Anderson is an "unintended consequence" of the law that should be remedied by a "reasonable" interpretation of the law by our President
96. As a Texan and a UT employee, I am embarrassed that we even have to consider how to deal with this backwards, paranoid bit of legislation.
97. Areas that should be allowed should only include those areas open to the public. All other areas should be excluded.
98. To me as a faculty it is critical that the safety and right for free speech is guaranteed for students and faculty. I agree with chancellor McRaven that there is a great likelihood that this new law will "will stymie discussion -- heated discussion in areas - in the classroom." and am concerned about any faculty and student engagement in office hours where the faculty member is having to tell the student they didn't make the grade or did not perform as required. additional safety measures to ensure safety for students and faculty may be needed
99. Why do we need guns on our campus? Even if someone has a gun, does that mean they are capable of actually using it to take a life or stop something bad from happening. Yes, I realize they have to undergo training but such training is no guarantee of someone being able to use a gun properly or on the right people. It is just sad to have such a discussion on a medical and academic campus. We are supposed to be saving lives on this campus.
100. this is the most ridiculous state law ever! What good it could be to have somehow carry a conceal gun to the school and classroom?!!
101. See above. I am astonished that folks believe that just because we are an academic institution (and not a hospital) we should allow concealed arms. Does anyone feel comfortable working with someone who is carrying a concealed weapon? I guess this would be a reason to apply for a gun license - everyone for themselves and not worry about the collective good.
102. The Texas Legislature Senate Bill 11, also known as Campus Carry is such so... wrong and so... bad that imposes dangers and threats to academic and educational freedom.
103. Can we please have a published list of all faculty and staff who will be carrying firearms on our campus.
104. No
105. This is a stupid law that makes no sense and makes our institution potentially less safe.
106. This is a dangerous development on a campus where many employees and visitors are stressed and sometimes acutely emotional. There is no reason to allow firearms anywhere on this campus.

107. There is a lot of great science that goes on here in the med center. We have a great grad school. The GSBS wishes the faculty to recruit students from other states and countries to come here. Many out-of-state residents and foreigners already have preconceived notions of Texas being the wild west. The concealed carry law will only serve to reinforce these ideas, and I expect that people from the Northern US, Canada and Europe will look elsewhere for training opportunities. Add to that the fact that Houston just rejected an equal rights ordinance. This is not good publicity for our institution, our city and our state.
108. Consider that evenings or weekends might alter the appropriateness of concealed carry for some locations.
109. Smoking is not allowed in the premises (killing oneself), but guns are OK (killing somebody else). I see a significant contradiction in that law; I don't see a rationale on that law.
110. FC and FCT also represent "conflict resolution" areas for issues involving faculty-faculty, faculty-staff, and staff-staff issues. This not only occurs in division head, department chair, and section chief offices, but in many faculty offices given the hierarchical team structure employed in both our clinical and academic work. It is important to remember that Dr. Fred Conrad was fatally shot in his OFFICE in 1982. Exclusion zones should include areas where faculty are accessible and not infrequently involved in "conflict resolution".
111. Don't think that concealed guns should be allowed at a hospital/cancer center - it's not a college or university campus. It is a place of patient care, healing;
112. It is very uncomfortable and frightening to know that your students carry handgun when you teach in the classroom/lab or when you meet with the students in your office concerning their academic progress, professional conduct and behavior advising.
113. Thank you for the work on this.
114. we are doing fine without guns on campus. why change?
115. This law puts all of us at risk. We discussed end of life issues, limited treatment options and constantly give very bad news to patients and families. This could lead to tragedies if an angry family member is carrying a gun.

116. I am saddened that this is even a topic of conversation. This goes against all morals and rational thoughts I have about why anyone should be allowed to keep a gun with them in a hospital. I did feel safe at work, not after hearing this news. I fear what will happen when patients or family members get bad news. Telling them only certain areas can't have guns sends the wrong message. Very sad day for Texas.
117. I am very uncomfortable with this law, specially its implications when irate patients or providers are carrying guns
118. The underlying intent of the Texas legislature should not be subverted with flimsy justifications that are based on personal distaste for guns. Furthermore, if

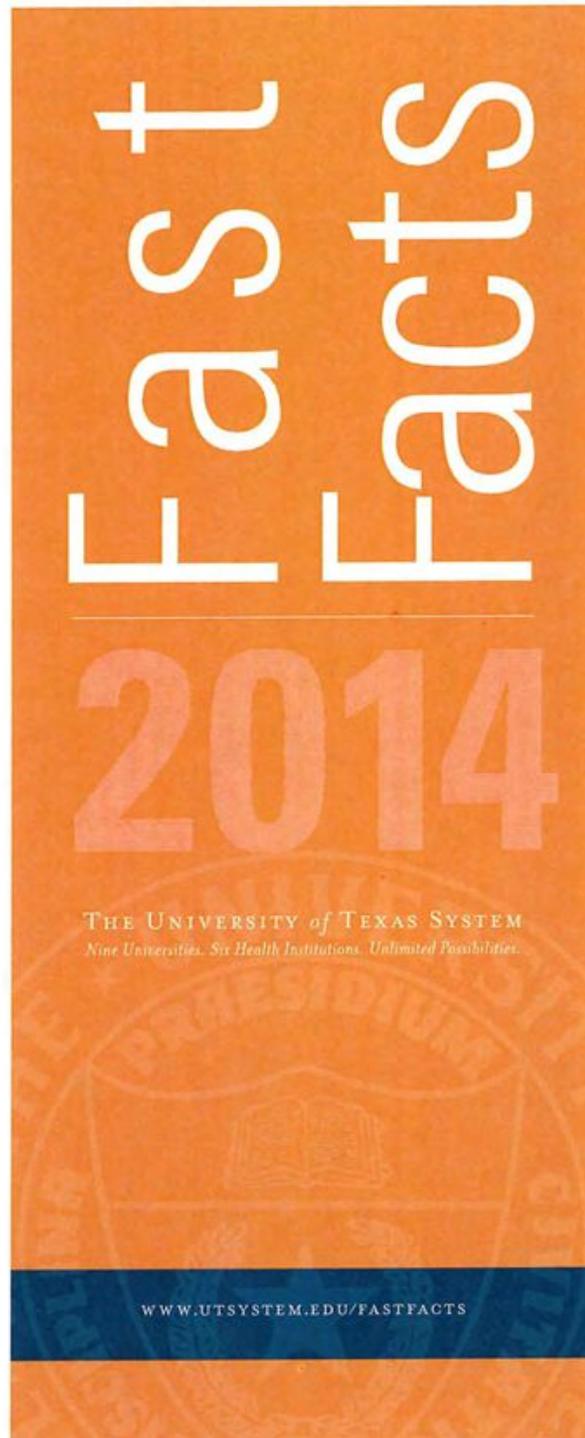
exclusion zones are created, then the institution should consider creating and maintaining appropriately secure facilities for temporary storage of such weapons prior to entering the designated exclusion zones.

119. I would like to go on record saying that I believe the entire MDACC hospital and facility should be GUN-FREE. I am 100% opposed to anyone carrying a weapon that has the sole intent on hurting another human-being. MDACC is a caring facility in which we are trying to save lives against a deadly disease. We do not need another potential killer in our waiting rooms. This institution went SMOKE-FREE (another deadly weapon) years ago... why on earth would we allow handguns? In NO SANE WORLD is it appropriate to bring a weapon into this medical facility and endanger the lives of patients, family and caregivers. I will be asking my patients to not carry weapons anywhere around my clinical activities. And, if they refuse, I will politely refer them to another provider.
120. The whole hospital should be gun Free zone and we should have a metal detector
121. We are a health care facility and need to be recognised as such although under the UT campus banner.
122. Excluding patient care areas should not include areas where patients might be present but are not actively being cared for such as cafeterias or skybridges. Patient care areas should also not include places where patients are cared for but are not normally present such as radiology reading rooms or pathology labs. The usual metal exclusions should work for MRIs, and if a handgun is not made of metal, there is no reason it cannot be brought into an MRI room.
123. I would like to minimize areas within MDACC where guns are allowed. I do not see the need for guns (excluding guards and cops) in our cancer center.
124. faculty center, faculty center tower, skybridges should not be excluded simply because a patient might pass through. patients do not have a right to weapon free zones on the sidewalk, in the grocery store, or hotel, why would these locations be any different?
125. This survey does not make logical sense. A person who opposes the law will mark "yes" to #1-7!

126. It is unclear how it will be handled if one is moving between restricted and nonrestricted areas; this is a major area of concern.
127. Concealed handguns should not be allowed on the campus of the Texas Medical Center.
128. This law will negatively affect patients wanting to come here and national faculty and student recruitment because it will be of serious concern for many people and their safety. I know I will feel less safe at work, and when my contract is up I will be looking to relocate to another institution.
129. This is a hospital!!!!!!

Attachment I

The University of Texas System Fast Facts 2014



AT A GLANCE

Enrollment & Degrees

	UNDERGRAD & POST-BACC	GRADUATE/ PROFESSIONAL	TOTAL
Enrollment	161,777	51,141	212,918
% Minority	63.9%	35.2%	57.0%
# Degrees	33,253	17,848	51,101

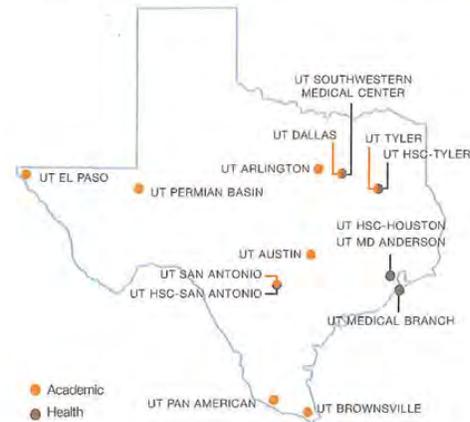
Faculty/Staff (Fall 2013)

Faculty	19,801
Members of the three National Academies <small>(National Academy of Sciences, National Academy of Engineering, Institute of Medicine)</small>	143
Other Employees <i>(excludes student employees)</i> <small>Includes health care professionals, hospital support staff, student advisors and counselors, accountants, engineers and many other positions that support the institutional mission.</small>	71,132

Other Numbers

Research Expenditures, FY 2013	\$2.53 billion
Budget, FY 2014	\$14.6 billion
PUF Market Value as of 08/31/13	\$14.9 billion

THE UNIVERSITY OF TEXAS SYSTEM
Nine Universities. Six Health Institutions. Unlimited Possibilities.



UT System | www.utsystem.edu

The UT System website provides an overview of the UT System and the 15 UT institutions, as well as breaking news and information on key initiatives, System offices and leadership.

seekUT | www.utsystem.edu/seekUT

Prospective students and their families can look at salary and debt data for actual UT students one, five and 10 years after graduation. seekUT is the first online tool in the nation targeted to students that offers salary, debt and job data all in one place.

Productivity Dashboard | www.data.utsystem.edu

The Productivity Dashboard provides an unprecedented look at how all 15 UT academic and health institutions are performing on a variety of measures. The website provides data and trends reports for enrollment, graduation rates, tuition and fees, student debt, research expenditures, technology transfer and patient care. Information is interactive and user-friendly and is now available via an iPad app (exploredata.utsystem.edu).

UTx | www.utx.edu

UTx is a groundbreaking initiative that offers competency-based education programs aimed at millions of Texas who are not being served by traditional higher education. UT-quality courses are interactive, personalized and adaptive, allowing students to move at their own speed and potentially accelerate time to degree completion.

Social Media

The UT System regularly uses social media to inform and engage the public and highlight accomplishments of UT institutions.

- Facebook: www.facebook.com/utsystem
- Twitter: [@utsystem](https://twitter.com/utsystem)
- YouTube: www.youtube.com/UTSystemVideo
- UT Matters blog: www.utsystem.edu/blog

Giving to the UT System | www.advancing.utsystem.edu

Without the generous support of alumni and friends, the UT System's rich heritage of academic excellence would be impossible. Gifts to the UT System educate future leaders, improve health care in Texas and pioneer research innovations that ensure our state remains competitive in the 21st century.

