

UT Southwestern Medical Center

Texas Higher Education Coordinating Board Family Medicine Residency Program Grant Audit

Internal Audit Report 21:42

December 22, 2020

Executive Summary

Background

The Texas Higher Education Coordinating Board (THECB) provides funds to support medical residency programs as directed by the Texas Legislature. Approved institutions receive Medical and Graduate Medical Education Program grants to support the education and training of physicians at the graduate or post-doctorate medicine (MD/DO) level. The Family Medicine Residency Program (FMRP) and Family Medicine Rural Rotation Program were established to increase the number of physicians selecting family practice as their medical specialty and to encourage those physicians to establish practices in rural and underserved communities in Texas.

The UT Southwestern Medical Center (UTSW) Department of Family and Community Medicine established the UT Southwestern Family Medicine Residency Program to train residents in Community Medicine in coordination with more than 20 community partners. This program received approximately \$259,000 in FMRP funding for fiscal year (FY) 2020 and used the funds to support training for approximately 40 residents.

Scope and Objectives

The Office of Internal Audit has completed its FY20 THECB-FMRP grant audit. This recurring annual audit satisfies the FMRP grant requirement. The audit objective was to provide reasonable assurance of the adequacy and effectiveness of controls and processes for reporting, using, and administering FMRP grant funds from September 1, 2019 through August 31, 2020. The review also assessed whether fund expenditures complied with grant guidelines. Audit procedures included interviews with stakeholders, review of policies and procedures and key reporting processes, and substantive testing procedures.

We conducted our examination according to guidelines set forth by The Institute of Internal Auditors' *International Standards for the Professional Practice of Internal Auditing*.

Conclusion

Overall, controls and processes are effective to ensure financial reporting accuracy and timeliness, appropriate spending, and administration of the FMRP grant funds. The funds used to support family medicine resident education and training reasonably complied with program guidelines.

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We would like to take the opportunity to thank the department and individuals who participated in this audit for the courtesy extended to us and for their cooperation.

Sincerely,

Valla F. Wilson, Vice President for Office of Internal Audit and Chief Audit Executive

Audit Team:

Elias Dib, Senior Auditor

Van Nguyen, Audit Supervisor

Melinda Lokey, Director of Internal Audit

Cc: Daniel K. Podolsky, M.D., President, University of Texas Southwestern Medical Center
Nancy Agarwal, Department Administrator, Department of Family & Community Medicine
Shawn Cohenour, Director, Office of Contracts Management
Nell Cryer, Director, Post Award Administration, Sponsored Programs Administration
Diana Davila, Program Coordinator, Family & Community Medicine
Arnim E. Dontes, Executive Vice President, Business Affairs
Megan Marks, Ph.D., Assistant Vice President, Sponsored Programs Administration
Larissa Velez, M.D., Associate Dean for Graduate Medical Education, Distinguished Teaching Professor; Michael P. Wainscott, M.D. Professorship in Emergency Medicine and Vice Chair of Education, Department of Emergency Medicine
F. David Schneider, M.D., M.S.P.H., Professor and Chairman, Department of Family and Community Medicine
Michael Serber, Vice President, Finance and Institutional Chief Financial Officer
Zubair Syed, M.D., Associate Professor for Department of Family and Community Medicine and Program Director, UT Southwestern Family Medicine Residency Program
Carol Walters, Assistant Director, Sponsored Programs Administration, Compliance & Operations
David Weigle, Ph.D., M.P.H., Assistant Dean for Graduate Medical Education, Designated Institutional Official