

## OFFICE OF THE DIRECTOR OF POLICE THE UNIVERSITY OF TEXAS SYSTEM POLICY AND PROCEDURE MANUAL



Subject	Policy Number					
Special Event Risk Asses	843					
Effective Date	Revision Date	Reevaluation Date	Number of Pages			
March 11, 2013	4					
Reference Standards		Rescinds or Amends Policy Num	Rescinds or Amends Policy Number			
TPCA:						
CALEA: 46.2.7						
IACLEA:						

### I. PURPOSE

The purpose of this process is to provide a structured framework where special event risk can be identified, evaluated and addressed through mitigation. While risk can never be avoided or eliminated, all parties involved should work towards implementing sound mitigation strategies in an effort to provide a safe and effective event.

### II. POLICY

It is the policy of the University of Texas System Police that potential high risk special events on or affiliated with all UT System institutions will be assessed for public safety risk factors by UTSP personnel and appropriate mitigation measures employed to ensure the safety and security of all persons attending special events at UT System institutions.

### III. DEFINITIONS

<u>Designated Special Event Coordinator (DSEC)</u> - the person and/or unit responsible for the UTSP special event assessment and planning at each UT System institution.

<u>Special Event</u> - A Special Event can be any event that occurs outside the day-to day operations of the University. Special Events are usually short-term, and they can expose the institution to risk either directly or indirectly. Examples of Special Events include fundraisers, graduations, concerts, sporting/athletic events, political events, conferences, camps, film/TV production etc.

<u>High Risk Special Event (HRSE)</u> - A high risk special event is a special event that is unusually large in scope and may include one or more of the following elements:

- Expected attendance of 500 or more
- May use more than one campus facility
- Includes non-campus affiliated guests
- Includes alcohol
- Is advertised off campus
- Includes high risk elements

<u>Risk Management</u> - the process of identifying and assessing risk and developing strategies to avoid personal injury, property damage, and resultant financial loss. Risk management means making sure that no injuries occur and that no property is damaged at the event. Sound risk management also requires an understanding of the potential for liability and resultant litigation should an incident occur which is not planned for. Even the simplest reception or speaking event presents some potential of risk.

Venue - any programmable area on campus that may be the host of a special event.

<u>Venue Manager</u> - a designated position or office that is responsible for the approval and coordination of special events for a campus venue.

### IV. Designated Special Event Coordinator

- A. Each UTSP department will have a Designated Special Event Coordinator (DSEC), presumably as an additional duty appointed by the institution Chief of Police, who will maintain special event responsibilities for the department. Responsibilities include:
  - 1. Maintain a schedule of pending events at institution venues
  - 2. Coordinate with institution venue managers on special event risk assessments
  - 3. Coordinate law enforcement/security functions for HRSE
  - 4. Facilitate communication with institution partners and ODOP on HRSE
  - 5. Ensure appropriate measures are in place for HRSE operations
- B. The DSEC should have appropriate training and/or experience, which should include, but is not limited to, the following:
  - 1. National Incident Management System (NIMS)/Incident Command System (ICS)
  - 2. Institution/Department Emergency Plans
  - 3. Special Event Planning and Operations

#### V. Special Event Risk Assessment

- A. The DSEC will complete a special event risk assessment for all events that have the potential of being HRSE using the Special Event Risk Assessment form. The assessment will be based upon the following:
  - 1. Event size
  - 2. Open or Closed Event
  - 3. Event advertising

- 4. Presence of vendors or exhibitors
- 5. Admission or registration fees
- 6. Presence of alcohol
- 7. Media presence
- 8. Event criminal or public safety issues at other venues
- 9. Event criminal or public safety issues at the institution
- 10. Polarizing/controversial nature of the event
- 11. Presence of dignitaries or VIPs
- 12. Anticipated protest activity
- 13. Credible threats associated with the event
- 14. Existing hazards
- B. The DSEC should work with the department intelligence officer to monitor intelligence sources for relevant information that may affect the assessment rating and/or planning elements. If the risk assessment changes before the event, the DSEC will ensure the information is communicated appropriately to relevant institutional partners and necessary changes are made to account for the increased or decreased risk.
- C. The DSEC will review event organizers and/or sponsors to ensure any involved businesses or entities are not a convicted felon, habitual offender, member of an outlaw motorcycle gang, member of an organized criminal street gang identified as such, member of a drug trafficking organization, is not engaged in prostitution or human trafficking or otherwise of disreputable character or reputation.
- D. If the institutional police department experiences difficulty with implementing reasonable mitigation measures based upon the risk assessment, the circumstances should be communicated through the Police Chief to the Director of Police, as necessary.

