## AMENDMENT NO. 1 TO MASTER CLINICAL STUDY AGREEMENT BETWEEN ASTRAZENECA AND UT SYSTEM INSTITUTIONS

This Amendment No. 1 to the Master Clinical Study Agreement between AstraZeneca LP/AstraZeneca Pharmaceuticals LP (AstraZeneca) and several institutions of The University of Texas System ("Amendment #1") is made and entered into as of September 1, 2017 by and between AstraZeneca and the following member institutions (each an "Institution" or collectively, "Institutions") of the University of Texas System ("UT System"): The University of Texas Health Science Center at Houston, The University of Texas Health Science Center at Tyler, The University of Texas Medical Branch at Galveston, The University of Texas Southwestern Medical Center, The University of Texas at Austin.

## **RECITALS**

A. AstraZeneca and the following member Institutions of The University of Texas System entered into a certain Master Clinical Study Agreement having an Effective Date of March 16, 2015 (the "Master Agreement"): The University of Texas Health Science Center at San Antonio, The University of Texas Health Science Center at Houston, The University of Texas Health Science Center at Tyler, The University of Texas Medical Branch at Galveston, The University of Texas Southwestern Medical Center, The University of Texas at Austin.

B. AstraZeneca and Institutions wish to amend the terms of the Master Agreement to specifically add another member institution of UT System, The University of Texas Rio Grande Valley, as a party to the Master Agreement. Additionally, Institutions also wish to amend the Master Agreement to add Clinical Trials Xpress, a wholly-owned initiative of UT System as further described below.

## **NOW, THEREFORE**, it is hereby agreed as follows:

- 1. Section 2 of the Preamble is hereby amended to add Clinical Trials Xpress, An Initiative of The University of Texas System and The University of Texas Rio Grande Valley as Parties to the Master Agreement.
- 2. Additionally, Section 2 of the Preamble is hereby amended to revise the address for UT System by replacing "201" West 7<sup>th</sup> Street with "210" West 7<sup>th</sup> Street.
- 3. Article 2 Conduct of the Study is hereby amended to add a **new subsection 2.7** with the following text:
  - 2.7 Clinical Trials Xpress ("CTX") [www.clinicaltrialsxpress.org], a wholly-owned initiative of UT System, is the central coordinating office and team established to promote efficient and streamlined study start-up processes of multi-institutional clinical trials. The Clinical Trials Xpress network operating model accelerates study implementation by negotiating a single, common clinical trial study budget; using pre-

approved master clinical trial agreements and by adopting the UT System IRB Reciprocity model or central IRBs for regulatory oversight. Additionally, AstraZeneca may engage the services of the Clinical Trials Xpress central coordinating office when the applicable Study contemplated by this Master Agreement will be considered for participation by more than one Institution. As such, a separate Addendum (referenced in Section 2.3 above) between AstraZeneca and Clinical Trials Xpress may be entered into for the services Clinical Trials Xpress provides to AstraZeneca when more than one Institution is participating in a Study under this Master Agreement.

- 4. The signature blocks shall be amended to add a signature block for both Clinical Trials Xpress and The University of Texas Rio Grande Valley.
- 5. Appendix 4 is deleted in its entirety and hereby replaced with the attached revised and updated Appendix 4.
- 6. Except as expressly provided in this Amendment #1, all other terms, conditions and provisions of the Master Agreement shall continue in full force and effect as provided therein.

Remainder of this page is intentionally left blank

Signature Page Follows

THIS AMENDMENT #1 IS EXECUTED by the authorized representatives of AstraZeneca and the Institutions as of the dates indicated below.