STUDENTS, FACULTY & STAFF				
	Personnel ¹ Headcount Fall 2013	Faculty ² (All Ranks) Fall 2013	Student Enrollment Fall 2013 Headcount	% Change Enrollment from Prior Year
<i>Academic</i>				
UTA	2,243	1,698	33,329	0.3%
UT Austin	11,274	3,366	52,059	-0.2%
UTB ³	685	395	8,570 ³	-37.0%
UTD	2,471	1,045	21,193	7.4%
UTEP	2,030	1,189	22,926	0.9%
UTPA	1,479	881	20,053	3.9%
UTPB	257	238	5,131	27.6%
UTSA	3,322	1,445	28,623	-6.1%
UTT	886	436	7,476	9.0%
Subtotal	24,647	10,693	199,360	-1.4%
<i>Health</i>				
UTSWMC	10,056	2,219	2,349	-3.1%
UTMB	9,674	1,127	3,112	3.3%
UTHSCH	4,208	1,792	4,615	2.8%
UTHSCSA	3,775	1,676	3,148	-3.1%
UTMDA	17,354	2,195	317	9.3%
UTHSCT ⁴	816	99	17	183.3%
Subtotal	45,883	9,108	13,558	0.7%
System Admin	602	n/a	n/a	n/a
Total	71,132	19,801	212,918	-1.2%

¹ Personnel headcount includes a wide range of positions including researchers, student services providers, managers, nurses, laboratory technicians, clinical staff, computer analysts, social workers, engineers, accountants, and support staff. Does not include faculty or 23,485 student employees.

² Includes all ranks of faculty, but excludes student employees such as teaching assistants. Faculty counts for the academic campuses are preliminary figures reported by the institutions.

³ UTB and Texas Southmost College became operationally separate institutions in fall 2013. This is reflected in UTB's decreased enrollment, which, in prior years, represented the unduplicated enrollment at UTB and TSC combined.

⁴ UTHSCT admitted their first cohort of six students in fall 2012.

Effective fall 2010, federal reporting of race/ethnicity categories was revised to include a two-question format: 1) ethnicity (Hispanic or non-Hispanic); and 2) race (African-American, White, Asian-American, Hawaiian/Pacific Islander, Native American), which is reported for non-Hispanics only. More than one race may be selected. For state reporting and comparisons, multi-racial is separated into "Multi-racial (incl. African-Am)" when African-American and another race is selected and "Multi-racial (excl. African-Am)" for any combination of the other races. International is a separate category and is excluded from race/ethnicity breakdowns.

STUDENT ETHNICITY & RACE FALL 2013

	Hispanic	African-Am*	White	Asian-Am + Hawaiian/Pac Isl	Other**	Unknown	International
<i>Academic</i>							
UTA	22.2%	15.0%	40.0%	10.6%	2.2%	0.8%	9.3%
UT Austin	19.7	4.5	48.5	16.4	2.5	0.9	7.5
UTB	87.1	0.9	5.4	1.1	0.2	0.4	5.1
UTD	12.2	5.7	35.3	19.4	2.6	1.9	22.9
UTEP	79.4	3.0	9.1	1.1	0.5	0.5	6.3
UTPA	88.2	0.7	3.5	1.2	0.3	3.4	2.6
UTPB	41.5	5.8	45.7	3.2	1.4	1.2	1.1
UTSA	46.6	9.8	29.2	5.2	1.7	1.2	6.2
UTT	13.5	11.0	63.2	2.9	5.6	1.2	2.6
<i>Health</i>							
UTSWMC	9.1%	3.0%	36.6%	17.7%	1.5%	6.3%	25.9%
UTMB	15.8	11.0	47.8	15.7	2.3	3.1	4.3
UTHSCH	14.5	7.5	42.3	14.1	1.6	6.9	13.1
UTHSCSA	24.9	4.9	44.6	14.5	2.0	4.4	4.5
UTMDA	18.0	12.9	30.6	17.7	0.6	12.9	7.3
UTHSCT	5.9	0	64.7	23.5	0	5.9	0
Total	38.7%	6.7%	33.1%	9.7%	1.9%	1.5%	8.4%

* African-American includes "Multi-racial (including African-Am)."

** Other includes Native American and "Multi-racial (excluding African-Am)."

SCIENCE, TECHNOLOGY,
ENGINEERING, & MATH DEGREES

STEM Degrees as a Percent of Total Degrees Awarded by
UT Academic Institutions, 2012

	UT System Academic #	UT System Academic %	Other TX Public Academic	National Public
Baccalaureate	6,675	22.5%	18.2%	19.3%
Master's	2,431	19.6	16.9	17.9
Doctoral	632	45.7	36.4	43.9
Total	9,738	22.5%	18.3%	19.7%

Based on the National Science Foundation STEM classification. Includes chemistry; engineering; mathematics; physics/astronomy; the agricultural, computer, environmental, geo- and life/biological sciences; and technology/technician-related fields such as electronic and computer engineering and environmental control technology.

FACULTY HONORS

Nobel laureates	7
Shaw laureates	1
Abel Prize	1
Japan Prize	2
Pulitzer Prize recipients	2
Members of the Institute of Medicine	42
Members of the National Academy of Sciences	42
Members of the National Academy of Engineering	59
Members of the American Academy of Arts and Sciences	58
Members of the American Law Institute	33
Members of the American Academy of Nursing	57

RESEARCH FUNDING FY 2013 (in millions)



TECHNOLOGY TRANSFER FY 2013

New Invention Disclosures	823
U.S. Patents Issued	176
Licenses & Options Executed	143
Start-Up Companies Formed	19
Total Gross Revenue Received from Intellectual Property (in millions)	\$61.9

The 2012-2013 Tech Transfer data were collected by UT System's Office of Technology Transfer through a survey created by the Association of University Technology Managers (AUTM). The source of the previous years data are Texas Higher Education Coordinating Board "Technology Development and Transfer" survey. The THECB survey has been discontinued.

RESEARCH EXPENDITURES FY 2013 (in millions)			
	Federal Expenditures	Total Expenditures	% Change in Total Expenditures from FY 2012 ¹
<i>Academic</i>			
UTA	\$30.8	\$77.7	8.8%
UT Austin	372.6	595.1	2.6%
UTB	8.7	10.4	24.9%
UTD	33.9	98.8	9.0%
UTEP	38.3	76.7	6.6%
UTPA	5.2	8.5	-5.7%
UTPB	0.3	1.1	-31.7%
UTSA	29.1	51.4	-5.5%
UTT	1.4	3.2	-25.0%
Subtotal	\$520.4	\$923.0	3.5%
<i>Health</i>			
UTSWMC	\$198.1	\$404.3	1.7%
UTMB	108.3	144.7	-2.1%
UTHSCH	144.2	220.1	-2.9%
UTHSCSA	99.2	156.4	-4.5%
UTMDA	183.0	670.6	3.6%
UTHSCT	4.9	11.6	-3.9%
Subtotal	\$737.7	\$1,607.7	0.8%
Total	\$1,258.1	\$2,530.7	1.7%

¹ Subtotals, total and percent change are based on unrounded figures.

PATIENT CARE PROVIDED ¹ BY FACULTY UT HEALTH INSTITUTIONS FY 2013		
	Outpatient Visits	Hospital Days
UTSWMC	2,210,748	509,846
UTMB	693,930 ²	121,012
UTHSCH	1,279,023	270,776
UTHSCSA	911,114	262,194
UTMDA	1,338,706	207,555
UTHSCT	217,906	7,610
Total	6,651,427	1,378,993

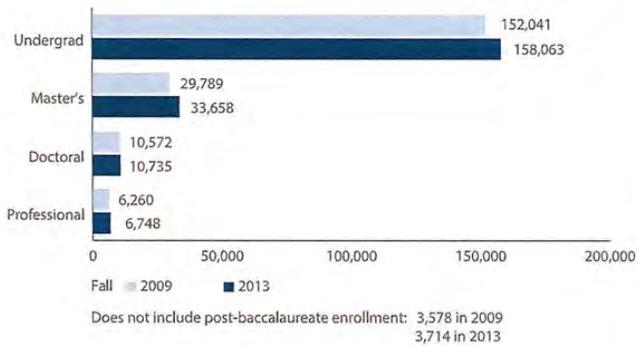
¹ At state-owned and affiliated facilities.

² Does not include correctional managed care off-site visits.

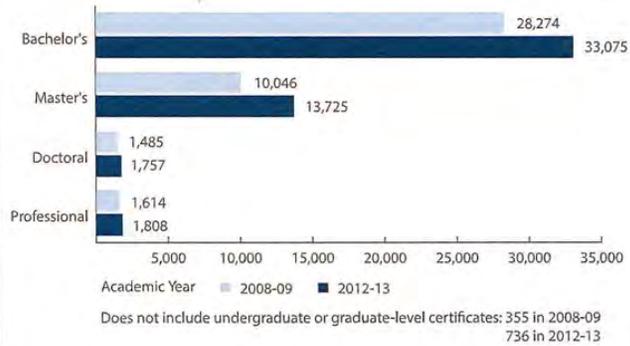
TRENDS

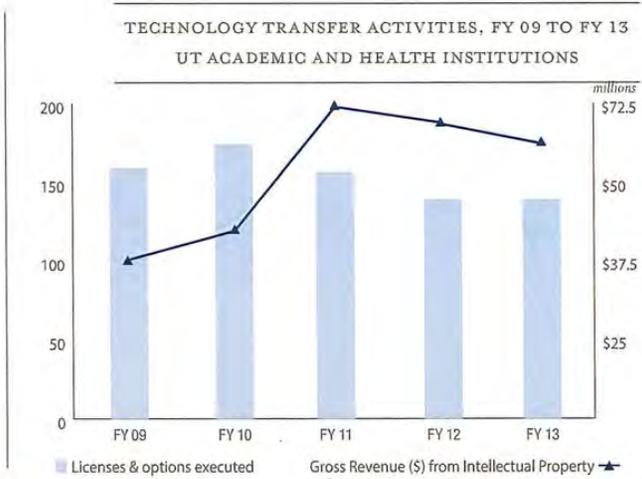
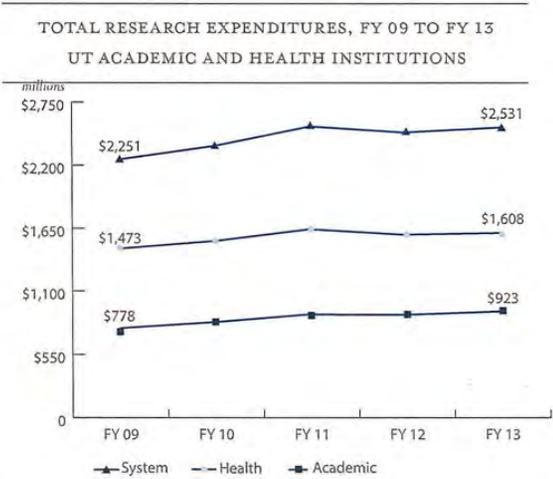
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ENROLLMENT, FALL 2009 & FALL 2013
UT ACADEMIC AND HEALTH INSTITUTIONS



DEGREES BY LEVEL, 2009 & 2013
UT ACADEMIC AND HEALTH INSTITUTIONS





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BUDGET

INSTITUTIONAL BUDGETS FY 2014 (in millions)			
	Total Budget Expenditures	From General Revenue	General Revenue as % of Total
<i>Academic</i>			
UTA	\$528.7	\$118.2	22.4%
UT Austin	2,522.6	315.5	12.5%
UTB	115.8	36.2	31.3%
UTD	540.1	110.8	20.5%
UTEP	396.7	96.0	24.2%
UTPA	259.9	77.7	29.9%
UTPB	61.1	29.8	48.8%
UTSA	513.8	122.1	23.8%
UTT	116.3	36.8	31.6%
Subtotal	\$5,055.0	\$943.1	18.7%
<i>Health</i>			
UTSWMC	\$2,016.6	\$168.1	8.3%
UTMB	1,680.9	340.8	20.3%
UTHSCH	1,086.7	195.3	18.0%
UTHSCSA	763.7	174.2	22.8%
UTMDA	3,691.0	185.8	5.0%
UTHSCT	157.2	43.7	27.8%
Subtotal	\$9,396.1	\$1,107.9	11.8%
System Admin	\$165.1	\$6.1	3.8%
Total	\$14,616.2	\$2,057.1	14.1%

REVENUE TRENDS PER FTE STUDENT (Academic)



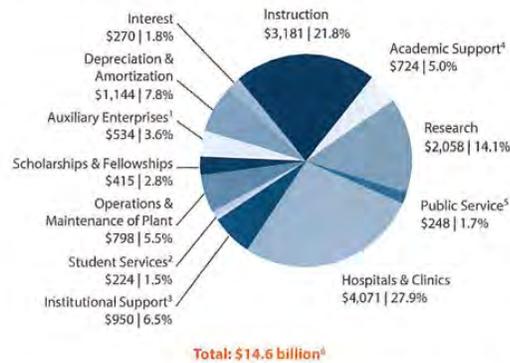
Adjusted for inflation using the Consumer Price Index (CPI-U) and FY 13 as the base year. Tuition & Fee Revenue does not include scholarship and fellowship discounts and waivers. Totals do not include UT Brownsville.