### VI. Incident Action Plan

- A. Once an event is designated as a HRSE, the DSEC or designee, will work with institutional partners to formulate plans for applicable event elements, which may include the following:
  - 1. Unified Command, organizational structure, and command post
  - 2. Communications Plan
  - 3. Medical Plan
  - 4. Contingency measures for potential threats/hazards
  - 5. Logistical Needs
  - 6. Staffing Levels and Assignments
  - 7. Parking and Traffic Control
  - 8. Physical Security Measures
- B. Planning elements addressed in section A. above should be documented through an Incident Action Plan (IAP) DP-72. The IAP should be reviewed and approved by the Chief

of Police or designee at least 48 hours prior to the event. The IAP should be disseminated as appropriate to event personnel, institutional partners and other affected jurisdictions. The DSEC or designee should complete an after action report (AAR) within 7 days after the completion of the event. Both of these documents should be available to the Director of Police as necessary.

Michael Parks

**Executive Director of Police** 

Changes/Additions Since Last Publication

Updated DP-72

## **Incident Action Plan**

**Operational Period** 

### Confidential and Privileged Information

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## **Event Timeline**

Time	Event Milestone





WEATHER FORECAST	1. Incident Name	2. Date Prepared	3. Time Prepared		
4. Forecast for the Operation	al Period of:	5. Location/Area Covered:			
6. Current Watches/Warnings and Forecast of Weather Thresholds Covering the Operational Period					
b. Current Watches/Warnings	s and Forecast of Weather Threshol	as Covering the Operatio	nai Period		
7. Weather Forecast					
8. Forecast Discussion					
9. Prepared by (Incident Mete	eorologist)	10. Approved by (Planni	ng Section Chief)		

Weather IAP Page of	Prepared by:
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<b>4. Map/Sketch</b> (include sketch, showing the total area of operations, the incident site/area, impacted and threatened areas, or other graphics depicting situational status and resource assignment):					

ICS 202 IAP Pag	e of	Prepared by:
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5. Situation Summary and Health and Safety Briefing (for briefings or transfer of command): Recognize potential incident Health and Safety Hazards and develop necessary measures (remove hazard, provide personal protective equipment, warn people of the hazard) to protect responders from those hazards.
The following safety concerns have been identified:

ICS 202 IAP Page of	Prepared by:
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1. Incident Name:		2. Operational Period:
3. Objective(s):		
4. Operational Period C	ommand Emphas	iis:
,	•	
General Situational Awa	reness:	
5. Site Safety Plan Requ	uired? Yes No	
6. Incident Action Plan		d below are included in this Incident Action Plan):
ICS 202	ICS 206	Other Attachments:
ICS 203	ICS 207	·
ICS 204	ICS 208	· · · · · · · · · · · · · · · · · · ·
ICS 205	Map/Chart	·
ICS 205A	Weather Forecast	·





1. Incident Name:		2. Operational F	Period:	3.		
4. Operations Personr	nel: <u>Name</u>		Contact Number(s)	Branch:		
Operations Section C	hief:			Division:		
Branch Director:			Group:			
Division/Group Superv	risor:			Staging Area:		
Division/Group Superv	risor:					
5. Resources Assigne	d:	st		Reporting Location, Special		
Resource Identifier	Leader	# of Persons	Contact (e.g., phone, pager, radio frequency, etc.)	Equipment and Supplies, Remarks, Notes, Information		
6. Work Assignments:		<u>'</u>				
7. Special Instructions	 S:					
•						
8. Communications (ra	adio and/or pho	one contact numbe	ers needed for this assignment):			
(Reference ICS 205 fo	(Reference ICS 205 for details)					
(Nerelence 100 200 for details)						

ICS 204 IAP Page of Prepared by:	Prepared by:
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1. Incident Name:			2. Date/Time Prepared:				3. Operational Period:				
4. Bas	4. Basic Radio Channel Use:										
Zone Grp.	Ch #	Function	Channel Name/Trunked Radio System Talk group	Assignment	RX Freq N or W	RX Tone/NAC	TX Freq N or W	TX Tone/N	X NAC	Mode (A, D, or M)	Remarks
5. Special Instructions:											





4.	Incident Name:	2. Operational Period	:
3. Bas	ic Local Communications Information	on:	
	Incident Assigned Position	Name	Method(s) of Contact (phone, pager, cell, etc.)