SIGNED for and on behalf of	SIGNED for and on behalf of
AstraZeneca Pharmaceuticals LP and AstraZeneca LP  Docusigned by:  Lovi Russo	The University of Texas System
Signature 56FA4B1	Signature
Name: Lori Russo	Name: Raymond S. Green berg, MD, Ph
Title: US Contract & Budget Mgmt Ldr	Title: Executive Vice Chancellor For Health Affairs
Date: 02 November 2017	Date: 11-1-2017
SIGNED for and on behalf of	SIGNED for and on behalf of
The University of Texas Health Science Center at Houston	The University of Texas Health Science Center at San Antonio
	****
Signature	Signature
Name:	Name:
Title:	Title:
Date:	Date:
SIGNED for and on behalf of	SIGNED for and on behalf of
The University of Texas Health Science Center at Tyler	The University of Texas Medical Branch at Galveston
Signature	Signature
Name:	Name:
Title:	Title:
Date:	Date:
,	

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SIGNED for and on behalf of	SIGNED for and on behalf of
AstraZeneca Pharmaceuticals LP and AstraZeneca LP	The University of Texas System
Signature	Signature
Name:	Name:
Title:	Title:
Date:	Date:
SIGNED for and on behalf of	SIGNED for and on behalf of
The University of Texas Health Science Center at Houston	The University of Texas Health Science Center at San Antonio
Handy Su	
Signature	Signature
Name: Kathleen M. Kreidler	Name:
Title: Assoc. V.P., Sponsored Projects	Title:
Date: ////2017	Date:
SIGNED for and on behalf of	SIGNED for and on behalf of
The University of Texas Health Science Center at Tyler	The University of Texas Medical Branch at Galveston
Signature	Signature
Name:	Name:
Title:	Title:
Date:	Date:

SIGNED for and on behalf of

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SIGNED for and on behalf of

AstraZeneca Pharmaceuticals LP and AstraZeneca LP	The University of Texas System
Signature	Signature
Name:	Name:
Title:	Title:
Date:	Date:
SIGNED for and on behalf of	SIGNED for and on behalf of
The University of Texas Health Science Center at Houston	The University of Texas Health Science Center at San Antonio
	Chros sun
Signature	Signature
Name:	Name: Chris G. Green, CPA
Title:	Title: Director, Office of Sponsored Programs
Date:	Date: 10-23-17
SIGNED for and on behalf of	SIGNED for and on behalf of
The University of Texas Health Science Center at Tyler	The University of Texas Medical Branch at Galveston
Signature	Signature
Name:	Name:
Title:	Title:
Title.	Title:
Date:	Date:
_	

SIGNED for and on behalf of

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SIGNED for and on behalf of

AstraZeneca Pharmaceuticals LP and AstraZeneca LP	The University of Texas System
Signature	Signature
Name:	Name:
Title:	Title:
Date:	Date:
SIGNED for and on behalf of	SIGNED for and on behalf of
The University of Texas Health Science Center at Houston	The University of Texas Health Science Center at San Antonio
Signature	Signature
Name:	Name:
Title:	Title:
Date:	Date:
SIGNED for and on behalf of	SIGNED for and on behalf of
The University of Texas Health Science Center at Tyler	The University of Texas Medical Branch at Galveston
Signature	Signature
Name: David Anderson	Name:
Title: Director, Spansored Programs	Title:
Title: Director, Spansored Programs Date: 10/24/2017	Date:

THIS AMENDMENT #1 IS EXECUTED by the authorized representatives of AstraZeneca and the Institutions as of the dates indicated below.

SIGNED for and on behalf of	SIGNED for and on behalf of
AstraZeneca Pharmaceuticals LP and AstraZeneca LP	The University of Texas System
Signature	Signature
Name:	Name:
Title:	Title:
Date:	Date:
SIGNED for and on behalf of	SIGNED for and on behalf of
The University of Texas Health Science Center at Houston	The University of Texas Health Science Center at San Antonio
	,
Signature	Signature
Name:	Name:
Title:	Title:
Date:	Date:
SIGNED for and on behalf of	SIGNED for and on behalf of
The University of Texas Health	The University of Texas Medical
Science Center at Tyler	Branch at Galveston
	Low Som
Signature	Signature
Name;	Name: Lori Simon
Title:	Title: Director, Office of Clinical Research
Date:	Date: 31 October 2017

DocuSign Envelope ID: 07130F0B-E37B-4574-8077-A3145B40F31D

SIGNED for and on behalf of	SIGNED for and on behalf of
The University of Texas Southwestern  Medical Center  -Docusigned by:  Michael Surbur  Name: Michael Serber	The University of Texas at Austin  Signature  Name: Bill Catlett
Title: Vice President for Financial Affairs  Date: 10/24/2017   11:33 AM CDT	Title: Director, Office of Industry Engagement  Date: 230CT77  SIGNED for and on behalf of
SIGNED for and on behalf of  The University of Texas Rio Grande Valley	Clinical Trials Xpress  An Initiative of The University of Texas System
Signature	Signature Name:
Name: Title: Date:	Title: Date:

SIGNED for and on behalf of
The University of Texas at Austin
Signature
Name:Bill Catlett
Title: Director, Office of Industry Engagement
Date: 230CT77
SIGNED for and on behalf of
Clinical Trials Xpress  An Initiative of The University of Texas System
Signature
Name:
Title:
Date:

SIGNED for and on behalf of

The University of Texas Southwestern **Medical Center** 

Signature

Name:

Title:

Date:

SIGNED for and on behalf of

The University of Texas Rio Grande Valley

Name: Juan M. Sanchez, Ph.D.

Title: Interim Sr. Vice President for Research

Date: October 23, 2017

SIGNED for and on behalf of

The University of Texas at Austin

Signature

Name:

Title:

Date:

SIGNED for and on behalf of

**Clinical Trials Xpress** 

An Initiative of The University of

Texas System

Name: Raymond S. Greenberg, MD, PhD

Title: Executive Vice Chancellor
For Health Affairs

Date: 11-1-2017

## Appendix 4 Administrative Contact Person and Address for Each Institution

Administrative Contact Per	Administrative Contact Person and Address for Each Institution	
David Hawkins Associate Director, Office of Sponsored Projects The University of Texas at Austin P.O. Box 7726 Austin, Texas 78713-7726 Phone: 512-471-6424 Fax: 512-471-6564 Email: dhawkins@austin.utexas.edu Tax ID: 74-600023	Arriel Stevens Sponsored Programs Administrator The University of Texas Southwestern Medical Center 5323 Harry Hines Blvd. Dallas, TX 75390-9105 Phone: 214-648-4139 Fax: 214-648-4474 Email: arriel.stevens@UTSouthwestern.edu Tax ID: 75-6002868	
Chris G. Green, CPA Director, Office of Sponsored Programs The University of Texas Health Science Center at San Antonio 7703 Floyd Curl Dr, Mail Code 7828 San Antonio, TX 78229-3900 Phone: 210-567-2340 Fax: 210-567-8107 Email: contracts@uthscsa.edu Tax ID: 74-1586031	Kathleen Kreidler Associate Vice President, Sponsored Projects The University of Texas Health Science Center at Houston 7000 Fannin Street, UCT1006 Houston, TX 77030 Phone: 713-500-3999 Fax: 713-383-3746 Email: Kathleen.Kreidler@uth.tmc.edu Tax ID: 74-1761309  Overnight address is: 7000 Fannin Street, Suite UCT 1007-2 Houston, TX 77030	
David Anderson Director, Office of Pre-Award Services The University of Texas Health Science Center at Tyler 11937 U.S. Hwy. 271 Tyler, TX 75708-3154 Phone: 903-877-7486 Fax: 903-877-7558 Email: david.anderson@uthct.edu Tax ID: 75-6001354	Toni D'Agostino Associate VP for Research, Office of Sponsored Projects The University of Texas Medical Branch at Galveston 301 University Boulevard 4.40 Rebecca Sealy Hospital Galveston, TX 77555-0156 Phone: 409-266-9413 Fax: 409-266-9469 Email: todagost@utmb.edu Tax ID: 74-6000949	
Rita Garza Interim Executive Director for the Office of Sponsored Programs; Office of the Senior VP for Research, Innovation, and Economic Development The University of Texas Rio Grande Valley 1201 West University Drive Edinburg, TX 78539 Phone: 965-665-3002 Fax: 956-665-2940 Email: sponpro@utrgv.edu Tax ID: 46-5292740	BethLynn Maxwell, Ph.D., J.D. Chief Health Research Officer and Associate General Counsel, Office of Health Affairs and Office of General Counsel The University of Texas System 210 West 7th Street Austin, TX 78701 Phone: 512-499-4518 Fax: 512-499-4523 Email: bmaxwell@utsystem.edu  This will be the same contact information for Clinical Trials Xpress, An Initiative of The University of Texas System	