FTE: full-time equivalent

HOW THE BUDGET IS FUNDED
FY 2014 (in millions)



HOW THE BUDGET IS SPENT
FY 2014 (in millions)



- ¹ Essentially self-supporting institution enterprises such as bookstores, dormitories or intercollegiate athletic programs.
- ² Admissions and registrar offices, as well as activities with the primary purpose of contributing to the emotional and physical well-being of students outside the context of formal instruction.
- ³ Centralized executive-level activities concerned with institutional management and long-range planning.
- ⁴ Support services for the primary missions of instruction, research and public service. Includes salaries, wages, academic administration and all other costs related to the retention, preservation and display of educational materials.
- ⁵ Noninstructional services beneficial to individuals and groups external to the institutions.
- ⁶ Capital purchases and debt principal repayments are uses of funds that are not part of the budgeted spending presented. When considered in combination with depreciation, a budget expense that does not actually use funds, these two items make up the difference in the totals for funding and spending above.

COSTS & FINANCIAL AID

Average annual net academic cost and average percent discount for full-time undergraduate students, AY 2012-13

	Avg In-State Total Academic Cost ¹	% Receiving Need-Based Grant Aid	Avg % Discount	Avg Net Academic Cost ²	Avg % Discount
UTA	\$9,292	54.5%	75.0%	\$5,493	40.9%
UT Austin	9,790	37.7%	88.4%	6,528	33.3%
UTB	6,258	72.4%	95.1%	1,948	68.9%
UTD	11,537	46.7%	70.1%	7,759	32.7%
UTEP	7,116	66.6%	99.8%	2,383	66.5%
UTPA	6,271	78.4%	100.0%	1,354	78.4%
UTPB	6,708	55.7%	89.6%	3,358	49.9%
UTSA	8,984	57.3%	68.1%	5,480	39.0%
UTT	7,222	60.1%	88.5%	3,377	53.2%
Average	\$8,782	54.0%	80.4%	\$4,970	43.4%

¹ Total academic costs represent the sum of all statutory tuition, designated tuition and board-authorized tuition (where applicable), along with mandatory fees which now include college and course fees. Academic cost information is derived from actual fee bills for resident undergraduate students enrolled for 15 semester credit hours in the fall and spring semesters. Therefore, these figures represent costs for a total of 30 semester credit hours.

² The average net cost for all full-time students is derived by subtracting the total need-based grant aid from the total academic costs of all students and dividing by the total number of students.

- In FY 2013, nearly \$1.3 billion was allocated for financial aid awards to students at UT System academic institutions. Loans comprised 48% of total awards; grants and scholarships comprised 51%; and work-study provided 1% of all financial aid.
- 54% of full-time undergraduate students received some form of need-based aid, covering 80% of their total academic costs.
- Of the scholarships and aid, federal grants funded 50%; institutional funds supported 21%; state funds were 24%; and 5% came from private sources.

TUITION & FEES ONLINE RESOURCE

UT System Affordability website: www.utsystem.edu/affordability

THE PERMANENT AND AVAILABLE UNIVERSITY FUNDS (PUF AND AUF)

Market Value of PUF Investments, Distribution to AUF (FY 14)
08-31-14 \$17.4 billion \$877.4 million (7%)

- The 1876 Texas Constitution dedicated about 1 million acres of land to create the PUF. Through the dedication of additional land and the investment of revenue from mineral production on PUF land, the PUF now includes 2.1 million acres, primarily in West Texas, as well as \$14.9 billion in investments. The PUF benefits The University of Texas System (except UTPA and UTB)¹ and The Texas A&M University System.
- The Constitution prescribes the management, investment and use of the PUF, including the distribution and use of income from the PUF.
- The Constitution vests management authority of the PUF in the UT System Board of Regents, which contracts with The University of Texas Investment Management Company (UTIMCO) for investment services.
- The Constitution allows distributions to the AUF from the total return on investment assets of the PUF. The target annual distribution rate is 4.75%, but may increase to 5% depending on investment performance. The Constitution requires the UT System Board of Regents to provide a stable stream of distributions while maintaining the purchasing power of PUF investments and AUF distributions. The distributions, plus surface income earned on PUF lands, are available for appropriation.
- PUF lands produce two streams of income: one from mineral interests such as oil and gas and the other from surface interests such as grazing.
- Income from the sale of PUF land and income from mineral interests such as bonuses, rentals and royalties must be added to the PUF and invested. Distributions from the PUF and income from surface interests are deposited in the AUF.
- The UT System and the Texas A&M System may issue bonds for construction projects and other capital purposes in an amount not to exceed 20% and 10%, respectively, of the book value of the PUF.
- The proceeds of PUF bonds may not be used for operational expenses.
- The Legislature appropriates the AUF, which the Constitution divides between the UT System (two-thirds) and the Texas A&M System (one-third). After debt service on PUF bonds, the remainder of the UT System's two-thirds share of the AUF is appropriated for support and maintenance of UT Austin and UT System Administration.
- The Constitution does not permit use of the AUF for support and maintenance of other UT System institutions.

UT ACADEMIC INSTITUTIONS



UT Arlington	(UTA)
Est. 1895, joined System 1965	www.uta.edu
President Vistasp M. Karbhari	
UT Austin	
Est. 1883, joined System 1883	www.utexas.edu
President William C. Powers, Jr.	
UT Brownsville	(UTB)
Est. 1991, joined System 1991	www.utb.edu
President Juliet V. Garcia	
UT Dallas	(UTD)
Est. 1961, joined System 1969	www.utdallas.edu
President David E. Daniel	
UT El Paso	(UTEP)
Est. 1914, joined System 1919	www.utep.edu
President Diana S. Natalicio	
UT Pan American	(UTPA)
Est. 1927, joined System 1989	www.utpa.edu
President Robert S. Nelsen	
UT Permian Basin	(UTPB)
Est. 1969, joined System 1969	www.utpb.edu
President W. David Watts	
UT San Antonio	(UTSA)
Est. 1969, joined System 1969	www.utsa.edu
President Ricardo Romo	
UT Tyler	(UTT)
Est. 1971, joined System 1979	www.uttyler.edu
President Rodney H. Mabry	

UT HEALTH INSTITUTIONS



UT Southwestern Medical Center	(UTSWMC)
Est. 1943, joined System 1949	www.utswwestern.edu
President Daniel K. Podolsky	
UT Medical Branch – Galveston	(UTMB)
Est. 1891, joined System 1891	www.utmb.edu
President David L. Callender	
UT Health Science Center – Houston	(UTHSCH)
Est. 1972, joined System 1972	www.uth.edu
President Giuseppe N. Colasurdo	
UT Health Science Center – San Antonio	(UTHSCSA)
Est. 1959, joined System 1959	www.uthscsa.edu
President William L. Henrich	
UT MD Anderson Cancer Center	(UTMDA)
Est. 1941, joined System 1941	www.mdanderson.org
President Ronald DePinho	
UT Health Science Center – Tyler	(UTHSCT)
Est. 1947, joined System 1977	www.uthealth.org
President Kirk A. Calhoun	

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THE UNIVERSITY of TEXAS SYSTEM
Nine Universities. Six Health Institutions. Unlimited Possibilities.

WWW.UTSYSTEM.EDU

Attachment J

MD Anderson Quick Facts 2015

2015

Quick Facts



THE UNIVERSITY OF TEXAS
MD Anderson
Cancer Center
Making Cancer History™

Who We Are

The University of Texas MD Anderson Cancer Center, located in Houston on the campus of the Texas Medical Center, is one of the world's largest and most respected centers devoted exclusively to cancer patient care, research, education and prevention.

The Texas Legislature created MD Anderson in 1941 as part of The University of Texas System. It is one of the nation's original three comprehensive cancer centers designated by the National Cancer Act of 1971.

U.S. News & World Report's annual "Best Hospitals" survey has ranked MD Anderson the nation's leading cancer care hospital for 10 of the past 13 years. It's been named one of the nation's top two cancer centers every year since the survey began in 1990.

Mission

MD Anderson's mission is to eliminate cancer in Texas, the nation and the world through exceptional programs that integrate patient care, research and prevention. Our mission also includes education for undergraduate and graduate students, trainees, professionals, employees and the public.

Vision

We shall be the premier cancer center in the world, based on the excellence of our people, our research-driven patient care and our science.

Core Values

Caring: By our words and actions, we create a caring environment for everyone.

Integrity: We work together to merit the trust of our colleagues and those we serve.

Discovery: We embrace creativity and seek new knowledge.

General info: www.mdanderson.org #endcancer

Patient Care +

At MD Anderson, everything we do revolves around our patients. In Fiscal Year 2014, more than 127,000 people sought the superior care that has made the institution so widely respected. More than 8,000 participants were enrolled in clinical trials exploring innovative treatments. MD Anderson's cancer clinical trial program is the largest in the nation.

Clinical Activity	FY10	FY14	% Change
Hospital admissions	23,995	27,761	16%
Average number of inpatient beds	546	654	20%
Outpatient clinic visits, treatments, procedures	1,132,338	1,363,008	20%
Pathology/laboratory medicine procedures	10,754,560	12,005,766	12%
Diagnostic imaging procedures	538,514	523,297	-3%
Surgery hours	61,873	69,506	12%
Total active clinical research protocols	1,009	1,101	9%

Noteworthy:

- MD Anderson is accredited by the Joint Commission to ensure patients receive the best and safest health care possible.
- The nursing program holds the American Nurses Credentialing Center's Magnet Nursing Services Recognition status, which acknowledges health care organizations for quality patient care, nursing excellence and innovations in professional nursing practice.
- MD Anderson provided more than \$196 million in uncompensated care to Texans with cancer in FY14. This figure includes unreimbursed costs of care for patients who either have no insurance or are underinsured, or whose care was not fully covered by government-sponsored health programs.
- The EndTobacco program addresses public health enemy No. 1: preventable death and disease caused by tobacco use. It brings MD Anderson and other leaders in prevention and tobacco control together to end tobacco use and more rapidly decrease tobacco-related cancers through policy, education and community-based clinical services.

Questions about cancer, patient services
 askMDAnderson: 877-MDA-6789 / www.mdanderson.org/ask

Research

At MD Anderson, crucial scientific knowledge gained in the laboratory is rapidly translated into clinical care. In FY14, MD Anderson invested more than \$735 million in research, an increase of 35% in the past five years.

