1. Title

Office of Capital Projects Management of Major Construction and Repair and Rehabilitation Projects

2. Rule and Regulation

Sec. 1 Contract Authorization – Architects, Engineers, and Design-Build Contractors. Subject to Regents’ Rules and Regulations, Rule 80301 and Rule 10501, and Sections 2, 3, 4, and 5 below, and except as otherwise specified in these Rules and Regulations, the Executive Vice Chancellor for Business Affairs, with the advice of the Assistant Vice Chancellor for Capital Projects and the institutional president, is authorized to appoint architects, engineers, and design-build contractors and execute contracts for professional services.

Sec. 2 Contract Authorization – Construction. The Executive Vice Chancellor for Business Affairs is authorized to execute construction and related contracts for all Major Projects that have previously been approved for the Definition Phase by the appropriate Executive Vice Chancellor and the Chancellor or authorized by the Board of Regents in the Capital Improvement Program (CIP).

Sec. 3 Standardized Contracts. Construction contracts executed and delivered on behalf of the Board of Regents for Major Projects shall comply with guidelines and uniform general conditions issued by the U. T. System Administration’s Office of General Counsel and shall be written on a standard form approved by the Office of General Counsel. Payment and performance bonds, when required by law for contracts, shall be on a standard form approved by the Office of General Counsel. Contracts with architects and engineers shall comply with guidelines issued by the Office of General Counsel and shall be written on a standard form approved by the Office of General Counsel.

Sec. 4 Authorization to Expend Funds Appropriated in the CIP.

4.1 The Chancellor will approve the Design Development Plans for all major repair and rehabilitation projects that are not architecturally or historically significant and authorize expenditure of appropriated funds. The executive officers and institutional presidents shall be
responsible for identifying special interest projects to the Facilities Planning and Construction Committee.

4.2 The Board of Regents will approve the Design Development Plans for all Major Projects other than repair and rehabilitation projects that are not architecturally or historically significant and authorize expenditure of appropriated funds. The executive officers and institutional presidents shall be responsible for identifying special interest projects to the Facilities Planning and Construction Committee.

Sec. 5 Approval for Excess Costs. Project costs that exceed 10% of the Total Project Cost approved by the Board of Regents or $500,000, whichever is greater, must be approved by the Board.

Sec. 6 Contract Management. The Assistant Vice Chancellor for Capital Projects is authorized to implement and manage all professional service, construction, and construction-related contracts executed by the Executive Vice Chancellor for Business Affairs pursuant to Sections 1 and 2 of this Rule and Rule 80403, Section 1. The Assistant Vice Chancellor for Capital Projects’ authority includes, but is not limited to, extending the term of existing contracts to the extent such extensions are contemplated in the contract; approving additional work requests; approving a construction contractor’s, design-build contractor’s, or construction manager’s estimates, guaranteed maximum price proposals, or stipulated sum proposals; approving change orders; and providing general supervision of all Major and Minor Projects.

Sec. 7 Authority to Increase Project Cost. The Chancellor, with the advice of the appropriate Executive Vice Chancellor, the Office of Finance, and the institutional president, is authorized to increase the approved Total Project Cost not more than 10% or $500,000, whichever is greater. To provide funding for the increase, the Chancellor may reallocate funding between or among approved projects at a single institution if funding for such projects has previously been authorized or approved funding from some other source is available to the institution.

Sec. 8 Facility Program. A facility program, as defined in Rule 80301, shall be prepared for all Major Projects in accordance with the Facilities Programming Guidelines maintained by the Office of
Capital Projects. The facility program must be approved by the president of the institution.

Sec. 9 Adherence with Campus Master Plan. Requests for Qualifications (RFQs) issued to solicit responses from interested architects, engineers, and design-build contractors will include a requirement that the architect, engineer, and design-build contractor evidence agreement to adhere to the approved Campus Master Plan and a set of criteria applicable to the facility program and the needs of the institution.

Sec. 10 Preparation of Schematic Plans and Design Development Plans. Pursuant to approval under Section 4 of Rule 80301, the Assistant Vice Chancellor for Capital Projects is authorized to release the project architect, engineer, or design-build contractor to prepare Schematic Plans (exterior design, site plans, cost estimates, and other necessary and appropriate documents). Pursuant to approval under Section 5 of Rule 80301, the Assistant Vice Chancellor for Capital Projects is authorized to release the project architect, engineer, or design-build contractor to prepare Design Development Plans (elevations, sections, outline specifications, cost estimates, and other related work to establish the scope, design, dimensions, and materials of the project in greater detail). The project architect, engineer, or design-build contractor shall work with the institutional delegates and the Office of Capital Projects.

Sec. 11 Construction Documents. After approval of the Design Development Plans, the Assistant Vice Chancellor for Capital Projects is authorized to direct the preparation of the Construction Documents (working drawings and specifications).

3. Definitions

None

4. Relevant Federal and State Statutes

None

5. Relevant System Policies, Procedures, and Forms

Regents’ Rules and Regulations, Rule 10501 – Delegation to Act on Behalf of the Board
Regents’ *Rules and Regulations*, Rule 80301 – Capital Improvement Program

Regents’ *Rules and Regulations*, Rule 80403 – Minor Construction and Repair and Rehabilitation Projects

6. **Who Should Know**

Administrators

7. **System Administration Office(s) Responsible for Rule**

Office of Business Affairs

8. **Dates Approved or Amended**

[Regents’ Rules Revision History](#)

9. **Contact Information**

Questions or comments regarding this Rule should be directed to:

- bor@utsystem.edu