ICS 205A	IAP Page of	Prepared by:
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4. Incident Name:			2. Operational Period:					
3. Medical Aid St	ations: (Se	ee #6/Procedures	)					
		Location		Contact Number(s)/Frequency		Paramedics on Site?		
							Yes	No
						Yes	No	
							Yes	No
							Yes	No
							Yes	No
							Yes	No
4. Transportation	(indicate a	ir or ground):						
Ambulance Se	ervice		Location			ontact s)/Frequency	Level of	Service
							ALS	BLS
							ALS	BLS
							ALS	BLS
							ALS	BLS
5. Hospitals:		ı		T		T	т т	
		ss, Latitude & ude if Helipad	Contact Number(s)/ Frequency	Trav	el Time	Trauma	Burn	
Hospital Name				Air	Ground	Center	Center	Helipad
						Yes	Yes	Yes
						Level:	No	No
						Yes	Yes	Yes
						Level:	No	No
						Yes	Yes	Yes
						Level:	No	No
						Yes Level:	Yes	Yes
							No	No
						Yes Level:	Yes No	Yes No
6. Special Medica	al Emerger	ncy Procedures:					NO	INO
o. opeoid: incuio		,						

ICS 206 IAP Page	of	Prepared by:
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## **Event Assignments**

Title / Beat	Officer	Duties & Responsibilities

Duty Roster	IAP Page	of	Prepared by:





## **Lot Closures**

## Barricades

## **Media Presence**

## **Arrest Protocol**





## **University of Texas System Police**

# **Special Event**

IAP Page

of



## **Risk Assessment**

<b>Event</b>	Name:	

E	vent Location:			Date of Event:			
		Risk	Element				Rating
1.	Expected Attendance: 1 - 500 = 1	501 - 1000 = <b>3</b>	1001 - 2500 =	<b>5</b> 2501 - 5000 = <b>7</b> Over	5000 = <b>10</b>		
2.	Is the event open to the general pub	olic? (2)			Yes	No	
3.	Has the event been publicized to the	e general public? (1	L)		Yes	No	
4.	Will any vendors or exhibitors be pr	esent? (1)			Yes	No	
5.	Will there be an admission or regist	ration fee? (1)			Yes	No	
6.	Will alcoholic beverages be sold/ser	ved? (5)			Yes	No	
7.	Will the media be present and/or co	onducting live broad	dcasts from the	venue? (1)	Yes	No	
8.	Has the event encountered criminal Describe:			·	Yes	No	
9.	Has the event encountered criminal Describe:	or other issues at t	the Institution?	(3-10)	Yes	No	
10.	10. Does the event involve an issue of a polarizing nature? (3-7)  Describe:  Yes  No						
11.	Will the event have dignitaries or ot Describe:	her high profile pe	rsons in attenda	nce? (3-10)	Yes	No	
12.	Is there any credible intelligence reg Describe:	arding anticipated	protest movem	ents at the event? (5-10)	Yes	No	
13.	Is there any credible intelligence reg Describe:	garding a threat to	the event or sur	rounding area? (10)	Yes	No	
14.	Are there any hazards (natural or m Describe:	an-made) that wou	ıld pose a risk to	the event? (3-10)	Yes	No	
				Total Ass	sessment	Points:	
Ov	erall Risk Assessment for Event:	Lo	OW ( <u>&lt;</u> 7)	Medium (8-14)		High (≥ 1	.5)
	Proposed Countermeasures for Risk Elements						
	Risk Element	Countermeasure(s)					
As	sessed By:	Printed Name:			Date:		
Re	viewed By:		Printed Name: Date:				

Prepared by:





. <b>Map/Sketch</b> (include sketch, showing the total area of operations, the incident site/area, impacted and threatened areas, rother graphics depicting situational status and resource assignment):		

ICS 202 IAP Pag	e of	Prepared by:
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ICS 202 IAP Pag	e of	Prepared by:
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ICS 202 IAP Pag	e of	Prepared by:
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ICS 202 IAP Pag	e of	Prepared by:
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ICS 202 IAP Pag	e of	Prepared by:
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