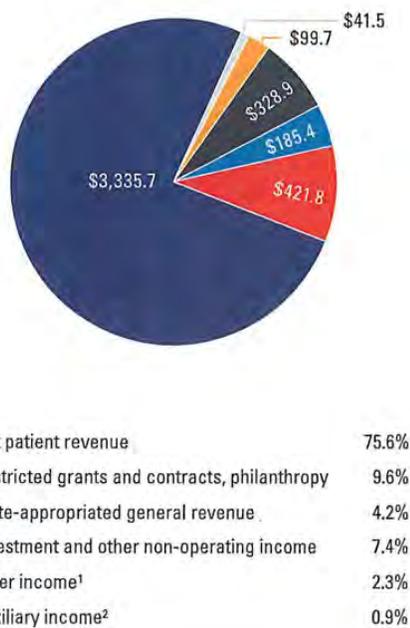
Sources of Research Expenditures	FY10	FY14	% Change
Private industry grants and contracts	\$50,712,121	\$75,307,463	48%
Philanthropy and foundations	\$81,656,207	\$147,016,586	80%
State funding allocated for research	\$23,204,735	\$49,884,575	115%
Federal grants and contracts	\$206,664,447	\$158,986,303	-23%
Internal funding allocated for research	\$184,797,234	\$304,998,503	65%
Total research expenditures	\$547,034,744	\$736,193,430	35%

Noteworthy:

- The institution's faculty is one of the most esteemed in the nation, including nine Institute of Medicine members, three National Academy of Sciences members, four Academy of Arts and Sciences fellows and 32 American Association for the Advancement of Science fellows.
- The Moon Shots Program is dramatically accelerating the pace of converting scientific discoveries into clinical advances that reduce cancer deaths. So far, the program has received almost \$213 million in private philanthropic commitments.
- Investigators in the Breast and Ovarian Cancer Moon Shot created an MD Anderson algorithm for determining when ovarian cancer patients should have surgery. This systematic approach has more than tripled the rate of complete surgical removal of patients' tumors, from 25% to more than 80%.
- GP2, a new breast cancer vaccine in development at MD Anderson, has been shown to reduce recurrence rates by 57%. High-risk patients who were given the vaccine after completion of the immunotherapy drug trastuzumab had no cancer recurrences.
- The Institute for Applied Cancer Science identifies and validates new cancer targets, converts the scientific knowledge into new cancer drugs and advances the novel agents into innovative clinical trials. A team of IACS drug development experts identified and developed IACS-10759, which blocks the conversion of nutrients into the energy that fuels cancer cells.
- During the past year, MD Anderson received more than \$47 million from The Cancer Prevention Research Institute of Texas (CPRIT) for research, prevention, recruitment and training. In total, the institution has received more than \$192 million from CPRIT since its formation.
- MD Anderson's expertise in cancer immunotherapy attracted the interest of a number of major pharmaceutical companies (Amgen, AstraZeneca, Johnson & Johnson, GlaxoSmithKline and Pfizer), which signed collaborative agreements to develop new ways for the immune system to destroy tumors. Biotech companies Intrexon and ZIOPHARM Oncology have licensed unique MD Anderson immunotherapy technology, including a new method for genetically engineering immune system T cells to target cancer. In addition, the institution is working with Bayer to capture important information from clinical trial patients about how certain investigational drugs affect them.

Sources of Revenue \$

MD Anderson's total revenue in FY14 was more than \$4.4 billion. Of that total, only 4.2% was general revenue appropriated by the State of Texas.



Make a donation: www.mdanderson.org/gifts

Education

Almost 6,500 trainees, including physicians, scientists, nurses and allied health professionals, took part in educational programs at MD Anderson in FY14. The institution awards bachelor's degrees in eight allied health disciplines and, in collaboration with the UT Health Science Center at Houston, awards M.S. and Ph.D. degrees at the UT Graduate School of Biomedical Sciences.

In addition, thousands of health professionals participate in continuing education and distance-learning opportunities. MD Anderson also provides education programs for patients, caregivers, healthy people and those at an elevated risk of cancer.

Education Profile	FY10	FY14	% Change
Clinical residents, fellows	1,109	1,276	15%
Research trainees	1,612	1,853	15%
Observers, visitors, special programs	401	452	13%
Nursing trainees	2,776	1,238*	-55%
Student programs participants	930	1,204	29%
School of Health Professions students	214	318	49%
Total trainees	6,975	6,341	-9%

Noteworthy:

- MD Anderson has 74 Graduate Medical Education (GME) Programs that are accredited by the Accreditation Council for Graduate Medical Education (24), American Dental Association (1), American Board of Obstetrics and Gynecology (1), or approved by the Texas Medical Board (48).
- MD Anderson is accredited by the ACGME as a Sponsoring Institution and has maintained Continued Accreditation status for more than 20 years.

* Total includes academic credit clinical placement only. Previous years' data included outreach and Cancer Prevention Research Institute of Texas education programs.

Prevention

MD Anderson continues to set the standard in cancer prevention research and the translation of new knowledge into innovative, multidisciplinary care.

The institution's Cancer Prevention and Population Sciences division is dedicated to:

- Eradicating cancer through pioneering research into the roles that biologic, genetic, environmental, economic, behavioral and social factors play in cancer development.
- Investigating various types of interventions to prevent or reduce cancer risk.
- Improving cancer care delivery, safety, availability and affordability.

Through the Duncan Family Institute for Cancer Prevention and Risk Assessment, the division is investing in promising new research directions and integrating basic research and clinical studies to accelerate their translation from the lab to the clinic to the community.

The Lyda Hill Cancer Prevention Center provides cancer risk assessments; screening exams based on genetics, age and gender; and personalized risk-reduction strategies, including lifestyle-based interventions and chemoprevention.

Prevention Research Funding FY14

Donor and designated funds	\$12,980,869 million
Federal grants and contracts	\$17,711,337 million

Noteworthy:

- MD Anderson has expanded its commitment to cancer prevention and control as a critical part of its mission. This work involves developing and implementing evidence-based interventions in public policy, public and professional education and community-based clinical services.
- All high-grade serous ovarian cancer and triple-negative breast cancer patients are now offered screening for BRCA1 and 2 gene mutations. The screening helps identify family members with risk-increasing mutations, offering enhanced opportunities for prevention and early detection.

Institutional Advancement

Institutional Advancement works to position MD Anderson as the global leader to end cancer and inspire communities and people worldwide to join us in Making Cancer History®.

Noteworthy:

- MD Anderson partnered with Stripes Convenience Stores to raise funds for MD Anderson Children's Cancer Hospital. In its Stripes Stores Celebrates Tomorrows campaign, employees and customers purchased \$1 pinups to help kids with cancer. The initiative raised more than \$1.4 million. The James B. and Lois R. Archer Charitable Foundation and Triumph Over Kid Cancer Foundation matched all donations, doubling the amount to more than \$2.8 million.
- In an effort to encourage healthy eating habits and prevent cancer related to obesity, MD Anderson nutritionists teamed with Jason's Deli to create a wild salmon salad for the menu. The partnership also raised more than \$250,000 for the Breast Cancer Moon Shot through the sale of co-branded bottles of water featuring pink caps.
- Philanthropic gifts are essential to MD Anderson's lifesaving work. In FY14, our donors generously committed more than \$239 million, in the form of cash, pledges and planned gifts.

Clinical and research facility growth

- Construction of the Sheikh Zayed Bin Sultan Al Nahyan Building for Personalized Cancer Care was completed in January, and the activation process began in early February with the Sheikh Ahmed Bin Zayed Al Nahyan Center for Pancreatic Cancer Research. The 12-floor, 615,000-square-foot facility is scheduled to be fully occupied by October 2015.
- To provide a much-needed expansion of space for clinical, diagnostic and support services in the Main Building, construction is underway on The Pavilion, an adjoining 8-story, 184,800-square-foot building. The \$198 million project, which is scheduled for completion by the end of 2015 and occupancy by early 2016, will allow MD Anderson to treat more patients and improve patient services.

Staff

MD Anderson employs close to 20,000 people, including almost 1,700 faculty members. A volunteer workforce of 1,080 contributed 164,970 hours of service in FY14.

Noteworthy:

- On Jan. 1, 2015, MD Anderson launched its tobacco-free hiring policy, demonstrating our commitment to reducing the use of tobacco and its adverse effects.
- An interactive process involving faculty and staff resulted in "Our Strategy," which positions MD Anderson for the future and comprises five focus areas: People We Serve, People Who Serve, Science That Enables, Systems That Support and Sustainability.
- "We are MD Anderson," the foundation of the institution's customer service model, was revamped. The initiative is built around the idea that every person — whether faculty, staff or volunteer — plays a vital role in the mission.
- MD Anderson's commitment to those who have served in our nation's military earned it a spot on the 2014 Best for Vets employer list. Becker's Healthcare recognized MD Anderson as one of the "150 Great Places to Work in Healthcare" for 2014.
- The institution landed a number of significant recruits, including V. Craig Jordan, the father of tamoxifen; Debu Tripathy, the new chair of Breast Medical Oncology; David Tweardy, the new head of Internal Medicine — one of the nation's largest divisions; and Stephen Hahn, who heads Radiation Oncology.

Locations

In addition to MD Anderson's main campus in the Texas Medical Center and two research campuses in Bastrop County, Texas, the institution has developed a number of local, national and international locations.

Houston-area care centers

- Bay Area, Katy, West Houston (diagnostic imaging), Bellaire (diagnostic imaging), Sugar Land, The Woodlands, Memorial City (surgical clinic)
- MD Anderson is now the exclusive provider of breast radiology services for five of Memorial Hermann's 10 breast care centers in the Houston area — Memorial City, The Woodlands, Sugar Land, and Northeast and Southwest Houston.
- MD Anderson physicians provide cancer care to patients at Lyndon B. Johnson Hospital.

MD Anderson Cancer Network®

- Partner members: Banner MD Anderson Cancer Center (Gilbert, Ariz.) and MD Anderson Cancer Center at Cooper (Camden, N.J.)
- Associate member: Hospital Israelita Albert Einstein in São Paulo
- Certified members: 12 hospitals and health systems in 10 states

MD Anderson affiliates

- MD Anderson Cancer Center Madrid (Spain)
- MD Anderson Radiation Treatment Center at American Hospital (Istanbul)
- MD Anderson Radiation Treatment Center at Presbyterian Kaseman Hospital (Albuquerque, N.M.)

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Attachment K

Draft UTMDACC Institutional Policy #ADM1254, Policy on Concealed Handgun Carriage on MD Anderson's Campus



UTMDACC INSTITUTIONAL POLICY # ADM1254

POLICY ON CONCEALED HANDGUN CARRIAGE ON MD ANDERSON'S CAMPUS

DRAFT DATE: 03-18-2016

PURPOSE

The purpose of this policy is to ensure compliance with Section 411.2031 of the Texas Government Code, also known as the "Campus Carry Law," which authorizes the carrying of Concealed Handguns by duly licensed holders on designated portions of the Campus of The University of Texas MD Anderson Cancer Center (MD Anderson), as defined and explained below.

POLICY STATEMENT

It is the policy of MD Anderson to respect the Federal and Texas Constitutions, both of which recognize Texas citizens' right to keep and bear arms. MD Anderson also recognizes the Texas Legislature's power to regulate the carriage of Handguns. Finally, MD Anderson recognizes its right under the Campus Carry Law to implement its own reasonable rules, regulations, and other provisions regarding the carriage on MD Anderson's Premises of Concealed Handguns by holders of Concealed Handgun Licenses ("CHL Holders"). Accordingly, in seeking to fulfill its obligations under the Campus Carry Law to determine appropriate Exclusion Zones and permitted Concealed Handgun License Zones ("CHL Zones"), MD Anderson has strived to recognize CHL Holders' rights in the context of (1) the nature of MD Anderson's faculty, student, administrator, patient, and patient family populations; (2) specific safety considerations; (3) the uniqueness of MD Anderson's Campus environment; (4) all applicable laws and contractual obligations; (5) issues of practical implementation; and (6) ease of compliance and reasonable administration and enforcement. While reasonable minds can disagree on certain specifics of this policy, MD Anderson believes that this policy respects the rights and interests of all stakeholders and achieves a balanced approach to the carrying of concealed handguns by CHL Holders on its Campus.

