

UT Southwestern Medical Center

Texas Higher Education Coordinating Board Grant

Internal Audit Report 19:40

December 31, 2018

Executive Summary

Background

The Texas Higher Education Coordinating Board (Coordinating Board) provides trusted funds to medical residency programs as directed by the Texas Legislature. Medical and Graduate Medical Education Program grants awarded to institutions support the education and training of physicians at the graduate or post-doctors of medicine (MD/DO) level. The purpose of the Family Medicine Residency Program (FMRP) and Family Medicine Rural Rotation Program is to increase the numbers of physicians selecting family practice as their medical specialty and to encourage those physicians to establish practices in rural and underserved communities in Texas.

University of Texas Southwestern Medical Center (UTSW) received fiscal year 2018 (FY18) Coordinating Board funding of \$212,055 for its FMRP and used the funds to support training of minimum 34 residents.

Scope and Objectives

The UTSW Office of Internal Audit has completed its FY18 Coordinating Board Grant audit. This compliance audit was performed to satisfy the audit requirement of the residency program grant agreement. The objective of the audit was to provide reasonable assurance of the adequacy and effectiveness of controls related to reporting, utilization, and administration of FMRP grant funds for the period September 1, 2017 through August 31, 2018. Additionally, fund expenditures were reviewed to ensure compliance with grant guidelines.

Audit procedures included interviews with stakeholders, review of policies and procedures and key reporting and substantive testing.

We conducted our examination according to guidelines set forth by the Institute of Internal Auditors' International Standards for the Professional Practice of Internal Auditing.

Conclusion

Overall, controls were effective in ensuring financial reporting accuracy and timeliness, appropriate spending, and administration of Coordinating grants. Grant funds used to support education and training of family medicine residents complied with program guidelines.

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We would like to thank the departments and individuals included in this audit for the courtesies extended to us and for their cooperation during our review.

Sincerely,

Valla F. Wilson, Associate Vice President for Internal Audit, Chief Audit Executive, Interim Chief Compliance & HIPAA Privacy Officer

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