

UT Southwestern Medical Center

Texas Higher Education Coordinating Board Grant Internal Audit Report 18:20

December 26, 2017

Executive Summary

Background

The Texas Higher Education Coordinating Board (Coordinating Board) provides trusted funds to medical residency programs as directed by the Texas Legislature. Medical and Graduate Medical Education Program grants awarded to institutions support the education and training of physicians at the graduate or post-doctors of medicine (MD/DO) level. The purpose of the Family Medicine Residency Program (FMRP) and Family Medicine Rural Rotation Program (FMRRP) is to increase the numbers of physicians selecting family practice as their medical specialty and to encourage those physicians to establish practices in rural and underserved communities in Texas.

University of Texas Southwestern Medical Center (UTSW) received fiscal year 2017 (FY17) Coordinating Board funding of \$311,106 for its FMRP and used the funds to support training of 29 residents. The FY17 grant funding is comparable to the FY 2016 grant funding.

Scope and Objectives

The UTSW Office of Internal Audit has completed its FY17 Coordinating Board Grant audit. This compliance audit was performed to satisfy the audit requirement of the residency program grant agreement. The objective of the audit was to provide reasonable assurance of the adequacy and effectiveness of controls related to reporting, utilization, and administration of FMRP grant funds for the period September 1, 2016 through August 31, 2017. Additionally, fund expenditures were reviewed to ensure compliance with grant guidelines.

Conclusion

Overall, controls were effective in ensuring financial reporting accuracy and timeliness, appropriate spending, and administration of Coordinating grants. Grant funds used to support education and training of family medicine residents complied with program guidelines.

We would like to thank the departments and individuals included in this audit for the courtesies extended to us and for their cooperation during our review.

Sincerely,

Valla F. Wilson, Associate Vice President for Internal Audit

Executive Summary

Audit Team:

Sunna Farooq, Internal Auditor II, Office of Internal Audit
Melinda Lokey, Director, Office of Internal Audit

Cc: Nancy Agarwal, Manager of Financial Affairs, Family & Community Medicine
Shawn Cohenour, Director, Office of Contracts Management
Diana Davila, Program Coordinator, Family & Community Medicine
Arnim Dantes, Executive Vice President of Business Affairs
Megan Marks, Assistant Vice President, Sponsored Programs Administration
Bradley Marple, M.D., Associate Dean for Graduate Medical Education, Vice Chair and Professor for Department of Otolaryngology - Head and Neck Surgery
Michael Serber, Vice President for Financial Affairs
Zubair Syed, M.D., Assistant Professor for Department of Family and Community Medicine and Director, UT Southwestern Family Medicine Residency Program
David Weigle, PhD, MPH, Assistant Dean for Graduate Medical Education