THIS POLICY DOES NOT AUTHORIZE THE OPEN CARRY OF A HANDGUN ON MD ANDERSON'S CAMPUS, AND THE OPEN CARRYING OF A HANDGUN IS PROHIBITED ON MD ANDERSON'S CAMPUS.

SCOPE

Compliance with this policy is the responsibility of all persons on MD Anderson's Campus.

TARGET AUDIENCE

The target audience for this policy is all persons on MD Anderson's Campus.

DEFINITIONS

All Hazards Risk Leadership Council (AHRLC): An executive-level council charged with assessing enterprise-level risks.

Campus: The sum of all land and buildings leased or owned by the Board of Regents of The University of Texas System for and on behalf of MD Anderson.

Campus Carry Law: Section 411.2031 of the Texas Government Code, permitting the carrying of Concealed Handguns by duly licensed holders on MD Anderson's Campus in accordance with this policy.

Concealed Handgun: A Handgun, the presence of which is not openly discernible to the ordinary observation of a reasonable person (see [Texas Government Code, Section 411.171](#)).

Concealed Handgun License (CHL): A valid and current Concealed Handgun license as defined by Subchapter H of the Texas Government Code ("License to Carry a Concealed Handgun").

Concealed Handgun License (CHL) Holder: Anyone appearing on MD Anderson's Campus with a valid, current CHL and a Concealed Handgun.

Concealed Handgun License (CHL) Zones: The areas on MD Anderson's Campus where CHL Holders may carry a Concealed Handgun.

Excluded Activities: Activities that form the bases of Exclusion Zones.

Exclusion Zones: The areas on MD Anderson's Campus where CHL Holders may not carry a Concealed Handgun.

Handgun: Any firearm that is designed, made, or adapted to be fired with one hand (see [Texas Penal Code, Section 46.01\(5\)](#)).

National Cancer Institute (NCI) Designation Zone: All buildings and physical facilities on MD Anderson's Campus that are physically or programmatically interconnected and interrelated and which are used to fulfill the following activities required of a NCI-designated Comprehensive Cancer Center:

- Undertaking and providing treatment, care, and services to patients, including all buildings in which there are hospital facilities, inpatient and outpatient clinics, laboratories, and pharmacies.
- Undertaking and conducting research in the following three areas:
 - Laboratory research.
 - Population science.
 - Clinical research.
- Undertaking and providing programs in cancer prevention.
- Undertaking and providing health care education to health care professionals and patients.

Premises: Consistent with [Section 46.035\(f\)\(3\) of the Texas Penal Code](#), "Premises" means a building or a particular portion of an MD Anderson Campus building. For purposes of this policy and MD Anderson's Campus, a Premises comprises all of the contiguous space dedicated to a particular institutional function or activity and extends to the functional or physical boundary of that function or activity. A Premises may be a floor, departmental suite, hallway, walkway, throughway, skybridge,

laboratory, cafeteria, or any other space, depending on the circumstance. The All Hazards Risk Leadership Council (AHRLC), in consult with the Vice President and Chief Facilities Officer and the Executive Director and Chief Safety Officer, determines Premises for purposes of this policy.

PROCEDURE`

1.0 Policy Permissions and Violations

- 1.1 Subject to a CHL Holder's acceptance of and compliance with this policy and MD Anderson's rules and regulations, CHL Holders may carry Concealed Handguns in accordance with their CHL in CHL Zones on MD Anderson's Campus.
- 1.2 CHL Holders are prohibited from carrying, and may not carry, Concealed Handguns in Exclusion Zones on MD Anderson's Campus. Moreover, neither CHL Holders nor any other person may carry any other weapon, as described in [Texas Penal Code, Section 46.01](#), on MD Anderson's Campus.
- 1.3 CHL Holders must abide by and comply with all CHL rules in [Texas Government Code, Chapter 411](#) and all MD Anderson rules, regulations, and policies while carrying a properly licensed Concealed Handgun in a CHL Zone.
- 1.4 The mere possession of a properly licensed Concealed Handgun by a CHL Holder in CHL Zones on MD Anderson's Campus is not a violation of the [Disciplinary Action Policy \(UTMDACC Institutional Policy # ADM0256\)](#) or the [Workplace Violence Prevention Policy \(UTMDACC Institutional Policy # ADM0257\)](#). However, a violation of this policy by a faculty member, trainee/student, or other member of MD Anderson's workforce may constitute a violation of institutional policies regarding conditions of employment and standards of conduct, including the [Disciplinary Action Policy \(UTMDACC Institutional Policy # ADM0256\)](#) and the [Workplace Violence Prevention Policy \(UTMDACC Institutional Policy # ADM0257\)](#), thereby subjecting the workforce member to disciplinary action, up to and including termination.
- 1.5 CHL Holders are prohibited from engaging in, and may not engage in, Direct, Conditional, or Veiled Threats, as defined in MD Anderson's [Workplace Violence Prevention Policy \(UTMDACC Institutional Policy # ADM0257\)](#), involving the CHL Holder's Concealed Handgun. This may include, but is not limited to, overt or implicit references by a CHL Holder to the CHL Holder's Concealed Handgun in a way so as to intentionally or knowingly incite fear or concern in any other person. Such conduct may result in immediate termination per [Section 8.0](#) of this policy.
- 1.6 Except for storing a Handgun in a vehicle as permitted by Texas Law, CHL Holders must keep their Concealed Handguns on or about their persons at all times. For purposes of this policy and subject to the requirements of Texas law, "on or about one's person" means close at hand and within such distance of the CHL Holder so that, without materially changing his/her position, the CHL Holder could get his/her hand on it. Except for storing a Handgun in a vehicle as permitted by state law, CHL Holders are prohibited from leaving and must not leave the CHL Holder's Concealed Handgun unattended anywhere on MD Anderson's Campus, regardless of whether stored in a desk drawer, cabinet, purse, handbag, backpack, fanny pack, briefcase, or otherwise.
- 1.7 CHL Holders are responsible for safeguarding their Concealed Handguns at all times and must take all necessary precautions to ensure their Concealed Handguns are secured in a manner that is most likely to prevent theft, loss, damage, or misuse. CHL Holders must have their Concealed Handguns in holders or holsters that completely cover the trigger and the trigger guard area. The holster must have sufficient tension or grip on the Concealed Handgun

to retain it in the holster even when subjected to unexpected jostling. CHL Holders are liable for any and all damage, injury, liability, loss, cost, or expense, directly or indirectly resulting from or arising out of an accidental or inadvertent discharge of their Concealed Handgun or their violation of this policy.

- 1.8 MD Anderson does not provide storage (e.g., lockers) or holding services for CHL Holders.
- 1.9 A CHL Holder whose Concealed Handgun is lost or stolen on MD Anderson's Campus must immediately report the loss or theft to The University of Texas Police Department at Houston (UTP-H).
- 1.10 In accordance with Texas Law, CHL Holders may secure their Concealed Handguns safely in their vehicles. MD Anderson assumes no responsibility for loss or theft of Concealed Handguns from CHL Holders' vehicles on MD Anderson's Campus (see Section 12.2 of the [Parking Policy \(UTMDACC Institutional Policy # ADM0230\)](#)).

2.0 CHL Zones and Exclusion Zones

- 2.1 In accordance with the Campus Carry Law, the President must designate CHL Zones and Exclusion Zones for MD Anderson's Campus and in doing so must consider:
 - A. The nature of MD Anderson's faculty, student, administrator, patient, and patient family populations.
 - B. Specific safety considerations.
 - C. The uniqueness of MD Anderson's Campus environment.
- 2.2 In addition to considering the factors mandated by the Campus Carry Law, the President should also consider the following factors:
 - A. All applicable laws and contractual obligations pertinent to MD Anderson.
 - B. Issues of practical implementation.
 - C. Ease of compliance and reasonableness of administration and enforcement.
- 2.3 The President may not create Exclusion Zones that generally prohibit or have the effect of generally prohibiting CHL Holders from lawfully carrying Concealed Handguns on MD Anderson's Campus.
- 2.4 A CHL Zone may be coterminous with a Premises.
- 2.5 If justified using the criteria in [Section 2.1](#) and [Section 2.2](#), an Exclusion Zone may be coterminous with a Premises.
- 2.6 The President has designated the following areas on MD Anderson's Campus as Exclusion Zones:
 - A. Areas for which state or federal law, licensing requirements, or contracts require exclusion exclusively at the discretion of the state or federal government, or in which Handguns are prohibited by an accrediting authority.
 - B. Childcare facilities and pediatric-activity areas.
 - C. Areas analogous to state law requirements that prohibit Concealed Handguns, including:
 - MD Anderson's National Cancer Institute (NCI) Designation Zone.

- Police and correctional facilities.
 - Chapels, synagogues, prayer rooms, and other areas designated for worship, spiritual reflection, or meditation on MD Anderson's Campus.
 - Pediatric school areas and areas in which sponsored activities are conducted for persons under 18 years of age who are not enrolled at MD Anderson.
- D. Areas where discharge of a Concealed Handgun might cause widespread harm or catastrophic results, such as laboratories with extremely dangerous chemicals, biologic agents, or explosive agents, or equipment that is incompatible with metallic objects such as magnetic resonance imagining machines.
- E. Animal care areas and vivaria in which protocols increase the risk of discharge or contamination of a Concealed Handgun, or its unanticipated separation from the CHL Holder. For open-air primate enclosures, the Exclusion Zone extends at least five (5) feet from the enclosure.
- 2.7 Deemed Exclusion Zones:
- A. When, either within a Premises or between two Premises, an Exclusion Zone is adjacent to what otherwise might be a CHL Zone, the President may deem the adjacent CHL Zone also to be an Exclusion Zone for practicability and to ensure ease of compliance, and reasonable administration and enforcement, including those situations in which:
- Ingress and egress by CHL Holders between the Exclusion Zone and the CHL Zone is impracticable (*e.g.*, the CHL Holder could not reasonably move to a CHL Zone without moving through an Exclusion Zone in violation of this policy and the law); and
 - Ingress and egress between the Exclusion Zone and the CHL Zone may not reasonably be clearly demarcated per [Section 2.8](#) of this policy.
- B. The President or his designee may deem CHL Zones to be Exclusion Zones for the period of time that the CHL Zone hosts Excluded Activities listed in [Section 3.0](#) of this policy. For example, an event in MD Anderson Campus CHL Zones that includes pediatric patients is an Exclusion Zone during the event. During this period, the signage required by [Section 2.8](#) of this policy will be erected in the deemed Exclusion Zone for the duration of the excluded period.
- C. If a significant fraction of the functional space of a building is excluded for reasons consistent with this policy, the President may exclude the entire building to ensure ease of compliance, reasonable administration, and enforcement.
- 2.8 Exclusion Zones will be demarcated with legally-sufficient signage, per [Texas Penal Code, Section 30.06](#).
- 2.9 The Vice President and Chief Facilities Officer and the Executive Director and Chief Safety Officer each shall maintain a complete and up-to-date listing of CHL Zones and Exclusion Zones.
- A. The listing will include the President's justification(s) for each Exclusion Zone.
- B. The Vice President and Chief Facilities Officer and the Executive Director and Chief Safety Officer will make the listing available to the public upon reasonable request.

3.0 Excluded Activities (Not Premises-Specific)

Irrespective of where they are on MD Anderson's Campus, CHL Holders may not carry Concealed Handguns while engaged in the following Excluded Activities:

- 3.1 Providing institutional care or services to MD Anderson patients.
- 3.2 Handling extremely dangerous chemicals, biologic agents, flammable or explosive agents, or equipment that is incompatible with metallic objects.
- 3.3 Handling laboratory animals.
- 3.4 Attending events on MD Anderson's Campus at which alcoholic beverages are served.
- 3.5 Attending any meeting related to proceedings made necessary by or in connection with MD Anderson's Grievance Policy (UTMDACC Institutional Policy # ADM0266), Appeal Policy (UTMDACC Institutional Policy # ADM0268), or any institutional policy related to a formal conflict resolution processes as well as a Hearing Tribunal or related meeting scheduled as part of a formal dispute resolution process.
- 3.6 Attending or participating in a ticketed sporting event on MD Anderson's Campus
- 3.7 Providing care or services to minor children.

