ELECTRONIC STATE BUSINESS DAILY SEARCH

Sign in is NOT required.
For instructions on submitting bid responses, please review the posting entity's solicitation and attached bid documents.

RFI 720-1817 GRC System

Status: Closed   **Solicitation ID:**   720-1817

Response Due Date: 6/29/2018

Response Due Time: 2:30 PM

Agency/Texas SmartBuy Member Number: 720

Days Solicited: 21+ Days for Solicitation Notice

Solicitation Posting Date: 6/8/2018

Last Modified: 6/29/2018 2:30 pm

Solicitation Description:

GRC System

Class/Item Code: 20811-*Application Software, Microcomputer

Attachments

#	Name	Description
1	ESBD_File_134277_RFI 720-1817 GRC System.DOC	RFI document

Texas Comptroller of Public Accounts
Glenn Hegar

- Home (http://comptroller.texas.gov)
- Contact Us (http://comptroller.texas.gov/about/contact/)

POLICIES

- Privacy and Security Policy (https://comptroller.texas.gov/about/policies/privacy.php)
- Accessibility Policy (https://comptroller.texas.gov/about/policies/accessibility.php)
- Link Policy (https://comptroller.texas.gov/about/policies/links.php)
- Texas.gov (http://texas.gov)
- Search from the Texas State Library (https://www.tsl.texas.gov/trail/index.html)
- Texas Homeland Security (http://www.dhs.gov/geography/texas)
- Texas Veterans Portal (https://veterans.portal.texas.gov/)
- Public Information Act (https://comptroller.texas.gov/about/policies/public-information-act.php)
- Texas Secretary of State (http://www.sos.state.tx.us/)
- HB855 Browser Statement

OTHER STATE SITES

- texas.gov (https://www.texas.gov/)
- Texas Records and Information Locator (TRAIL) (http://www.tsl.state.tx.us/trail/)
- State Link Policy
  (http://publishingext.dir.texas.gov/portal/internal/resources/DocumentLibrary/State%20Website%20Linking%20and%20Privacy%20Policy.pdf)
- Texas Veterans Portal (http://veterans.portal.texas.gov)

Kalenak, Benjamin

From:	Vienne, Darya
Sent:	Monday, June 11, 2018 12:24 PM
To:	Vienne, Darya
Subject:	Request for Information RFI720-1817 GRC System

Importance: High

Good Afternoon,

The University of Texas System Administration has posted an RFI your firm may be interested in. Please visit the following website for RFI document and details:

Solicitation posting (use Chrome browser to open the link).

Note: There is one (1) bid package to download.

2)   All responses are due no later than **2:30 p.m. CST on Friday, June 29th, 2018.**

Note that all communications on this RFI should come through me.

Thank you,

DARYA VIENNE, MS, CTCD
Assistant Director, Contract Management
Contracts and Procurement
Office 512.322.3710

The University of Texas System
Request for Information

The System of Texas System ("**System**") requests information from companies regarding GRC (Governance, Risk, Compliance) applications ("**Services**"). This RFI is issued for the purpose of gathering information which may be used to develop specifications for a Request for Proposal ("**RFP**") which may be issued by System to procure Services. System offers *no* assurance or guarantee that such an RFP will in fact be issued.

Description of the University of Texas System

For more than 130 years, The University of Texas System has been committed to improving the lives of Texans and people all over the world through education, research and health care.

The University of Texas System is one of the nation's largest systems of higher education, with 14 institutions that educate more than 230,000 students. Each year, UT institutions award more than one-third of all undergraduate degrees in Texas and almost two-thirds of all health professional degrees. With about 20,000 faculty – including Nobel laureates – and more than 80,000 health care professionals, researchers, student advisors and support staff, the UT System is one of the largest employers in the state.

Life-changing research and invention of new technologies at UT institutions places the UT System among the top 10 "World's Most Innovative Universities," according to Reuters. The UT System ranks eighth in the nation in patent applications, and because of the high caliber of scientific research conducted at UT institutions, the UT System is ranked No. 1 in Texas and No. 3 in the nation in federal research expenditures.

