Memorandum

Date: October 15, 2015

To: Raymond S. Greenberg, M.D., Ph.D., Executive Vice Chancellor for Health Affairs
   Candace Woodruff, Office of the Governor
   Ken Levine, Sunset Advisory Commission
   Ed Osner, Legislative Budge Board
   Internal Audit Coordinator, The State Auditor’s Office
   J. Michael Peppers, Chief Audit Executive, UT System

From: Angela D’Anna, Chief Audit Executive, Internal Audit & Consulting

Subject: Confidential Report Summary Memo / Department of Psychiatry Audit (15-13)

Internal Audit and Consulting Services has reviewed the Department of Psychiatry at The University of Texas Health Science Center at San Antonio for the period September 2013 through May 2015.

The major objectives of the audit were to determine whether access to psychotherapy notes was restricted in accordance with privacy regulations and to evaluate the internal controls surrounding research study participant payments and cash handling for payments received from sponsors, payers and other sources, as well as to ascertain compliance with time leave accounting policies and procedures.

The report contains confidential information that relates to computer security and is not subject to the disclosure requirements of the Texas Public Information Act based on the exception found in Government Code §552.139. The specific results were made available to the appropriate management members.