4.0 Institutional Vehicles

- 4.1 Subject to [Section 4.2](#) below, vehicles owned or leased by MD Anderson that are used for passenger transportation are considered CHL Zones.
- 4.2 Vehicles owned or leased by MD Anderson that are being utilized for the following purposes are considered Exclusion Zones for the duration of time that the vehicle is being used for such purposes:
 - A. The vehicle is being used to transport extremely dangerous chemicals, biologic agents, flammable or explosive agents, or equipment that is incompatible with metallic objects.
 - B. The vehicle is being used for health care-related activities, such as mobile mammography, blood donations, or to provide health screenings.
 - C. The vehicle is being used for childcare, school, or pediatric activities or purposes.

5.0 MD Anderson Campus Land (External to Buildings)

Areas outside MD Anderson buildings but still on MD Anderson's Campus are deemed Exclusion Zones when the areas are utilized for activities that are Excluded Activities listed in [Section 3.0](#) of this policy. For example, an area on MD Anderson's Campus used for a picnic or event involving pediatric patients is an Exclusion Zone for the duration of the picnic or event.

6.0 Communication

- 6.1 MD Anderson shall widely distribute this policy and all related rules, regulations, and procedures to its faculty, trainees/students, and other members of MD Anderson's workforce, and shall prominently publish this policy and all related rules, regulations, and procedures on MD Anderson's internet and intranet sites.

- 6.2 MD Anderson's Departments of Communications, Human Resources, Patient Advocacy, Patient Care & Prevention Facilities, Patient Education, Patient Experience, Patient Safety, Physicians Referral Service, Academic and Visa Administration, and Institutional Compliance shall be responsible for ensuring communication of this policy and all related rules, regulations, and procedures, as required by the Campus Carry Law.

7.0 Campus Carry Reporting

- 7.1 Incident Reports to the All Hazards Risk Leadership Council (AHRLC):

The Institutional Safety Committee will collect and report to the AHRLC incidents implicating this policy as soon as practicable, but in no event later than 60 days from the discovery of the date of the incident.

- 7.2 AHRLC Reports to the President:

On or about July 1 of each year, the AHRLC will prepare and deliver to the President a report on MD Anderson's compliance with the Campus Carry Law, the implementation of this policy, and all incidents during the past year involving a Concealed Handgun.

From time to time, and based upon the implementation of this policy or any incidents involving a Concealed Handgun, the AHRLC shall make recommendations to the President in regard to any changes that may be necessary, appropriate, or desirable to this policy, the Exclusion Zones, Excluded Activities, CHL Zones, or the rules and regulations hereunder.

- 7.3 President's Report to the Texas Legislature:

Not later than July 1 of each even-numbered year, the Institutional Compliance Office will prepare for the AHRLC's review and the President's review and approval a report on MD Anderson's compliance with the Campus Carry Law. The report will:

- A. Describe MD Anderson's rules, regulations, and policies regarding the carrying of Concealed Handguns on MD Anderson's Campus; and
- B. Explain the reasons why MD Anderson has established these rules, regulations, and policies.

- 7.4 Once approved by the President, the President shall send the report to The UT System's Office of General Counsel for review not later than August 1 of each even-numbered year.

- 7.5 The President must submit the report to the Texas Legislature not later than September 1 of each even-numbered year.

8.0 Policy Violations and Disciplinary Action

- 8.1 If any person believes that a CHL Holder has violated this policy, he or she should immediately report the violation by calling 2-STOP (713-792-7867). The caller should provide the following information, as applicable and available:

- A. Description of the perceived violation;
- B. Name(s) of individual(s) involved in the incident;
- C. Name(s) of any witnesses;
- D. Date, time, and location of the incident; and

- E. Factual circumstances surrounding the incident.
- 8.2 A violation by a CHL Holder of this policy that involves the CHL Holder's Concealed Handgun may be considered a confirmed incident of a Policy Violation ("a confirmed incident of Violence or Threat of Violence") under the [Workplace Violence Prevention Policy \(UTMDACC Institutional Policy # ADM0257\)](#) and may result in immediate removal from MD Anderson's Campus or referral to The University of Texas Police Department at Houston (UTP-H), as appropriate, and may result in disciplinary action for such person, up to and including termination.
- 8.3 Loss of a CHL Holder's Concealed Handgun on MD Anderson's Campus will be considered a violation of [Section 1.6](#) of this policy, and if the CHL Holder is a faculty member, trainee/student, or other member of MD Anderson's workforce, may result in disciplinary action for such person, up to and including termination.

ATTACHMENTS/LINKS

[Examples of Unacceptable Conduct and Work Performance \(Attachment # ATT1800\).](#)

[Texas Government Code, Chapter 411.](#)

[Texas Government Code, Section 411.171.](#)

[Texas Penal Code, Section 30.06.](#)

[Texas Penal Code, Section 46.01.](#)

[Texas Penal Code, Section 46.01\(5\).](#)

[Texas Penal Code, Section 46.035\(f\)\(3\).](#)

RELATED POLICIES

[Appeal Policy \(UTMDACC Institutional Policy # ADM0268\).](#)

[Disciplinary Action Policy \(UTMDACC Institutional Policy # ADM0256\).](#)

[Grievance Policy \(UTMDACC Institutional Policy # ADM0266\).](#)

[Infection Control for Patient Care Areas Policy \(UTMDACC Institutional Policy # CLN0436\).](#)

[Parking Policy \(UTMDACC Institutional Policy # ADM0230\).](#)

[Termination of Employment of a Faculty Member Policy \(UTMDACC Institutional Policy # ACA0059\).](#)

[Workplace Violence Prevention Policy \(UTMDACC Institutional Policy # ADM0257\).](#)

JOINT COMMISSION STANDARDS / NATIONAL PATIENT SAFETY GOALS

None.

OTHER RELATED ACCREDITATION / REGULATORY STANDARDS

None.

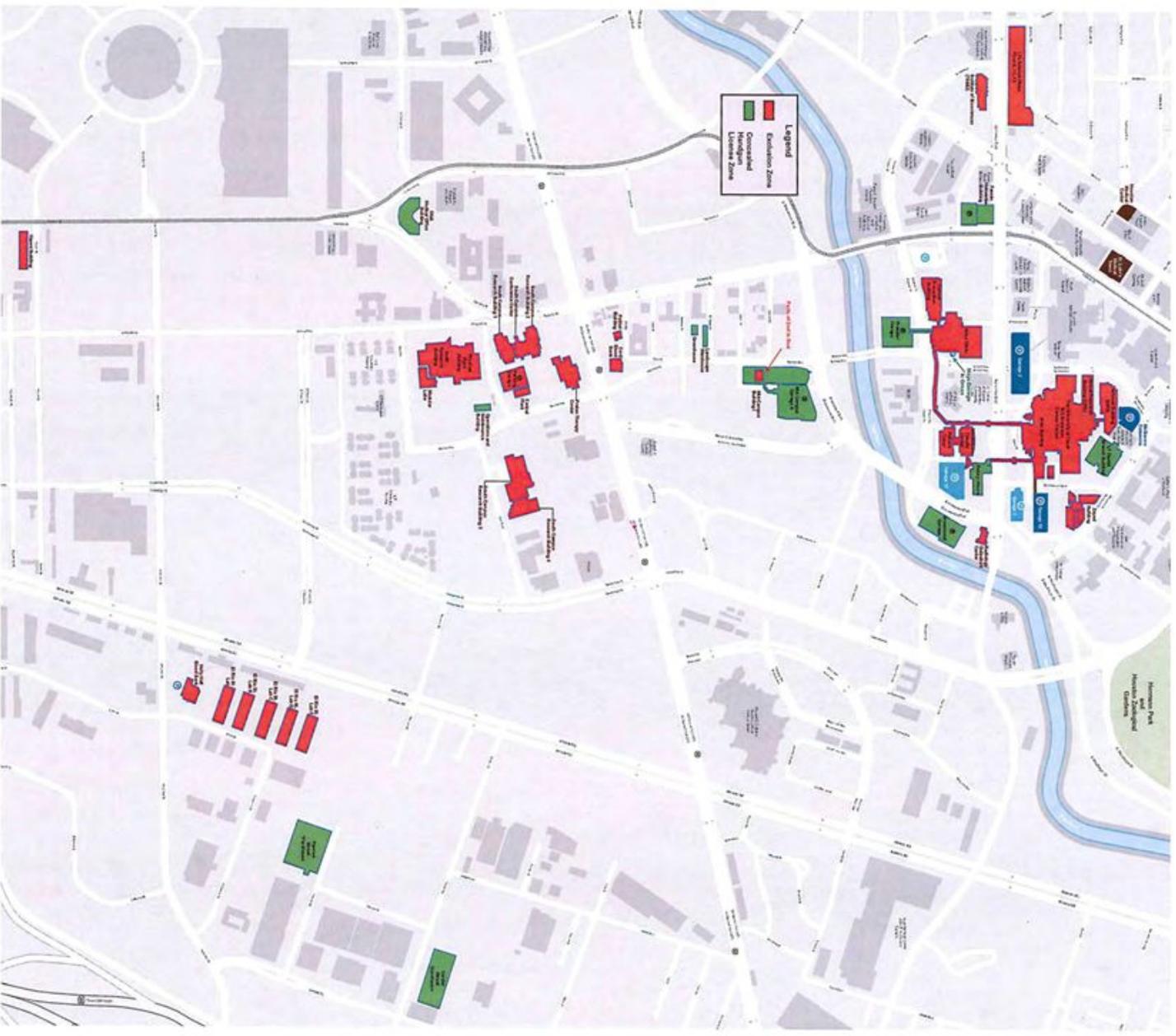
REFERENCES

TEX. GOV'T CODE Title 4, Subtitle B, §411 *et seq.*,
<http://www.statutes.legis.state.tx.us/Docs/GV/htm/GV.411.htm>

TEX. PEN. CODE Title 7, §30.06 *et seq.*, <http://www.statutes.legis.state.tx.us/Docs/PE/htm/PE.30.htm>