In addition, the UT System is home to three of the nation's National Cancer Institute Cancer Centers – UT MD Anderson, UT Southwestern and UT Health Science Center-San Antonio – which must meet rigorous criteria for world-class programs in cancer research. And the UT System is the only System in the country to have four Clinical and Translational Science Awards (CTSA) from the National Institutes of Health.

Transformational initiatives implemented over the past several years have cemented UT as a national leader in higher education, including the expansion of educational opportunities in South Texas with the opening of The University of Texas Rio Grande Valley in 2015. And UT was the only system of higher education in the nation that established not one, but two new medical schools in 2016 at The University of Texas at Austin and UT Rio Grande Valley.

University of Texas institutions are setting the standard for excellence in higher education and will continue to do so thanks to our generous donors and the leadership of the Chancellor, Board of Regents and UT presidents.

Background and Special Circumstances

The Systemwide Compliance Program supports The University of Texas System's eight academic and six health institutions as they work to promote a culture of ethical behavior and to ensure compliance with all applicable policies, laws, and regulations governing higher education, research, and healthcare.

Working with each institution's compliance officer, the Systemwide Compliance Program endeavors to ensure all UT System institutions have the most accurate, concise, and up-to date information needed to maintain an effective compliance program and to assess the effectiveness and quality of the institutional programs.

The purpose of this RFI is to identify the capabilities of GRC systems currently on the market to determine their suitability for use on both a system-wide and per-institution basis. The application should be robust and include comprehensive features that each institution can choose from.

System will not provide compensation to any respondent to this RFI for any expenses incurred by respondent for response preparation. Respondent submits its response at its own risk and expense. All responses to this RFI and any supporting documentation will become the property of System.

The University of Texas System
Request for Information

System considers all information, documentation and other materials requested to be submitted in response to this RFI, to be of a non-confidential and / or non-proprietary nature and, therefore, may be subject to public disclosure under the *Texas Public Information Act* (*Texas Government Code*, Chapter 552.001, et seq.). Respondent is hereby notified that System strictly adheres to all statutes, court decisions and opinions of the Texas Attorney General with respect to disclosure of public information.

In response to this RFI, provide information regarding the following items. NOTE: Each item should be individually addressed.

Desired requirements of GRC software:

1. Risk Assessment.
2. Work plans.
3. Policy management.
4. Case management systems, with the ability to interface with hotline call systems.
5. File management.
6. Extensive dashboard capability.
7. Analytics.
8. Enterprise Risk Management.
9. Audit management functions that support internal auditors in managing work papers, scheduling audit-related tasks, and tracking appeals, reporting, electronic medical record submissions, and interoperability with 3rd party vendor platforms.
10. Compliance management functions that support documentation, workflow, controls associated with risks, testing and remediation, and surveys and self-assessments.
11. Third party risk management.
12. Requirement management.
13. Mapping risks in a centralized risk library with the ability to associate various controls.
14. Control testing.
15. Management of findings and exceptions.
16. Ability to prioritize risks and define high level estimates for effort and funding to get to the desired future state.

Responses are to include, but are not limited to, the following:

1. Responder's GRC system's functionalities.
2. Does Respondent provide on-premise or SaaS solutions?
3. List the key features of Respondent's system.

The University of Texas System
Request for Information

4. How risks and regulatory intelligence from across the enterprise are rolled up to help stakeholders make faster, better business decisions.

5. The reporting and analysis capabilities of the system.

6. Provide pricing model and structure of Respondent's GRC product.

7. Provide Respondent's prior higher education experience.

8. Explain the degree of customization offered by Respondent's product.

Note: System is not requesting a proposal, detailed plans, budgetary information, or proprietary information in response to this RFI.

Respondent is required one (1) complete electronic copy of the response by email to Darya Vienne at dvienne@utsystem.edu.

All responses to this RFI must be received by UT System no later than 2:30 p.m. CST on Friday, June 29th, 2018.