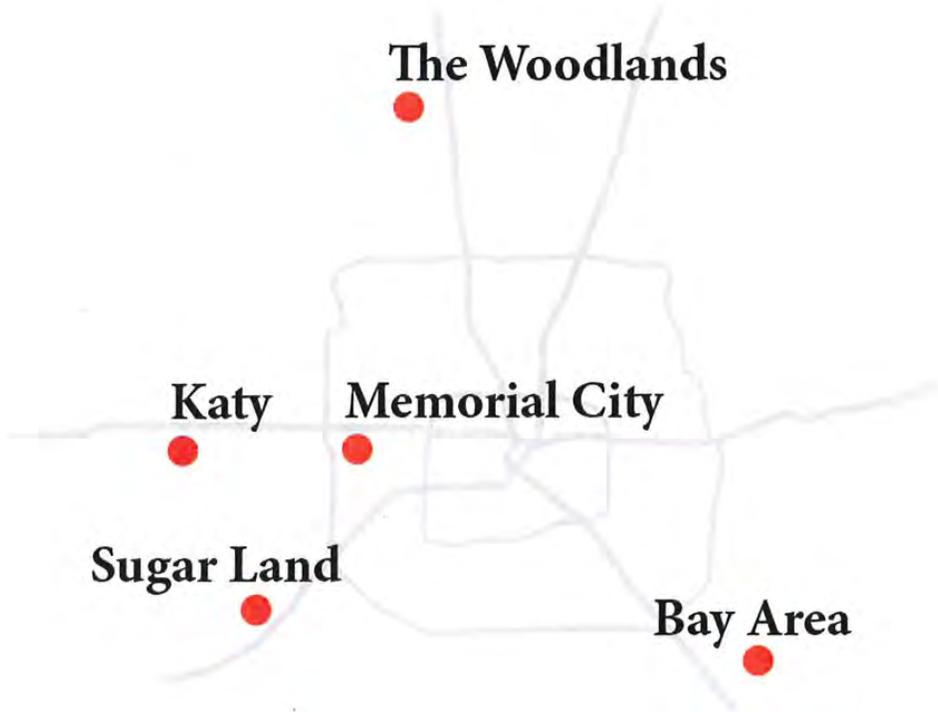
Attachment L

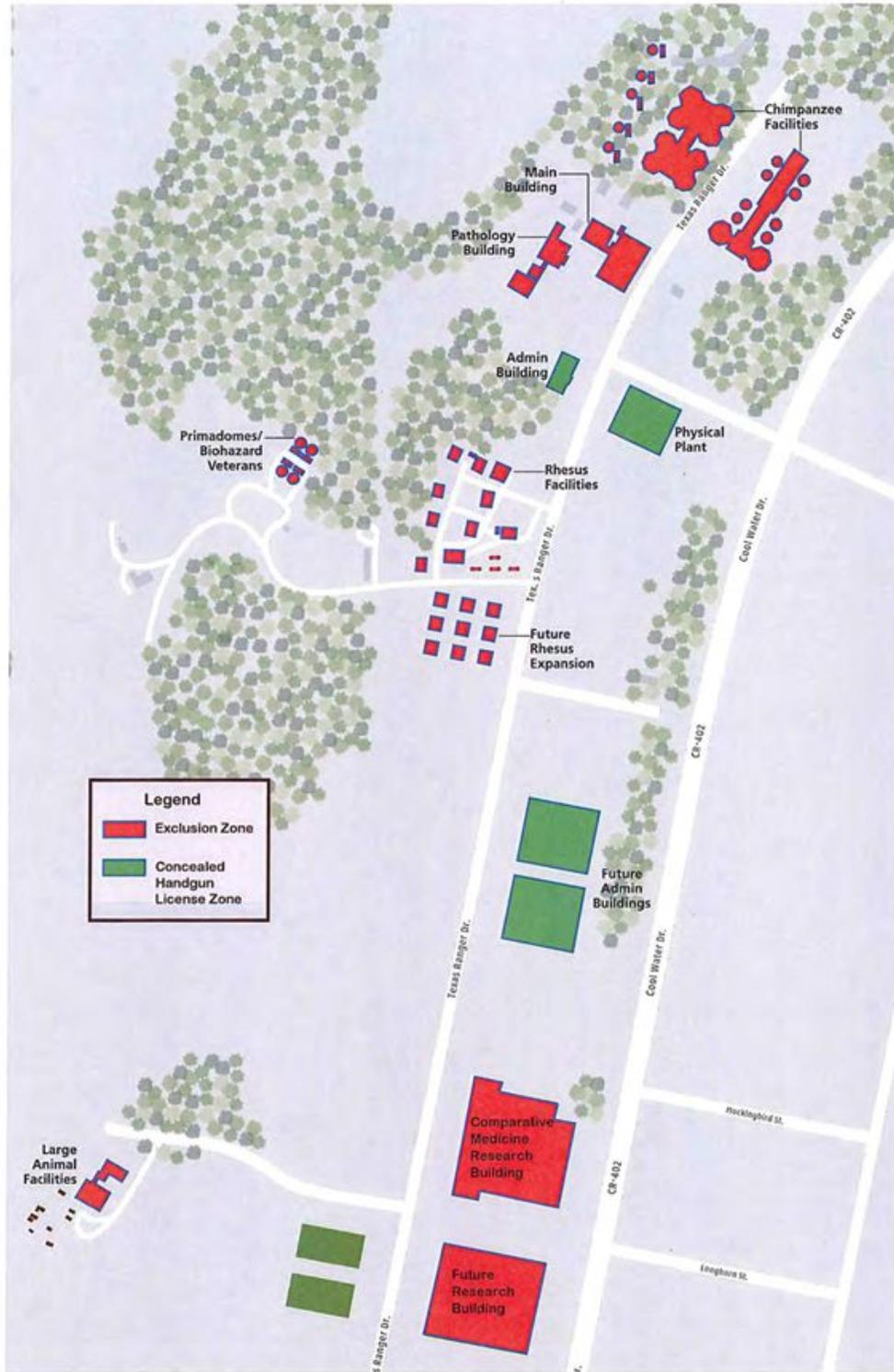
MD Anderson campus maps



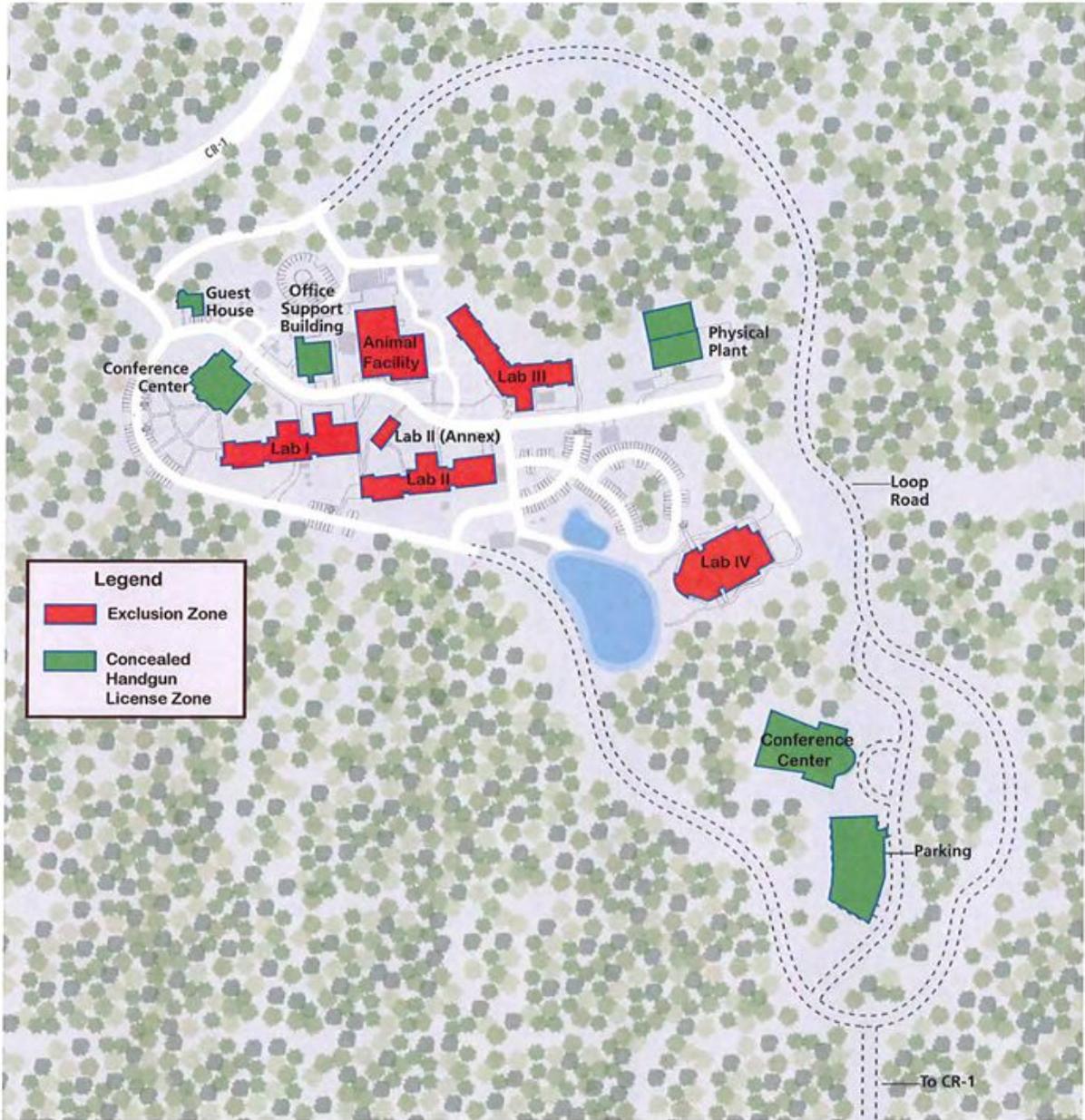
Houston Campus

Houston area locations





Bastrop Campus



Smithville Campus

Current Status: Active

PolicyStat ID: 2319072



Origination Date: 04/2016
Last Approved: 04/2016
Last Revised: 04/2016
Next Review: 04/2019
Owner: Robert Cromley: Chief Of Police
Policy Area: Employee Health and Safety
References:

Concealed Handguns on Campus

CONCEALED HANDGUNS ON CAMPUS

PURPOSE

To ensure compliance with Section 411.2031 of the Texas Government Code (the "Campus Carry Law") which authorizes the carrying of Concealed Handguns by duly licensed holders on the Campus of The University of Texas Health Science Center at Tyler ("UTHSCT"), as described below.

POLICY

UTHSCT respects the Federal and Texas Constitutions, both of which recognize Texas citizens' right to keep and bear arms and the Texas Legislature's power to regulate the wearing of Handguns. UTHSCT also recognizes its right under the Campus Carry Law to implement its own reasonable rules, regulations, and other provisions regarding the carriage on its premises of Concealed Handguns by holders of Concealed Handgun Licenses ("CHL Holders"). In seeking to fulfill its obligations under the Campus Carry Law to determine appropriate Exclusion Zones and permitted Concealed Handgun License Zones ("CHL Zones"), UTHSCT has strived to recognize CHL Holders' rights in the context of: (1) the nature of UTHSCT's faculty, student, administrator, patient, and patient family populations; (2) specific safety considerations; (3) the uniqueness of UTHSCT's Campus environment; (4) applicable laws and contractual obligations; (5) issues of practical implementation; and (6) ease of compliance and reasonable administration and enforcement. UTHSCT believes this policy respects the rights and interests of all stakeholders and achieves a fair and balanced approach to carrying of Concealed Handguns by CHL Holders on its Campus.

THIS POLICY DOES NOT AUTHORIZE THE OPEN CARRY OF A HANDGUN ON UTHSCT'S CAMPUS, AND THE OPEN CARRYING OF A HANDGUN IS PROHIBITED ON UTHSCT'S CAMPUS.

SCOPE

All persons on UTHSCT's Campus.

DEFINITIONS

Campus: The sum of all land and buildings leased or owned by the Board of Regents of The University of Texas System for and on behalf of UTHSCT.

Campus Carry Law: Section 411.2031 of the Texas Government Code, permitting the carrying of Concealed Handguns by duly licensed holders on UTHSCT's Campus in accordance with this policy.

Concealed Handgun: A Handgun, the presence of which is not openly discernible to the ordinary observation of a reasonable person (see **Texas Government Code Section 411.171**).

Concealed Handgun License (CHL): A valid and current Concealed Handgun license as defined by Subchapter H of the Texas Government Code ("License to Carry a Concealed Handgun").

Concealed Handgun License (CHL) Holder: Anyone appearing on UTHSCT's Campus with a valid, current CHL and a Concealed Handgun.

Concealed Handgun License (CHL) Zones: The areas on UTHSCT's Campus where CHL Holders may carry a Concealed Handgun.

Excluded Activities: Activities that are the bases of Exclusion Zones.

Exclusion Zones: The areas on UTHSCT's Campus where CHL Holders may not carry a Concealed Handgun.

Handgun: Any firearm that is designed, made, or adapted to be fired with one hand (see **Texas Penal Code. Section 46.01151**).

Premises: Consistent with Section 46.035/f(3) of the Texas Penal Code, "Premises" means a building or a particular portion of a UTHSCT Campus building. For purposes of this policy and UTHSCT's Campus, a Premises comprises all of the contiguous space dedicated to a particular institutional function or activity and extends to the functional or physical boundary of that function or activity. A Premises may be a floor, departmental suite, hallway, walkway, throughway, sky bridge, laboratory, cafeteria, or any other space, depending on the circumstance.

PROCEDURE

1. 1. 1. Policy Permissions and Violations
 2. Subject to a CHL's Holder's acceptance of and compliance with this policy and UTHSCT's rules and regulations, CHL Holders may carry Concealed Handguns in accordance with their CHL in CHL Zones on UTHSCT's Campus.
 3. CHL Holders are prohibited from carrying Concealed Handguns in Exclusion Zones on UTHSCT's Campus. Furthermore, neither CHL Holders nor any other person may carry any other weapon as described in Texas Penal Code, Section 46.01 onto UTHSCT's Campus.
 4. CHL Holders must abide by and comply with all CHL rules in Texas Government Code, **Chapter 411**, and all UTHSCT rules, regulations, and policies while carrying a properly licensed Concealed Handgun
 5. The mere possession of a properly Concealed Handgun by a CHL Holder in CHL Zones on UTHSCT's Campus is not a violation of UTHSCT's **Disciplinary Action policies** or the **Violence in the Workplace policy**. However, a violation of this policy by a faculty or staff member, trainee, student, volunteer, or other member of UTHSCT's workforce may constitute a violation of institutional policy regarding conditions of employment and standards of conduct, subjecting the workforce member to disciplinary action, up to and including termination.
 6. CHL Holders are prohibited from engaging in, and may not engage in direct, conditional, or veiled threats, as defined in UTHSCT's **Violence in the Workplace policy**, involving the CHL Holder's Concealed Handgun. This may include, but is not limited to, overt or implicit references by a CHL Holder to the CHL Holder's Concealed Handgun in a way so as to intentionally or

knowingly incite fear or concern in any other person. Such conduct may result in immediate termination per **Section 8.0** of this policy.

7. Except for storing a Handgun in a vehicle as permitted by state law, CHL Holders must keep their Concealed Handguns on or about their persons at all times. For purposes of this policy and subject to the requirements of Texas law, "on or about one's person" means close at hand and within such distance of the CHL Holder so that, without materially changing his/her position, the CHL Holder could get his/her hand on it. Except for storing a Handgun in a vehicle as permitted by state law, CHL Holders are prohibited from leaving and must not leave the CHL Holder's Concealed Handgun unattended anywhere on UTHSCT's Campus, regardless of whether stored in a desk drawer, cabinet, purse, handbag, backpack, fanny pack, briefcase, or otherwise.
 8. CHL Holders are responsible for safeguarding their Concealed Handguns at all times and must take all necessary precautions to ensure their Concealed Handguns are secured in a manner that is most likely to prevent theft, loss, damage, or misuse.
CHL Holders are liable for any and all damage, injury, liability, loss, cost, or expense, directly or indirectly resulting from or arising out of an accidental or inadvertent discharge of their Concealed Handgun or their violation of this policy.
 9. UTHSCT does not provide storage (e.g., lockers) or holding services for CHL Holders.
 10. A CHL Holder whose Concealed Handgun is lost or stolen on UTHSCT's Campus must immediately report the loss or theft to the UTHSCT Police Department ("UPD").
 11. In accordance with Texas Law, CHL Holders may secure their Concealed Handguns safely in their vehicles. UTHSCT assumes no responsibility for loss or theft of Concealed Handguns from CHL Holders' vehicles on UTHSCT's Campus.
1. 1. CHL Zones and Exclusion Zones
 2. In accordance with the Campus Carry Law, the President must designate Exclusion Zones for UTHSCT's Campus and in doing so must consider:
 - A. The nature of UTHSCT's faculty, staff, student, administrator, patient, and patient family populations.
 - B. Specific safety considerations.
 - C. The uniqueness of UTHSCT's Campus environment.
 1. In addition to considering the factors mandated by the Campus Carry Law, the President should also consider the following factors:
 - A. Applicable laws and contractual obligations pertinent to UTHSCT.
 - B. Issues of practical implementation.
 - C. Ease of compliance and reasonableness of administration and enforcement.
- The President may not create Exclusion Zones that generally prohibit or have the effect of generally prohibiting CHL Holders from lawfully carrying Concealed Handguns on UTHSCT's Campus.
 - A CHL Zone may be coterminous with a Premises.
 - If justified using the criteria in **Section 2.1** and **Section 2.2**, an Exclusion Zone may be coterminous with a Premises.
 - The President has designated the following areas on UTHSCT's Campus as Exclusion Zones:

A. Areas for which state or federal law, licensing requirements, or contracts require exclusion exclusively at the discretion of the state or federal government, or in which Handguns are prohibited by an accrediting authority.

B. Childcare facilities and pediatric-activity areas.

C. Areas analogous to state law requirements that prohibit Concealed Handguns, including:

- Police and correctional facilities.
- Chapels, synagogues, prayer rooms, and other areas designated for worship, spiritual reflection, or meditation on UTHSCT's Campus.
- Pediatric school areas and areas in which sponsored activities are conducted for persons under 18 years of age who are not enrolled at UTHSCT.

D. Areas where discharge of a Concealed Handgun might cause widespread harm or catastrophic results, such as laboratories with extremely dangerous chemicals, biologic agents, or explosive agents, or equipment that is incompatible with metallic objects such as magnetic resonance imaging machines.

E. Animal care areas and vivaria in which protocols increase the risk of discharge or contamination of a Concealed Handgun, or its unanticipated separation from the CHL Holder. For open-air primate enclosures, the Exclusion Zone extends at least five (5) feet from the enclosure.

F. The specific Campus locations enumerated on **EXHIBIT A** attached to this policy and incorporated herein for all purposes.

1. Deemed Exclusion Zones:

A. When, either within a Premises or between two Premises, an Exclusion Zone is adjacent to a CHL Zone, the President may deem the adjacent CHL Zone also to be an Exclusion Zone for practicability and to ensure ease of compliance, and reasonable administration and enforcement, including those situations in which:

- Ingress and egress by CHL Holders between the Exclusion Zone and the CHL Zone is impracticable (e.g., the CHL Holder could not reasonably move to a CHL Zone without moving through an Exclusion Zone in violation of this policy and the law); and
- Ingress and egress between the Exclusion Zone and the CHL Zone may not reasonably be clearly demarcated per **Section 2.8** of this policy.

A. The President or his designee may deem CHL Zones to be Exclusion Zones for the period of time that the CHL Zone hosts Excluded Activities listed in **Section 3.0** of this policy. For example, an event in UTHSCT Campus CHL Zones that includes pediatric patients is an Exclusion Zone during the event. During this period, the signage required by **Section 2.8** of this policy will be erected in the deemed Exclusion Zone for the duration of the excluded period.

B. If a significant fraction of the functional space of a building is excluded for reasons consistent with this policy, the President may exclude the entire building to ensure ease of compliance and reasonable administration and enforcement.

1. Exclusion Zones will be demarcated with legally-sufficient signage, per Texas Penal Code, **Section 30.06**.

2. The Chief of UPD will maintain a complete and up-to-date listing of CHL Zones and Exclusion Zones.

A. The listing may include the President's justification(s) for each Exclusion Zone.

B. The Chief of UPD will make the listing available to the public upon reasonable request.

1. Excluded Activities (Not Premises-Specific)

Irrespective of where they are on UTHSCT's Campus, CHL Holders may not carry Concealed Handguns while engaged in the following Excluded Activities:

2. Handling extremely dangerous chemicals, biologic agents, flammable or explosive agents, or equipment that is incompatible with metallic objects.
3. Handling laboratory animals.
4. Attending events on UTHSCT's Campus at which alcoholic beverages are served.
5. Attending any meeting related to proceedings made necessary by or in connection with UTHSCT's **Grievance Policies & Procedures** , Appeal **Process policy**, or any institutional policy related to a formal conflict resolution processes as well as a Hearing Tribunal or related meeting scheduled as part of a formal dispute resolution process.
6. Attending or participating in a ticketed sporting event on UTHSCT's Campus.

1. Institutional Vehicles

2. Subject to Section 4.2 below, vehicles owned or leased by UTHSCT that are used for passenger transportation are considered CHL Zones.
3. Vehicles owned or leased by UTHSCT being utilized for the following purposes are considered Exclusion Zones for the duration of time that the vehicle is being used for such purposes:
 - A. The vehicle is being used to transport extremely dangerous chemicals, biologic agents, flammable or explosive agents, or equipment that is incompatible with metallic objects.
 - B. The vehicle is being used for health care-related activities, such as mobile mammography, blood donations, or to provide health screenings.
 - C. The vehicle is being used for childcare, school, or pediatric activities or purposes.

5.0 UTHSCT Campus Land (External to Buildings)

Areas outside UTHSCT buildings but still on its Campus are deemed Exclusion Zones when the areas are utilized for activities that are Excluded Activities listed in **Section 3.0** of this policy. For example, an area on UTHSCT's Campus used for a picnic or event involving pediatric patients is an Exclusion Zone for the duration of the picnic or event.

1. Communication

2. UTHSCT will widely distribute this policy and all related rules, regulations, and procedures to its faculty, staff, volunteers, trainees, students, and other members of its workforce, and shall prominently publish this policy and all related rules, regulations, and procedures on its internet and intranet sites.
3. UTHSCT's UPD and Departments of Public Affairs, Human Resources, and Institutional Compliance shall be responsible for ensuing communication of this policy and all related rules, regulations, and procedures, as required by the Campus Carry Law.
 1. Campus Carry Reporting
 2. Reports to the President:

On or about July 1 of each year, UPD will prepare and deliver to the President a report on UTHSCT's

compliance with the Campus Carry Law, the implementation of this policy, and all incidents during the past year involving a Concealed Handgun.

3. From time to time, and based upon the implementation of this policy or any incidents involving a Concealed Handgun, UPD shall make recommendations to the President in regard to any changes that may be necessary, appropriate, or desirable to this policy, the Exclusion Zones, Excluded Activities, CHL Zones, or the rules and regulations hereunder.
 4. President's Report to the Texas Legislature:
Not later than July 1 of each even-numbered year, UPD will prepare for the President's review and approval a report on UTHSCT's compliance with the Campus Carry Law. The report will:
 - A. Describe UTHSCT's rules, regulations, and policies regarding the carrying of Concealed Handguns on its Campus; and
 - B. Explain the reasons why UTHSCT has established these rules, regulations, and policies.
 4. Once approved by the President, the President shall send the report to The UT System's Office of General Counsel for review not later than August 1 of each even-numbered year.
 5. The President must submit the report to the Texas Legislature not later than September 1 of each even numbered year.
- Policy Violations and Disciplinary Action
 - If any person believes that a CHL Holder has violated this policy, he/she should immediately report the violation by calling 903 877-5297. The caller should provide the following information, as applicable and available:
 - A. Description of the perceived violation;
 - B. Name(s) of individual(s) involved in the incident;
 - C. Name(s) of any witnesses;
 - D. Date, time, and location of the incident; and
 - E. Factual circumstances surrounding the incident.
 - A violation by a CHL Holder of this policy that involves the CHL Holder's Concealed Handgun may be considered a Policy Violation ("a confirmed incident of Violence or Threat of Violence") under the **Violence in the Workplace policy** and may result in immediate removal from UTHSCT's Campus or referral to UPD, as appropriate, and may result in disciplinary action for such person, up to and including termination.
 - Loss of a CHL Holder's Concealed Handgun on UTHSCT's Campus will be considered a violation of **Section 1.6** of this policy, and if the CHL Holder is a faculty or staff member, trainee, student, volunteer, or other member of UTHSCT's workforce, may result in disciplinary action for such person, up to and including termination.

ATTACHMENTS/REFERENCES

EXHIBIT A, Exclusion Zones Specific to UTHSCT Campus

Texas Government Code, Chapter 411.

Texas Government Code, Section 411.171.

Texas Penal Code, Section 30.06.
 Texas Penal Code, Section 46.01.
 Texas Penal Code, Section 46.01(5).
 Texas Penal Code, Section 46.035(f)(3).
 .

Attachments:

[image1.png](#)

[image2.png](#)

	Committee	Approver	Date
		Robert Cromley: Chief Of Police	04/2016
	Office of Legal Affairs	Terry Witter: VP, Legal Affairs/ChiefLegalOf	04/2016
	Executive Cabinet	Carol Davis: Executive Assistant, Senior	04/2016

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